

# Formal Methods

## Module II: Formal Verification

### Ch. 07: **SAT-Based Model Checking**

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- 1 SAT-based Model Checking: Generalities
- 2 Bounded Model Checking
  - Intuitions
  - General Encoding
  - Relevant Subcases
  - An Example
  - Computing Upper Bounds
  - Discussion
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  - K-Induction
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# SAT-based Model Checking

- Key problems with BDD's:
  - they can explode in space
- A possible alternative:
  - Propositional Satisfiability Checking (SAT)
  - SAT technology is very advanced
- Advantages:
  - reduced memory requirements
  - limited sensitivity: one good setting, does not require expert users
  - much higher capacity (more variables) than BDD based techniques
- Various techniques:
  - Bounded Model Checking (BMC)  $\implies$  this chapter
  - K-induction  $\implies$  this chapter
  - Counter-example guided abstraction refinement (CEGAR)  $\implies$  next chapter
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# SAT-based Bounded Model Checking & K-Induction

## Key Ideas:

- **BMC**: look for counter-example paths of increasing length  $k$   
⇒ oriented to finding bugs
- **K-Induction**: look for an induction proofs of increasing length  $k$   
⇒ oriented to prove correctness
- BMC [resp. K-induction]: for each  $k$ , build a Boolean formula that is satisfiable [resp. unsatisfiable] iff there is a counter-example [resp. proof] of length  $k$ 
  - can be expressed using  $k \cdot |s|$  variables
  - formula construction is not subject to state explosion
- Satisfiability of the Boolean formulas is checked by a **SAT solver**
  - can manage complex formulae on up to  $10^7$  Boolean variables (!)
  - returns satisfying assignment (i.e., a counter-example)
  - exploit incrementality



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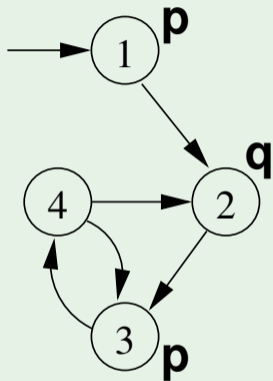
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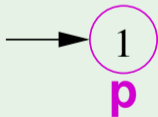
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# Bounded Model Checking: Example

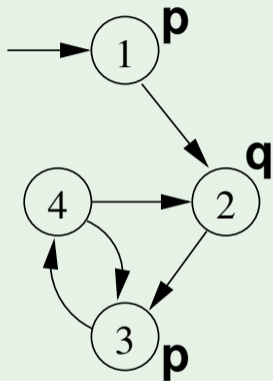


- LTL Formula:  $\mathbf{G}(p \rightarrow \mathbf{F}q)$
- Negated Formula (violation):  $\mathbf{F}(p \wedge \mathbf{G}\neg q)$
- $k = 0$ :

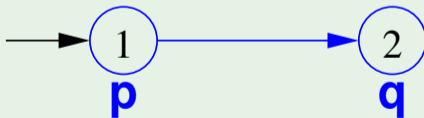


- No counter-example found.

# Bounded Model Checking: Example

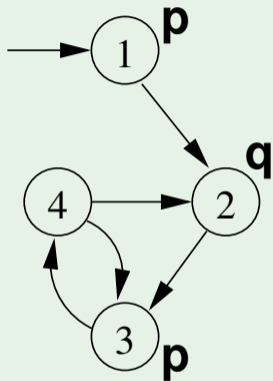


- LTL Formula:  $\mathbf{G}(p \rightarrow \mathbf{F}q)$
- Negated Formula (violation):  $\mathbf{F}(p \wedge \mathbf{G}\neg q)$
- $k = 1$ :

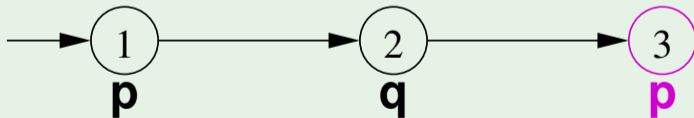


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# Bounded Model Checking: Example



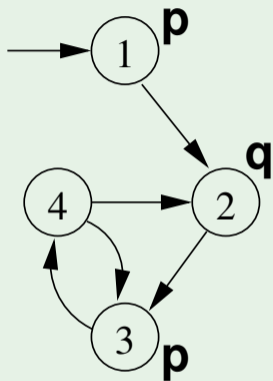
- LTL Formula:  $\mathbf{G}(p \rightarrow \mathbf{F}q)$
- Negated Formula (violation):  $\mathbf{F}(p \wedge \mathbf{G}\neg q)$
- $k = 2$ :



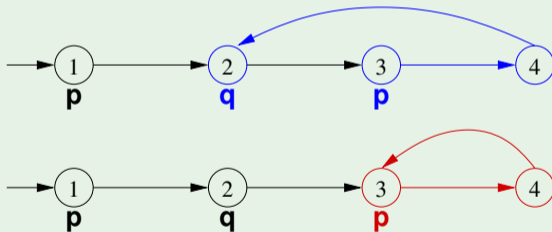
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# Bounded Model Checking: Example



- LTL Formula:  $\mathbf{G}(p \rightarrow \mathbf{F}q)$
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- $k = 3$ :



- The 2nd trace is a counter-example!

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# The problem [Biere et al, 1999]

## Ingredients:

Assume states represented by an array  $s$  of  $n$  Boolean variables

- a **system** written as a Kripke structure  $M := \langle I(s), R(s, s') \rangle$
- a **property**  $f$  written as a **LTL formula**
- an integer  $k \geq 0$  (**bound**)

## Problem

Is there an execution path  $\pi$  of  $M$  of length  $k$  satisfying the temporal property  $f$ ?

$$M \models_k \mathbf{E}f$$

Note:  $f$  is the negation of the property in the LTL model checking problem  $M \models \neg f$ , and  $\pi$  is a counter-example of length  $k$  (bug).

- The check is repeated for increasing values of  $k = 0, 1, 2, 3, \dots$

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# The general encoding

Equivalent to the satisfiability problem of a Boolean formula  $[[M, f]]_k$  defined as follows:

$$[[M, f]]_k := [[M]]_k \wedge [[f]]_k$$

$$[[M]]_k := I(s^0) \wedge \bigwedge_{i=0}^{k-1} R(s^i, s^{i+1}),$$

$$[[f]]_k := (\neg \bigvee_{l=0}^k R(s^k, s^l) \wedge [[f]]_k^0) \vee \bigvee_{l=0}^k (R(s^k, s^l) \wedge I[[f]]_k^l),$$

- The vector  $s$  of propositional variables is replicated  $k+1$  times  
 $s^0, s^1, \dots, s^k$
- $[[M]]_k$  encodes the fact that the  $k$ -path is an execution of  $M$
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## The general encoding [cont.]

The encoding for a formula  $f$  with  $k$  steps,  $[[f]]_k$  is the disjunction of:

- The constraints needed to express a model without loopback:

$$(\neg(\bigvee_{i=0}^k R(s^k, s^i)) \wedge [[f]]_k^0)$$

- $[[f]]_k^i, i \in [0, k]$ :  
“ $f$  holds in  $s^i$  under the assumption that  $s^0, \dots, s^k$  is a no-loopback path”
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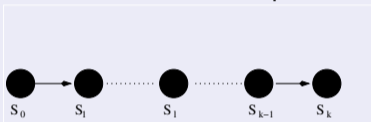
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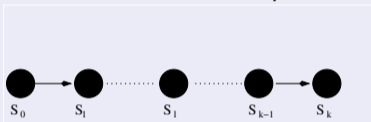
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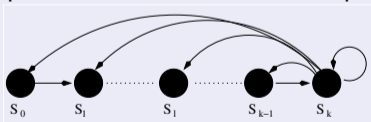


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# The Encoding of $[[f]]_k^i$ and ${}_i[[f]]_k^i$

$f$	$[[f]]_k^i$	${}_i[[f]]_k^i$
$p$	$p_i$	$p_i$
$\neg p$	$\neg p_i$	$\neg p_i$
$h \wedge g$	$[[h]]_k^i \wedge [[g]]_k^i$	${}_i[[h]]_k^i \wedge {}_i[[g]]_k^i$
$h \vee g$	$[[h]]_k^i \vee [[g]]_k^i$	${}_i[[h]]_k^i \vee {}_i[[g]]_k^i$
$Xg$	$[[g]]_k^{i+1}$ if $i < k$ $\perp$ otherwise.	${}_i[[g]]_k^{i+1}$ if $i < k$ ${}_i[[g]]_k^i$ otherwise.
$Gg$	$\perp$	$\bigwedge_{j=\min(i,l)}^k {}_i[[g]]_k^j$
$Fg$	$\bigvee_{j=i}^k [[g]]_k^j$	$\bigvee_{j=\min(i,l)}^k {}_i[[g]]_k^j$
$hUg$	$\bigvee_{j=i}^k \left( [[g]]_k^j \wedge \bigwedge_{n=i}^{j-1} [[h]]_k^n \right)$	$\bigvee_{j=i}^k \left( {}_i[[g]]_k^j \wedge \bigwedge_{n=i}^{j-1} {}_i[[h]]_k^n \right) \vee$ $\bigvee_{j=l}^{i-1} \left( {}_i[[g]]_k^j \wedge \bigwedge_{n=i}^k {}_i[[h]]_k^n \wedge \bigwedge_{n=l}^{j-1} {}_i[[h]]_k^n \right)$
$hRg$	$\bigvee_{j=i}^k \left( [[h]]_k^j \wedge \bigwedge_{n=i}^j [[g]]_k^n \right)$	$\bigwedge_{j=\min(i,l)}^k {}_i[[g]]_k^j \vee$ $\bigvee_{j=i}^k \left( {}_i[[h]]_k^j \wedge \bigwedge_{n=i}^j {}_i[[g]]_k^n \right) \vee$ $\bigvee_{j=l}^{i-1} \left( {}_i[[h]]_k^j \wedge \bigwedge_{n=i}^k {}_i[[g]]_k^n \wedge \bigwedge_{n=l}^j {}_i[[g]]_k^n \right)$

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## Relevant Subcase: $\mathbf{F}p$ (reachability)

- $f := \mathbf{F}p$ , s.t.  $p$  Boolean:  
is there a reachable state in which  $p$  holds?
- a finite path can show that the property holds
- $[[M, f]]_k$  is:

$$I(s^0) \wedge \bigwedge_{i=0}^{k-1} R(s^i, s^{i+1}) \wedge \bigvee_{j=0}^k p^j$$



Important: incremental encoding

if done for increasing value of  $k$ , then it suffices that  $[[M, f]]_k$  is:

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Important: incremental encoding

if done for increasing value of  $k$ , then it suffices that  $[[M, f]]_k$  is:

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## Relevant Subcase: $\mathbf{F}p$ (reachability)

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is there a reachable state in which  $p$  holds?
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## Relevant Subcase: $Gp$

- $f := Gp$ , s.t.  $p$  Boolean: is there a path where  $p$  holds forever?
- We need to produce an infinite behaviour, with a finite number of transitions
- We can do it by imposing that the path loops back

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## Relevant Subcase: $Gp$

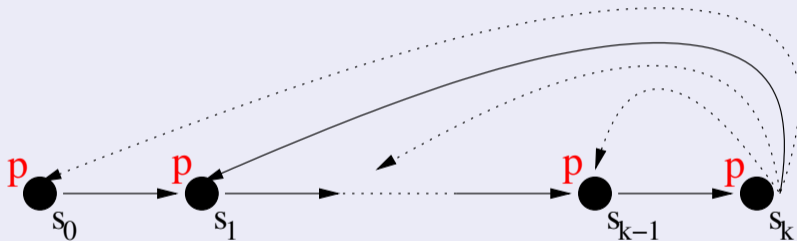
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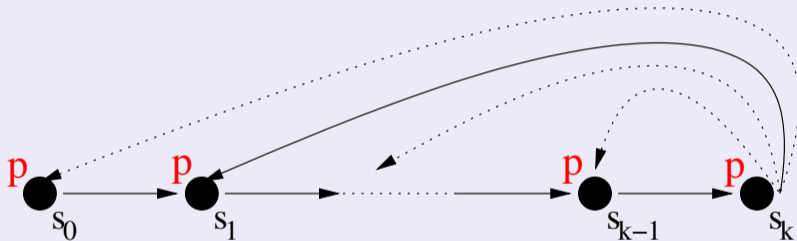


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## Relevant Subcase: $\mathbf{GF}q$ (fair states)

- $f := \mathbf{GF}q$ , s.t.  $q$  Boolean: **does  $q$  hold infinitely often?**
- Again, we need to produce an infinite behaviour, with a finite number of transitions

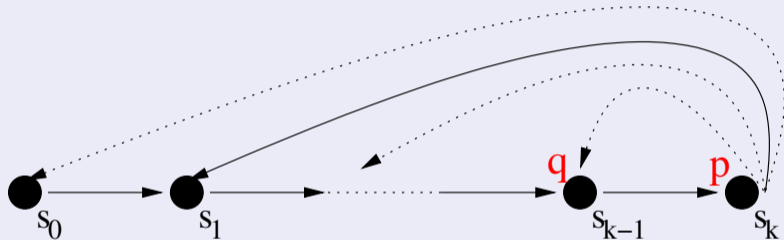
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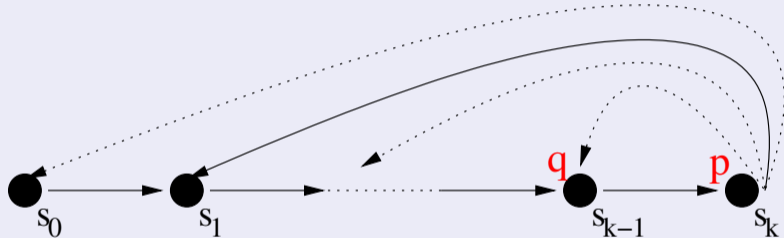


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## Subcase Combination: $\mathbf{GF}q \wedge \mathbf{F}p$ (fair reachability)

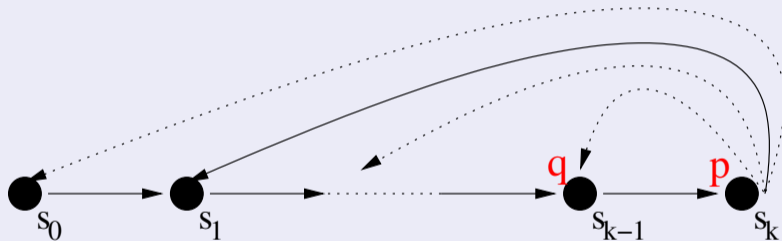
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- $[[M, f]]_k$  is:

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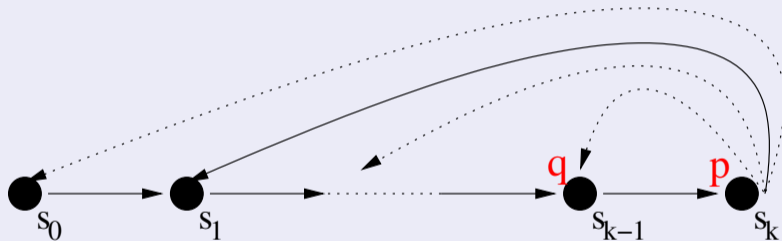


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- 1 SAT-based Model Checking: Generalities
- 2 **Bounded Model Checking**
  - Intuitions
  - General Encoding
  - Relevant Subcases
  - **An Example**
  - Computing Upper Bounds
  - Discussion
- 3 Inductive reasoning on invariants (aka “K-Induction”)
  - K-Induction
  - An Example
- 4 Exercises

## Example: a bugged 3-bit shift register

- System  $M$ :

- $I(x) := \neg x[0] \wedge \neg x[1] \wedge x[2]$

- Correct  $R$ :  $R(x, x') := (x'[0] \leftrightarrow x[1]) \wedge (x'[1] \leftrightarrow x[2]) \wedge (x'[2] \leftrightarrow 0)$

- Bugged  $R$ :  $R(x, x') := (x'[0] \leftrightarrow x[1]) \wedge (x'[1] \leftrightarrow x[2]) \wedge (x'[2] \leftrightarrow 1)$

- Property:  $\mathbf{F}(\neg x[0] \wedge \neg x[1] \wedge \neg x[2])$

- BMC Problem: is there an execution  $\pi$  of  $\mathcal{M}$  of length  $k$  s.t.  $\pi \models \mathbf{G}((x[0] \vee x[1] \vee x[2]))?$

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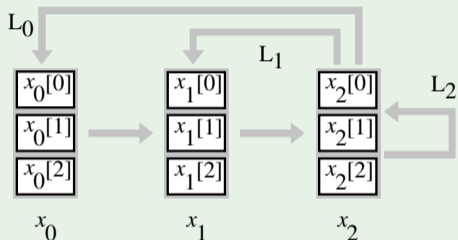
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## Example: a bugged 3-bit shift register [cont.]

$k = 0$ :



$$\begin{aligned} I : & \quad (\neg x_0[0] \wedge \neg x_0[1] \wedge x_0[2]) \wedge \\ \bigvee_{l=0}^0 L_l : & \quad ( ((x_0[0] \leftrightarrow x_0[1]) \wedge (x_0[1] \leftrightarrow x_0[2]) \wedge (x_0[2] \leftrightarrow 1)) ) \wedge \\ \bigwedge_{i=0}^0 (x \neq 0) : & \quad ( (x_0[0] \vee x_0[1] \vee x_0[2]) ) \end{aligned}$$

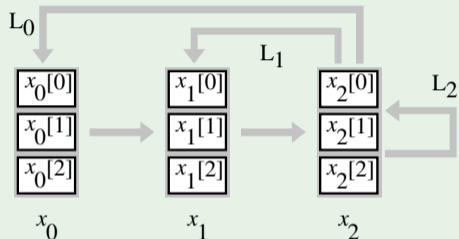
$\Rightarrow$  UNSAT: unit propagation:

$\neg x_0[0], \neg x_0[1], x_0[2]$

$\Rightarrow$  loop violated

## Example: a bugged 3-bit shift register [cont.]

$k = 0$ :



$$\begin{aligned} I : & (\neg x_0[0] \wedge \neg x_0[1] \wedge x_0[2]) \wedge \\ \bigvee_{l=0}^0 L_l : & ( ((x_0[0] \leftrightarrow x_0[1]) \wedge (x_0[1] \leftrightarrow x_0[2]) \wedge (x_0[2] \leftrightarrow 1)) ) \wedge \\ \bigwedge_{i=0}^0 (x \neq 0) : & ( (x_0[0] \vee x_0[1] \vee x_0[2]) ) \end{aligned}$$

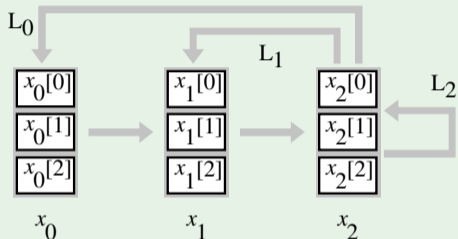
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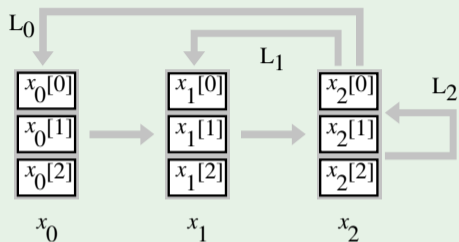
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## Example: a bugged 3-bit shift register [cont.]

$k = 1$ :



$$\begin{aligned}
 I : & \quad (\neg x_0[0] \wedge \neg x_0[1] \wedge x_0[2]) \wedge \\
 [[M]]_1 : & \quad \left( (x_1[0] \leftrightarrow x_0[1]) \wedge (x_1[1] \leftrightarrow x_0[2]) \wedge (x_1[2] \leftrightarrow 1) \right) \wedge \\
 \bigvee_{l=0}^1 L_l : & \quad \left( \left( (x_0[0] \leftrightarrow x_1[1]) \wedge (x_0[1] \leftrightarrow x_1[2]) \wedge (x_0[2] \leftrightarrow 1) \right) \vee \right. \\
 & \quad \left. \left( (x_1[0] \leftrightarrow x_1[1]) \wedge (x_1[1] \leftrightarrow x_1[2]) \wedge (x_1[2] \leftrightarrow 1) \right) \right) \wedge \\
 \bigwedge_{i=0}^1 (x \neq 0) : & \quad \left( \begin{array}{l} (x_0[0] \vee x_0[1] \vee x_0[2]) \wedge \\ (x_1[0] \vee x_1[1] \vee x_1[2]) \end{array} \right)
 \end{aligned}$$

$\implies$  UNSAT: unit propagation:

$\neg x_0[0], \neg x_0[1], x_0[2]$

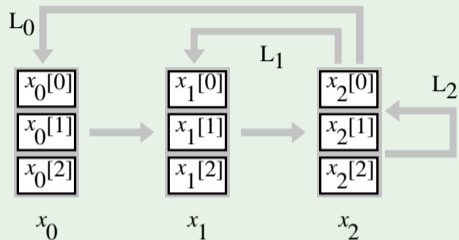
$\neg x_1[0], x_1[1], x_1[2]$

$\implies$  both loop disjuncts violated



## Example: a bugged 3-bit shift register [cont.]

$k = 1$ :



$$\begin{aligned}
 I: & \quad (\neg x_0[0] \wedge \neg x_0[1] \wedge x_0[2]) \wedge \\
 [[M]]_1: & \quad \left( (x_1[0] \leftrightarrow x_0[1]) \wedge (x_1[1] \leftrightarrow x_0[2]) \wedge (x_1[2] \leftrightarrow 1) \right) \wedge \\
 \bigvee_{l=0}^1 L_l: & \quad \left( \begin{aligned} & ((x_0[0] \leftrightarrow x_1[1]) \wedge (x_0[1] \leftrightarrow x_1[2]) \wedge (x_0[2] \leftrightarrow 1)) \vee \\ & ((x_1[0] \leftrightarrow x_1[1]) \wedge (x_1[1] \leftrightarrow x_1[2]) \wedge (x_1[2] \leftrightarrow 1)) \end{aligned} \right) \wedge \\
 \bigwedge_{i=0}^1 (x \neq 0): & \quad \left( \begin{aligned} & (x_0[0] \vee x_0[1] \vee x_0[2]) \wedge \\ & (x_1[0] \vee x_1[1] \vee x_1[2]) \end{aligned} \right)
 \end{aligned}$$

$\Rightarrow$  UNSAT: unit propagation:

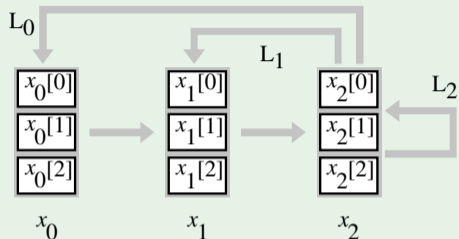
$\neg x_0[0], \neg x_0[1], x_0[2]$

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## Example: a bugged 3-bit shift register [cont.]

$k = 1$ :



$$\begin{aligned}
 I: & \quad (\neg x_0[0] \wedge \neg x_0[1] \wedge x_0[2]) \wedge \\
 [[M]]_1: & \quad \left( (x_1[0] \leftrightarrow x_0[1]) \wedge (x_1[1] \leftrightarrow x_0[2]) \wedge (x_1[2] \leftrightarrow 1) \right) \wedge \\
 \bigvee_{l=0}^1 L_l: & \quad \left( \begin{aligned} & ((x_0[0] \leftrightarrow x_1[1]) \wedge (x_0[1] \leftrightarrow x_1[2]) \wedge (x_0[2] \leftrightarrow 1)) \vee \\ & ((x_1[0] \leftrightarrow x_1[1]) \wedge (x_1[1] \leftrightarrow x_1[2]) \wedge (x_1[2] \leftrightarrow 1)) \end{aligned} \right) \wedge \\
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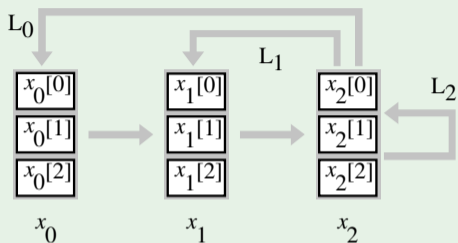
$\neg x_0[0], \neg x_0[1], x_0[2]$

$\neg x_1[0], x_1[1], x_1[2]$

$\Rightarrow$  both loop disjuncts violated

# Example: a bugged 3-bit shift register [cont.]

$k = 2$ :

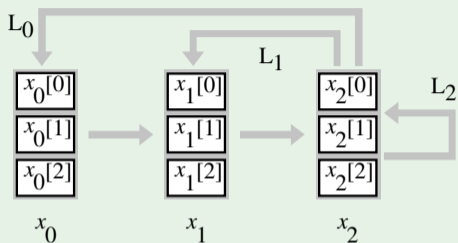


$$\begin{aligned}
 f: & \quad (\neg x_0[0] \wedge \neg x_0[1] \wedge x_0[2]) \wedge \\
 [M]_2: & \quad \left( \begin{array}{l} (x_1[0] \leftrightarrow x_0[1]) \wedge (x_1[1] \leftrightarrow x_0[2]) \wedge (x_1[2] \leftrightarrow 1) \wedge \\ (x_2[0] \leftrightarrow x_1[1]) \wedge (x_2[1] \leftrightarrow x_1[2]) \wedge (x_2[2] \leftrightarrow 1) \end{array} \right) \wedge \\
 \bigvee_{i=0}^2 L_i: & \quad \left( \begin{array}{l} ((x_0[0] \leftrightarrow x_1[1]) \wedge (x_0[1] \leftrightarrow x_2[2]) \wedge (x_0[2] \leftrightarrow 1)) \vee \\ ((x_1[0] \leftrightarrow x_2[1]) \wedge (x_1[1] \leftrightarrow x_2[2]) \wedge (x_1[2] \leftrightarrow 1)) \vee \\ ((x_2[0] \leftrightarrow x_2[1]) \wedge (x_2[1] \leftrightarrow x_2[2]) \wedge (x_2[2] \leftrightarrow 1)) \end{array} \right) \wedge \\
 \bigwedge_{i=0}^2 (x \neq 0): & \quad \left( \begin{array}{l} (x_0[0] \vee x_0[1] \vee x_0[2]) \wedge \\ (x_1[0] \vee x_1[1] \vee x_1[2]) \wedge \\ (x_2[0] \vee x_2[1] \vee x_2[2]) \end{array} \right)
 \end{aligned}$$

$\implies$  SAT:  $x_0[0] = x_0[1] = x_1[0] = 0$ ;  $x_i[j] := 1 \forall i, j$

# Example: a bugged 3-bit shift register [cont.]

$k = 2$ :

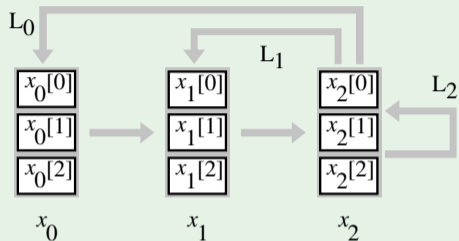


$$\begin{aligned}
 I : & \quad (\neg x_0[0] \wedge \neg x_0[1] \wedge x_0[2]) \wedge \\
 [[M]]_2 : & \quad \left( \begin{array}{l} (x_1[0] \leftrightarrow x_0[1]) \wedge (x_1[1] \leftrightarrow x_0[2]) \wedge (x_1[2] \leftrightarrow 1) \wedge \\ (x_2[0] \leftrightarrow x_1[1]) \wedge (x_2[1] \leftrightarrow x_1[2]) \wedge (x_2[2] \leftrightarrow 1) \end{array} \right) \wedge \\
 \bigvee_{i=0}^2 L_i : & \quad \left( \begin{array}{l} ((x_0[0] \leftrightarrow x_2[1]) \wedge (x_0[1] \leftrightarrow x_2[2]) \wedge (x_0[2] \leftrightarrow 1)) \vee \\ ((x_1[0] \leftrightarrow x_2[1]) \wedge (x_1[1] \leftrightarrow x_2[2]) \wedge (x_1[2] \leftrightarrow 1)) \vee \\ ((x_2[0] \leftrightarrow x_2[1]) \wedge (x_2[1] \leftrightarrow x_2[2]) \wedge (x_2[2] \leftrightarrow 1)) \end{array} \right) \wedge \\
 \bigwedge_{i=0}^2 (x \neq 0) : & \quad \left( \begin{array}{l} (x_0[0] \vee x_0[1] \vee x_0[2]) \wedge \\ (x_1[0] \vee x_1[1] \vee x_1[2]) \wedge \\ (x_2[0] \vee x_2[1] \vee x_2[2]) \end{array} \right)
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## Example: a bugged 3-bit shift register [cont.]

$k = 2$ :



$$\begin{aligned}
 I : & \quad (\neg x_0[0] \wedge \neg x_0[1] \wedge x_0[2]) \wedge \\
 [[M]]_2 : & \quad \left( \begin{array}{l} (x_1[0] \leftrightarrow x_0[1]) \wedge (x_1[1] \leftrightarrow x_0[2]) \wedge (x_1[2] \leftrightarrow 1) \wedge \\ (x_2[0] \leftrightarrow x_1[1]) \wedge (x_2[1] \leftrightarrow x_1[2]) \wedge (x_2[2] \leftrightarrow 1) \end{array} \right) \wedge \\
 \bigvee_{i=0}^2 L_i : & \quad \left( \begin{array}{l} ((x_0[0] \leftrightarrow x_2[1]) \wedge (x_0[1] \leftrightarrow x_2[2]) \wedge (x_0[2] \leftrightarrow 1)) \vee \\ ((x_1[0] \leftrightarrow x_2[1]) \wedge (x_1[1] \leftrightarrow x_2[2]) \wedge (x_1[2] \leftrightarrow 1)) \vee \\ ((x_2[0] \leftrightarrow x_2[1]) \wedge (x_2[1] \leftrightarrow x_2[2]) \wedge (x_2[2] \leftrightarrow 1)) \end{array} \right) \wedge \\
 \bigwedge_{i=0}^2 (x \neq 0) : & \quad \left( \begin{array}{l} (x_0[0] \vee x_0[1] \vee x_0[2]) \wedge \\ (x_1[0] \vee x_1[1] \vee x_1[2]) \wedge \\ (x_2[0] \vee x_2[1] \vee x_2[2]) \end{array} \right)
 \end{aligned}$$

$\implies$  SAT:  $x_0[0] = x_0[1] = x_1[0] = 0$ ;  $x_i[j] := 1 \ \forall i, j$

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# Basic bounds for $k$

Theorem [Biere et al. TACAS 1999]

Let  $f$  be a LTL formula.

Then  $M \models \mathbf{E}f \iff M \models_k \mathbf{E}f$  for some  $k \leq |M| \cdot 2^{|f|}$ .

- $|M| \cdot 2^{|f|}$  is always a bound of  $k$ .
  - $|M|$  huge!  
 $\implies$  not so easy to compute in a symbolic setting.
- $\implies$  need to find better bounds!

Note: [Biere et al. TACAS 1999] use " $M \models \mathbf{E}f$ " as "there exists a path of  $M$  verifying  $f$ ", so that  $M \not\models \neg f \iff M \models \mathbf{E}f$

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# Other bounds for $k$

## ACTL & ECTL

- **ACTL** is a subset of CTL in which “**A...**” (resp. “**E...**”) sub-formulas occur only positively (resp. negatively) in each formula. (e.g. **AG**( $p \rightarrow$  **AGAF** $q$ ))
- Many frequently-used LTL properties  $\neg f$  have equivalent ACTL representations **A** $\neg f'$ 
  - e.g. **X** $q \iff$  **AX** $q$ , **G** $q \iff$  **AG** $q$ , **F** $q \iff$  **AF** $q$ , **pUq**  $\iff$  **A**(**pUq**),  
**GF** $q \iff$  **AGAF** $q$ , **G**( $p \rightarrow$  **GF** $q$ )  $\iff$  **AG**( $p \rightarrow$  **AGAF** $q$ )
  - ... but not all of them (e.g., **FG**  $\not\iff$  **AFAG** $p$ )
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- ECTL is the dual subset of ACTL:  $\phi \in$  **ECTL**  $\iff$   $\neg\phi \in$  **ACTL**.

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Let  $f$  be an ECTL formula.

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## Other bounds for $k$ (cont)

Theorem [Biere et al. TACAS 1999]

Let  $p$  be a Boolean formula and  $d$  be the **diameter** of  $M$ .

Then  $M \models \mathbf{EF}p \iff M \models_k \mathbf{EF}p$  for some  $k \leq d$ .

Theorem [Biere et al. TACAS 1999]

Let  $f$  be an ECTL formula and  $d$  be the **recurrence diameter** of  $M$ .

Then  $M \models \mathbf{Ef} \iff M \models_k \mathbf{Ef}$  for some  $k \leq d$ .

# The diameter

## Definition: Diameter

Given  $M$ , the **diameter** of  $M$  is the smallest integer  $d$  s.t. for every path  $s_0, \dots, s_{d+1}$  there exist a path  $t_0, \dots, t_l$  s.t.  $l \leq d$ ,  $t_0 = s_0$  and  $t_l = s_{d+1}$ .

- Intuition: if  $u$  is reachable from  $v$ , then there is a path from  $v$  to  $u$  of length  $d$  or less.

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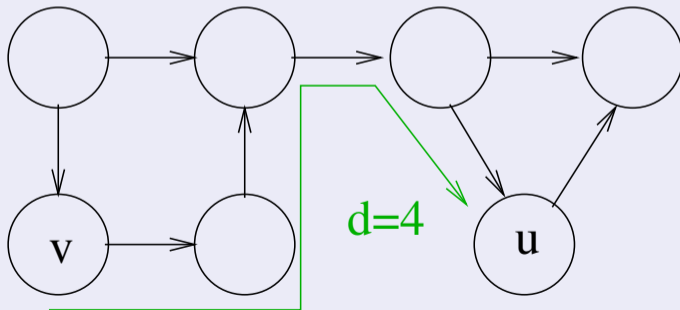
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## Definition: recurrence diameter

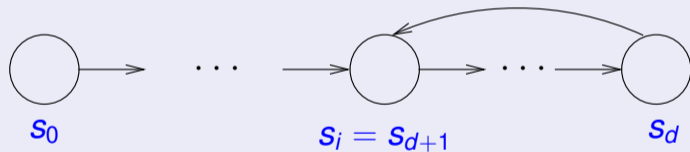
Given  $M$ , the **recurrence diameter** of  $M$  is the smallest integer  $d$  s.t. for every path  $s_0, \dots, s_{d+1}$  there exist  $j \leq d$  s.t.  $s_{d+1} = s_j$ .

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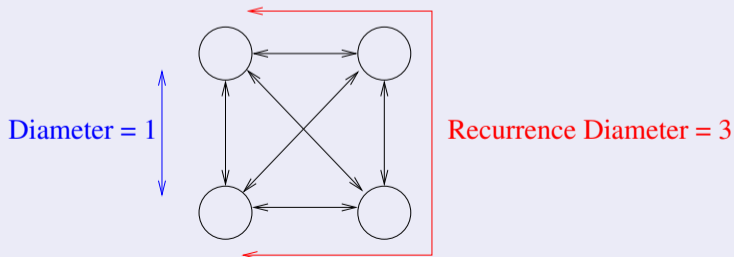
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- **Incomplete technique:**
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## Other Successful SAT-based MC Techniques

- Inductive reasoning on invariants (aka “K-Induction”)
- Counter-example guided abstraction refinement (CEGAR)  
[Clarke et al. CAV 2002]
- Interpolant-based MC  
[Mc Millan, TACAS 2005]
- IC3/PDR  
[Bradley, VMCAI 2011]
- ...

For a survey see e.g.  
[Amla et al., CHARME 2005, Prasad et al. STTT 2005].

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# Inductive Reasoning on Invariants

Invariant: “**G***Good*”, *Good* being a Boolean formula

- (i) If all the initial states are good,
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⇒ Check for the (un)satisfiability of the Boolean formulas:

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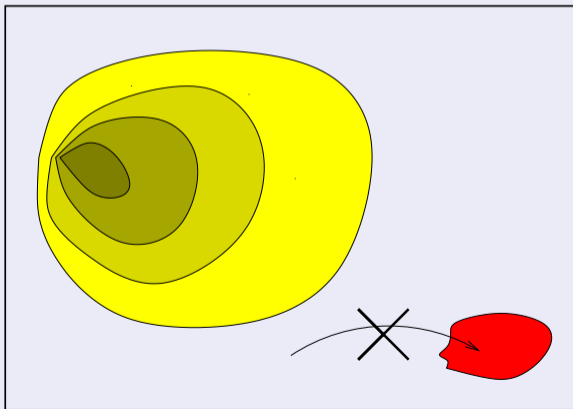
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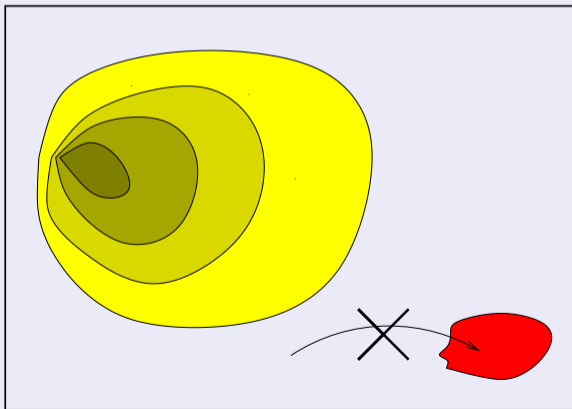
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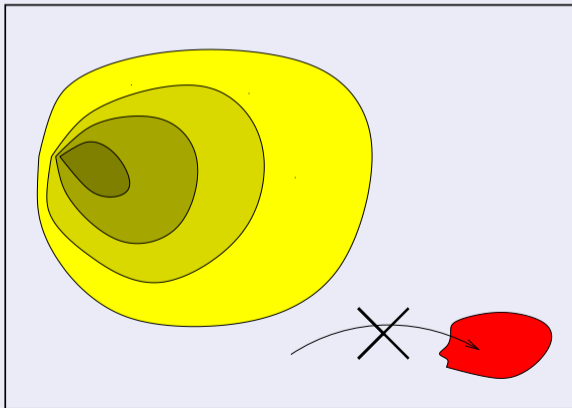
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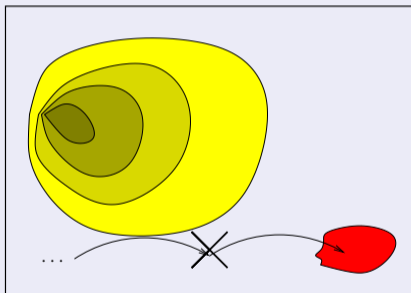


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Solution (once you know you cannot reach  $\neg\text{Good}$  in up to 1 step):

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- force loop freedom with  $\neg(s^i = s^j)$  for every  $i \neq j$  s.t.  $i, j \leq k$
- performed after step-1 BMC step returns “unsat”:

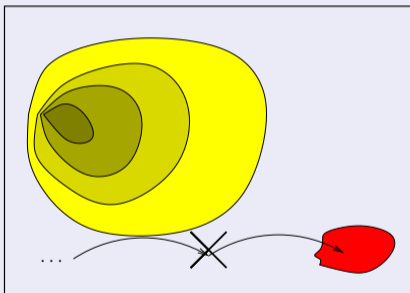
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- force loop freedom with  $\neg(s^i = s^j)$  for every  $i \neq j$  s.t.  $i, j \leq k$

- performed after step-1 BMC step returns “unsat”:

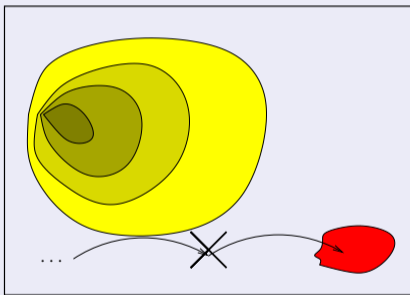
$$I(s^0) \wedge (R(s^0, s^1) \wedge Good(s^0)) \wedge \neg Good(s^1)$$

# Strengthening of Invariants [cont.]

Solution (once you know you cannot reach  $\neg\text{Good}$  in up to 1 step):

- increase the depth of induction

$$(\text{Good}(s^{k-2}) \wedge R(s^{k-2}, s^{k-1}) \wedge \text{Good}(s^{k-1}) \wedge R(s^{k-1}, s^k) \wedge \neg(s^{k-2} = s^{k-1})) \rightarrow \text{Good}(s^k)$$



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# Strengthening of Invariants [cont.]

⇒ Check for the [un]satisfiability of the Boolean formulas:

$$I(s^0) \wedge \neg \text{Good}(s^0); \text{ [BMC}_0\text{]}$$

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$$\wedge \neg (s^{k-2} = s^{k-1}); \text{ [Kind}_1\text{]}$$

$$I(s^0) \wedge (R(s^0, s^1) \wedge \text{Good}(s^0) \wedge (R(s^1, s^2) \wedge \text{Good}(s^1))) \wedge \neg \text{Good}(s^2); \text{ [BMC}_2\text{]}$$

...

- Repeat for increasing values of the gap 1, 2, 3, 4, ....
- **Intuition:** increasingly tighten the constraint for “spurious” counterexamples: a spurious counterexample must be a chain  $s_{k-n}, \dots, s_k$  of **unreachable** and **different** states s.t.  $\neg \text{Good}(s_k)$  and  $R(s_i, s_{i+1}), \forall i$ .
- Dual to –and interleaved with– **bounded model checking steps**
- K-Induction steps can be shifted ( $k \stackrel{\text{def}}{=} 0$ ) to share the subformulas:

$$\bigwedge_{i=0}^{k-1} (R(s^i, s^{i+1}) \wedge \text{Good}(s^i)) \wedge \neg \text{Good}(s^{k-2})$$



# Strengthening of Invariants [cont.]

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# K-Induction Algorithm [Sheeran et al. 2000]

## Algorithm

Given:

$$\begin{aligned} \text{Base}_n &:= I(\mathbf{s}_0) \wedge \bigwedge_{i=0}^{n-1} (R(\mathbf{s}_i, \mathbf{s}_{i+1}) \wedge \varphi(\mathbf{s}_i)) \wedge \neg\varphi(\mathbf{s}_n) \\ \text{Step}_n &:= \bigwedge_{i=0}^n (R(\mathbf{s}_i, \mathbf{s}_{i+1}) \wedge \varphi(\mathbf{s}_i)) \wedge \neg\varphi(\mathbf{s}_{n+1}) \\ \text{Unique}_n &:= \bigwedge_{0 \leq i < j \leq n} \neg(\mathbf{s}_i = \mathbf{s}_{j+1}) \end{aligned}$$

1. **function** CHECK\_PROPERTY ( $I, R, \varphi$ )
2.     **for**  $n := 0, 1, 2, 3, \dots$  **do**
3.         **if** (DPLL( $\text{Base}_n$ ) == SAT)
4.             **then return** PROPERTY\_VIOLATED;
5.         **else if** (DPLL( $\text{Step}_n \wedge \text{Unique}_n$ ) == UNSAT)
6.             **then return** PROPERTY\_VERIFIED;
7.     **end for**;

⇒ Reuses previous search if DPLL is incremental!!

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# Outline

- 1 SAT-based Model Checking: Generalities
- 2 Bounded Model Checking
  - Intuitions
  - General Encoding
  - Relevant Subcases
  - An Example
  - Computing Upper Bounds
  - Discussion
- 3 Inductive reasoning on invariants (aka “K-Induction”)
  - K-Induction
  - An Example
- 4 Exercises



## Example: a correct 3-bit shift register

- System  $M$ :

- $I(x) := (\neg x[0] \wedge \neg x[1] \wedge \neg x[2])$

- $R(x, x') := ((x'[0] \leftrightarrow x[1]) \wedge (x'[1] \leftrightarrow x[2]) \wedge (x'[2] \leftrightarrow 0))$

- Property:  $\mathbf{G}\neg x[0]$

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- Init (BMC Step 0):  $((\neg x^0[0] \wedge \neg x^0[1] \wedge \neg x^0[2]) \wedge x^0[0]) \implies \text{unsat}$

- K-Induction Step 1:

$$\left( \begin{array}{l} (\neg x^0[0] \wedge ((x^1[0] \leftrightarrow x^0[1]) \wedge (x^1[1] \leftrightarrow x^0[2]) \wedge (x^1[2] \leftrightarrow 0))) \\ \wedge x^1[0] \end{array} \right)$$

$\implies$  (partly by unit-propagation)

$$\text{sat: } \left\{ \begin{array}{lll} \neg x^0[0], & x^0[1], & x^0[2], \\ x^1[0], & x^1[1], & \neg x^1[2] \end{array} \right\}$$

$\implies$  not proved

### Remark

Both  $\{\neg x^0[0], x^0[1], x^0[2]\}$  and  $\{x^1[0], x^1[1], \neg x^1[2]\}$  are non-reachable.

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$\{\neg x^0[0], \neg x^0[1], x^0[2]\}$ ,  $\{\neg x^1[0], x^1[1], \neg x^1[2]\}$ , and  $\{x^2[0], \neg x^2[1], \neg x^2[2]\}$  are non-reachable.

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## Example: a correct 3-bit shift register [cont.]

- BMC Step 2: (...)  $\implies$  unsat
- K-Induction Step 3:

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# Outline

- 1 SAT-based Model Checking: Generalities
- 2 Bounded Model Checking
  - Intuitions
  - General Encoding
  - Relevant Subcases
  - An Example
  - Computing Upper Bounds
  - Discussion
- 3 Inductive reasoning on invariants (aka “K-Induction”)
  - K-Induction
  - An Example
- 4 Exercises

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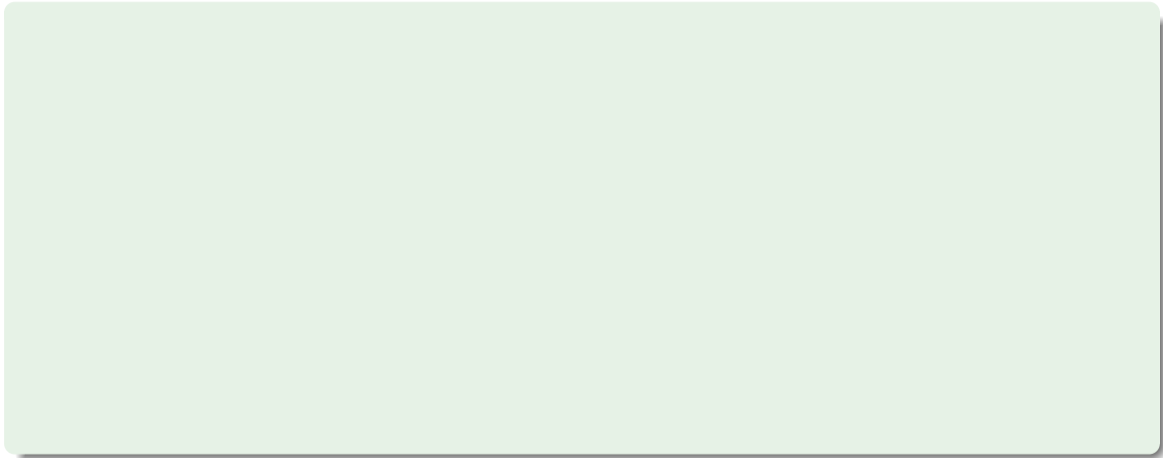
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[ Solution: Yes:  $\{\neg x_0, y_0, x_1, \neg y_1, x_2, y_2\}$ , corresponding to the execution:  $(0, 1) \rightarrow (1, 0) \rightarrow (1, 1)$  ]

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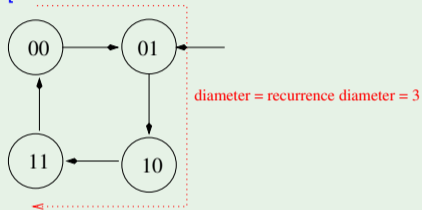
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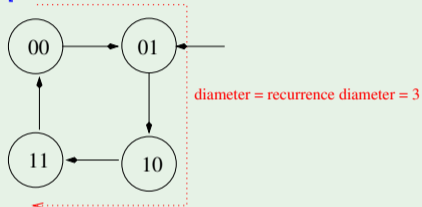


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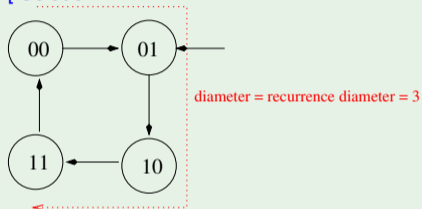
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[ Solution: No: it is easy to see that the formula above is inconsistent ]

## Ex: Bounded Model Checking [cont.]

1

...

2

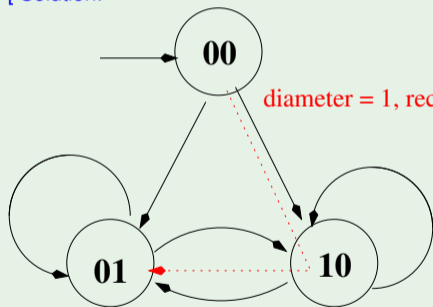
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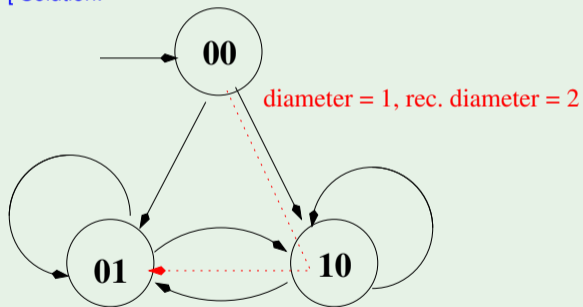
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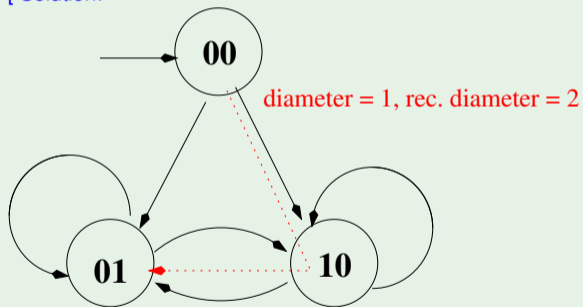


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[ Solution: yes, we can conclude that  $M \models \varphi$ , since  $M \not\models_2 \mathbf{EF}\neg\varphi$  and rec. diameter=2. ]

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Given the following LTL Model Checking problem  $M \models \varphi$  expressed in NuSMV input language:

```
MODULE main
VAR x : boolean; y : boolean; z : boolean;
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[ Solution: The LTL property is in the form “**G**Good( $x, y, z$ )”, hence, applying k-induction:

$$\begin{aligned} \varphi_{Base} &\stackrel{\text{def}}{=} (\neg x_0 \wedge \neg y_0 \wedge z_0) && \wedge && // I(x_0, y_0, z_0) \wedge \\ &\neg(x_0 \vee y_0 \vee z_0) && && // \neg Good(x_0, y_0, z_0) \\ \varphi_{Ind1} &\stackrel{\text{def}}{=} (x_i \vee y_i \vee z_i) && \wedge && // Good(x_i, y_i, z_i) \wedge \\ &((x_{i+1} \leftrightarrow y_i) \wedge (y_{i+1} \leftrightarrow z_i) \wedge (z_{i+1} \leftrightarrow x_i)) && \wedge && // T(x_i, y_i, z_i, x_{i+1}, y_{i+1}, z_{i+1}) \wedge \\ &\neg(x_{i+1} \vee y_{i+1} \vee z_{i+1}) && && // \neg Good(x_{i+1}, y_{i+1}, z_{i+1}) \end{aligned}$$

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[ Solution: a)  $M \models \varphi$ . In fact, we have proved it in one induction step.

]