

## Computability Final Test — 2009-06-03

### Part 1

**Exercise 1.** *State whether these sets are  $\lambda$ -definable.*

$$\begin{aligned}
 A &= \{\#M \mid M =_{\beta\eta} \mathbf{S}M\} \\
 B &= \{\#M + 1 \mid M\Omega =_{\beta\eta} M\mathbf{I}\} \\
 C &= \{\#M \mid \exists N. NM =_{\beta\eta} \mathbf{I}\} \\
 D &= \{\#M \mid \forall N. NM =_{\beta\eta} \mathbf{I}\} \\
 E &= \{\#M \mid \mathbf{E} \ulcorner M \urcorner =_{\beta\eta} \ulcorner 4 \urcorner\} \\
 F &= \{\#M \mid \forall N. MN =_{\beta\eta} \ulcorner N \urcorner\} \\
 G &= \{\#M \mid \exists N. MN =_{\beta\eta} \ulcorner N \urcorner\} \\
 H &= \{\#M \mid \mathbf{Even}(\mathbf{Mul} \ulcorner M \urcorner \ulcorner M \urcorner) =_{\beta\eta} \mathbf{T}\}
 \end{aligned}$$

**Answer. (sketch)**

- By Rice. We have  $\#(\Theta S) \in A$ ,  $\Omega \notin A$ . If  $\#M \in A$  and  $M = N$ , then from  $M = \mathbf{S}M$  we get  $N = \mathbf{S}N$ , so  $\#N \in A$ . So  $A$  is not  $\lambda$ -def.
- By contradiction, assume that  $V_B$  is a verifier. Then,  $V = \lambda n. V_B(\mathbf{Succ}n)$  is a verifier for  $B' = \{\#M \mid M\Omega =_{\beta\eta} M\mathbf{I}\}$ , which is not  $\lambda$ -def., as can be seen by Rice (easy to check).
- For any  $M$ , if we take  $N = \mathbf{K}\mathbf{I}$ , we have  $NM = \mathbf{I}$ . So  $C = \mathbb{N}$  and is  $\lambda$ -def.
- For any  $M$ , if we take  $N = \Omega$ , we have  $\Omega M \neq \mathbf{I}$ , since  $\Omega M$  has no normal form (since  $\Omega$  is unsolvable), while  $\mathbf{I}$  has. So  $D = \emptyset$  is  $\lambda$ -def.
- A not completely correct (yet acceptable) answer:  $\mathbf{E} \ulcorner M \urcorner = M$ , so  $E = \{\#M \mid M =_{\beta\eta} \ulcorner 4 \urcorner\}$ , which is not  $\lambda$ -def. by Rice (easy to check). The formally correct answer:  $E = \{\#M \mid M\{\Omega/\text{free}(M)\} =_{\beta\eta} \ulcorner 4 \urcorner\}$ , and to apply Rice you have to show that  $M\{\Omega/\text{free}(M)\} =_{\beta\eta} N\{\Omega/\text{free}(N)\}$  whenever  $M =_{\beta\eta} N$ .
- $F = \emptyset$ , otherwise  $M\mathbf{I} = \ulcorner \mathbf{I} \urcorner \neq \ulcorner \mathbf{I}\mathbf{I} \urcorner = M(\mathbf{I}\mathbf{I}) = M\mathbf{I}$  (contradiction). So  $F$  is  $\lambda$ -def.

- $\#\Omega \notin G$ , since  $\Omega N$  can not have a normal form.  $\#(\mathbf{K}\ulcorner\lrcorner) \in G$  since we can take  $N = \mathbf{I}$ . If  $M = O$ , and  $\#M \in G$ , then  $MN = \ulcorner N \urcorner$  for some  $N$ , and  $ON = \ulcorner N \urcorner$  (for the same  $N$ ), so  $\#O \in G$ . By Rice,  $G$  is not  $\lambda$ -def.
- $V_H = \lambda n.\mathbf{Even}(\mathbf{Mul}\ n\ n)$  is a verifier, so  $H$  is  $\lambda$ -def.  $\square$

**Exercise 2.** Find  $M, N \in \Lambda^0$  such that

$$MIN \neq_{\beta\eta} NIM$$

**Answer.** Take  $M = \mathbf{F}$  and  $N = \mathbf{I}$ . Then,  $MIN = \mathbf{FII} = \mathbf{I}$  while  $IIF = \mathbf{F}$ . Since  $\mathbf{I}$  and  $\mathbf{F}$  are distinct normal forms, we conclude.  $\square$

**Exercise 3.** Define  $M \in \Lambda^0$  such that

$$\begin{aligned} M\ulcorner\lambda x_i. N\urcorner &= M\ulcorner NN\urcorner \\ M\ulcorner NO\urcorner &= M\ulcorner O\urcorner \\ M\ulcorner x_i\urcorner &= \ulcorner i + 2\urcorner \end{aligned}$$

**Answer.**

$$\begin{aligned} M &= \Theta(\lambda gn.\mathbf{Case}\ n\ (\mathbf{Add}\ulcorner 2\urcorner)\ A) \\ A &= \lambda y.\mathbf{Case}\ y\ B\ C \\ B &= \lambda z.g(\mathbf{Proj2}\ z) \\ C &= \lambda z.g(\mathbf{App}(\mathbf{Proj2}\ z)(\mathbf{Proj2}\ z)) \end{aligned}$$

(2010 note: this can now be solved using  $\mathbf{Sd}$  in a simpler way.)  $\square$

**Exercise 4.** Prove or refute the following:

$$MMMM =_{\beta\eta} MM \implies MM =_{\beta\eta} M$$

**Answer.** We refute it by taking  $M = \mathbf{K}$ . We have  $MMMM = \mathbf{KKKK} = (\mathbf{KKK})\mathbf{K} = \mathbf{KK} = MM$ . However  $MM = \mathbf{KK} = \lambda x.\mathbf{K} = \lambda xyz.y$  which is a normal form different from  $\mathbf{K} = \lambda xy.x$ , so  $MM \neq M$ .  $\square$

## Part 2

**Exercise 5.** Let  $f \in (\mathbb{N} \rightarrow \mathbb{N})$ . Assume that  $f$  is total, and that for all  $i, j$ , whenever  $\phi_i = \phi_j$ , we have  $f(i) = f(j)$ . State whether, under these assumptions, we can conclude any of the following:

- $f$  must be computable
- $f$  may be computable, but may as well be non computable

- $f$  must be non computable

Further, assume that  $\text{ran}(f)$  is a finite set with an even number of elements. Does the answer to the above change?

**Answer.** The function  $f$  may be computable (take  $f(x) = 0$ ), and may be non computable, e.g.  $f = \chi_{K_0}$ , the characteristic of

$$K_0 = \{n \mid \phi_n(0) \text{ is defined}\}$$

If we assume that  $\text{ran}(f)$  is a finite set with an even cardinality, then  $f$  must be non computable. This is because, since  $f$  is total, we have  $f(3) \in \text{ran}(f)$ , so  $\text{ran}(f)$  is not empty. Since 1 is odd,  $\text{ran}(f)$  can not be a singleton, so we have  $x, y \in \text{ran}(f)$  for some distinct naturals  $x, y$ , that is  $x = f(a) \neq f(b) = y$  for some  $a$  and  $b$ . We can then consider

$$A = \{n \mid f(n) = x\}$$

The set is semantically closed since  $f$  returns the same value on indexes of equivalent programs. The set is not empty since  $a \in A$ . The set is not  $\mathbb{N}$  since  $b \notin A$ . By Rice,  $A$  is not recursive. However, if  $f$  were recursive, we could use it on  $n$  and check the result against  $x$  to verify  $n \in A$ . Contradiction.  $\square$

**Exercise 6.** State whether these functions are computable.

$$f(n) = \begin{cases} x & \text{if } x \text{ is the least } x \text{ such that } \phi_n(x) = 3 \\ 0 & \text{if no such } x \text{ exists} \end{cases}$$

$$g(n) = \phi_{\phi_n(n+1)}(n+1)$$

**Answer. (sketch)**

- By contradiction, assume  $f \in \mathcal{R}$ . Then take

$$A = \{n \mid f(n) = 1\} = \{n \mid \phi_n(1) = 3 \wedge \phi_n(0) \text{ is not defined or } \neq 3\}$$

Since  $f \in \mathcal{R}$ ,  $A \in \mathcal{R}$  since we can write a verifier for  $A$  computing  $f$  and checking its result against 1. However, it is easy to show that  $A \notin \mathcal{R}$  using Rice.

- Take the universal function  $u(x, y) = \phi_x(y)$ . Then  $g(n) = u(u(n, n+1), n+1)$  is a composition of  $u$  and  $+$ , so  $g \in \mathcal{R}$ .

$\square$

**Exercise 7.** Define two total functions  $f, g \in (\mathbb{N} \rightarrow \mathbb{N})$  such that  $(f \circ g) \in \mathcal{R}$ ,  $f \notin \mathcal{R}$ , and  $g \notin \mathcal{R}$ .

**Answer.** Take

$$g(n) = \chi_K(n) \qquad f(n) = \begin{cases} 0 & \text{if } n < 2 \\ \chi_K(n-2) & \text{otherwise} \end{cases}$$

We have  $g \notin \mathcal{R}$  because  $K \notin \mathcal{R}$ . We have  $g \notin \mathcal{R}$  because otherwise  $\chi_K(n)$  could be computed using  $g(n+2)$ . For the composition we have that  $f(g(n))$  is either  $f(0)$  or  $f(1)$ , depending on whether  $n \in K$ . In both cases,  $f(g(n)) = 0$ . So  $f \circ g$  is the constant null function, which is recursive.  $\square$

**Exercise 8.** State whether these sets belong to  $\mathcal{R}, \mathcal{RE} \setminus \mathcal{R}$ , or neither.

$$\begin{aligned} A &= \{\text{pair}(n, m) \mid \forall x. (\phi_n(x) \text{ and } \phi_m(x) \text{ defined} \wedge \phi_n(x) < \phi_m(x))\} \\ B &= \{\text{pair}(n, m) \mid \exists x. (\phi_n(x) \text{ and } \phi_m(x) \text{ defined} \wedge \phi_n(x) < \phi_m(x))\} \\ C &= \{\phi_n(n) \mid n > 1000 \wedge \phi_n(n) \text{ defined}\} \\ D &= \{\phi_n(n) \mid n < 1000 \wedge \phi_n(n) \text{ defined}\} \\ E &= \{k \mid \exists n > k. \phi_n(n) \text{ does not halt in } k \text{ steps}\} \\ F &= \{n \mid \exists k. \phi_n(k) = \phi_n(k+1) \wedge \text{both defined}\} \\ G &= \{n \mid \exists k \forall x. \phi_n(x) = k\} \end{aligned}$$

**Answer.**

- $A \notin \mathcal{RE}$ . By contradiction, assume that  $S_A$  is a semi-verifier for  $A$ . Then,  $S_{A'} = \lambda n. V_A(\mathbf{Pair} \ n \ \ulcorner \mathbf{K} \urcorner 1 \ \urcorner)$  is a semi-verifier for the set

$$A' = \{n \mid \forall x. \phi_n(x) \text{ defined} \wedge \phi_n(x) < 1\} = \{n \mid \forall x. \phi_n(x) = 0\}$$

That is,  $A' = \{n \mid \phi_n \in \mathcal{F}\}$  where  $\mathcal{F} = \{f\}$  and  $f$  is the constant null function. By Rice-Shapiro ( $\Rightarrow$ ), we have that some finite restriction  $g$  of  $f$  must belong to  $\mathcal{F}$ , but  $\mathcal{F}$  only contains the  $f$  which has infinite domain.

- The predicate

$$p(x, n, m, k, j) = \phi_n(x) \text{ halts in } k \text{ steps} \wedge \phi_m(x) \text{ halts in } i \text{ steps} \wedge \phi_n(x) < \phi_m(x)$$

is recursive, since we only need to run programs for a bounded number of steps. This means that the predicate

$$q(n, m) = \exists x, k, i. p(x, n, m, k, j)$$

is  $\mathcal{RE}$ , and we have a semi-verifier  $S_q$  for it. Then,  $S_B = \lambda y. S_q(\mathbf{Proj1} \ y) (\mathbf{Proj2} \ y)$  shows that  $B \in \mathcal{RE}$ .

We also have  $B \notin \mathcal{R}$ , otherwise from  $V_B$  we can construct  $V_{B'} = \lambda n. V_B(\mathbf{Pair} \ n \ \ulcorner \mathbf{K} \urcorner 1 \ \urcorner)$  for the set  $B' = \{n \mid \exists x. \phi_n(x) = 0\}$  which is not recursive, as we can see using Rice:  $\#(\mathbf{K} \ \ulcorner 0 \urcorner) \in B'$ ,  $\#(\mathbf{K} \ \ulcorner 1 \urcorner) \notin B'$ , and  $B'$  depends only on  $\phi_n$  so it is semantically closed.

- $C = \mathbb{N} \in \mathcal{R}$ . To prove that  $x \in C$  for all  $x$ , take  $i = \text{pad}(\text{pad}(\dots \#(\mathbf{K}^\top x^\top)))$  where  $\text{pad}$  is applied 1000 times. Clearly,  $i > 1000$  and  $\phi_i(i) = x$ .
- $D$  is finite (contains at most 1000 elements), and so  $\mathcal{R}$ .
- Given any  $k$ , take  $n = \text{pad}(\text{pad}(\dots \#\Omega))$  where  $\text{pad}$  is applied  $k + 1$  times. This ensures that  $n > k$  and  $\phi_n(n)$  never halts. Since we can always find  $n$  for any  $k$ , the condition expressed in set  $E$  is always true. So  $E = \mathbb{N} \in \mathcal{R}$ .
- $F \in \mathcal{RE}$ . Indeed, the predicate

$$p(n, k, i, j) = \phi_n(k) \text{ halts in } i \text{ steps} \wedge \phi_n(k+1) \text{ halts in } j \text{ steps} \wedge \phi_n(k) = \phi_n(k+1)$$

is  $\mathcal{R}$  since we run programs for only a bounded number of steps. so, the predicate  $q(n) = \exists k, i, j. p(n, k, i, j)$  is  $\mathcal{RE}$ .

The set  $E$  is not recursive, as we can see using Rice. Clearly  $\#(\mathbf{K}^\top 5^\top) \in F$ , while  $\#\mathbf{I} \notin F$ . The set depends only on  $\phi_n$ , so it is semantically closed.

- $G \notin \mathcal{RE}$ . Otherwise we apply Rice-Shapiro ( $\Rightarrow$ ) to

$$\mathcal{F} = \{f \in \mathcal{R} \mid f \text{ is a constant function}\}$$

If we take  $f(n) = 0$  we have  $f \in \mathcal{F}$ , but no finite restriction of  $f$  belongs to  $\mathcal{F}$ .  $\square$