

Computability Final Test — 2011-07-07

Notes.

- Write your name and matriculation number on each of your sheets.
- Solve no more than four (4) exercises. This will be strictly enforced: including more than 4 answers will result in the immediate failure of the test.
- Significantly wrong answers will result in negative scores.
- Always provide a justification for your answers.
- To achieve higher scores (≥ 27) you have to solve at least one exercise marked with \star below.

Reminder: when equating results of partial functions (as in $\phi_i(3) = \phi_i(5)$), we mean that either 1) both sides of the equation are defined to be the same natural number, or 2) both sides are undefined.

Exercise 1. State whether $A = \{n \mid 2^n < 1000 \wedge \phi_n(n) = 2\} \in \mathcal{R}$.

Solution (sketch). The set A may contain only numbers < 10 because $2^{10+k} \geq 2^{10} = 1024 > 1000$. Hence it is a finite set. So, $A \in \mathcal{R}$. \square

Exercise 2. State whether $B = \{\text{proj}2(n) \mid \phi_n(6) = n\} \in \mathcal{RE}$.

Solution (sketch). $B \in \mathcal{RE}$. Indeed, let

$$f(n) = \begin{cases} \text{proj}2(n) & \text{if } \phi_n(6) = n \\ \text{undefined} & \text{o.w.} \end{cases}$$

The above f is recursive because 1) the property “ $\phi_n(6) = n$ ” is \mathcal{RE} (because e.g. it has semi-verifier $\lambda n. \mathbf{Eq}(\mathbf{Eval} \ n \ \ulcorner 6 \urcorner \ n)$), and 2) when the property holds $f(n)$ is $\text{proj}2(n)$ which is recursive, and 3) when the property does not hold $f(n)$ is undefined. The range of f is clearly B , therefore $B \in \mathcal{RE}$. \square

Exercise 3. Comment on this proof excerpt by Mr. Rouge Hareng: is it correct? Why?

... and therefore, $A = \text{dom}(f)$. Since f is a recursive function, we obtain $A = \text{dom}(\phi_i)$ for some i , hence $A \in \mathcal{RE}$, which contradicts the hypothesis $A \in \mathcal{R}$. This concludes our proof.

Solution (sketch). The last step is wrong. $A \in \mathcal{RE}$ does not imply $A \notin \mathcal{R}$ (e.g. $\emptyset \in \mathcal{RE}$ but $\emptyset \in \mathcal{R}$). The rest of the proof is OK. \square

Exercise 4. Prove that, for any predicate $P(-)$:

$$\left(\exists n. P(n)\right) \iff \left(\exists x, y. P(\text{pair}(x, y))\right)$$

Then, prove that $A \in \mathcal{RE}$ if and only if

$$A = \{x \mid \exists y, z. \text{pair}(x, \text{pair}(y, z)) \in B\} \text{ for some } B \in \mathcal{R}$$

Solution (sketch). Part 1. (\Rightarrow) If for some n we have $P(n)$, we can take $x = \text{proj1}(n), y = \text{proj2}(n)$ and have $\text{pair}(x, y) = n$, so $P(\text{pair}(x, y))$. (Note: an alternative solution would exploit the fact that pair is a surjective function.)

(\Leftarrow) If for some x, y we have $P(\text{pair}(x, y))$, we can take $n = \text{pair}(x, y)$ and have $P(n)$.

Part 2. We know that $A \in \mathcal{RE}$ if and only if there is a $B \in \mathcal{R}$ such that

$$A = \{m \mid \exists n. \text{pair}(m, n) \in B\}$$

By Part 1, taking $P(n) = \text{“pair}(m, n) \in B\text{”}$ the above is equivalent to

$$A = \{m \mid \exists x, y. \text{pair}(m, \text{pair}(x, y)) \in B\}$$

□

Exercise 5. State whether $C = \{n^2 + n \mid \phi_n(n) = \text{undefined}\} \in \mathcal{RE}$.

Solution (sketch). $C \notin \mathcal{RE}$ since $\bar{K} \leq_m C$ with reduction $h(n) = n^2 + n$. Such h is obviously computable and total. It is indeed a reduction because

- If $n \in \bar{K}$ we have $\phi_n(n) = \text{undefined}$, and so $h(n) = n^2 + n \in C$
- If $n \notin \bar{K}$, we have that $\phi_n(n)$ is defined. Moreover, $h(n)$ is injective since it is strictly increasing. We can then have $h(n) \notin C$

□

Exercise 6. Prove that $\bar{K} \leq_m \{i \mid \forall x. (x < 10 \implies \phi_i(x) = \phi_i(10))\} = D$.

Solution (sketch). The following is a reduction:

$$h(n) = \# \left(\lambda x. \begin{cases} 3 & \text{if } x < 10 \wedge \phi_n(n) \text{ halts} \\ \text{undefined} & \text{o.w.} \end{cases} \right)$$

the above is computable and total because “ $x < 10$ ” is clearly recursive, while “ $\phi_n(n)$ halts” is \mathcal{RE} . Overall, 1) the guard of the “if” is \mathcal{RE} , 2) the constant function of n “3” is recursive, and 3) in the other case the function is undefined.

The above is a reduction because:

- If $n \in \bar{K}$, then $\phi_{h(n)}(x) = \begin{cases} 3 & \text{if } x < 10 \wedge \text{false} \\ \text{undefined} & \text{o.w.} \end{cases} = \text{undefined}$ for all x . In particular, $\phi_{h(n)}(x) = \text{undefined} = \phi_{h(n)}(10)$ for all x (including those $x < 10$). So, $h(n) \in D$.

- If $n \in \mathbb{K}$, then $\phi_{h(n)}(x) = \begin{cases} 3 & \text{if } x < 10 \\ \text{undefined} & \text{o.w.} \end{cases}$. In particular, $\phi_{h(n)}(5) = 3 \neq \text{undefined} = \phi_{h(n)}(10)$. Since $5 < 10$, we can conclude $h(n) \notin D$.

□

Exercise 7. Let $A \otimes B = \{\text{pair}(a, b) \mid a \in A \wedge b \in B\}$. Prove whether:

$$\begin{aligned} A, B \in \mathcal{RE} &\implies A \otimes B \in \mathcal{RE} \\ A \otimes B \in \mathcal{RE} &\implies A \in \mathcal{RE} \\ A \otimes B \leq_m B \otimes A & \end{aligned}$$

(Suggestion: watch out for all the possible cases!)

Solution (sketch). Part 1. True. A semi-verifier can be constructed as follows: given the input x , we split it into $a = \text{proj1}(x)$ and $b = \text{proj2}(x)$. Then, we call both semi-verifiers $S_A(a)$ and $S_B(b)$. Formally:

$$S_{A \otimes B} = \lambda x. S_A(\text{Proj1 } x)(S_B(\text{Proj2 } x))$$

It is easy to check that $x \in A \otimes B$ iff $a \in A \wedge b \in B$. So, the above is indeed a semi-verifier for $A \otimes B$.

Part 2. False. $\bar{\mathbb{K}} \otimes \emptyset = \emptyset \in \mathcal{RE}$, but $\bar{\mathbb{K}} \notin \mathcal{RE}$.

Part 3. True. $h(x) = \text{pair}(\text{proj2}(x), \text{proj1}(x))$ is a reduction. (Easy to check)

□

Exercise 8. Prove whether $E = \{i \mid \forall x. \phi_i(x) = \phi_x(x)\} \in \mathcal{RE}$.

Solution (sketch). $E \notin \mathcal{RE}$ by Rice-Shapiro (\Rightarrow). E is semantically closed (because...). The associated function set \mathcal{F}_E only contains one function, namely $f(x) = \phi_x(x)$. The domain of this function is infinite, since it is defined e.g. on $\#\langle \mathbb{K}^n \rangle$ for all n . Let g be any finite restriction of f . Since the domain of f is infinite, $g \neq f$. Hence $g \notin \mathcal{F}_E = \{f\}$.

□

Exercise 9. ★ Prove that: (note: $\mathcal{R}^t =$ recursive total functions)

$$\forall f \in \mathcal{R}^t. \exists g \in \mathcal{R}^t. \forall i \in \mathbb{N}. \phi_{g(i)} = \phi_{f(g(i), i)}$$

Solution (sketch). Intentionally omitted.

□

Exercise 10. ★ Let A_0, A_1, \dots be an infinite sequence of sets of natural numbers. Let $f \in \mathcal{R}$ such that

$$f(x, y) = \tilde{\chi}_{A_x}(y) = \begin{cases} 1 & \text{if } y \in A_x \\ \text{undefined} & \text{otherwise} \end{cases}$$

Prove that: (Remember to provide a justification)

[5% score]	$\forall x. A_x \in \mathcal{RE}$	holds
[25% score]	$\bigcup_x A_x \in \mathcal{RE}$	holds
[70% score]	$\bigcap_x A_x \in \mathcal{RE}$	does not hold, in general

Solution (sketch). Intentionally omitted.

□