Formal Methods

Module II: Formal Verification

Ch. 07: SAT-Based Model Checking

Roberto Sebastiani

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M.S. in Computer Science, Mathematics, & Artificial Intelligence Systems Academic year 2021-2022

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Outline

- SAT-based Model Checking: Generalities
- Bounded Model Checking
 - Intuitions
 - General Encoding
 - Relevant Subcases
 - An Example
 - Computing Upper Bounds
 - Discussion
- 3 Inductive reasoning on invariants (aka "K-Induction")
 - K-Induction
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- Key problems with BDD's:
 - they can explode in space
- A possible alternative:
 - Propositional Satisfiability Checking (SAT)
 - SAT technology is very advanced
- Advantages:
 - reduced memory requirements
 - limited sensitivity: one good setting, does not require expert users
 - much higher capacity (more variables) than BDD based techniques
- Various techniques:
 - Bounded Model Checking (BMC)
 - K-induction
 - Interpolant-based
 - IC3/PDR
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- BMC: look for counter-example paths of increasing length *k*
 - → oriented to finding bugs
- K-Induction: look for an induction proofs of increasing length k
 - oriented to prove correctness
- BMC [resp. K-induction]: for each k, build a Boolean formula that is satisfiable [resp. unsatisfiable] iff there is a counter-example [resp. proof] of length k
 - can be expressed using $k \cdot |\mathbf{s}|$ variables
 - formula construction is not subject to state explosion
- Satisfiability of the Boolean formulas is checked by a SAT solver
 - can manage complex formulae on several 100K variables
 - returns satisfying assignment (i.e., a counter-example)
 - exploit incrementality

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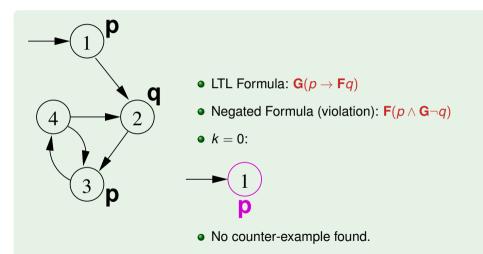
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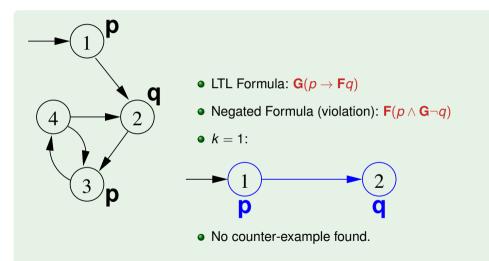


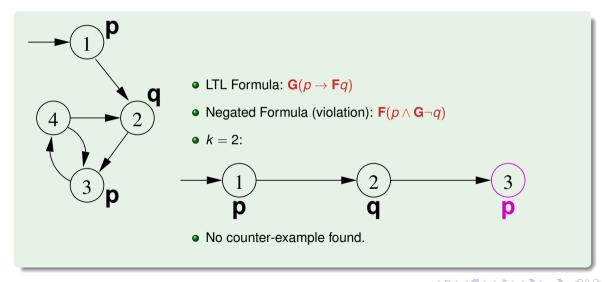
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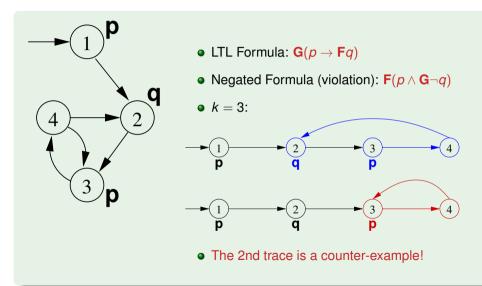
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Ingredients:

Assume states represented by an array s of n Boolean variables

- a system written as a Kripke structure $M := \langle I(s), R(s, s') \rangle$
- a property f written as a LTL formula
- an integer $k \ge 0$ (bound)

Problem

Is there an execution path π of M of length k satisfying the temporal property f'?

$$M \models_k \mathsf{E} t$$

Note: f is the negation of the property in the LTL model checking problem $M \models \neg f$, and π is a counter-example of length k (bug).

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$$\begin{split} & [[M,f]]_k & := & [[M]]_k \wedge [[f]]_k \\ & [[M]]_k & := & I(s^0) \wedge \bigwedge_{i=0}^{k-1} R(s^i,s^{i+1}), \\ & [[f]]_k & := & (\neg \bigvee_{l=0}^k R(s^k,s^l) \wedge [[f]]_k^0) \vee \bigvee_{l=0}^k (R(s^k,s^l) \wedge {}_l[[f]]_k^0), \end{split}$$

- The vector s of propositional variables is replicated k+1 times
 s⁰, s¹, ..., s^k
- $[M]_k$ encodes the fact that the k-path is an execution of M
- $[\![f]\!]_k$ encodes the fact that the k-path satisfies f



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The Encoding [cont.]

The encoding for a formula f with k steps, $[[f]]_k$ is the disjunction of:

• The constraints needed to express a model without loopback:

$$(\neg(\bigvee_{l=0}^k R(s^k, s^l)) \land [[f]]_k^0)$$

- $[[f]]_k^i$, $i \in [0, k]$:

 "f holds in s^i under the assumption that $s^0, ..., s^k$ is a no-loopback path"
- The constraints needed to express a model with some loopback:

$$\bigvee_{l=0}^k (R(s^k, s^l) \wedge {}_{l}[[f]]_{k}^0)$$

• $_{l}[[f]]_{k}^{l}$, $i \in [0, k]$:

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 S_{1}
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The Encoding of $[[f]]_k^i$ and $I_l[[f]]_k^i$

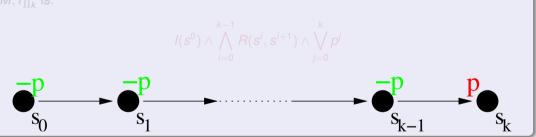
f	$[[f]]_k^i$	$I[[f]]_{K}^{i}$
р	ρ_i	ρ_i
$\neg p$	$\neg p_i$	$\neg p_i$
$h \wedge g$	$[[h]]_k^i \wedge [[g]]_k^i$	$I_{[h]}^{i} \wedge I_{[g]}^{i}$
$h \lor g$	$[[h]]_k^i \vee [[g]]_k^i$	$I_{[[h]]_{K}^{i}} \vee I_{[[g]]_{K}^{i}}$
Хg	$[[g]]_k^{i+1}$ if $i < k$	$\int_{I} [[g]]_{k}^{i+1}$ if $i < k$
	\perp otherwise.	$I[[g]]_{K}^{\hat{I}}$ otherwise.
G g	Τ	$\bigwedge_{j=\min(i,l)}^{k} {}_{l}[[g]]_{k}^{j}$
F g	$\bigvee_{j=i}^{k} [[g]]_{k}^{j}$	$\bigvee_{j=\min(i,l)}^{k} {}_{l}[[g]]_{k}^{j}$
h U g	$\bigvee_{j=i}^k \left([[g]]_k^j \wedge \bigwedge_{n=i}^{j-1} [[h]]_k^n \right)$	$\bigvee_{j=i}^k \left(\lceil [g] \rceil_k^j \wedge \bigwedge_{n=i}^{j-1} \lceil [h] \rceil_k^n \right) \vee$
	, , ,	$\bigvee_{j=l}^{i-1} \left({}_{I}[[g]]_{k}^{j} \wedge \bigwedge_{n=i}^{k} {}_{I}[[h]]_{k}^{n} \wedge \bigwedge_{n=l}^{j-1} {}_{I}[[h]]_{k}^{n} \right)$
h R g	$\bigvee_{j=i}^k \left([[h]]_k^j \wedge \bigwedge_{n=i}^j [[g]]_k^n \right)$	$\bigwedge_{j=\min(i,l)}^k I[[g]]_k^j \vee$
		$\bigvee_{j=i}^{k} \left({}_{I}[[h]]_{k}^{j} \wedge \bigwedge_{n=i}^{j} {}_{I}[[g]]_{k}^{n} \right) \vee$
		$\bigvee_{j=1}^{i-1} \left({}_{i}[[h]]_{k}^{j} \wedge \bigwedge_{n=i}^{k} {}_{i}[[g]]_{k}^{n} \wedge \bigwedge_{n=i}^{j} {}_{i}[[g]]_{k}^{n} \right)$

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- f := Fp, s.t. p Boolean: is there a reachable state in which p holds?
- a finite path can show that the property holds
- $[[M, f]]_k$ is:



Important: incremental encoding

if done for increasing value of k, then it suffices that $[[M, f]]_k$ is

$$(s^0) \wedge igwedge_{i=0}^{k-1} \left(R(s^i, s^{i+1}) \wedge
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- f := Fp, s.t. p Boolean: is there a reachable state in which p holds?
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- $[[M, f]]_{k}$ is:

$$I(s^{0}) \wedge \bigwedge_{j=0}^{k-1} R(s^{i}, s^{j+1}) \wedge \bigvee_{j=0}^{k} p^{j}$$

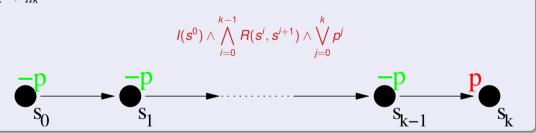
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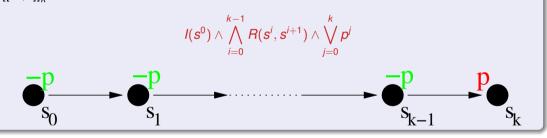


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Relevant Subcase: Gp

- $f := \mathbf{G}p$, s.t. p Boolean: is there a path where p holds forever?
- We need to produce an infinite behaviour, with a finite number of transitions
- We can do it by imposing that the path loops back

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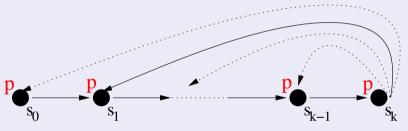
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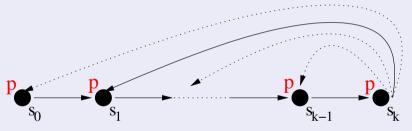
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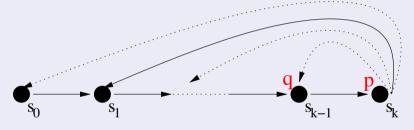
Relevant Subcase: **GF***q* (fair states)

- f := GFq, s.t. q Boolean: does q hold infinitely often?
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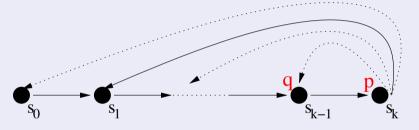
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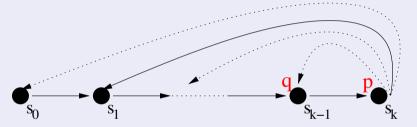
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- f := GFq ∧ Fp, s.t. p, q Boolean: provided that q holds infinitely often, is there a reachable state in which p holds?
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$$I(s^0) \wedge \bigwedge_{i=0}^{k-1} R(s^i, s^{i+1}) \wedge \bigvee_{j=0}^k p_j \wedge \bigvee_{l=0}^k \left(R(s^k, s^l) \wedge \bigvee_{j=l}^k q^j \right)$$

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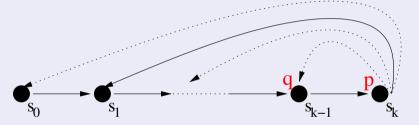
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- SAT-based Model Checking: Generalities
- Bounded Model Checking
 - Intuitions
 - General Encoding
 - Relevant Subcases
 - An Example
 - Computing Upper Bounds
 - Discussion
- 3 Inductive reasoning on invariants (aka "K-Induction")
 - K-Induction
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- 4 Exercises



• System *M*:

- $I(x) := \neg x[0] \land \neg x[1] \land x[2]$
- Correct $R: R(x, x') := (x'[0] \leftrightarrow x[1]) \land (x'[1] \leftrightarrow x[2]) \land (x'[2] \leftrightarrow 0)$
- Bugged $R: R(x, x') := (x'[0] \leftrightarrow x[1]) \land (x'[1] \leftrightarrow x[2]) \land (x'[2] \leftrightarrow 1)$
- Property: $\mathbf{F}(\neg x[0] \land \neg x[1] \land \neg x[2])$
- BMC Problem: is there an execution π of \mathcal{M} of length k s.t. $\pi \models \mathbf{G}((x[0] \lor x[1] \lor x[2]))$?

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 - $\bullet \ \, \mathsf{Bugged} \,\, R \colon R(x,x') := (x'[0] \leftrightarrow x[1]) \land (x'[1] \leftrightarrow x[2]) \land (x'[2] \leftrightarrow 1)$
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```
k=0:
                                             L_0
                                                                             L_1
                                               x_0^{[0]}
                                                                                                    L_2
                                                                                       x_{2}[2]
```

```
k=0:
                                                                                                                        L_0
                                                                                                                                                                                                             L_1
                                                                                                                            x_0^{[0]}
                                                                                                                                                                                                                                      x_{2}[2]
                                                         \begin{array}{lll} I: & (\neg x_0[0] \land \neg x_0[1] \land x_0[2]) \land \\ \bigvee_{l=0}^{0} L_l: & ( ((x_0[0] \leftrightarrow x_0[1]) \land (x_0[1] \leftrightarrow x_0[2]) \land (x_0[2] \leftrightarrow 1)) \ ) \land \\ \bigwedge_{l=0}^{0} (x \neq 0): & ( (x_0[0] \lor x_0[1] \lor x_0[2]) \ ) \end{array}
```

```
k=0:
                                                                                 L_0
                                                                                                                                           L_1
                                       \begin{array}{lll} I: & (\neg x_0[0] \land \neg x_0[1] \land x_0[2]) \land \\ \bigvee_{i=0}^{0} L_i: & (((x_0[0] \leftrightarrow x_0[1]) \land (x_0[1] \leftrightarrow x_0[2]) \land (x_0[2] \leftrightarrow 1))) \land \\ \bigwedge_{i=0}^{0} (x \neq 0): & ((x_0[0] \lor x_0[1] \lor x_0[2])) \end{array}
   ⇒ UNSAT: unit propagation:
\neg x_0[0], \neg x_0[1], x_0[2]
\implies loop violated
```

$$k = 1:$$

$$L_{0}$$

$$x_{0}[0]$$

$$x_{1}[0]$$

$$x_{1}[0]$$

$$x_{2}[0]$$

$$x_{3}[0]$$

$$x_{4}[0]$$

$$x_{1}[0]$$

$$x_{1}[0]$$

$$x_{1}[0]$$

$$x_{2}[0]$$

$$x_{3}[0]$$

$$x_{4}[0]$$

$$x_{4}[0]$$

$$x_{4}[0]$$

$$x_{4}[0]$$

$$x_{4}[0]$$

$$x_{4}[0]$$

$$x_{4}[0]$$

$$x_{5}[0]$$

$$x_{6}[0]$$

$$x_{6}[0]$$

$$x_{6}[0]$$

$$x_{7}[0]$$

$$x_{1}[0]$$

$$x_{1}[0]$$

$$x_{1}[0]$$

$$x_{1}[0]$$

$$x_{1}[0]$$

$$x_{2}[0]$$

$$x_{3}[0]$$

$$x_{4}[0]$$

$$x_{5}[0]$$

$$x_{6}[0]$$

$$x_{6}[0]$$

$$x_{6}[0]$$

$$x_{7}[0]$$

$$x_{7}[0]$$

$$x_{1}[0]$$

$$x_{1}[0]$$

$$x_{1}[0]$$

$$x_{1}[0]$$

$$x_{2}[0]$$

$$x_{3}[0]$$

$$x_{4}[0]$$

$$x_{5}[0]$$

$$x_{6}[0]$$

$$x_{6}[0]$$

$$x_{7}[0]$$

$$x_{$$

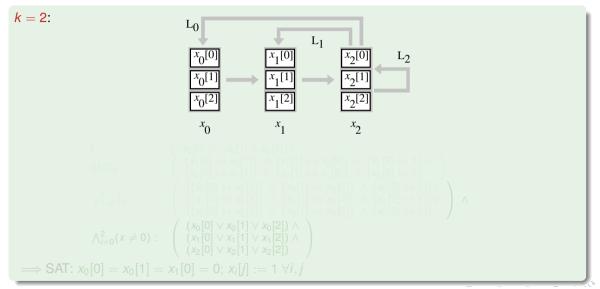
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```
k = 1
                                                                                                  L_0
                                                                                                                                                                        L_1
                                                                                                                                                                                           x_{2}[1]
                                                                                                                                                                                         x_{2}[2]
                                                                                                      x_0[2]
                                                                                         (\neg x_0[0] \land \neg x_0[1] \land x_0[2]) \land
                                           [[M]]_1: (x_1[0] \leftrightarrow x_0[1]) \land (x_1[1] \leftrightarrow x_0[2]) \land (x_1[2] \leftrightarrow 1)) \land
                                           \bigvee_{l=0}^{1} L_{l}: \qquad \left( \begin{array}{c} ((x_{0}[0] \leftrightarrow x_{1}[1]) \ \land \ (x_{0}[1] \leftrightarrow x_{1}[2]) \ \land \ (x_{0}[2] \leftrightarrow 1)) \lor \\ ((x_{1}[0] \leftrightarrow x_{1}[1]) \ \land \ (x_{1}[1] \leftrightarrow x_{1}[2]) \ \land \ (x_{1}[2] \leftrightarrow 1)) \end{array} \right) \ \land
                                            \bigwedge_{i=0}^{1} (x \neq 0) : \left( \begin{array}{c} (x_0[0] \vee x_0[1] \vee x_0[2]) \wedge \\ (x_1[0] \vee x_1[1] \vee x_1[2]) \end{array} \right)
```

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```
k = 1
                                         L_0
                                                                      L_1
                                     (\neg x_0[0] \land \neg x_0[1] \land x_0[2]) \land
                  \bigwedge_{i=0}^{1} (x \neq 0) : \left( \begin{array}{c} (x_0[0] \vee x_0[1] \vee x_0[2]) \wedge \\ (x_1[0] \vee x_1[1] \vee x_1[2]) \end{array} \right)
 ⇒ UNSAT: unit propagation:
\neg x_0[0], \neg x_0[1], x_0[2]
\neg x_1[0], x_1[1], x_1[2]
⇒ both loop disjuncts violated
```

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```
k=2
                                                                                                                     L_0
                                                                                                                                                                                                         L_1
                                                                                                                          x_0^{[0]}
                                                                                                                          x_0[1]
                                                                                                                                                                                                                                 x_{2}^{[1]}
                                                                                                                                                                                                                              x_{2}[2]
                                                                                                                          x_0[2]
                                                                                                          (\neg x_0[0] \land \neg x_0[1] \land x_0[2]) \land
                                                                                                                   \begin{array}{c} (x_1[0] \leftrightarrow x_0[1]) \ \land \ (x_1[1] \leftrightarrow x_0[2]) \ \land \ (x_1[2] \leftrightarrow 1) \ \land \\ (x_2[0] \leftrightarrow x_1[1]) \ \land \ (x_2[1] \leftrightarrow x_1[2]) \ \land \ (x_2[2] \leftrightarrow 1) \end{array} \right) \ \land 
                                                   [[M]]_2:
                                                                                                                    \begin{array}{c} ((x_0[0] \leftrightarrow x_2[1]) \ \land \ (x_0[1] \leftrightarrow x_2[2]) \ \land \ (x_0[2] \leftrightarrow 1)) \lor \\ ((x_1[0] \leftrightarrow x_2[1]) \ \land \ (x_1[1] \leftrightarrow x_2[2]) \ \land \ (x_1[2] \leftrightarrow 1)) \lor \end{array} 
                                                   \bigvee_{l=0}^{2} L_{l}:
                                                                                                                    ((x_2[0] \leftrightarrow x_2[1]) \land (x_2[1] \leftrightarrow x_2[2]) \land (x_2[2] \leftrightarrow 1))
                                                 \bigwedge_{i=0}^{2} (x \neq 0) : \begin{cases} (x_{2}[0] \lor x_{0}[1] \lor x_{0}[2]) \land \\ (x_{1}[0] \lor x_{1}[1] \lor x_{1}[2]) \land \\ (x_{2}[0] \lor x_{2}[1] \lor x_{2}[2]) \end{cases}
```

```
k=2
                                                                             L_0
                                                                                                                                   L_1
                                                                                x_0[1]
                                                                                                                                                    x_{2}^{[1]}
                                                                                x_0[2]
                                                                     (\neg x_0[0] \land \neg x_0[1] \land x_0[2]) \land
                                                                         [[M]]_2:
                                                                            \frac{((x_0[0] \leftrightarrow x_2[1]) \land (x_0[1] \leftrightarrow x_2[2]) \land (x_0[2] \leftrightarrow 1)) \lor}{((x_1[0] \leftrightarrow x_2[1]) \land (x_1[1] \leftrightarrow x_2[2]) \land (x_1[2] \leftrightarrow 1)) \lor} \land 
                                  \bigvee_{l=0}^{2} L_{l}:
                                                                            ((x_2[0] \leftrightarrow x_2[1]) \land (x_2[1] \leftrightarrow x_2[2]) \land (x_2[2] \leftrightarrow 1))
                                \bigwedge_{i=0}^{2} (x \neq 0) : \begin{cases} (x_{2}[0] \lor x_{2}[1]) \\ (x_{0}[0] \lor x_{0}[1] \lor x_{0}[2]) \land \\ (x_{1}[0] \lor x_{1}[1] \lor x_{1}[2]) \land \\ (x_{2}[0] \lor x_{2}[1] \lor x_{2}[2]) \end{cases}
   \implies SAT: x_0[0] = x_0[1] = x_1[0] = 0; x_i[i] := 1 \ \forall i, i
```

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Basic bounds for k

Theorem [Biere et al. TACAS 1999]

Let *f* be a LTL formula.

Then $M \models \mathbf{E}f \iff M \models_k \mathbf{E}f$ for some $k \leq |M| \cdot 2^{|f|}$.

- \bullet $|M| \cdot 2^{|f|}$ is always a bound of k.
 - |M| huge!
 - not so easy to compute in a symbolic setting.
- need to find better bounds!

Note: [Biere et al. TACAS 1999] use " $M \models \mathbf{E}f$ " as "there exists a path of M verifying f", so that $M \not\models \neg f \iff M \models \mathbf{E}f$

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Other bounds for k

ACTL & ECTL

- ACTL is a subset of CTL in which "A..." (resp. "E...") sub-formulas occur only positively (resp. negatively) in each formula. (e.g. $AG(p \rightarrow AGAFq)$)
- Many frequently-used LTL properties $\neg f$ have equivalent ACTL representations $\mathbf{A} \neg f'$
 - $\bullet \ \text{e.g.} \ \mathsf{X}q \Longleftrightarrow \mathsf{AX}q, \mathsf{G}q \Longleftrightarrow \mathsf{AG}q, \mathsf{F}q \Longleftrightarrow \mathsf{AF}q, \mathsf{pU}q \Longleftrightarrow \mathsf{A}(\mathsf{pU}q), \\ \mathsf{GF}q \Longleftrightarrow \mathsf{AG}\mathsf{AF}q, \mathsf{G}(p \to \mathsf{GF}q) \Longleftrightarrow \mathsf{AG}(p \to \mathsf{AG}\mathsf{AF}q)$
- ECTL is a subset of CTL in which "E..." (resp. "A...") sub-formulas occur only positively (resp. negatively) in each formula. (e.g. $EF(p \land EFEG \neg q)$)
- ECTL is the dual subset of ACTL: $\phi \in ECTL \iff \neg \phi \in ACTL$.

Theorem [Biere et al. TACAS 1999]

Let f be an ECTL formula.

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Other bounds for *k* (cont)

Theorem [Biere et al. TACAS 1999]

Let p be a Boolean formula and d be the diameter of M.

Then $M \models \mathsf{EF}p \Longleftrightarrow M \models_k \mathsf{EF}p$ for some $k \leq d$.

Theorem [Biere et al. TACAS 1999]

Let f be an ECTL formula and d be the recurrence diameter of M.

Then $M \models \mathbf{E}f \iff M \models_k \mathbf{E}f$ for some $k \leq d$.

The diameter

Definition: Diameter

Given M, the diameter of M is the smallest integer d s.t. for every path $s_0, ..., s_{d+1}$ there exist a path $t_0, ..., t_l$ s.t. $l \le d$, $t_0 = s_0$ and $t_l = s_{d+1}$.

- Intuition: if u is reachable from v, then there is a path from v to u of length d or less.
- ⇒ it is the maximum distance between two states in M

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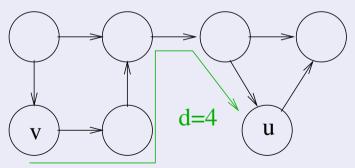
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- \implies it is the maximum distance between two states in M.



The Diameter: Computation

Definition: diameter

• *d* is the smallest integer *d* which makes the following formula true:

$$\forall s_0,...,s_{d+1}.\exists t_0,...,t_d. \\ \bigwedge_{i=0}^d T(s_i,s_{i+1}) \to \underbrace{\left(t_0 = s_0 \land \bigwedge_{i=0}^{d-1} T(t_i,t_{i+1}) \land \bigvee_{i=0}^d t_i = s_{d+1}\right)}_{t_0,...,t_i \text{ is another path from } s_0 \text{ to } s_{d+1} \text{ for some } i$$

Quantified Boolean formula (QBF): much harder than NP-complete!

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The recurrence diameter

Definition: recurrence diameter

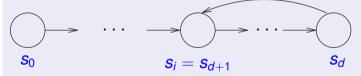
Given M, the recurrence diameter of M is the smallest integer d s.t. for every path $s_0, ..., s_{d+1}$ there exist $j \le d$ s.t. $s_{d+1} = s_j$.

• Intuition: the maximum length of a non-loop path

The recurrence diameter

Definition: recurrence diameter

Given M, the recurrence diameter of M is the smallest integer d s.t. for every path $s_0, ..., s_{d+1}$ there exist $j \le d$ s.t. $s_{d+1} = s_j$.



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$$orall s_0,...,s_{d+1}.igwedge_{i=0}^d T(s_i,s_{i+1})
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- Validity problem: coNP-complete (solvable by SAT).
- Possibly much longer than the diameter!

The recurrence diameter: computation

• *d* is the smallest integer *d* which makes the following formula true:

$$orall s_0,...,s_{d+1}.igg(igwedge_{s_0,...,s_{d+1}}^d \ is \ a \ path igg)
ight.
ightarrow igv(igwedge_{i=0}^d \ s_i = s_{d+1} \ s_0,...,s_{d+1} \ contains \ a \ cicle$$

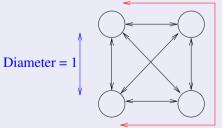
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$$orall s_0,...,s_{d+1}.igg(igwedge_{s_0,...,s_{d+1}}^d \ is \ a \ path igg)
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Recurrence Diameter = 3

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- Incomplete technique:
 - if you find all formulas unsatisfiable, it tells you nothing
 - computing the maximum k (diameter) possible but extremely hard
- Very efficient for some problems (typically debugging)
- Lots of enhancements
- Current symbolic model checkers embed a SAT based BMC tool

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Efficiency Issues in Bounded Model Checking

- Incrementality:
 - exploit the similarities between problems at k and k + 1
- Simplification of encodings
 - Reduced Boolean Circuits (RBC)
 - Boolean Expression Diagrams (BED)
 - And-Inverter Graphs (AIG)
 - Simplification based on Binary-Clauses Reasoning
- Computing bounds not very effective
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Other Successful SAT-based MC Techniques

- Inductive reasoning on invariants (aka "K-Induction")
- Counter-example guided abstraction refinement (CEGAR)
 [Clarke et al. CAV 2002]
- Interpolant-based MC [Mc Millan, TACAS 2005]
- IC3/PDR [Bradley, VMCAI 2011]
- ...

For a survey see e.g. [Amla et al., CHARME 2005, Prasad et al. STTT 2005].

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- Inductive reasoning on invariants (aka "K-Induction")
 - K-Induction
 - An Example
- 4 Exercises



Invariant: "GGood", Good being a Boolean formula

- (i) If all the initial states are good,
- (ii) and if from good states we only go to good states

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 - $I(s^0) \rightarrow Good(s^0)$ is valid (i.e. its negation is unsatisfiable)
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 - $(Good(s^{k-1}) \land R(s^{k-1}, s^k)) \rightarrow Good(s^k)$ is valid (i.e. its negation is unsatisfiable)

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⇒ Check for the (un)satisfiability of the Boolean formulas:

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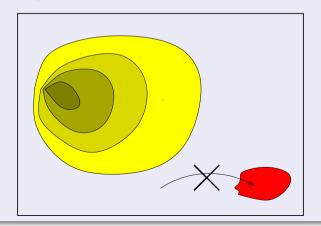
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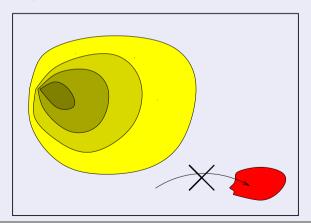
Strengthening of Invariants

- Problem: Induction may fail because of unreachable states:
 - if (Good(s^{k-1}) ∧ R(s^{k-1}, s^k)) → Good(s^k) is not valid, then this does not mean that the property does not hold
 both s^{k-1} and s^k might be unreachable



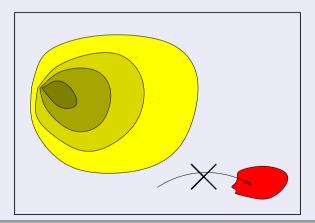
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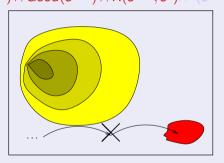
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Solution (once you know you cannot reach $\neg Good$ in up to 1 step):

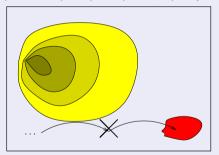
• increase the depth of induction $(Good(s^{k-2}) \land R(s^{k-2}, s^{k-1}) \land Good(s^{k-1}) \land R(s^{k-1}, s^k) \land \neg (s^{k-2} = s^{k-1})) \rightarrow Good(s^k)$



- force loop freedom with $\neg (s^i = s^j)$ for every $i \neq j$ s.t. $i, j \leq k$
- performed after step-1 BMC step returns "unsat": $I(s^0) \land (R(s^0, s^1) \land Good(s^0)) \land \neg Good(s^1)$

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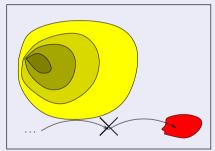
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- Repeat for increasing values of the gap 1, 2, 3, 4, ...
- Intuition: increasingly tighten the constraint for "spurious" counterexamples: a spurious counterexample must be a chain $s_{k-n},...,s_k$ of unreachable and different states s.t. $\neg Good(s_k)$ and $R(s_i,s_{i+1}), \forall i$.
- Dual to –and interleaved with–bounded model checking steps
- K-Induction steps can be shifted $(k \stackrel{\text{def}}{=} 0)$ to share the subformulas: $\bigwedge_{i=0}^{k-1} (R(s^i, s^{i+1}) \land Good(s^i)) \land \neg Good(s^{k-2})$

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K-Induction Algorithm [Sheeran et al. 2000]

```
Algorithm
```

```
Given:
                                               \begin{array}{lll} \textit{Base}_n & := & \textit{I}(\mathbf{s}_0) \land \bigwedge_{i=0}^{n-1} \left( R(\mathbf{s}_i, \mathbf{s}_{i+1}) \land \varphi(\mathbf{s}_i) \right) \land \neg \varphi(\mathbf{s}_n) \\ \textit{Step}_n & := & \bigwedge_{i=0}^n \left( R(\mathbf{s}_i, \mathbf{s}_{i+1}) \land \varphi(\mathbf{s}_i) \right) \land \neg \varphi(\mathbf{s}_{n+1}) \\ \textit{Unique}_n & := & \bigwedge_{0 \le i \le j \le n} \neg (\mathbf{s}_i = \mathbf{s}_{j+1}) \end{array}
               function CHECK PROPERTY (I, R, \varphi)
                           for n := 0, 1, 2, 3, .... do
                                     if (DPLL(Base_n) == SAT)
                                                 then return PROPERTY VIOLATED;
5.
                                     else if (DPLL(Step_n \land Unique_n) == UNSAT)
6.
                                                 then return Property Verified;
                           end for:
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→ Reuses previous search if DPLL is incremental!

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Outline

- SAT-based Model Checking: Generalities
- Bounded Model Checking
 - Intuitions
 - General Encoding
 - Relevant Subcases
 - An Example
 - Computing Upper Bounds
 - Discussion
- Inductive reasoning on invariants (aka "K-Induction")
 - K-Induction
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- System M:

 - $\bullet \ R(x,x') := ((x'[0] \leftrightarrow x[1]) \land (x'[1] \leftrightarrow x[2]) \land (x'[2] \leftrightarrow 0))$
- Property: $\mathbf{G} \neg x[0]$

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 - $\bullet \ \ \mathit{I}(x) := (\neg x[0] \land \neg x[1] \land \neg x[2])$
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- Init (BMC Step 0): $((\neg x^0[0] \land \neg x^0[1] \land \neg x^0[2]) \land x^0[0]) \Longrightarrow \mathsf{unsat}$
- K-Induction Step 1:

$$\left(\begin{array}{c} (\neg x^0[0] \wedge ((x^1[0] \leftrightarrow x^0[1]) \wedge (x^1[1] \leftrightarrow x^0[2]) \wedge (x^1[2] \leftrightarrow 0))) \\ \wedge x^1[0] \end{array}\right)$$

sat: $\begin{cases} \neg x^0[0], & x^0[1], & x^0[2], \\ x^1[0], & x^1[1], & \neg x^1[2] \end{cases}$

not proved

Remark



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Remark



- BMC Step 1: (...) ⇒ unsat
- K-Induction Step 2:

$$\left(\begin{array}{c} (\neg x^{0}[0] \land ((x^{1}[0] \leftrightarrow x^{0}[1]) \land (x^{1}[1] \leftrightarrow x^{0}[2]) \land (x^{1}[2] \leftrightarrow 0)) \land \\ \neg x^{1}[0] \land ((x^{2}[0] \leftrightarrow x^{1}[1]) \land (x^{2}[1] \leftrightarrow x^{1}[2]) \land (x^{2}[2] \leftrightarrow 0)) \\) \land x^{2}[0] \\ \land \neg ((x^{1}[0] \leftrightarrow x^{0}[0]) \land (x^{1}[1] \leftrightarrow x^{0}[1]) \land (x^{1}[2] \leftrightarrow x^{0}[2])) \end{array} \right)$$

$$\Rightarrow \text{ sat: } \left\{ \begin{array}{l} \neg x^0[0], & \neg x^0[1], & x^0[2] \\ \neg x^1[0], & x^1[1], & \neg x^1[2] \\ x^2[0], & \neg x^2[1], & \neg x^2[2] \end{array} \right\} \Longrightarrow \text{not proved}$$

Remark

 $\{\neg x^0[0], \neg x^0[1], x^0[2]\}, \{\neg x^1[0], x^1[1], \neg x^1[2]\}, \text{ and } \{x^2[0], \neg x^2[1], \neg x^2[2]\}$ are non-reachable.

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$$\begin{pmatrix} (\neg x^{0}[0] \land ((x^{1}[0] \leftrightarrow x^{0}[1]) \land (x^{1}[1] \leftrightarrow x^{0}[2]) \land (x^{1}[2] \leftrightarrow 0)) \land \\ \neg x^{1}[0] \land ((x^{2}[0] \leftrightarrow x^{1}[1]) \land (x^{2}[1] \leftrightarrow x^{1}[2]) \land (x^{2}[2] \leftrightarrow 0)) \\) \land x^{2}[0] \\ \land \neg ((x^{1}[0] \leftrightarrow x^{0}[0]) \land (x^{1}[1] \leftrightarrow x^{0}[1]) \land (x^{1}[2] \leftrightarrow x^{0}[2])) \end{pmatrix}$$

$$\implies \text{ sat: } \left\{ \begin{array}{l} \neg x^{0}[0], \quad \neg x^{0}[1], \quad x^{0}[2] \\ \neg x^{1}[0], \quad x^{1}[1], \quad \neg x^{1}[2] \\ x^{2}[0], \quad \neg x^{2}[1], \quad \neg x^{2}[2] \end{array} \right\} \Longrightarrow \text{ not proved}$$

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- K-Induction Step 3:

```
 \begin{pmatrix} (\neg x^0[0] \land ((x^1[0] \leftrightarrow x^0[1]) \land (x^1[1] \leftrightarrow x^0[2]) \land (x^1[2] \leftrightarrow 0)) \land \\ \neg x^1[0] \land ((x^2[0] \leftrightarrow x^1[1]) \land (x^2[1] \leftrightarrow x^1[2]) \land (x^2[2] \leftrightarrow 0)) \land \\ \neg x^2[0] \land ((x^3[0] \leftrightarrow x^2[1]) \land (x^3[1] \leftrightarrow x^2[2]) \land (x^3[2] \leftrightarrow 0)) \\ ) \land x^3[0] \\ \land \neg ((x^1[0] \leftrightarrow x^0[0]) \land (x^1[1] \leftrightarrow x^0[1]) \land (x^1[2] \leftrightarrow x^0[2])) \\ \land \neg ((x^2[0] \leftrightarrow x^0[0]) \land (x^2[1] \leftrightarrow x^0[1]) \land (x^2[2] \leftrightarrow x^0[2])) \\ \land \neg ((x^2[0] \leftrightarrow x^1[0]) \land (x^2[1] \leftrightarrow x^1[1]) \land (x^2[2] \leftrightarrow x^1[2])) \end{pmatrix}
```

- \implies (unit-propagation) $\{x^3[0], x^2[1], x^4[2]\}$
- \Longrightarrow unsat
- \Longrightarrow proved

- BMC Step 2: (...) ⇒ unsat
- K-Induction Step 3:

$$\begin{pmatrix} (\neg x^0[0] \land ((x^1[0] \leftrightarrow x^0[1]) \land (x^1[1] \leftrightarrow x^0[2]) \land (x^1[2] \leftrightarrow 0)) \land \\ \neg x^1[0] \land ((x^2[0] \leftrightarrow x^1[1]) \land (x^2[1] \leftrightarrow x^1[2]) \land (x^2[2] \leftrightarrow 0)) \land \\ \neg x^2[0] \land ((x^3[0] \leftrightarrow x^2[1]) \land (x^3[1] \leftrightarrow x^2[2]) \land (x^3[2] \leftrightarrow 0)) \\) \land x^3[0] \\ \land \neg ((x^1[0] \leftrightarrow x^0[0]) \land (x^1[1] \leftrightarrow x^0[1]) \land (x^1[2] \leftrightarrow x^0[2])) \\ \land \neg ((x^2[0] \leftrightarrow x^0[0]) \land (x^2[1] \leftrightarrow x^0[1]) \land (x^2[2] \leftrightarrow x^0[2])) \\ \land \neg ((x^2[0] \leftrightarrow x^1[0]) \land (x^2[1] \leftrightarrow x^1[1]) \land (x^2[2] \leftrightarrow x^1[2])) \end{pmatrix}$$

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```

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Outline

- SAT-based Model Checking: Generalities
- Bounded Model Checking
 - Intuitions
 - General Encoding
 - Relevant Subcases
 - An Example
 - Computing Upper Bounds
 - Discussion
- 3 Inductive reasoning on invariants (aka "K-Induction")
 - K-Induction
 - An Example
- Exercises



Given the symbolic representation of a FSM M, expressed in terms of the two Boolean formulas: $I(x,y) \stackrel{\text{def}}{=} \neg x \land y$, $T(x,y,x',y') \stackrel{\text{def}}{=} (x' \leftrightarrow (x \leftrightarrow \neg y)) \land (y' \leftrightarrow \neg y)$, and the LTL property: $\varphi \stackrel{\text{def}}{=} \neg \mathbf{F}(x \land y)$,

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1. Write a Boolean formula whose solutions (if any) represent executions of M of length 2 which violate φ .

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[Solution: The question corresponds to the Bounded Model Checking problem $M \models_2 \mathbf{E} \mathbf{F} f$, s.t. $f(x,y) \stackrel{\text{def}}{=} (x \wedge y)$. Thus we have:

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2. Is there a solution? If yes, find the corresponding execution; if no, show why.

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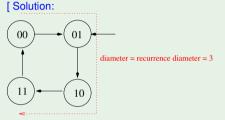
2. Is there a solution? If yes, find the corresponding execution; if no, show why.

```
[ Solution: Yes: \{\neg x_0, y_0, x_1, \neg y_1, x_2, y_2\}, corresponding to the execution: (0, 1) \rightarrow (1, 0) \rightarrow (1, 1) ]
```

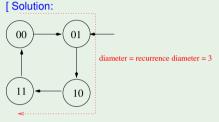
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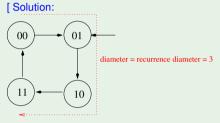


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- 4. From the solutions to question #1 and #2 we can conclude that:
 - (a) $M \models \varphi$
 - (b) $M \not\models \varphi$
 - (c) we can conclude nothing.

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[Solution: b)]

Given the following symbolic representation of a finite state machine M, expressed in terms of the following two formulas:

- $\bullet T(x,y,x',y') \stackrel{\mathsf{def}}{=} (x' \leftrightarrow \neg y'),$

and the following LTL property:

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5/58

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Ex: Bounded Model Checking

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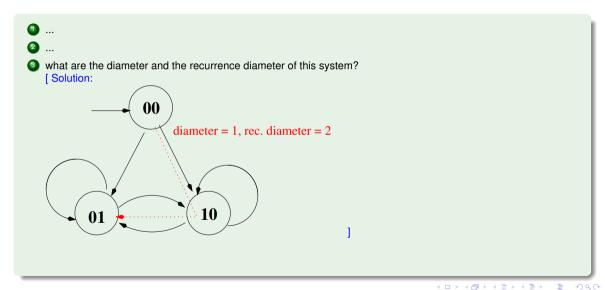
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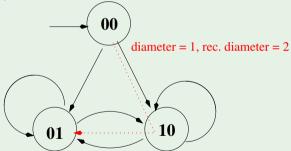
- is there a solution? If yes, find the corresponding execution.
 - [Solution: No: it is easy to see that the formula above is inconsistent]



- **1** ...
- **2** ...
- what are the diameter and the recurrence diameter of this system?



- **①** ...
- 2 ..
- what are the diameter and the recurrence diameter of this system? [Solution:



③ Can we conclude anything about the model-checking problem $M \models \varphi$? Explain why.

- ...what are the diameter and the recurrence diameter of this system?[Solution:
 - diameter = 1, rec. diameter = 2

ⓐ Can we conclude anything about the model-checking problem $M \models \varphi$? Explain why. [Solution: yes, we can conclude that $M \models \varphi$, since $M \not\models_2 \mathbf{E} \mathbf{F} \neg \varphi$ and rec. diameter=2.]

Ex: K-Induction

Given the following LTL Model Checking problem $\textit{M} \models \varphi$ expressed in NuSMV input language:

```
MODULE main  
VAR x : boolean; y : boolean; z : boolean; INIT (!x & !y & z)  
TRANS ((next(x) <-> (y)) & (next(y) <-> z) & (next(z) <-> x) ) LTLSPEC  
G (x | y | z) ;
```

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 \bigcirc Write the Boolean formulas describing the k-induction encoding of the problem, with k = 1.

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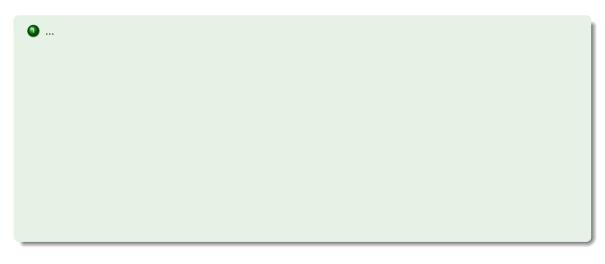
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LTLSPEC G (x | y | z);
```

lacktriangle Write the Boolean formulas describing the k-induction encoding of the problem, with k = 1.

[Solution: The LTL property is in the form " $\mathbf{G}Good(x, y, z)$ ", hence, applying k-induction:

```
\varphi_{Base} \stackrel{\text{def}}{=} (\neg x_{0} \land \neg y_{0} \land z_{0}) & \land // I(x_{0}, y_{0}, z_{0}) \land \\
\neg (x_{0} \lor y_{0} \lor z_{0}) & // \neg Good(x_{0}, y_{0}, z_{0}) \land \\
\varphi_{Ind1} \stackrel{\text{def}}{=} (x_{i} \lor y_{i} \lor z_{i}) & \land // Good(x_{i}, y_{i}, z_{i}) \land \\
((x_{i+1} \leftrightarrow y_{i}) \land (y_{i+1} \leftrightarrow z_{i}) \land (z_{i+1} \leftrightarrow x_{i})) & \land // T(x_{i}, y_{i}, z_{i}, x_{i+1}, y_{i+1}, z_{i+1}) \land \\
\neg (x_{i+1} \lor y_{i+1} \lor z_{i+1}) & // \neg Good(x_{i+1}, y_{i+1}, z_{i+1})
\end{cases}
```





2 Say if they are satisfiable or not. If yes, show a model. If not, explain why.

- **①** ..
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 - φ_{Base} is not satisfiable. In fact, the second row forces the assignments $\neg x_0, \neg y_0, \neg z_0$, which makes the first row false.
 - φ_{Ind1} is not satisfiable. In fact, the third row forces the assignments $\neg x_{i+1}, \neg y_{i+1}, \neg z_{i+1}$, from which the second row forces the assignments $\neg x_i, \neg y_i, \neg z_i$, which makes the first row false.

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- From the previous answers we can conclude:
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```
[ Solution: a) M \models \varphi. In fact, we have proved it in one induction step.
```