

# Formal Methods:

## Module I: Automated Reasoning

### Ch. 04: Automata-Theoretic LTL Reasoning

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- 2 The Automata-Theoretic Approach to LTL Reasoning
  - General Ideas
  - Language-Emptiness Checking of Büchi Automata
  - From Kripke Models to Büchi Automata
  - From LTL Formulas to Büchi Automata
  - Complexity
- 3 Exercises

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# Infinite Word Languages

## Modeling infinite computations of reactive systems

Given an **Alphabet**  $\Sigma$  (e.g.  $\Sigma \stackrel{\text{def}}{=} \{a, b\}$ )

- An  $\omega$ -word  $\alpha$  over  $\Sigma$  is an **infinite** sequence

$a_0, a_1, a_2 \dots$

Formally,  $\alpha : \mathbb{N} \rightarrow \Sigma$ .

- The set of all infinite words is denoted by  $\Sigma^\omega$ .
- A  $\omega$ -language  $L$  is collection of  $\omega$ -words, i.e.  $L \subseteq \Sigma^\omega$ .
- Example: **All words over  $\{a, b\}$  with infinitely many  $a$ 's.**

## Notation:

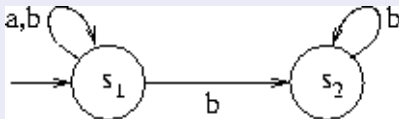
**omega words**  $\alpha, \beta, \gamma \in \Sigma^\omega$ .

**omega-languages**  $L, L_1 \subseteq \Sigma^\omega$

For  $u \in \Sigma^+$ , let  $u^\omega = u.u.u \dots$

# Omega-Automata

- We consider automaton running over infinite words.



- Let  $\alpha = aabbbb\dots$

There are several (infinite) possible runs.

Run  $\rho_1 = s_1, s_1, s_1, s_1, s_2, s_2 \dots$

Run  $\rho_2 = s_1, s_1, s_1, s_1, s_1, s_1 \dots$

- Acceptance Conditions: Büchi (Muller, Rabin, Street):  
Acceptance is based on states occurring infinitely often

- Notation Let  $\rho \in Q^\omega$ . Then,

$$\text{Inf}(\rho) = \{s \in Q \mid \exists^\infty i \in \mathbb{N}. \rho(i) = s\}.$$

(The set of states occurring infinitely many times in  $\rho$ .)

# Büchi Automata

## Nondeterministic Büchi Automaton

- A **Nondeterministic Büchi Automaton (NBA)** is  $(Q, \Sigma, \delta, I, F)$  s.t.
  - $Q$  Finite set of states.
  - $\Sigma$  is a finite alphabet
  - $I \subseteq Q$  set of initial states.
  - $F \subseteq Q$  set of accepting states.
  - $\delta \subseteq Q \times \Sigma \times Q$  transition relation (edges).
- A **Deterministic Büchi Automaton (DBA)** is an NBA s.t. the transition relation is functional:  
 $\delta : Q \times \Sigma \mapsto Q$

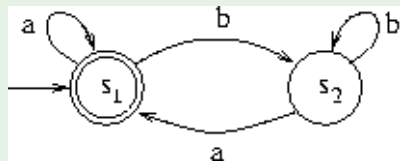
## Runs and Language of NBAs

- A **run**  $\rho$  of  $A$  on  $\omega$ -word  $\alpha = a_0, a_1, a_2, \dots$  is an infinite sequence  $\rho = q_0, q_1, q_2, \dots$  s.t.  $q_0 \in I$  and  $q_i \xrightarrow{a_i} q_{i+1}$  for  $0 \leq i$ .
- The run  $\rho$  is **accepting** if
$$\text{Inf}(\rho) \cap F \neq \emptyset.$$
- The **language accepted by  $A$** 
$$\mathcal{L}(A) = \{\alpha \in \Sigma^\omega \mid A \text{ has an accepting run on } \alpha\}$$

# Büchi Automaton: Example

Let  $\Sigma = \{a, b\}$ .

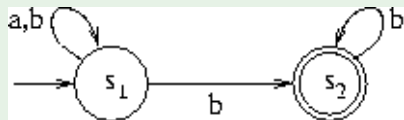
Let a Deterministic Büchi Automaton (DBA)  $A_1$  be



- With  $F = \{s_1\}$  the automaton recognizes words with infinitely many  $a$ 's.
- With  $F = \{s_2\}$  the automaton recognizes words with infinitely many  $b$ 's.

## Büchi Automaton: Example (2)

Let a Nondeterministic Büchi Automaton (NBA)  $A_2$  be



With  $F = \{s_2\}$ , the automaton  $A_2$  recognizes words with finitely many  $a$ . Thus,  $\mathcal{L}(A_2) = \overline{\mathcal{L}(A_1)}$ .



# Deterministic vs. Nondeterministic Büchi Automata

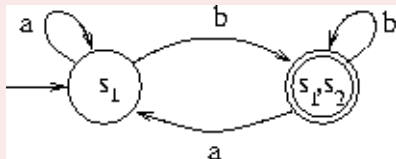
## Theorem

DBAs are strictly less powerful than NBAs.

## Remark:

The subset construction of standard Final-State automata does not work!

Let  $DA_2$  be



- $DA_2$  is not equivalent to  $A_2$   
(e.g., it recognizes  $(b.a)^\omega$ )
- There is no DBA equivalent to  $A_2$

# Closure Properties

## Theorem (union, intersection)

For the NBAs  $A_1, A_2$  we can construct

- the NBA  $A$  s.t.  $\mathcal{L}(A) = \mathcal{L}(A_1) \cup \mathcal{L}(A_2)$ .  $|A| = |A_1| + |A_2|$
- the NBA  $A$  s.t.  $\mathcal{L}(A) = \mathcal{L}(A_1) \cap \mathcal{L}(A_2)$ .  $|A| \leq |A_1| \cdot |A_2| \cdot 2$ .

# Union of two NBAs

## Definition: union of NBAs

Let  $A_1 = (Q_1, \Sigma_1, \delta_1, I_1, F_1)$ ,  $A_2 = (Q_2, \Sigma_2, \delta_2, I_2, F_2)$ .

Then  $A = A_1 \cup A_2 = (Q, \Sigma, \delta, I, F)$  is defined as follows

- $Q := Q_1 \cup Q_2$ ,  $I := I_1 \cup I_2$ ,  $F := F_1 \cup F_2$
- $R(s, s') := \begin{cases} R_1(s, s') & \text{if } s \in Q_1 \\ R_2(s, s') & \text{if } s \in Q_2 \end{cases}$

## Theorem

- $\mathcal{L}(A) = \mathcal{L}(A_1) \cup \mathcal{L}(A_2)$
- $|A| = |A_1| + |A_2|$

## Note

$A$  is an automaton which just runs nondeterministically either  $A_1$  or  $A_2$   
(same construction as with ordinary automata)

# Synchronous Product of NBAs

## Definition: synchronous product of NBAs

Let  $A_1 = (Q_1, \Sigma, \delta_1, I_1, F_1)$  and  $A_2 = (Q_2, \Sigma, \delta_2, I_2, F_2)$ .

Then,  $A_1 \times A_2 = (Q, \Sigma, \delta, I, F)$ , where

$$Q = Q_1 \times Q_2 \times \{1, 2\}.$$

$$I = I_1 \times I_2 \times \{1\}.$$

$$F = F_1 \times Q_2 \times \{1\}.$$

$\langle p, q, 1 \rangle \xrightarrow{a} \langle p', q', 1 \rangle$  iff  $p \xrightarrow{a} p'$  and  $q \xrightarrow{a} q'$  and  $p \notin F_1$ .

$\langle p, q, 1 \rangle \xrightarrow{a} \langle p', q', 2 \rangle$  iff  $p \xrightarrow{a} p'$  and  $q \xrightarrow{a} q'$  and  $p \in F_1$ .

$\langle p, q, 2 \rangle \xrightarrow{a} \langle p', q', 2 \rangle$  iff  $p \xrightarrow{a} p'$  and  $q \xrightarrow{a} q'$  and  $q \notin F_2$ .

$\langle p, q, 2 \rangle \xrightarrow{a} \langle p', q', 1 \rangle$  iff  $p \xrightarrow{a} p'$  and  $q \xrightarrow{a} q'$  and  $q \in F_2$ .

## Theorem

- $\mathcal{L}(A_1 \times A_2) = \mathcal{L}(A_1) \cap \mathcal{L}(A_2)$ .
- $|A_1 \times A_2| \leq 2 \cdot |A_1| \cdot |A_2|$ .

# Synchronous Product of NBAs: Intuition

- The automaton remembers two tracks, one for each source NBA, and it points to one of the two tracks
- As soon as it goes through an accepting state of the current track, it switches to the other track

⇒ to visit infinitely often a state in  $F$  (i.e.,  $F_1$ ), it must visit infinitely often some state also in  $F_2$

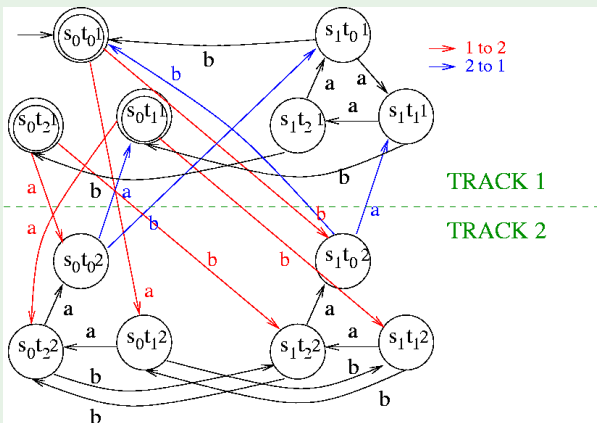
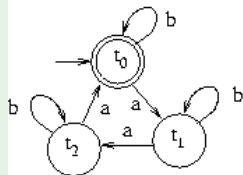
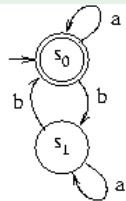
- Important subcase: If  $F_2 = Q_2$ , then

$$Q = Q_1 \times Q_2.$$

$$I = I_1 \times I_2.$$

$$F = F_1 \times Q_2.$$

# Synchronous Product of NBAs: Example



## Closure Properties (2)

Theorem (complementation) [Safra, MacNaughten]

For the NBA  $A_1$  we can construct an NBA  $A_2$  such that  $\mathcal{L}(A_2) = \overline{\mathcal{L}(A_1)}$ .

$|A_2| = O(2^{|A_1| \cdot \log(|A_1|)})$ .

Method: (hint)

- (i) convert a Büchi automaton into a Non-Deterministic Rabin automaton
- (ii) determinize and Complement the Rabin automaton
- (iii) convert the Rabin automaton into a Büchi automaton.

# Generalized Büchi Automaton

## Definition

- A **Generalized Büchi Automaton** is a tuple  $A := (Q, \Sigma, \delta, I, FT)$  where  $FT = \langle F_1, F_2, \dots, F_k \rangle$  with  $F_i \subseteq Q$ .
- A run  $\rho$  of  $A$  is accepting if  $Inf(\rho) \cap F_i \neq \emptyset$  for each  $1 \leq i \leq k$ .

## Theorem

For every Generalized Büchi Automaton we can construct a language equivalent plain Büchi Automaton.

## Intuition

Let  $Q' = Q \times \{1, \dots, K\}$ .

The automaton remains in phase  $i$  till it visits a state in  $F_i$ . Then, it moves to  $(i \bmod K) + 1$  mode.



# De-generalization of a generalized NBA

## Definition: De-generalization of a generalized NBA

Let  $A \stackrel{\text{def}}{=} (Q, \Sigma, \delta, I, FT)$  a generalized BA s.f.  $FT \stackrel{\text{def}}{=} \{F_1, \dots, F_K\}$ .

Then a language-equivalent BA  $A' \stackrel{\text{def}}{=} (Q', \Sigma, \delta', I', F')$  is built as follows

$$Q' = Q_1 \times \{1, \dots, K\}.$$

$$I' = I \times \{1\}.$$

$$F' = F_1 \times \{1\}.$$

$\delta'$  is s.t., for every  $i \in [1, \dots, K]$ :

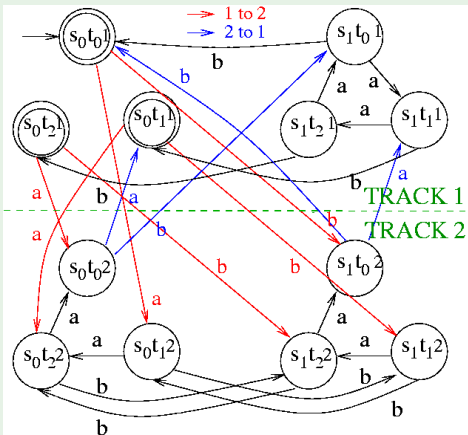
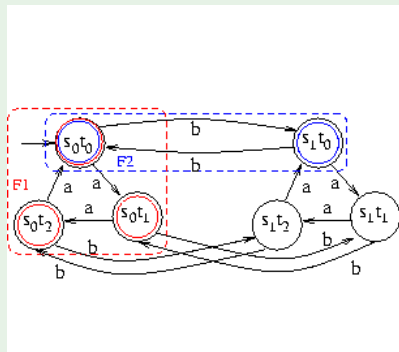
$$\langle p, i \rangle \xrightarrow{a} \langle q, i \rangle \quad \text{iff} \quad p \xrightarrow{a} q \in \delta \quad \text{and} \quad p \notin F_i.$$

$$\langle p, i \rangle \xrightarrow{a} \langle q, (i \bmod K) + 1 \rangle \quad \text{iff} \quad p \xrightarrow{a} q \in \delta \quad \text{and} \quad p \in F_i.$$

## Theorem

- $\mathcal{L}(A') = \mathcal{L}(A)$ .
- $|A'| \leq K \cdot |A|$ .

# Degeneralizing a Büchi automaton: Example



# Omega-regular Expressions

## Definition

A language is called  $\omega$ -regular if it has the form  $\cup_{i=1}^n U_i \cdot (V_i)^\omega$  where  $U_i, V_i$  are regular languages.

## Theorem

A language  $L$  is  $\omega$ -regular iff it is NBA-recognizable.

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# Automata-Theoretic LTL Satisfiability and Entailment

## LTL Validity/Satisfiability

- Let  $\psi$  be an LTL formula

$$\models \psi \quad (\text{LTL})$$

$$\iff \neg\psi \text{ \textit{unsat}}$$

$$\iff \mathcal{L}(A_{\neg\psi}) = \emptyset$$

- $A_{\neg\psi}$  is a **Büchi Automaton** which represents all and only the paths that satisfy  $\neg\psi$  (do not satisfy  $\psi$ )

## LTL Entailment

- Let  $\varphi, \psi$  be an LTL formula

$$\varphi \models \psi \quad (\text{LTL})$$

$$\models \varphi \rightarrow \psi \quad (\text{LTL})$$

$$\iff \varphi \wedge \neg\psi \text{ \textit{unsat}}$$

$$\iff \mathcal{L}(A_{\varphi \wedge \neg\psi}) = \emptyset$$

- $A_{\varphi \wedge \neg\psi}$  is a **Büchi Automaton** which represents all and only the paths that satisfy  $\varphi \wedge \neg\psi$  (satisfy  $\varphi$  and do not satisfy  $\psi$ )

# Automata-Theoretic LTL Satisfiability and Entailment

Two steps for checking  $\models \psi$  [resp.  $\varphi \models \psi$ ]

- (i) Compute  $A_{\neg\psi}$  [resp.  $A_{\varphi \wedge \neg\psi}$ ]
- (ii) Check the emptiness of  $\mathcal{L}(A_{\neg\psi})$  [resp.  $\mathcal{L}(A_{\varphi \wedge \neg\psi})$ ]

# Automata-Theoretic LTL Model Checking

## LTL Model Checking

- Let  $M$  be a Kripke model and  $\psi$  be an LTL formula

$$M \models \psi \quad (\text{LTL})$$

$$\iff \mathcal{L}(M) \subseteq \mathcal{L}(\psi)$$

$$\iff \mathcal{L}(M) \cap \mathcal{L}(\psi) = \mathcal{L}(M)$$

$$\iff \mathcal{L}(M) \cap \mathcal{L}(\neg\psi) = \emptyset$$

$$\iff \mathcal{L}(A_M) \cap \mathcal{L}(A_{\neg\psi}) = \emptyset$$

$$\iff \mathcal{L}(A_M \times A_{\neg\psi}) = \emptyset$$

- $A_M$  is a **Büchi Automaton** equivalent to  $M$  (which represents all and only the executions of  $M$ )

- $A_{\neg\psi}$  is a **Büchi Automaton** which represents all and only the paths that satisfy  $\neg\psi$  (do not satisfy  $\psi$ )

$\implies A_M \times A_{\neg\psi}$  represents all and only the paths appearing in  $M$  and not in  $\psi$ .



## Four steps

Let  $\varphi \stackrel{\text{def}}{=} \neg\psi$ :

- (i) Compute  $A_M$
- (ii) Compute  $A_\varphi$
- (iii) Compute the product  $A_M \times A_\varphi$
- (iv) Check the emptiness of  $\mathcal{L}(A_M \times A_\varphi)$

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# NBA emptiness checking

- Find an accepting cycle reachable from an initial state.

- A naive algorithm:

- (i) a DFS finds the final states  $f$  reachable from an initial state;
- (ii) for each  $f$ , a second DFS finds if it can reach  $f$   
(i.e., if there exists a loop)

Complexity:  $O(n^2)$

- SCC-based algorithm:

- (i) Tarjan's algorithm uses a DFS to find the SCCs in linear time;
- (ii) drop all SCCs which do not have at least one arc, and which do not contain at least one accepting state  $f$
- (iii) another DFS finds if the union of non-trivial SCCs is reachable from an initial state.

Complexity:  $O(n)$

- Drawbacks: it stores too much information and does not find directly a counterexample.

# Double Nested DFS algorithm

## Double Nested DFS

- Two nested DFSs
    - $DFS_1$  finds the final states  $f$  reachable from an initial state
    - for each  $f$ ,  $DFS_2$  finds if it can reach  $f$  (i.e., if there exists a loop)
  - Two Hash tables:
    - $T_1$ : reachable states
    - $T_2$ : states reachable from a reachable final state
  - Two stacks:
    - $S_1$ : current branch of states reachable
    - $S_2$ : current branch of states reachable from final state  $f$
  - It stops as soon as it finds a counterexample.
  - The counterexample is given by
    - the stack of  $DFS_2$  (an accepting, preceded by cycle)
    - the stack of  $DFS_1$  (a path from an initial state to the cycle)
- 
- $DFS_1$  invokes  $DFS_2$  on each  $f_i$  only after popping it (postorder)
  - $T_2$  passed by reference, is not reset at each call of  $DFS_2$  !

## Double Nested DFS - First DFS

```
// returns True if empty language, false otherwise
Bool DFS1(NBA A) {
    stack S1=I; stack S2=∅;
    Hashtable T1=I; Hashtable T2=∅;
    while S1!=∅ {
        v=top(S1);
        if ∃w s.t. w∈δ(v) && T1(w)==0 {
            hash(w,T1);
            push(w,S1);
        } else {
            pop(S1);
            if (v∈F && !DFS2(v,S2,T2,A))
                return False;
        }
    }
    return True;
}
```

## Double Nested DFS - Second DFS

```
Bool DFS2(state f, stack & S, Hashtable & T, NBA A) {
    hash(f, T);
    S = {f}
    while S !=  $\emptyset$  {
        v = top(S);
        if  $f \in \delta(v)$  return False;
        if  $\exists w$  s.t.  $w \in \delta(v)$  &&  $T(w) == 0$  {
            hash(w);
            push(w);
        } else pop(S);
    }
    return True;
}
```

Remark:  $T$  passed by reference, is not reset at each call of `DFS2` !

## Double nested DFS: Intuition

DFS1 invokes DFS2 on each  $f_1, \dots, f_n$  only after popping it (postorder):

- suppose  $DFS2$  is invoked on  $f_j$  before than on  $f_i$

⇒  $f_i$  not reachable from (any state  $s$  which is reachable from)  $f_j$

- If during  $DFS2(f_j, \dots)$  it is encountered a state  $S$  which has already been explored by  $DFS2(f_j, \dots)$  for some  $f_j$ ,
  - can we reach  $f_i$  from  $S$ ?
  - No, because  $f_i$  is not reachable from  $f_j$ !

⇒ It is safe to backtrack!

## Double nested DFS: Intuition

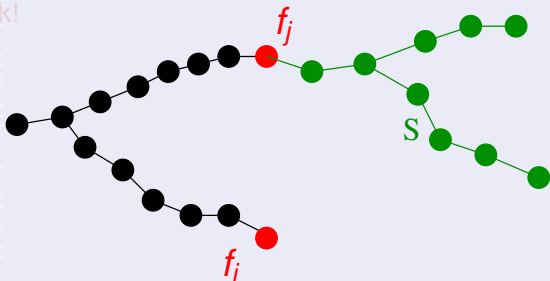
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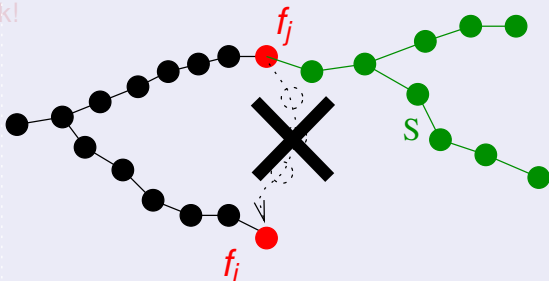
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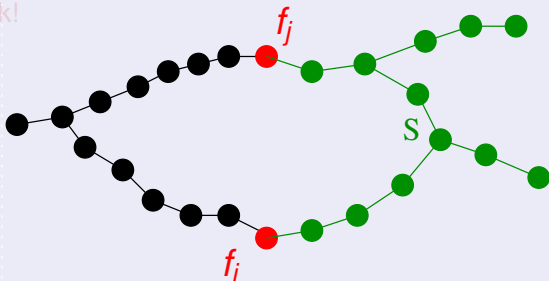
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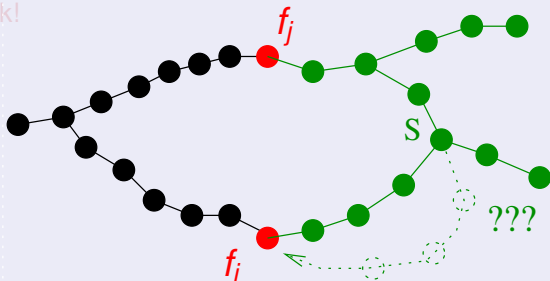
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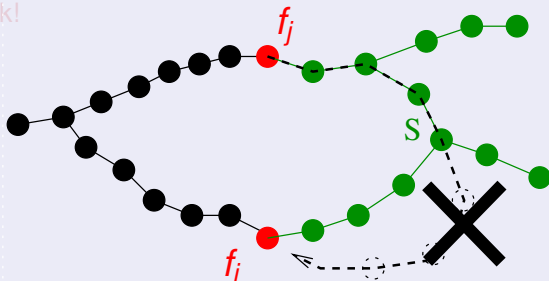
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⇒  $f_i$  not reachable from (any state  $s$  which is reachable from)  $f_j$

- If during  $DFS2(f_i, \dots)$  it is encountered a state  $S$  which has already been explored by  $DFS2(f_j, \dots)$  for some  $f_j$ ,
  - can we reach  $f_i$  from  $S$ ?
  - No, because  $f_i$  is not reachable from  $f_j$ !

⇒ It is safe to backtrack!



## Double nested DFS: Intuition

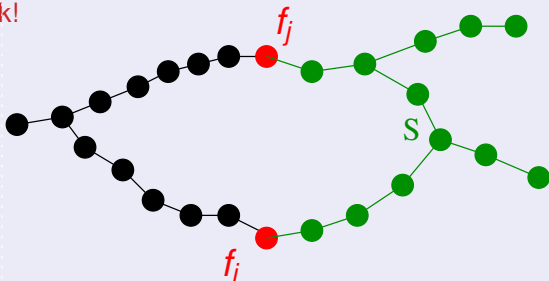
DFS1 invokes DFS2 on each  $f_1, \dots, f_n$  only after popping it (postorder):

- suppose  $DFS2$  is invoked on  $f_j$  before than on  $f_i$

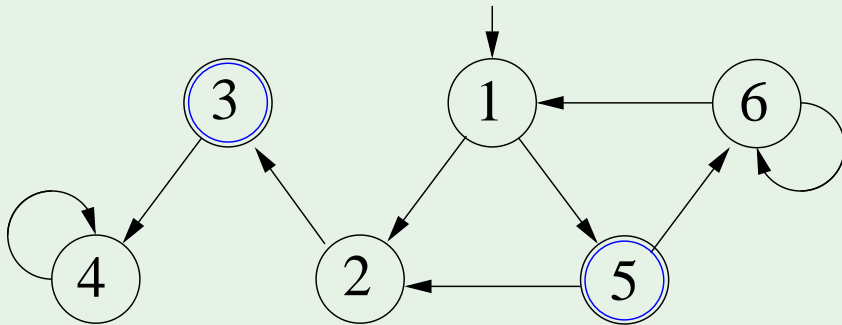
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## Double Nested DFS: example



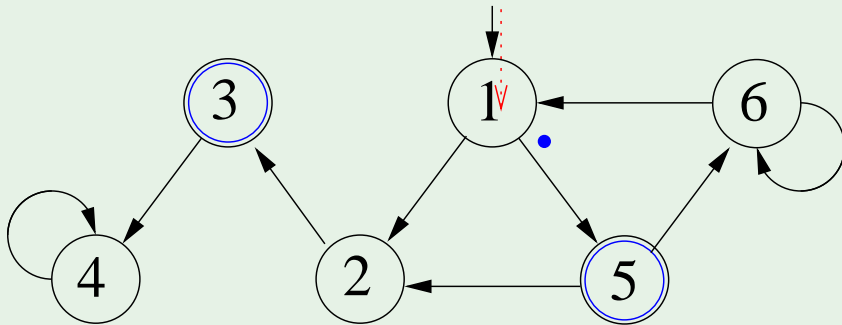
T1

S1

T2

S2

# Double Nested DFS: example



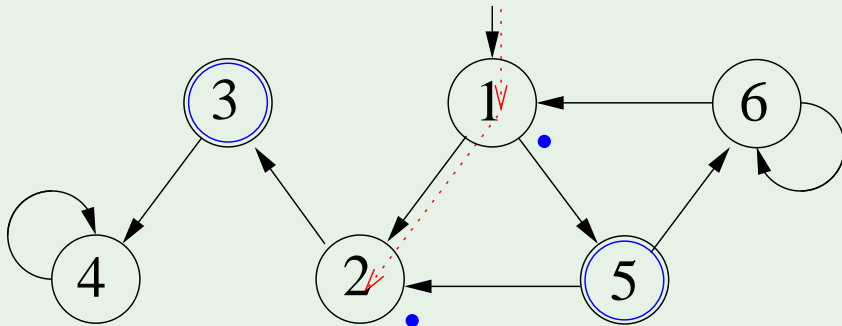
T1 1

S1 1

T2

S2

# Double Nested DFS: example



T1 12

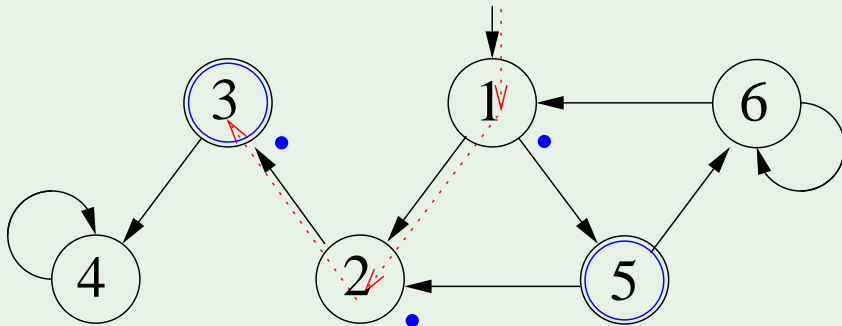
S1 12

T2

S2



# Double Nested DFS: example



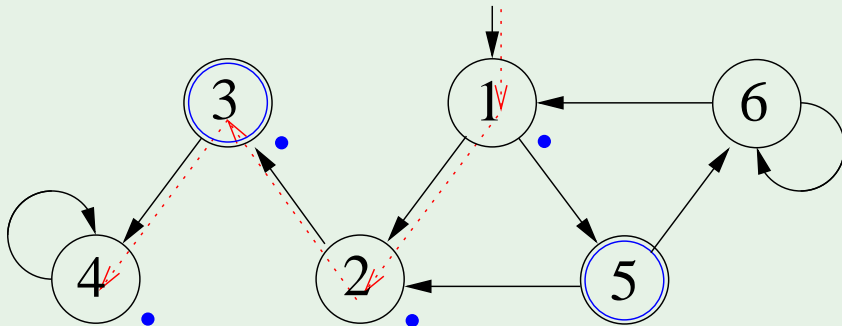
T1 1 2 3

S1 1 2 3

T2

S2

# Double Nested DFS: example



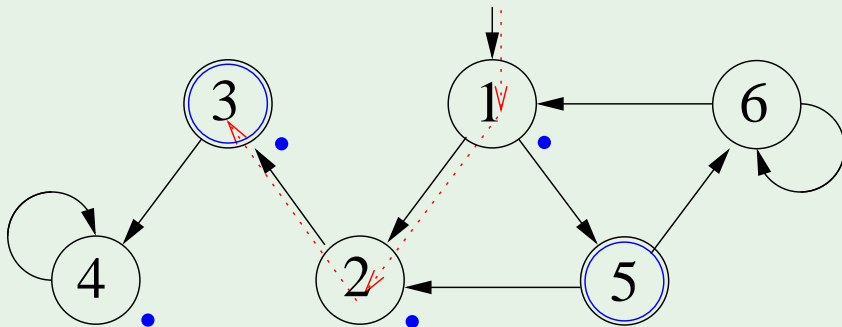
T1 1 2 3 4

S1 1 2 3 4

T2

S2

## Double Nested DFS: example



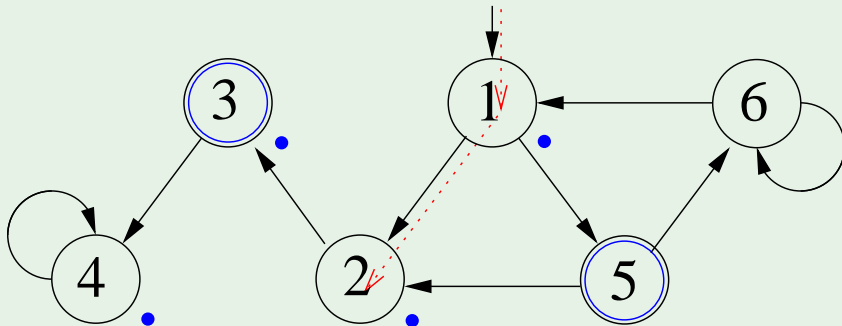
T1 1 2 3 4

S1 1 2 3

T2

S2

# Double Nested DFS: example



T1 1 2 3 4

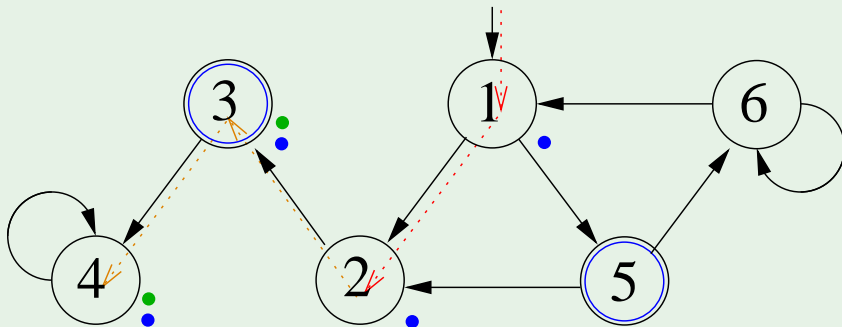
S1 1 2

T2

S2



## Double Nested DFS: example



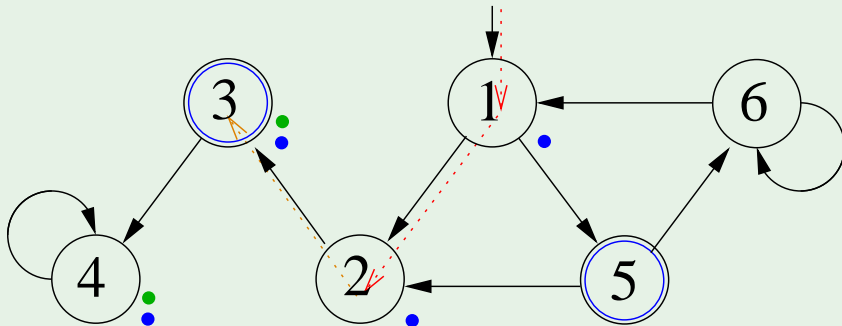
T1 1 2 3 4

S1 1 2

T2 3 4

S2 3 4

## Double Nested DFS: example



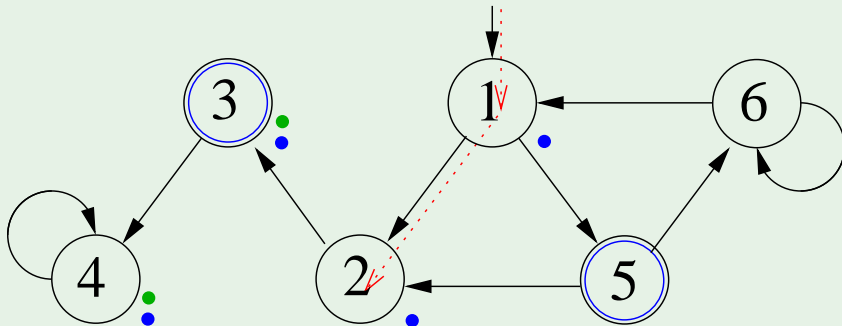
T1 1 2 3 4

T2 3 4

S1 1 2

S2 3

# Double Nested DFS: example



T1 1 2 3 4

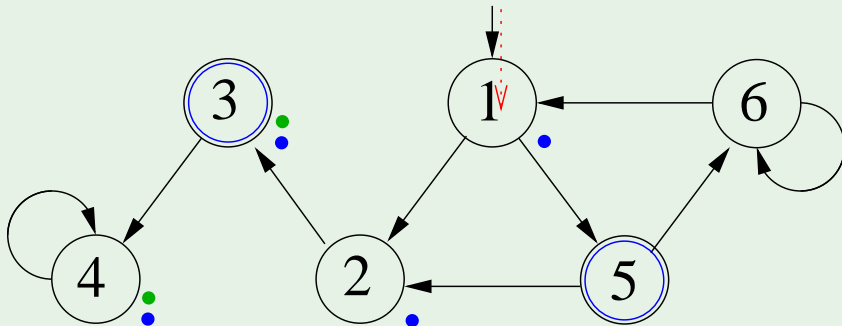
S1 1 2

T2 3 4

S2



## Double Nested DFS: example



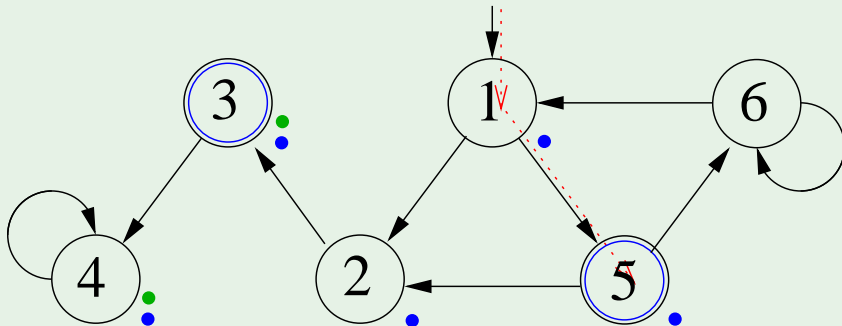
T1 1 2 3 4

T2 3 4

S1 1

S2

# Double Nested DFS: example



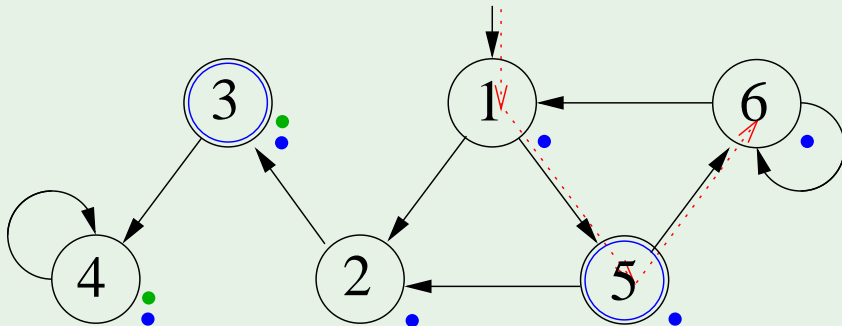
T1 12345

S1 15

T2 34

S2

# Double Nested DFS: example



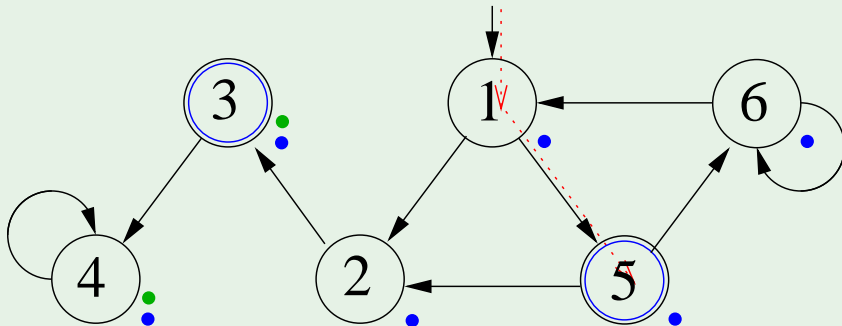
T1 1 2 3 4 5 6

S1 1 5 6

T2 3 4

S2

## Double Nested DFS: example



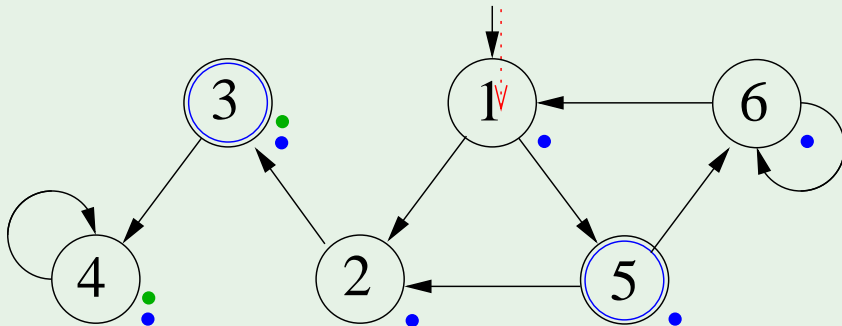
T1 123456

S1 15

T2 34

S2

## Double Nested DFS: example



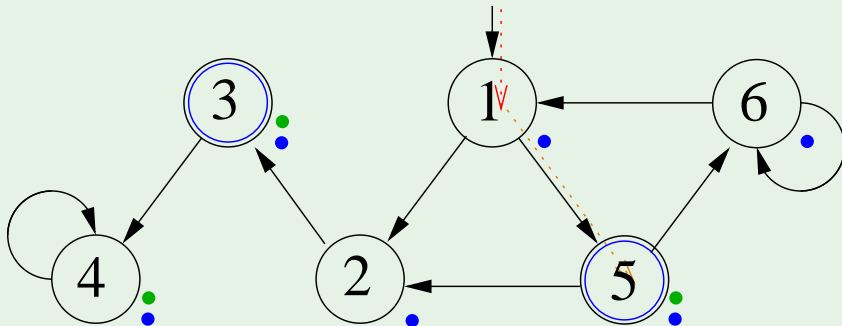
T1 1 2 3 4 5 6

T2 3 4

S1 1

S2

# Double Nested DFS: example



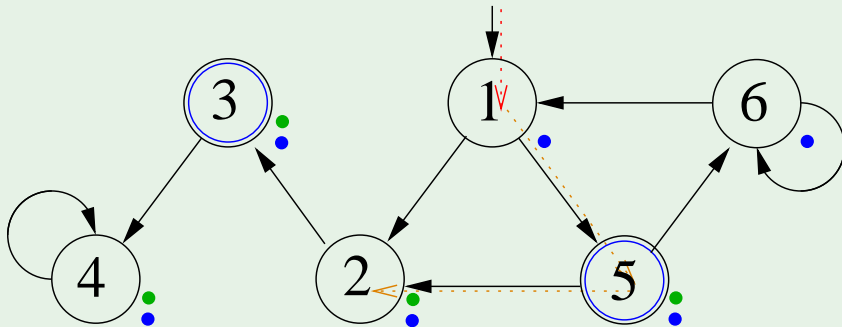
T1 1 2 3 4 5 6

T2 3 4 5

S1 1

S2 5

# Double Nested DFS: example



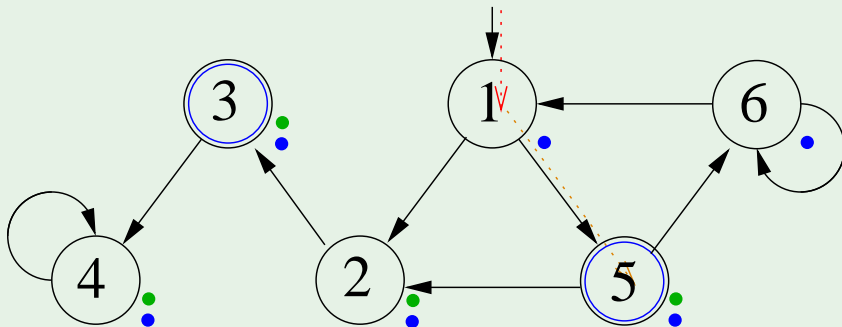
T1 1 2 3 4 5 6

S1 1

T2 3 4 5 2

S2 5 2

## Double Nested DFS: example



T1 1 2 3 4 5 6

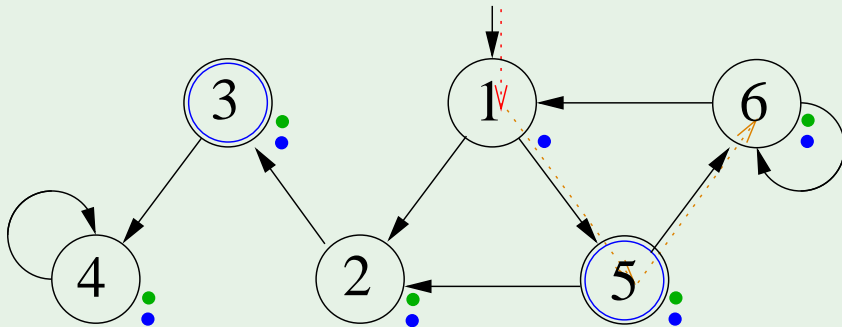
T2 3 4 5 2

S1 1

S2 5



# Double Nested DFS: example



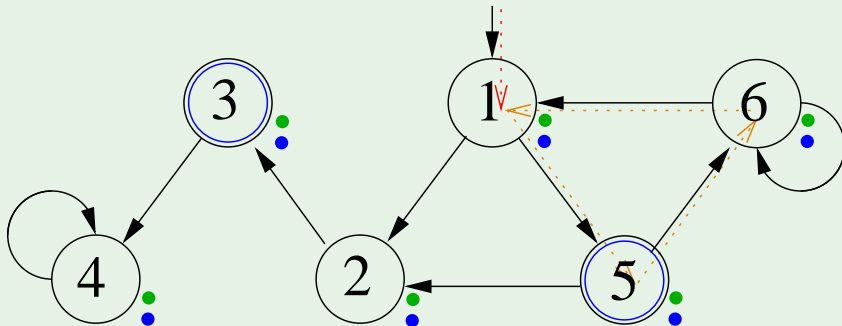
T1 1 2 3 4 5 6

T2 3 4 5 2 6

S1 1

S2 5 6

# Double Nested DFS: example



T1 1 2 3 4 5 6

S1 1

T2 3 4 5 2 6 1

S2 5 6 1

- 1 Büchi Automata
- 2 **The Automata-Theoretic Approach to LTL Reasoning**
  - General Ideas
  - Language-Emptiness Checking of Büchi Automata
  - **From Kripke Models to Büchi Automata**
  - From LTL Formulas to Büchi Automata
  - Complexity
- 3 Exercises

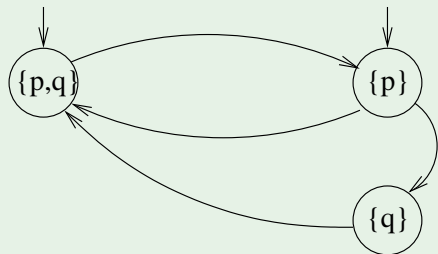
# Computing an NBA $A_M$ from a Kripke Structure $M$

- Transform a Kripke model  $M = \langle S, S_0, R, L, AP \rangle$  into an NBA  $A_M = \langle Q, \Sigma, \delta, I, F \rangle$  s.t.:
  - States:  $Q := S \cup \{init\}$ ,  $init$  being a new initial state
  - Alphabet:  $\Sigma := 2^{AP}$
  - Initial State:  $I := \{init\}$
  - Accepting States:  $F := Q = S \cup \{init\}$
  - Transitions:

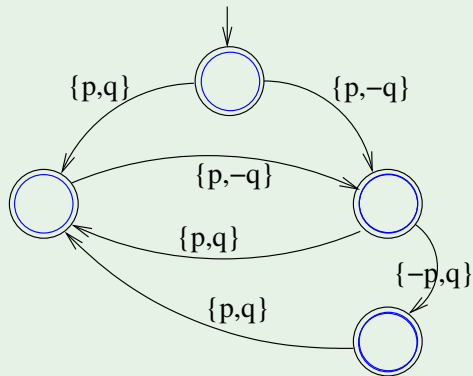
$$\delta : \begin{aligned} q &\xrightarrow{a} q' \text{ iff } (q, q') \in R \text{ and } L(q') = a \\ init &\xrightarrow{a} q \text{ iff } q \in S_0 \text{ and } L(q) = a \end{aligned}$$

- $\mathcal{L}(A_M) = \mathcal{L}(M)$
- $|A_M| = |M| + 1$

## Computing a NBA $A_M$ from a Kripke Structure $M$ : Example



Kripke Structure



Buechi Automaton

$\Rightarrow$  Substantially, add one initial state, move labels from states to incoming edges, set all states as accepting states

## Labels on Kripke Structures and BA's - Remark

Note that the labels of a Büchi Automaton are different from the labels of a Kripke Structure. Also graphically, they are interpreted differently:



- in a Kripke Structure, it means that  $p$  is true and all other propositions are false;
- in a Büchi Automaton, it means that  $p$  is true and all other propositions are irrelevant (“don’t care”), i.e. they can be either true or false.

- 1 Büchi Automata
- 2 The Automata-Theoretic Approach to LTL Reasoning**
  - General Ideas
  - Language-Emptiness Checking of Büchi Automata
  - From Kripke Models to Büchi Automata
  - From LTL Formulas to Büchi Automata**
  - Complexity
- 3 Exercises

# Translation problem

## Problem

Given an LTL formula  $\phi$ , find a Büchi Automaton that accepts the same language of  $\phi$ .

- It is a fundamental problem in LTL validity/satisfiability/entailment e model checking
- We translate an LTL formula into a Generalized Büchi Automata (GBA), then into an NBA



# LTL Negative Normal Form (NNF)

- Every LTL formula  $\varphi$  can be written into an equivalent formula  $\varphi'$  using only the operators  $\wedge$ ,  $\vee$ , **X**, **U**, **R** on propositional literals.

$$\neg(\varphi_1 \vee \varphi_2) \implies (\neg\varphi_1 \wedge \neg\varphi_2)$$

$$\neg(\varphi_1 \wedge \varphi_2) \implies (\neg\varphi_1 \vee \neg\varphi_2)$$

- Done by pushing negations down to literal level:

$$\neg\mathbf{X}\varphi_1 \implies \mathbf{X}\neg\varphi_1$$

$$\neg(\varphi_1 \mathbf{U}\varphi_2) \implies (\neg\varphi_1 \mathbf{R}\neg\varphi_2)$$

$$\neg(\varphi_1 \mathbf{R}\varphi_2) \implies (\neg\varphi_1 \mathbf{U}\neg\varphi_2)$$

$\implies$  The resulting formula is expressed in terms of  $\vee$ ,  $\wedge$ , **X**, **U**, **R** and literals (Negative Normal Form, NNF).

- encoding linear if a DAG representation is used
- In the construction of  $A_\varphi$  we now assume that  $\varphi$  is in NNF.  
 $\implies$  every non-atomic subformula occurs positively in  $\varphi$
- For convenience, we still use **F**'s and **G**'s as shortcuts: **F** $\varphi$  for  $\top\mathbf{U}\varphi$  and **G** $\varphi$  for  $\perp\mathbf{R}\varphi$

## On-the-fly Construction of $A_\varphi$ (Intuition)

Apply recursively the following steps:

**Step 1:** Apply the tableau expansion rules to  $\varphi$ :

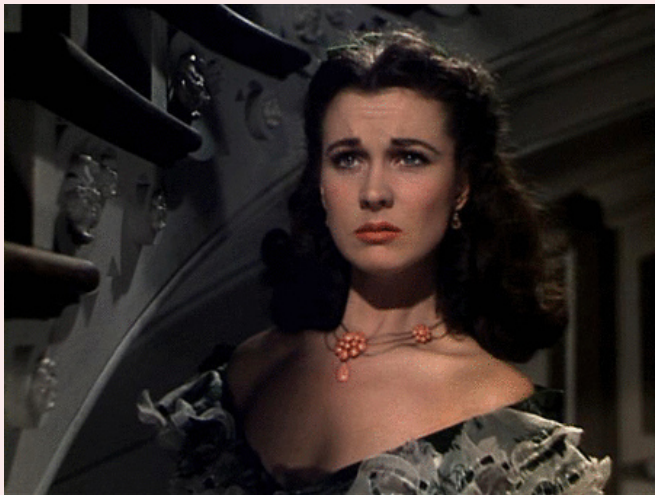
$\psi_1 \mathbf{U} \psi_2 \implies \psi_2 \vee (\psi_1 \wedge \mathbf{X}(\psi_1 \mathbf{U} \psi_2))$  [and  $\mathbf{F}\psi \implies \psi \vee \mathbf{X}\mathbf{F}\psi$ ]

$\psi_1 \mathbf{R} \psi_2 \implies \psi_2 \wedge (\psi_1 \vee \mathbf{X}(\psi_1 \mathbf{R} \psi_2))$  [and  $\mathbf{G}\psi \implies \psi \wedge \mathbf{X}\mathbf{G}\psi$ ]

until we get a Boolean combination of **elementary subformulas** of  $\varphi$

(An elementary formula is a proposition or a  $\mathbf{X}$ -formula.)

## Tableaux Rules: a Quote



*"After all... tomorrow is another day."  
[Scarlett O'Hara, "Gone with the Wind"]*

## On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

**Step 2:** Convert all formulas into Disjunctive Normal Form, and then push the conjunctions inside the next:

$$\varphi \implies \bigvee_i \left( \bigwedge_j l_{ij} \wedge \mathbf{X} \bigwedge_k \psi_{ik} \right) \implies \bigvee_i \left( \bigwedge_j l_{ij} \wedge \mathbf{X} \bigwedge_k \psi_{ik} \right).$$

- Each disjunct  $\left( \overbrace{\bigwedge_j l_{ij}}^{\text{labels}} \wedge \mathbf{X} \overbrace{\bigwedge_k \psi_{ik}}^{\text{next part}} \right)$  represents a state:
  - the conjunction of literals  $\bigwedge_j l_{ij}$  represents **a set of labels in  $\Sigma$**   
(e.g., if  $\text{Vars}(\varphi) = \{p, q, r\}$ ,  $p \wedge \neg q$  represents the two labels  $\{p, \neg q, r\}$  and  $\{p, \neg q, \neg r\}$  )
  - $\mathbf{X} \bigwedge_k \psi_{ik}$  represents the **next part** of the state  
(obligations for the successors)
- N.B., if no next part occurs, **XT** is implicitly assumed

## On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

**Step 3:** For every state  $S_i$  represented by  $(\bigwedge_j l_{ij} \wedge \mathbf{X} \overbrace{\bigwedge_k \psi_{ik}}^{\varphi_i})$

- label the incoming edges of  $S_i$  with  $\bigwedge_j l_{ij}$
- mark that the state  $S_i$  satisfies  $\varphi$
- apply recursively steps 1-2-3 to  $\varphi_i \stackrel{\text{def}}{=} \bigwedge_k \psi_{ik}$ ,
  - rewrite  $\varphi_i$  into  $\bigvee_{i'j'} (\bigwedge_j l'_{i'j'} \wedge \mathbf{X} \bigwedge_k \psi'_{i'k})$
  - from each disjunct  $(\bigwedge_j l'_{i'j'} \wedge \mathbf{X} \bigwedge_k \psi'_{i'k})$  generate a new state  $S_{ii'}$  (if not already present) and label it as satisfying  $\varphi_i \stackrel{\text{def}}{=} \bigwedge_k \psi_{ik}$
- draw an edge from  $S_i$  to all states  $S_{ii'}$  which satisfy  $\bigwedge_k \psi_{ik}$
- (if no next part occurs,  $\mathbf{X}\top$  is implicitly assumed, so that an edge to a “true” node is drawn)

# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

$\varphi$  ??



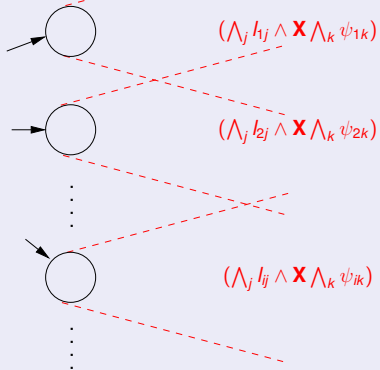
# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

$$\forall_i (\bigwedge_j l_{ij} \wedge \mathbf{x} \bigwedge_k \psi_{ik}) !$$



# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

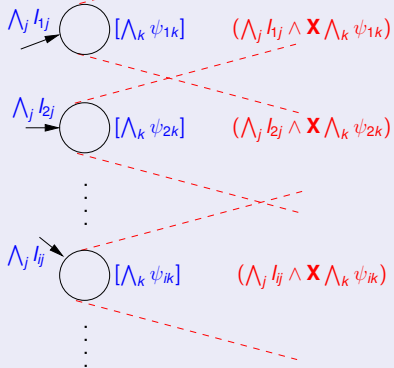
$$\forall_i (\bigwedge_j l_{ij} \wedge \mathbf{X} \bigwedge_k \psi_{ik}) !$$



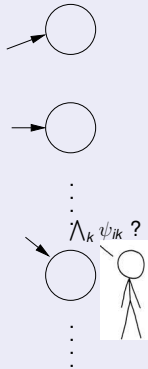


# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

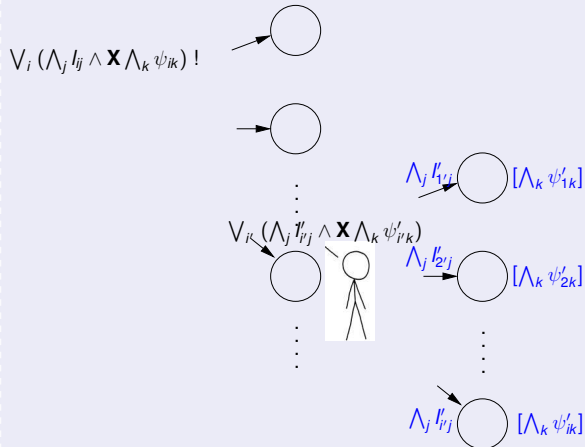
$\forall_i (\bigwedge_j l_{ij} \wedge \mathbf{X} \bigwedge_k \psi_{ik}) !$



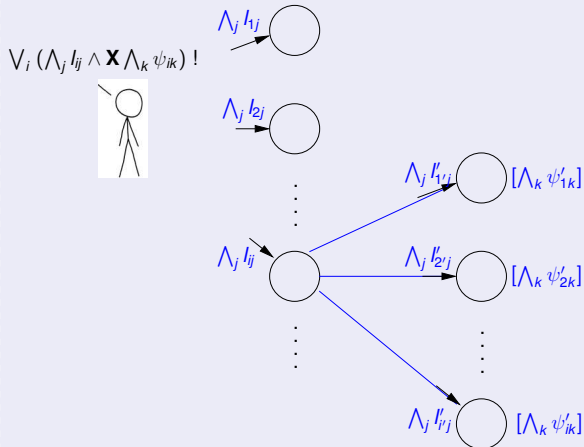
# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]



# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]



# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]



## On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

When the recursive applications of steps 1-3 has terminated and the automata graph has been built, then apply the following:

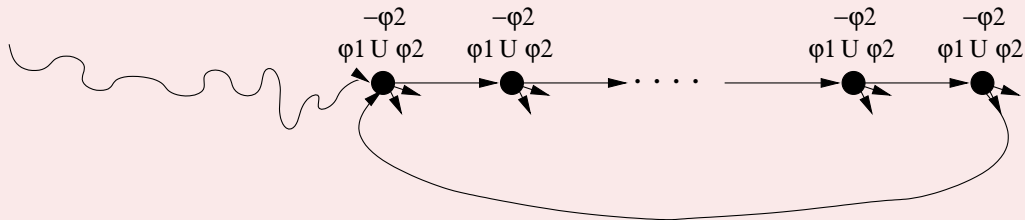
**Step 4:** For every  $\psi_i \mathbf{U} \varphi_i$ , for every state  $q_j$ , mark  $q_j$  with  $F_i$  iff  $(\psi_i \mathbf{U} \varphi_i) \notin q_j$  or  $\varphi_i \in q_j$   
(If there is no  $\mathbf{U}$ -subformulas, then mark all states with  $F_1$   
—i.e.,  $FT \stackrel{\text{def}}{=} \{Q\}$ ).

### Remark

The fact that we initially converted the formula into NNF guarantees that only positive  $\mathbf{U}/\mathbf{F}$ -subformulas and negative  $\mathbf{R}/\mathbf{G}$ -subformulas are considered here

# Dealing with U-subformulas: Intuition

- Tableaux rules:  $\varphi_1 \mathbf{U} \varphi_2 \iff (\varphi_2 \vee (\varphi_1 \wedge \mathbf{X} \varphi_1 \mathbf{U} \varphi_2))$   
are a **property**, not a **definition** of **U**:  
 $\implies$  they implicitly admit a “weaker” semantics of  $\varphi_1 \mathbf{U} \varphi_2$ , in which  $\varphi_1 \mathbf{U} \varphi_2$  always holds and  $\varphi_2$  never holds
- It cannot happen that we get into a state  $s'$  from which we can enter a path  $\pi'$  in which  $\varphi_1 \mathbf{U} \varphi_2$  holds forever and  $\varphi_2$  never holds.



$\implies$  every legal path must touch infinitely often a state where  $\neg(\varphi_1 \mathbf{U} \varphi_2) \vee \varphi_2$  holds

- In LTL: **GF**( $\neg(\varphi_1 \mathbf{U} \varphi_2) \vee \varphi_2$ ) (“avoid bad loop”)

# On-the-fly Construction of $A_\varphi$ - State

- Henceforth, a state is represented by a tuple  $s := \langle \lambda, \chi, \sigma \rangle$  where:
  - $\lambda$  is the set of labels
  - $\chi$  is the next part, i.e. the set of  $X$ -formulas satisfied by  $s$
  - $\sigma$  is the set of the subformulas of  $\varphi$  satisfied by  $s$  (necessary for the fairness definition)
- Given a set of LTL formulas  $\Psi \stackrel{\text{def}}{=} \{\psi_1, \dots, \psi_k\}$ , we define  $\text{Cover}(\Psi) \stackrel{\text{def}}{=} \text{Expand}(\Psi, \langle \emptyset, \emptyset, \emptyset \rangle)$  to be the set of initial states of the Buchi automaton representing  $\bigwedge_j \psi_j$ .
  - $\text{Expand}(\Psi, s)$  takes as input:
    - a set of LTL formulas  $\Psi \stackrel{\text{def}}{=} \{\psi_1, \dots, \psi_k\}$  to be expanded
    - a state  $s \stackrel{\text{def}}{=} \langle \lambda, \chi, \sigma \rangle$  under constructionand returns a set of states  $\{\langle \lambda_i, \chi_i, \sigma_i \rangle\}_i$  representing the expansion of  $\Psi$
  - Combines steps 1. and 2. of previous slides

# On-the-fly Construction of $A_\varphi$ - Expand

Given  $\Psi \stackrel{\text{def}}{=} \{\psi_1, \dots, \psi_k\}$  and  $s \stackrel{\text{def}}{=} \langle \lambda, \chi, \sigma \rangle$ , we define *Expand*( $\Psi, s$ ) recursively as follows:

- if  $\Psi = \emptyset$ , *Expand*( $\Psi, s$ ) =  $\{s\}$
- if  $\perp \in \Psi$ , *Expand*( $\Psi, s$ ) =  $\emptyset$
- if  $\top \in \Psi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  
*Expand*( $\Psi, s$ ) = *Expand*( $\Psi \setminus \{\top\}, \langle \lambda, \chi, \sigma \cup \{\top\} \rangle$ )
- if  $l \in \Psi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  $l$  propositional literal  
*Expand*( $\Psi, s$ ) = *Expand*( $\Psi \setminus \{l\}, \langle \lambda \cup \{l\}, \chi, \sigma \cup \{l\} \rangle$ )  
(add  $l$  to the labels of  $s$  and to set of satisfied formulas)
- if  $\mathbf{X}\psi \in \Psi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  
*Expand*( $\Psi, s$ ) = *Expand*( $\Psi \setminus \{\mathbf{X}\psi\}, \langle \lambda, \chi \cup \{\psi\}, \sigma \cup \{\mathbf{X}\psi\} \rangle$ )  
(add  $\psi$  to the next part of  $s$  and  $\mathbf{X}\psi$  to set of satisfied formulas)
- if  $\psi_1 \wedge \psi_2 \in \Psi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  
*Expand*( $\Psi, s$ ) = *Expand*( $\Psi \cup \{\psi_1, \psi_2\} \setminus \{\psi_1 \wedge \psi_2\}, \langle \lambda, \chi, \sigma \cup \{\psi_1 \wedge \psi_2\} \rangle$ )  
(process both  $\psi_1$  and  $\psi_2$  and add  $\psi_1 \wedge \psi_2$  to  $\sigma$ )
- ...



# On-the-fly Construction of $A_\varphi$ - Expand

Given  $\Psi \stackrel{\text{def}}{=} \{\psi_1, \dots, \psi_k\}$  and  $s \stackrel{\text{def}}{=} \langle \lambda, \chi, \sigma \rangle$ , we define  $\text{Expand}(\Psi, s)$  recursively as follows:

- ...
- if  $\psi_1 \vee \psi_2 \in \Psi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  
$$\text{Expand}(\Psi, s) = \text{Expand}(\Psi \cup \{\psi_1\} \setminus \{\psi_1 \vee \psi_2\}, \langle \lambda, \chi, \sigma \cup \{\psi_1 \vee \psi_2\} \rangle)$$
$$\cup \text{Expand}(\Psi \cup \{\psi_2\} \setminus \{\psi_1 \vee \psi_2\}, \langle \lambda, \chi, \sigma \cup \{\psi_1 \vee \psi_2\} \rangle)$$

(split  $s$  into two copies, process  $\psi_2$  on the first,  $\psi_1$  on the second, add  $\psi_1 \vee \psi_2$  to  $\sigma$ )
- if  $\psi_1 \mathbf{U} \psi_2 \in \Psi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  
$$\text{Expand}(\Psi, s) = \text{Expand}(\Psi \cup \{\psi_1\} \setminus \{\psi_1 \mathbf{U} \psi_2\}, \langle \lambda, \chi \cup \{\psi_1 \mathbf{U} \psi_2\}, \sigma \cup \{\psi_1 \mathbf{U} \psi_2\} \rangle)$$
$$\cup \text{Expand}(\Psi \cup \{\psi_2\} \setminus \{\psi_1 \mathbf{U} \psi_2\}, \langle \lambda, \chi, \sigma \cup \{\psi_1 \mathbf{U} \psi_2\} \rangle)$$

(split  $s$  into two copies and process  $\psi_1$  on the first,  $\psi_2$  on the second, add  $\psi_1 \mathbf{U} \psi_2$  to  $\sigma$ )
- if  $\psi_1 \mathbf{R} \psi_2 \in \Psi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  
$$\text{Expand}(\Psi, s) = \text{Expand}(\Psi \cup \{\psi_2\} \setminus \{\psi_1 \mathbf{R} \psi_2\}, \langle \lambda, \chi \cup \{\psi_1 \mathbf{R} \psi_2\}, \sigma \cup \{\psi_1 \mathbf{R} \psi_2\} \rangle)$$
$$\cup \text{Expand}(\Psi \cup \{\psi_1, \psi_2\} \setminus \{\psi_1 \mathbf{R} \psi_2\}, \langle \lambda, \chi, \sigma \cup \{\psi_1 \mathbf{R} \psi_2\} \rangle)$$

(split  $s$  into two copies and process  $\psi_1$  on the first,  $\psi_2$  on the second, add  $\psi_1 \mathbf{R} \psi_2$  to  $\sigma$ )

# On-the-fly Construction of $A_\varphi$ - Expand

Two relevant subcases:  $\mathbf{F}\psi \stackrel{\text{def}}{=} \top \mathbf{U}\psi$  and  $\mathbf{G}\psi \stackrel{\text{def}}{=} \perp \mathbf{R}\psi$

- if  $\mathbf{F}\psi \in \Psi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,

$$\begin{aligned} \text{Expand}(\Psi, s) = & \text{Expand}(\Psi \setminus \{\mathbf{F}\psi\}, \langle \lambda, \chi \cup \{\mathbf{F}\psi\}, \sigma \cup \{\mathbf{F}\psi\} \rangle) \\ & \cup \text{Expand}(\Psi \cup \{\psi\} \setminus \{\mathbf{F}\psi\}, \langle \lambda, \chi, \sigma \cup \{\mathbf{F}\psi\} \rangle) \end{aligned}$$

- if  $\mathbf{G}\psi \in \Psi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,

$$\text{Expand}(\Psi, s) = \text{Expand}(\Psi \cup \{\psi\} \setminus \{\mathbf{G}\psi\}, \langle \lambda, \chi \cup \{\mathbf{G}\psi\}, \sigma \cup \{\mathbf{G}\psi\} \rangle)$$

(Note:  $\text{Expand}(\Psi \cup \{\perp, \psi\} \setminus \{\mathbf{G}\psi\}, \dots) = \emptyset$ .)

## Definition of $A_\varphi$

Given a set of LTL formulas  $\Psi$ , we define  $Cover(\Psi) \stackrel{\text{def}}{=} Expand(\Psi, \langle \emptyset, \emptyset, \emptyset \rangle)$ .

For an LTL formula  $\varphi$ , we construct a Generalized NBA  $A_\varphi = (Q, \Sigma, \delta, I, FT)$  as follows:

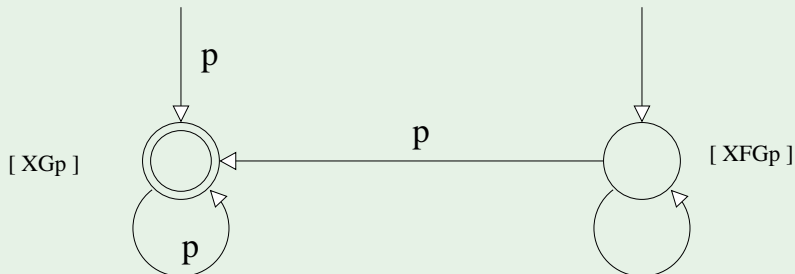
- $\Sigma = 3^{vars(\varphi)}$  ( $v \in \{T, \perp, *\}$ , “\*” is “don’t care”)
- $Q$  is the smallest set such that
  - $Cover(\{\varphi\}) \subseteq Q$
  - if  $\langle \lambda, \chi, \sigma \rangle \in Q$ , then  $Cover(\chi) \in Q$
- $Q_0 = Cover(\{\varphi\})$ .
- $s \xrightarrow{\lambda'} s' \in \delta$  iff,  $s = \langle \lambda, \chi, \sigma \rangle$ ,  $s' = \langle \lambda', \chi', \sigma' \rangle$  and  $s' \in Cover(\chi)$
- $FT = \langle F_1, F_2, \dots, F_k \rangle$  where, for all  $(\psi_i \mathbf{U} \varphi_i)$  occurring positively in  $\varphi$ ,  
 $F_i = \{ \langle \lambda, \chi, \sigma \rangle \in Q \mid (\psi_i \mathbf{U} \varphi_i) \notin \sigma \text{ or } \varphi_i \in \sigma \}$ .  
(If there is no  $\mathbf{U}$ -subformulas, then  $FT \stackrel{\text{def}}{=} \{Q\}$ ).

## Example: $\varphi = \mathbf{FG}p$

- $Cover(\{\mathbf{FG}p\})$   
=  $Expand(\{\mathbf{FG}p\}, \langle \emptyset, \emptyset, \emptyset \rangle)$   
=  $Expand(\emptyset, \langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle) \cup Expand(\{\mathbf{G}p\}, \langle \emptyset, \emptyset, \{\mathbf{FG}p\} \rangle)$   
=  $\{\langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle\} \cup Expand(\{p\}, \langle \emptyset, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p\} \rangle)$   
=  $\{\langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle\} \cup Expand(\emptyset, \langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p, p\} \rangle)$   
=  $\{\langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle, \langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p, p\} \rangle\}$
- $Cover(\{\mathbf{G}p\})$  =  $Expand(\{\mathbf{G}p\}, \langle \emptyset, \emptyset, \emptyset \rangle)$   
=  $Expand(\{p\}, \langle \emptyset, \{\mathbf{G}p\}, \{\mathbf{G}p\} \rangle)$   
=  $Expand(\emptyset, \langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{G}p, p\} \rangle)$   
=  $\{\langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{G}p, p\} \rangle\}$
- Optimization:  
merge  $\langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p, p\} \rangle$  and  $\langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{G}p, p\} \rangle$

## Example: $\varphi = \mathbf{FG}p$

- Call  $s_1 = \langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle$ ,  $s_2 = \langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p, p\} \rangle$
- $Q = \{s_1, s_2\}$
- $Q_0 = \{s_1, s_2\}$ .
- $T$ :  $s_1 \rightarrow \{s_1, s_2\}$ ,  
 $s_2 \rightarrow \{s_2\}$
- $FT = \langle F_1 \rangle$  where  $F_1 = \{s_2\}$ .

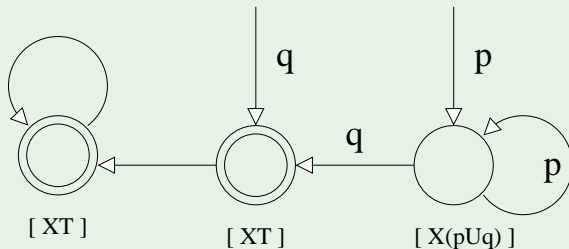


## Example: $\varphi = p \mathbf{U} q$

- $Cover(\{p \mathbf{U} q\})$   
=  $Expand(\{p \mathbf{U} q\}, \langle \emptyset, \emptyset, \emptyset \rangle)$   
=  $Expand(\{p\}, \langle \emptyset, \{p \mathbf{U} q\}, \{p \mathbf{U} q\} \rangle) \cup Expand(\{q\}, \langle \emptyset, \emptyset, \{p \mathbf{U} q\} \rangle)$   
=  $Expand(\emptyset, \langle \{p\}, \{p \mathbf{U} q\}, \{p \mathbf{U} q, p\} \rangle) \cup Expand(\emptyset, \langle \{q\}, \emptyset, \{p \mathbf{U} q, q\} \rangle)$   
=  $\{\langle \{p\}, \{p \mathbf{U} q\}, \{p \mathbf{U} q, p\} \rangle\} \cup \{\langle \{q\}, \{T\}, \{p \mathbf{U} q, q\} \rangle\}$
- $Cover(\{T\}) = \{\langle \emptyset, \{T\}, \{T\} \rangle\}$

## Example: $\varphi = pUq$

- Let  $s_1 =_{def} \langle \{p\}, \{pUq\}, \{pUq, p\} \rangle$ ,  $s_2 =_{def} \langle \{q\}, \{T\}, \{pUq, q\} \rangle$ ,  $s_3 =_{def} \langle \emptyset, \{T\}, \{T\} \rangle$ .
- $Q = \{s_1, s_2, s_3\}$ ,
- $Q_0 = \{s_1, s_2\}$ ,
- $T$ :
  - $s_1 \rightarrow \{s_1, s_2\}$ ,
  - $s_2 \rightarrow \{s_3\}$
  - $s_3 \rightarrow \{s_3\}$
- $FT = \langle F_1 \rangle$  where  $F_1 = \{s_2, s_3\}$ .



## Example: $\varphi = \mathbf{GF}p$

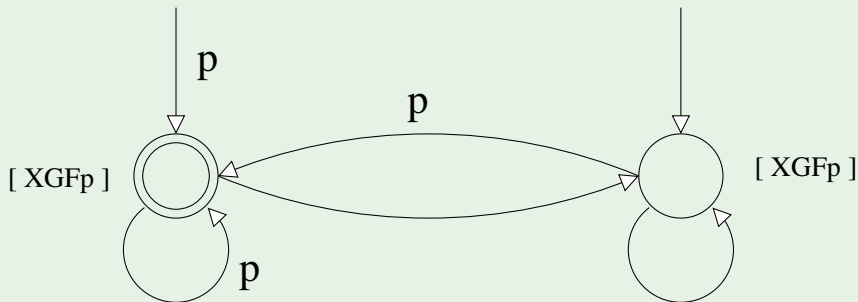
$$\begin{aligned} \text{Cover}(\{\mathbf{GF}p\}) &= E(\{\mathbf{GF}p\}, \langle \emptyset, \emptyset, \emptyset \rangle) \\ &= E(\{\mathbf{F}p\}, \langle \emptyset, \{\mathbf{GF}p\}, \{\mathbf{GF}p\} \rangle) \\ &= E(\{\}, \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle) \cup E(\{p\}, \langle \{\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle) \\ &= E(\{\}, \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle) \cup E(\{\}, \langle \{p\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p, p\} \rangle) \\ &= \{ \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle \} \cup \{ \langle \{p\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p, p\} \rangle \} \end{aligned}$$

Note:  $\mathbf{GF}p \wedge \mathbf{F}p \iff \mathbf{GF}p$ , s.t.  $\text{Cover}(\mathbf{GF}p \wedge \mathbf{F}p) = \text{Cover}(\mathbf{GF}p)$



## Example: $\mathbf{GF}p$

- Let  $s_1 =_{\text{def}} \langle \{p\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p, p\} \rangle$ ,  $s_2 =_{\text{def}} \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle$ ,
- $Q = \{s_1, s_2\}$ ,
- $Q_0 = \{s_1, s_2\}$ ,
- $T : \begin{array}{l} s_1 \rightarrow \{s_1, s_2\}, \\ s_2 \rightarrow \{s_1, s_2\} \end{array}$
- $FT = \langle F_1 \rangle$  where  $F_1 = \{s_1\}$ .



# NBAs of disjunctions of formulas

## Remark

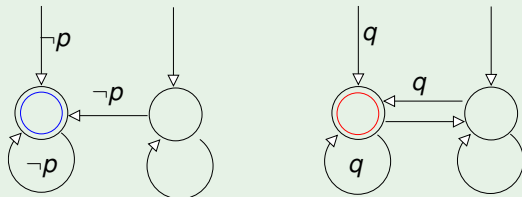
If  $\varphi \stackrel{\text{def}}{=} (\varphi_1 \vee \varphi_2)$  and  $A_{\varphi_1}, A_{\varphi_2}$  are NBAs encoding  $\varphi_1$  and  $\varphi_2$  resp., then  $\mathcal{L}(\varphi) = \mathcal{L}(\varphi_1) \cup \mathcal{L}(\varphi_2)$ , so that  $A_{\varphi} \stackrel{\text{def}}{=} A_{\varphi_1} \cup A_{\varphi_2}$  is an NBA encoding  $\varphi$

- $A_{\varphi}$  non necessarily the smallest/best NBA encoding  $\varphi$

## Example

Let  $\varphi \stackrel{\text{def}}{=} (\mathbf{GF}p \rightarrow \mathbf{GF}q)$ , i.e.,  $\varphi \equiv (\mathbf{FG}\neg p \vee \mathbf{GF}q)$ .

Then  $A_{\mathbf{FG}\neg p} \cup A_{\mathbf{GF}q}$  encodes  $\varphi$ :



## Suggested Exercises:

- Find an NBA encoding:
  - $p$
  - $(p \wedge q) \vee (\neg p \wedge \neg q)$
  - $\mathbf{F}p$
  - $\mathbf{G}p$
  - $p\mathbf{R}q$
  - $(\mathbf{G}Fp \wedge \mathbf{G}Fq) \rightarrow \mathbf{G}r$

- 1 Büchi Automata
- 2 The Automata-Theoretic Approach to LTL Reasoning**
  - General Ideas
  - Language-Emptiness Checking of Büchi Automata
  - From Kripke Models to Büchi Automata
  - From LTL Formulas to Büchi Automata
  - Complexity**
- 3 Exercises

# Automata-Theoretic LTL Model Checking: Complexity

## Four steps:

- (i) Compute  $A_M$ :  
 $|A_M| = O(|M|)$
- (ii) Compute  $A_\varphi$ :  
 $|A_\varphi| = O(2^{|\varphi|})$
- (iii) Compute the product  $A_M \times A_\varphi$ :  
 $|A_M \times A_\varphi| = |A_M| \cdot |A_\varphi| = O(|M| \cdot 2^{|\varphi|})$
- (iv) Check the emptiness of  $\mathcal{L}(A_M \times A_\varphi)$ :  
 $O(|A_M \times A_\varphi|) = O(|M| \cdot 2^{|\varphi|})$

$\implies$  The complexity of LTL M.C. grows linearly wrt. the size of the model  $M$  and exponentially wrt. the size of the property  $\varphi$

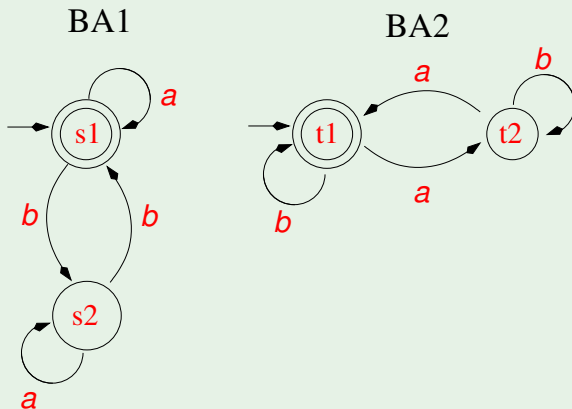
## Final Remarks

- Büchi automata are in general more expressive than LTL!
- ⇒ some tools (e.g., Spin) allow specifications to be expressed directly as NBAs
- ⇒ complementation of NBA relevant in general
  - For every LTL formula, there are many possible equivalent NBAs
- ⇒ lots of research for finding “the best” conversion algorithm
  - Performing the product and checking emptiness very relevant
- ⇒ lots of techniques developed (e.g., partial order reduction)
- ⇒ lots on ongoing research

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- 3 Exercises

## Ex: Product of Büchi automata

Given the following two Büchi automata (doubly-circled states represent accepting states,  $a$ ,  $b$  are labels):

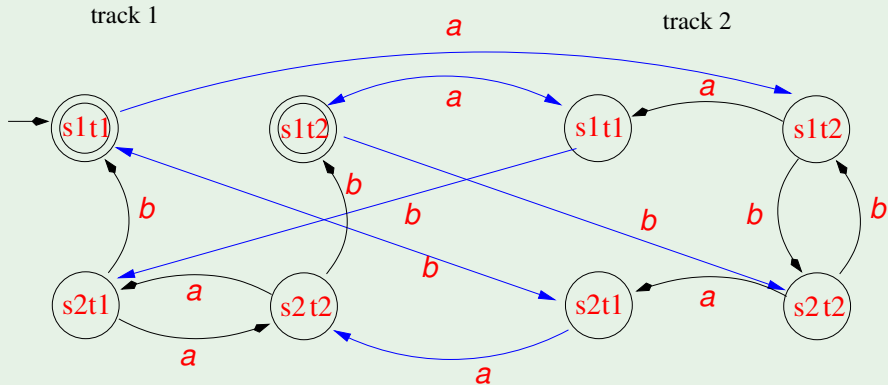


Write the product Büchi automaton  $BA1 \times BA2$ .



# Ex: Product of Büchi automata

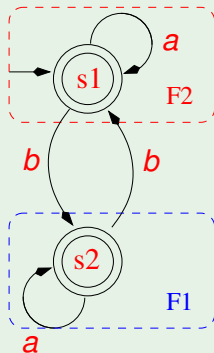
[ Solution: The product is:



]

# Ex: De-generalization of Büchi Automata

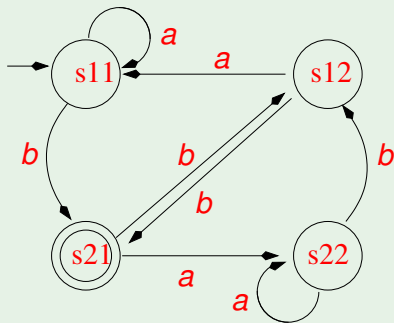
Given the following generalized Büchi automaton  $A \stackrel{\text{def}}{=} \langle Q, \Sigma, \delta, I, FT \rangle$ , with two sets of accepting states  $FT \stackrel{\text{def}}{=} \{F1, F2\}$   
s.t.  $F1 \stackrel{\text{def}}{=} \{s2\}$ ,  $F2 \stackrel{\text{def}}{=} \{s1\}$ :



convert it into an equivalent plain Büchi automaton.

# Ex: De-generalization of Büchi Automata

[ Solution: The result is:



]

# Ex: Construction of Büchi Automata

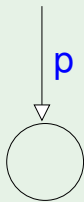
Consider the LTL formula  $\varphi \stackrel{\text{def}}{=} (\mathbf{G}\neg p) \rightarrow (p\mathbf{U}q)$ .

(a) rewrite  $\varphi$  into Negative Normal Form

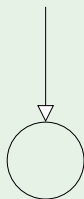
[ Solution:  $(\mathbf{G}\neg p) \rightarrow (p\mathbf{U}q) \implies (\neg\mathbf{G}\neg p) \vee (p\mathbf{U}q) \implies (\mathbf{F}p) \vee (p\mathbf{U}q)$  ]

(b) find the initial states of a corresponding Buchi automaton (for each state, define the labels of the incoming arcs and the “next” section.)

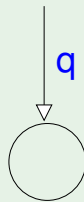
[ Solution: Applying tableaux rules we obtain:  $p \vee \mathbf{X}\mathbf{F}p \vee q \vee (p \wedge \mathbf{X}(p\mathbf{U}q))$ , which is already in disjunctive normal form. This correspond to the following four initial states:



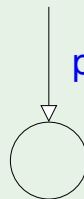
[ $\top$ ]



[ $\mathbf{F}p$ ]



[ $\top$ ]

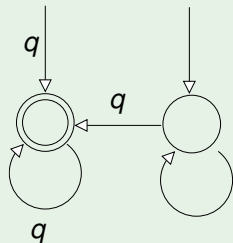


[ $p\mathbf{U}q$ ]

]

## Ex: Büchi automaton

Given the following Büchi automaton BA (doubly-circled states represent accepting states):



Say which of the following sentences are true and which are false.

- (a) BA accepts all and only the paths verifying  $\mathbf{GF}q$ . [ Solution: false ]
- (b) BA accepts all and only the paths verifying  $\mathbf{FG}q$ . [ Solution: true ]
- (c) BA accepts only paths verifying  $\mathbf{F}q$ , but not all of them. [ Solution: true ]
- (d) BA accepts all the paths verifying  $\mathbf{F}q$ , but not only them. [ Solution: false ]