

# Introduction to Formal Methods

## Chapter 08: Automata-theoretic LTL Model Checking

Roberto Sebastiani and Stefano Tonetta

DISI, Università di Trento, Italy – roberto.sebastiani@unitn.it

URL: <http://disi.unitn.it/rseba/DIDATTICA/fm2020/>

Teaching assistant: Enrico Magnago – enrico.magnago@unitn.it

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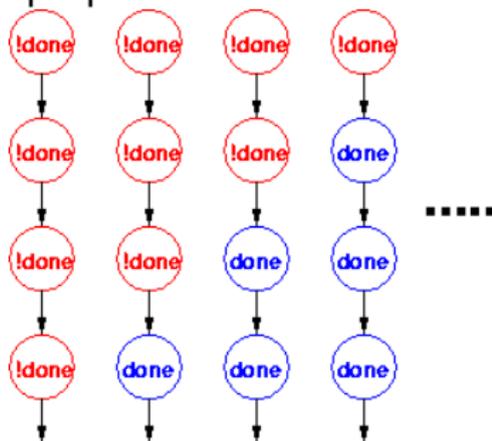
# System's computations

- The behaviors (computations) of a system can be seen as sequences of assignments to propositions.

```

MODULE main
VAR  done: Boolean;
ASSIGN
  init(done) := 0;
  next(done) := case
    !done: {0, 1};
    done: done;
  esac;

```



- Since the state space is finite, the set of computations can be represented by a finite automaton.

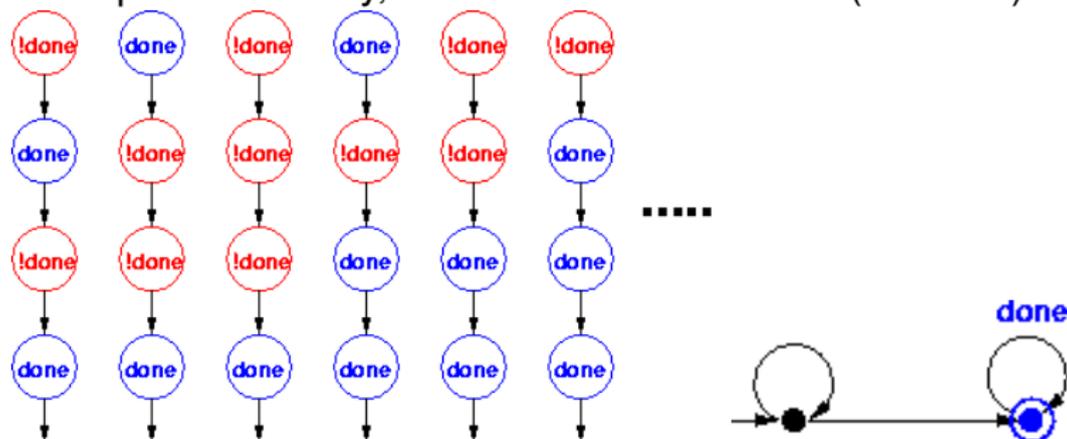


or



# Correct computations

- Some computations are correct and others are not acceptable.
- We can build an automaton for the set of all acceptable computations.
- Example: eventually, done will be true forever (**FGdone**).



# Language Containment Problem

- Solution to the verification problem
  - ⇒ Check if language of the system automaton is contained in the language accepted by the property automaton.
- The language containment problem is the problem of deciding if a language is a subset of another language.

$$\mathcal{L}(A_1) \subseteq \mathcal{L}(A_2) \iff \mathcal{L}(A_1) \cap \overline{\mathcal{L}(A_2)} = \{\}$$

- In order to solve the language containment problem, we need to know:
  - (i) how to complement an automaton,
  - (ii) how to intersect two automata,
  - (iii) how to check the language emptiness of an automaton.

# Finite Word Languages

- An **Alphabet**  $\Sigma$  is a collection of symbols (letters).  
E.g.  $\Sigma = \{a, b\}$ .
- A **finite word** is a finite sequence of letters. (E.g. *aabb*.)  
The set of all finite words is denoted by  $\Sigma^*$ .
- A **language**  $U$  is a set of words, i.e.  $U \subseteq \Sigma^*$ .  
Example: Words over  $\Sigma = \{a, b\}$  with equal number of *a*'s and *b*'s.  
(E.g. *aabb* or *abba*.)
- **Language recognition problem**: determine whether a word belongs to a language.
- **Automata** are computational devices able to solve language recognition problems.

# Finite-State Automata

- Basic model of computational systems with finite memory.
- **Widely applicable**
  - Embedded System Controllers.  
Languages: Ester-el, Lustre, Verilog.
  - Synchronous Circuits.
  - Regular Expression Pattern Matching  
Grep, Lex, Emacs.
  - Protocols  
Network Protocols  
Architecture: Bus, Cache Coherence, Telephony,...

# Notation

$a, b \in \Sigma$  finite alphabet.

$u, v, w \in \Sigma^*$  finite words.

$\epsilon$  empty word.

$u.v$  concatenation.

$u^i = u.u \dots u$  repeated  $i$ -times.

$U, V \subseteq \Sigma^*$  Finite word languages.

# Finite-State Automata Definition

## Definition

A **Nondeterministic Finite-State Automaton (NFA)** is  $(Q, \Sigma, \delta, I, F)$  s.t.

$Q$  Finite set of states.

$\Sigma$  is a finite alphabet

$I \subseteq Q$  set of initial states.

$F \subseteq Q$  set of final states.

$\delta \subseteq Q \times \Sigma \times Q$  transition relation (edges).

We use  $q \xrightarrow{a} q'$  to denote  $(q, a, q') \in \delta$ .

## Definition

A **Deterministic Finite-State Automaton (DFA)** is a NFA s.t.:

$\delta : Q \times \Sigma \rightarrow Q$  is a **total function**

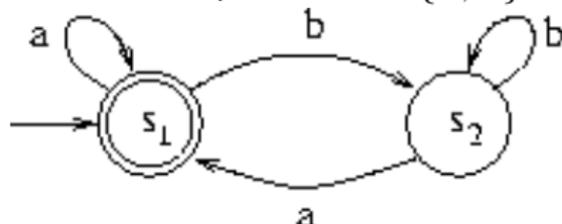
Single initial state  $I = \{q_0\}$ .

# Regular Languages

- A **run** of NFA  $A$  on  $u = a_0, a_1, \dots, a_{n-1}$  is a finite sequence of states  $q_0, q_1, \dots, q_n$  s.t.  $q_0 \in I$  and  $q_i \xrightarrow{a_i} q_{i+1}$  for  $0 \leq i < n$ .
- An **accepting run** is one where  $q_n \in F$ .
- The **language accepted by  $A$**  is
$$\mathcal{L}(A) = \{u \in \Sigma^* \mid A \text{ has an accepting run on } u\}$$
- The languages accepted by a NFA are called **regular languages**.

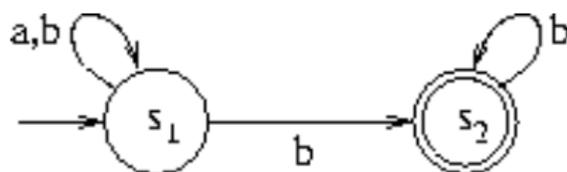
# Finite-State Automata: examples

- The DFA  $A_1$  over  $\Sigma = \{a, b\}$ :



Recognizes words which do not end in  $b$ .

- The NFA  $A_2$  over  $\Sigma = \{a, b\}$ :



Recognizes words which end in  $b$ .

# Determinisation

## Theorem (determinisation)

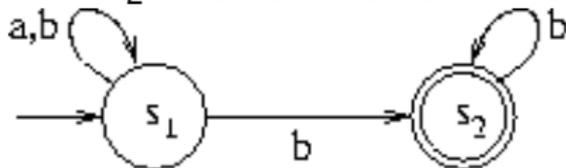
Given a NFA  $A$  we can construct a DFA  $A'$  s.t.  $\mathcal{L}(A) = \mathcal{L}(A')$ .

Size:  $|A'| = 2^{O(|A|)}$ .

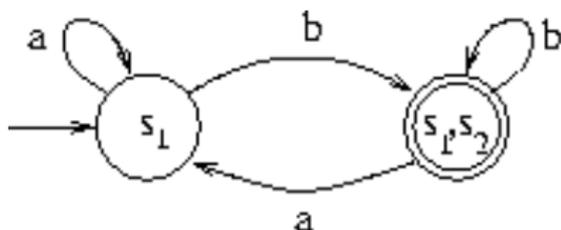
- Each state of  $A'$  corresponds to a set  $\{s_1, \dots, s_j\}$  of states in  $A$  ( $Q' \subseteq 2^Q$ ), with the intended meaning that :
  - $A'$  is in the state  $\{s_1, \dots, s_j\}$  if  $A$  is in one of the states  $s_1, \dots, s_j$
- The (unique) initial state is  $I' =_{def} \{s_i \mid s_i \in I\}$
- The deterministic transition relation  $\delta' : 2^Q \times \Sigma \mapsto 2^Q$  is
  - $\{s\} \xrightarrow{a} \{s_i \mid s \xrightarrow{a} s_i\}$
  - $\{s_1, \dots, s_j, \dots, s_n\} \xrightarrow{a} \bigcup_{j=1}^n \{s_i \mid s_j \xrightarrow{a} s_i\}$
- The set of final states  $F'$  is such that
  - $\{s_1, \dots, s_n\} \in F'$  iff  $s_i \in F$  for some  $i \in \{1, \dots, n\}$

## Determinisation [cont.]

- NFA  $A_2$ : Words which end in  $b$ .



- $A_2$  can be determinised into the automaton  $DA_2$  below. (#States =  $2^Q$ .)



# Closure Properties

## Theorem (Boolean closure)

Given NFA  $A_1, A_2$  over  $\Sigma$  we can construct NFA  $A$  over  $\Sigma$  s.t.

- $\mathcal{L}(A) = \overline{\mathcal{L}(A_1)}$  (Complement).  $|A| = 2^{O(|A_1|)}$ .
- $\mathcal{L}(A) = \mathcal{L}(A_1) \cup \mathcal{L}(A_2)$  (union).  $|A| = |A_1| + |A_2|$ .
- $\mathcal{L}(A) = \mathcal{L}(A_1) \cap \mathcal{L}(A_2)$  (intersection).  $|A| \leq |A_1| \cdot |A_2|$ .

# Complementation of a NFA

A NFA  $A = (Q, \Sigma, \delta, I, F)$  is complemented by:

- determinising it into a DFA  $A' = (Q', \Sigma', \delta', I', F')$
- complementing it:  $\overline{A'} = (Q', \Sigma', \delta', I', \overline{F'})$
- $|\overline{A'}| = |A'| = 2^{O(|A|)}$

# Union of two NFAs

## Definition: union of NFAs

Let  $A_1 = (Q_1, \Sigma_1, \delta_1, I_1, F_1)$ ,  $A_2 = (Q_2, \Sigma_2, \delta_2, I_2, F_2)$ .

Then  $A = A_1 \cup A_2 = (Q, \Sigma, \delta, I, F)$  is defined as follows

- $Q := Q_1 \cup Q_2$ ,  $I := I_1 \cup I_2$ ,  $F := F_1 \cup F_2$
- $R(s, s') := \begin{cases} R_1(s, s') & \text{if } s \in Q_1 \\ R_2(s, s') & \text{if } s \in Q_2 \end{cases}$

## Theorem

- $\mathcal{L}(A) = \mathcal{L}(A_1) \cup \mathcal{L}(A_2)$
- $|A| = |A_1| + |A_2|$

## Note

$A$  is an automaton which just runs nondeterministically either  $A_1$  or  $A_2$

# Synchronous Product Construction

## Definition: product of NFAs

Let  $A_1 = (Q_1, \Sigma, \delta_1, I_1, F_1)$  and  $A_2 = (Q_2, \Sigma, \delta_2, I_2, F_2)$ .

Then,  $A_1 \times A_2 = (Q, \Sigma, \delta, I, F)$  where

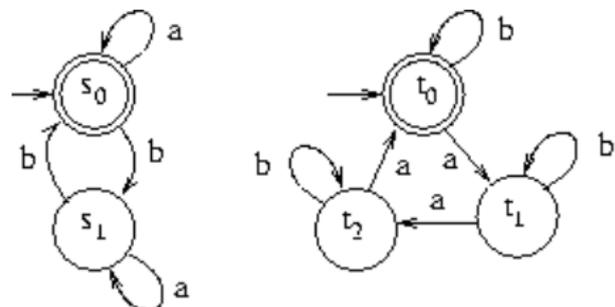
- $Q = Q_1 \times Q_2$ ,
- $I = I_1 \times I_2$ ,
- $F = F_1 \times F_2$ ,
- $\langle p, q \rangle \xrightarrow{a} \langle p', q' \rangle$  iff  $p \xrightarrow{a} p'$  and  $q \xrightarrow{a} q'$ .

## Theorem

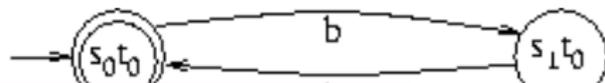
$$\mathcal{L}(A_1 \times A_2) = \mathcal{L}(A_1) \cap \mathcal{L}(A_2).$$

$$|A_1 \times A_2| \leq |A_1| \cdot |A_2|.$$

# Example



- $A_1$  recognizes words with an even number of  $b$ 's.
- $A_2$  recognizes words with a number of  $a$ 's multiple of 3.
- The Product Automaton  $A_1 \times A_2$  with  $F = \{s_0, t_0\}$ .



# Regular Expressions

- Syntax:  $\emptyset \mid \epsilon \mid a \mid \text{reg}_1.\text{reg}_2 \mid \text{reg}_1|\text{reg}_2 \mid \text{reg}^*$ .
- Every regular expression  $\text{reg}$  denotes a language  $\mathcal{L}(\text{reg})$ .
- **Example:**  $a^*. (b|bb). a^*$ . The words with either 1  $b$  or 2 consecutive  $b$ 's.

## Theorem

For every regular expression  $\text{reg}$  we can construct a language equivalent NFA of size  $O(|\text{reg}|)$ .

## Theorem

For every DFA  $A$  we can construct a language equivalent regular expression  $\text{reg}(A)$ .

# Infinite Word Languages

Modeling infinite computations of reactive systems.

- An  $\omega$ -word  $\alpha$  over  $\Sigma$  is an **infinite** sequence

$a_0, a_1, a_2 \dots$

Formally,  $\alpha : \mathbb{N} \rightarrow \Sigma$ .

The set of all infinite words is denoted by  $\Sigma^\omega$ .

- A  $\omega$ -language  $L$  is collection of  $\omega$ -words, i.e.  $L \subseteq \Sigma^\omega$ .

**Example** All words over  $\{a, b\}$  with infinitely many  $a$ 's.

Notation:

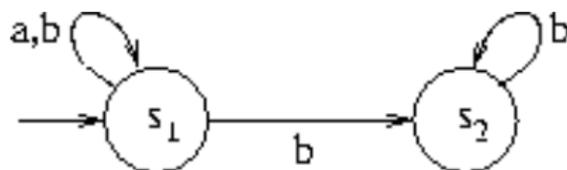
**omega words**  $\alpha, \beta, \gamma \in \Sigma^\omega$ .

**omega-languages**  $L, L_1 \subseteq \Sigma^\omega$

For  $u \in \Sigma^+$ , let  $u^\omega = u.u.u \dots$

# Omega-Automata

- We consider automaton running over infinite words.



- Let  $\alpha = aabbbb\dots$

There are several (infinite) possible runs.

Run  $\rho_1 = s_1, s_1, s_1, s_1, s_2, s_2 \dots$

Run  $\rho_2 = s_1, s_1, s_1, s_1, s_1, s_1 \dots$

- Acceptance Conditions: **Büchi** (Muller, Rabin, Street):  
Acceptance is based on states occurring infinitely often

- Notation Let  $\rho \in Q^\omega$ . Then,

$$\text{Inf}(\rho) = \{s \in Q \mid \exists^\infty i \in \mathbb{N}. \rho(i) = s\}.$$

(The set of states occurring infinitely many times in  $\rho$ .)

# Büchi Automata

## Nondeterministic Büchi Automaton

$A = (Q, \Sigma, \delta, I, F)$ , where  $F \subseteq Q$  is the set of accepting states.

- A run  $\rho$  of  $A$  on  $\omega$ -word  $\alpha = a_0, a_1, a_2, \dots$  is an infinite sequence  $\rho = q_0, q_1, q_2, \dots$  s.t.  $q_0 \in I$  and  $q_i \xrightarrow{a_i} q_{i+1}$  for  $0 \leq i$ .
- The run  $\rho$  is **accepting** if

$$\text{Inf}(\rho) \cap F \neq \emptyset.$$

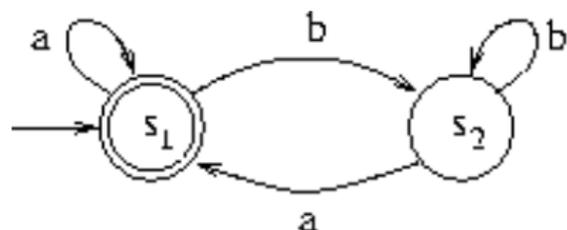
- The language accepted by  $A$

$$\mathcal{L}(A) = \{\alpha \in \Sigma^\omega \mid A \text{ has an accepting run on } \alpha\}$$

# Büchi Automaton: Example

Let  $\Sigma = \{a, b\}$ .

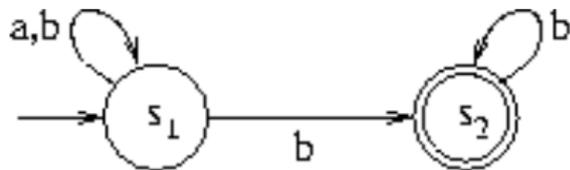
Let a Deterministic Büchi Automaton (DBA)  $A_1$  be



- With  $F = \{s_1\}$  the automaton recognizes words with infinitely many  $a$ 's.
- With  $F = \{s_2\}$  the automaton recognizes words with infinitely many  $b$ 's.

## Büchi Automaton: Example (2)

Let a Nondeterministic Büchi Automaton (NBA)  $A_2$  be



With  $F = \{s_2\}$ , the automaton  $A_2$  recognizes words with finitely many  $a$ . Thus,  $\mathcal{L}(A_2) = \overline{\mathcal{L}(A_1)}$ .

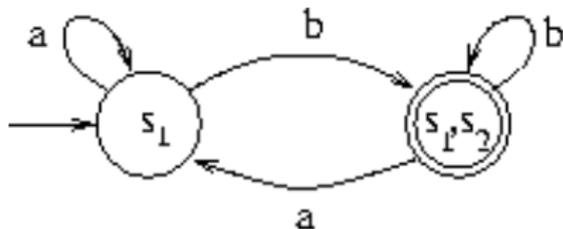
# Deterministic vs. Nondeterministic Büchi Automata

## Theorem

*DBAs* are strictly less powerful than *NBAs*.

The subset construction does not work:

let  $DA_2$  be



- $DA_2$  is not equivalent to  $A_2$   
(e.g., it recognizes  $(b.a)^\omega$ )

# Closure Properties

## Theorem (union, intersection)

For the NBAs  $A_1, A_2$  we can construct

- the NBA  $A$  s.t.  $\mathcal{L}(A) = \mathcal{L}(A_1) \cup \mathcal{L}(A_2)$ .  $|A| = |A_1| + |A_2|$
- the NBA  $A$  s.t.  $\mathcal{L}(A) = \mathcal{L}(A_1) \cap \mathcal{L}(A_2)$ .  $|A| \leq |A_1| \cdot |A_2| \cdot 2$ .

# Union of two NBAs

## Definition: union of NBAs

Let  $A_1 = (Q_1, \Sigma_1, \delta_1, I_1, F_1)$ ,  $A_2 = (Q_2, \Sigma_2, \delta_2, I_2, F_2)$ .

Then  $A = A_1 \cup A_2 = (Q, \Sigma, \delta, I, F)$  is defined as follows

- $Q := Q_1 \cup Q_2$ ,  $I := I_1 \cup I_2$ ,  $F := F_1 \cup F_2$
- $R(s, s') := \begin{cases} R_1(s, s') & \text{if } s \in Q_1 \\ R_2(s, s') & \text{if } s \in Q_2 \end{cases}$

## Theorem

- $\mathcal{L}(A) = \mathcal{L}(A_1) \cup \mathcal{L}(A_2)$
- $|A| = |A_1| + |A_2|$

## Note

$A$  is an automaton which just runs nondeterministically either  $A_1$  or  $A_2$  (same construction as with ordinary automata)

# Synchronous Product of NBAs

## Definition: synchronous product of NBAs

Let  $A_1 = (Q_1, \Sigma, \delta_1, I_1, F_1)$  and  $A_2 = (Q_2, \Sigma, \delta_2, I_2, F_2)$ .

Then,  $A_1 \times A_2 = (Q, \Sigma, \delta, I, F)$ , where

$$Q = Q_1 \times Q_2 \times \{1, 2\}.$$

$$I = I_1 \times I_2 \times \{1\}.$$

$$F = F_1 \times Q_2 \times \{1\}.$$

$\langle p, q, 1 \rangle \xrightarrow{a} \langle p', q', 1 \rangle$  iff  $p \xrightarrow{a} p'$  and  $q \xrightarrow{a} q'$  and  $p \notin F_1$ .

$\langle p, q, 1 \rangle \xrightarrow{a} \langle p', q', 2 \rangle$  iff  $p \xrightarrow{a} p'$  and  $q \xrightarrow{a} q'$  and  $p \in F_1$ .

$\langle p, q, 2 \rangle \xrightarrow{a} \langle p', q', 2 \rangle$  iff  $p \xrightarrow{a} p'$  and  $q \xrightarrow{a} q'$  and  $q \notin F_2$ .

$\langle p, q, 2 \rangle \xrightarrow{a} \langle p', q', 1 \rangle$  iff  $p \xrightarrow{a} p'$  and  $q \xrightarrow{a} q'$  and  $q \in F_2$ .

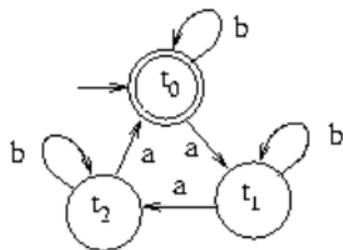
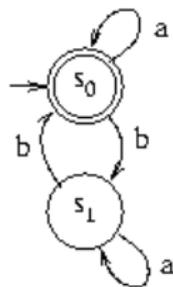
## Theorem

- $\mathcal{L}(A_1 \times A_2) = \mathcal{L}(A_1) \cap \mathcal{L}(A_2)$ .
- $|A_1 \times A_2| \leq 2 \cdot |A_1| \cdot |A_2|$ .

# Product of NBAs: Intuition

- The automaton remembers two tracks, one for each source NBA, and it points to one of the two tracks
- As soon as it goes through an accepting state of the current track, it switches to the other track  
 $\implies$  in order to visit infinitely often a state  $F$  (i.e.,  $F_1$ ), it must visit infinitely often some state also in  $F_2$
- Important subcase: If  $F_2 = Q_2$ , then
$$Q = Q_1 \times Q_2.$$
$$I = I_1 \times I_2.$$
$$F = F_1 \times Q_2.$$

# Product of NBAs: Example



## Closure Properties (2)

### Theorem (complementation) [Safra, MacNaughten]

For the NBA  $A_1$  we can construct an NBA  $A_2$  such that

$$\mathcal{L}(A_2) = \overline{\mathcal{L}(A_1)}.$$

$$|A_2| = O(2^{|A_1| \cdot \log(|A_1|)}).$$

### Method: (hint)

- (i) convert a Büchi automaton into a Non-Deterministic Rabin automaton
- (ii) determinize and Complement the Rabin automaton
- (iii) convert the Rabin automaton into a Büchi automaton.

# Generalized Büchi Automaton

## Definition

- A **Generalized Büchi Automaton** is a tuple  $A := (Q, \Sigma, \delta, I, FT)$  where  $FT = \langle F_1, F_2, \dots, F_k \rangle$  with  $F_i \subseteq Q$ .
- A run  $\rho$  of  $A$  is accepting if  $\text{Inf}(\rho) \cap F_i \neq \emptyset$  for each  $1 \leq i \leq k$ .

## Theorem

For every Generalized Büchi Automaton we can construct a language equivalent plain Büchi Automaton.

## Intuition

Let  $Q' = Q \times \{1, \dots, K\}$ .

The automaton remains in phase  $i$  till it visits a state in  $F_i$ . Then, it moves to  $(i + 1) \bmod K$  mode.

# De-generalization of a generalized NBA

## Definition: De-generalization of a generalized NBA

Let  $A \stackrel{\text{def}}{=} (Q, \Sigma, \delta, I, FT)$  a generalized BA s.f.  $FT \stackrel{\text{def}}{=} \{F_1, \dots, F_K\}$ .

Then a language-equivalent BA  $A' \stackrel{\text{def}}{=} (Q', \Sigma, \delta', I', F')$  is built as follows

$$Q' = Q_1 \times \{1, \dots, K\}.$$

$$I' = I \times \{1\}.$$

$$F' = F_1 \times \{1\}.$$

$\delta'$  is s.t., for every  $i \in [1, \dots, K]$ :

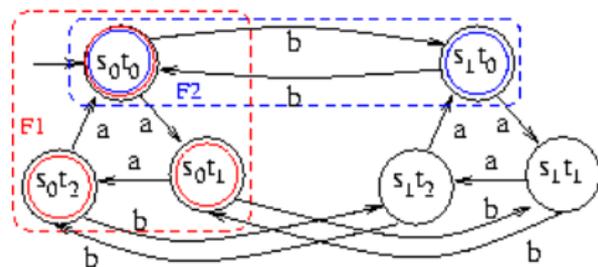
$$\langle p, i \rangle \xrightarrow{a} \langle q, i \rangle \quad \text{iff} \quad p \xrightarrow{a} q \in \delta \quad \text{and} \quad p \notin F_i.$$

$$\langle p, i \rangle \xrightarrow{a} \langle q, (i+1) \bmod K \rangle \quad \text{iff} \quad p \xrightarrow{a} q \in \delta \quad \text{and} \quad p \in F_i.$$

## Theorem

- $\mathcal{L}(A') = \mathcal{L}(A)$ .
- $|A'| \leq K \cdot |A|$ .

# Degeneralizing a Büchi automaton: Example



# Omega-regular Expressions

## Definition

A language is called  $\omega$ -regular if it has the form  $\cup_{i=1}^n U_i \cdot (V_i)^\omega$  where  $U_i, V_i$  are regular languages.

## Theorem

A language  $L$  is  $\omega$ -regular iff it is NBA-recognizable.

# NFA emptiness checking

- Equivalent of finding a final state reachable from an initial state.
- It can be solved with a DFS or a BFS.
- A DFS finds a counterexample on the fly (it is stored in the stack of the procedure).
- A BFS finds a final state reachable with a shortest counterexample, but it requires a further backward search to reproduce the path.
- Complexity:  $O(n)$ .
- Hereafter, assume w.l.o.g. that there is only one initial state.

## NFA Emptiness Checking (cont.)

// returns True if empty language, false otherwise

```
Bool DFS(NFA A) {
    stack S=I;
    Hashtable T=I;
    while S!= $\emptyset$  {
        v=top(S);
        if v $\in$ F return False
        if  $\exists w$  s.t.  $w \in \delta(v)$  && T(w)==0 {
            hash(w, T);
            push(w, S);
        } else
            pop(S);
    }
    return True;
}
```

# NBA emptiness checking

- Equivalent of finding an accepting cycle reachable from an initial state.
- A naive algorithm:
  - (i) a DFS finds the final states  $f$  reachable from an initial state;
  - (ii) for each  $f$ , a second DFS finds if it can reach  $f$  (i.e., if there exists a loop)
    - Complexity:  $O(n^2)$ .
- SCC-based algorithm:
  - (i) Tarjan's algorithm uses a DFS to find the SCCs in linear time;
  - (ii) another DFS finds if the union of non-trivial SCCs is reachable from an initial state.
    - Complexity:  $O(n)$ .
    - Drawbacks: it stores too much information and does not find directly a counterexample.

# Double Nested DFS algorithm

- Double Nested DFS [Courcoubetis, Vardi, Wolper, Yannakakis, CAV'90]
  - two Hash tables:
    - T1: reachable states
    - T2: states reachable from a reachable final state
  - two stacks:
    - S1: current branch of states reachable
    - S2: current branch of states reachable from final state f
  - two nested DFS's:
    - DFS1 looks for a path from an initial state to a cycle starting from an accepting state
    - DFS2 looks for a cycle starting from an accepting state
  - It stops as soon as it finds a counterexample.
  - The counterexample is given by the stack of DFS2 (an accepting cycle) preceded by the stack of DFS1 (a path from an initial state to the cycle).

## Double Nested DFS - First DFS

```
// returns True if empty language, false otherwise
Bool DFS1(NBA A) {
  stack S1=I; stack S2=∅;
  Hashtable T1=I; Hashtable T2=∅;
  while S1!=∅ {
    v=top(S1);
    if ∃w s.t. w∈δ(v) && T1(w)==0 {
      hash(w, T1);
      push(w, S1);
    } else {
      pop(S1);
      if (v∈F && !DFS2(v, S2, T2, A))
        return False;
    }
  }
  return True;
}
```

## Double Nested DFS - Second DFS

```

Bool DFS2(state f, stack & S, Hashtable & T, NBA A) {
  hash(f, T);
  S = {f}
  while S !=  $\emptyset$  {
    v=top(S);
    if  $f \in \delta(v)$  return False;
    if  $\exists w$  s.t.  $w \in \delta(v)$  &&  $T(w) == 0$  {
      hash(w);
      push(w);
    } else pop(S);
  }
  return True;
}

```

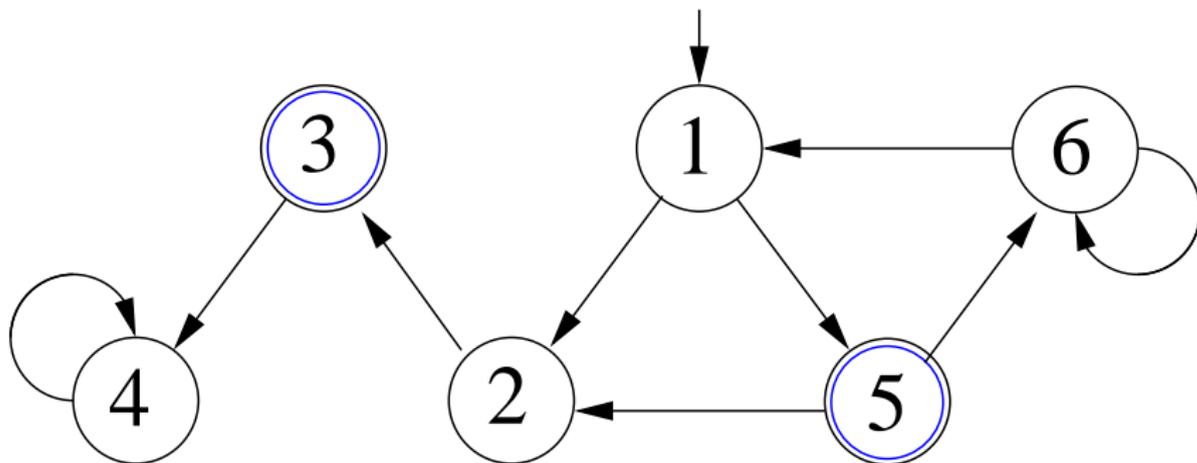
Remark:  $T$  passed by reference, is not reset at each call of `DFS2` !

## Double nested DFS: intuition

DFS1 invokes DFS2 on each  $f_1, \dots, f_n$  only after popping it (postorder):

- suppose  $DFS2$  is invoked on  $f_j$  before than on  $f_i$
- ⇒  $f_i$  not reachable from (any state  $s$  which is reachable from)  $f_j$
- If during  $DFS2(f_j, \dots)$  it is encountered a state  $S$  which has already been explored by  $DFS2(f_j, \dots)$  for some  $f_j$ ,
  - can we reach  $f_i$  from  $S$ ?
  - No, because  $f_i$  is not reachable from  $f_j$ !
- ⇒ it is safe to backtrack.

# Double Nested DFS: example



T1

S1

T2

S2

# Automata-Theoretic LTL Model Checking

- Let  $M$  be a Kripke model and  $\psi$  be an LTL formula

$$M \models \mathbf{A}\psi \text{ (CTL*)}$$

$$\iff M \models \psi \text{ (LTL)}$$

$$\iff \mathcal{L}(M) \subseteq \mathcal{L}(\psi)$$

$$\iff \mathcal{L}(M) \cap \mathcal{L}(\psi) = \emptyset$$

$$\iff \mathcal{L}(M) \cap \mathcal{L}(\neg\psi) = \emptyset$$

$$\iff \mathcal{L}(A_M) \cap \mathcal{L}(A_{\neg\psi}) = \emptyset$$

$$\iff \mathcal{L}(A_M \times A_{\neg\psi}) = \emptyset$$

- $A_M$  is a **Büchi Automaton** equivalent to  $M$  (which represents all and only the executions of  $M$ )
  - $A_{\neg\psi}$  is a **Büchi Automaton** which represents all and only the paths that satisfy  $\neg\psi$  (do not satisfy  $\psi$ )
- $\implies A_M \times A_{\neg\psi}$  represents all and only the paths appearing in  $M$  and not in  $\psi$ .

# Automata-Theoretic LTL M.C. (dual version)

- Let  $M$  be a Kripke model and  $\varphi \stackrel{\text{def}}{=} \neg\psi$  be an LTL formula

$$M \models \mathbf{E}\varphi$$

$$\iff M \not\models \mathbf{A}\neg\varphi$$

$$\iff \dots$$

$$\iff \mathcal{L}(A_M \times A_\varphi) \neq \emptyset$$

- $A_M$  is a **Büchi Automaton** equivalent to  $M$  (which represents all and only the executions of  $M$ )
- $A_\varphi$  is a **Büchi Automaton** which represents all and only the paths that satisfy  $\varphi$

$\implies A_M \times A_\varphi$  represents all and only the paths appearing in both  $A_M$  and  $A_\varphi$ .

# Automata-Theoretic LTL Model Checking

Four steps:

- (i) Compute  $A_M$
- (ii) Compute  $A_\varphi$
- (iii) Compute the product  $A_M \times A_\varphi$
- (iv) Check the emptiness of  $\mathcal{L}(A_M \times A_\varphi)$

# Computing an NBA $A_M$ from a Kripke Structure $M$

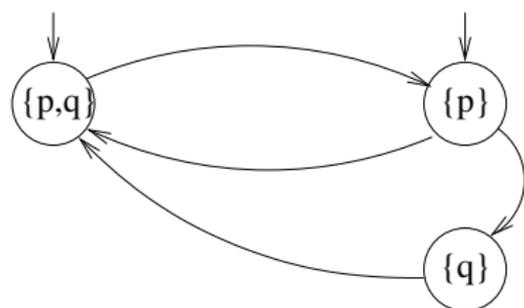
- Transform a Kripke structure  $M = \langle S, S_0, R, L, AP \rangle$  into an NBA  $A_M = \langle Q, \Sigma, \delta, I, F \rangle$  s.t.:
  - States:  $Q := S \cup \{init\}$ ,  $init$  being a new initial state
  - Alphabet:  $\Sigma := 2^{AP}$
  - Initial State:  $I := \{init\}$
  - Accepting States:  $F := Q = S \cup \{init\}$
  - Transitions:

$$\delta : \quad q \xrightarrow{a} q' \text{ iff } (q, q') \in R \text{ and } L(q') = a$$

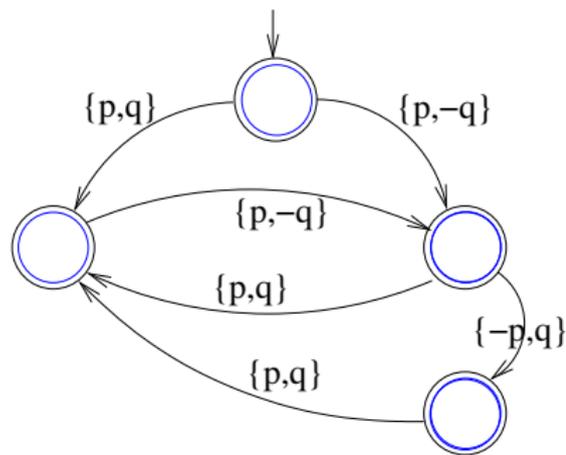
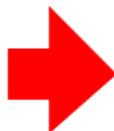
$$init \xrightarrow{a} q \text{ iff } q \in S_0 \text{ and } L(q) = a$$

- $\mathcal{L}(A_M) = \mathcal{L}(M)$
- $|A_M| = |M| + 1$

# Computing a NBA $A_M$ from a Kripke Structure $M$ : Example



Kripke Structure

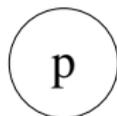


Buechi Automaton

$\implies$  Substantially, add one initial state, move labels from states to incoming edges, set all states as accepting states

## Labels on Kripke Structures and BA's - Remark

Note that the labels of a Büchi Automaton are different from the labels of a Kripke Structure. Also graphically, they are interpreted differently:



- in a Kripke Structure, it means that  $p$  is true and all other propositions are false;
- in a Büchi Automaton, it means that  $p$  is true and all other propositions are irrelevant (“don’t care”), i.e. they can be either true or false.

# Computing a NBA $A_M$ from a Fair Kripke Structure $M$

- Transforming a fair K.S.  $M = \langle S, S_0, R, L, AP, FT \rangle$ ,  
 $FT = \{F_1, \dots, F_n\}$ , into a generalized NBA  $A_M = \langle Q, \Sigma, \delta, I, FT' \rangle$   
 s.t.:

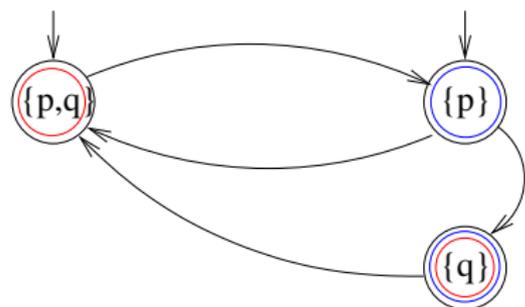
- States:  $Q := S \cup \{init\}$ ,  $init$  being a new initial state
- Alphabet:  $\Sigma := 2^{AP}$
- Initial State:  $I := \{init\}$
- Accepting States:  $FT' := FT$
- Transitions:

$$\delta : \quad q \xrightarrow{a} q' \text{ iff } (q, q') \in R \text{ and } L(q') = a$$

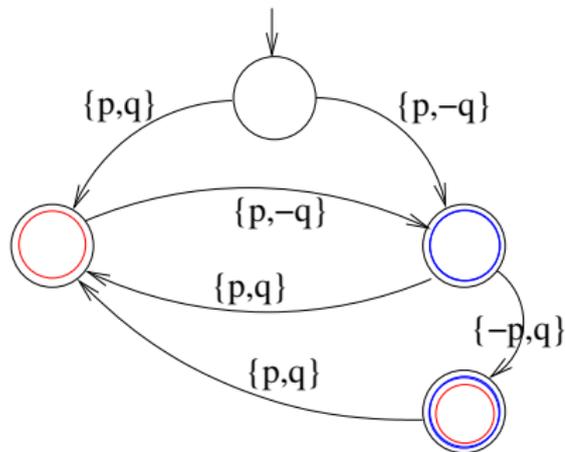
$$init \xrightarrow{a} q \text{ iff } q \in S_0 \text{ and } L(q) = a$$

- $\mathcal{L}(A_M) = \mathcal{L}(M)$
- $|A_M| = |M| + 1$

# Computing a (Generalized) BA $A_M$ from a Fair Kripke Structure $M$ : Example



Fair Kripke Structure



Generalized Buchi Automaton

$\implies$  Substantially, add one initial state, move labels from states to incoming edges, set fair states as accepting states

# Translation problem

## Problem

Given an LTL formula  $\phi$ , find a Büchi Automaton that accepts the same language of  $\phi$ .

- It is a fundamental problem in LTL model checking (in other words, every model checking algorithm that verifies the correctness of an LTL formula translates it in some sort of finite-state machine).
- We will translate an LTL formula into a Generalized Büchi Automata (GBA).

# Exponential Translation

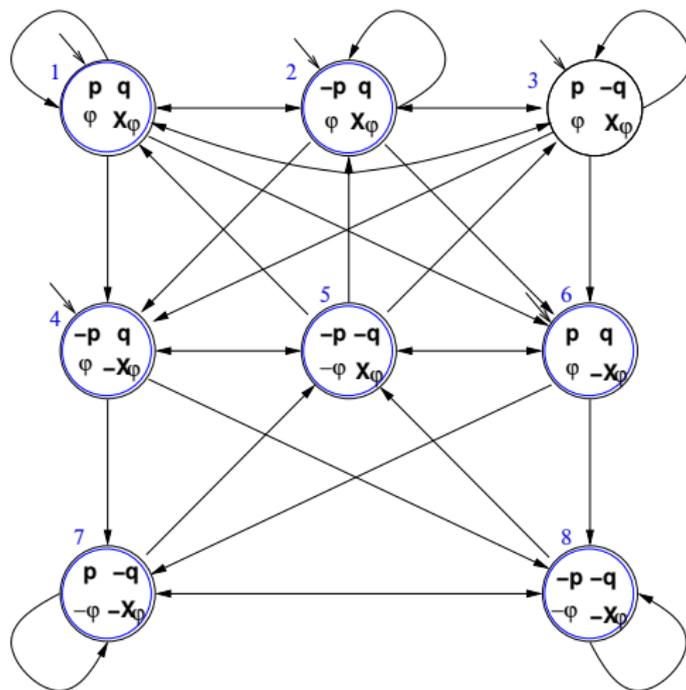
- From  $\varphi$ , create a fair Kripke model, like in chapter 7.
- Convert it into a (Generalized) Büchi Automaton

## Remark

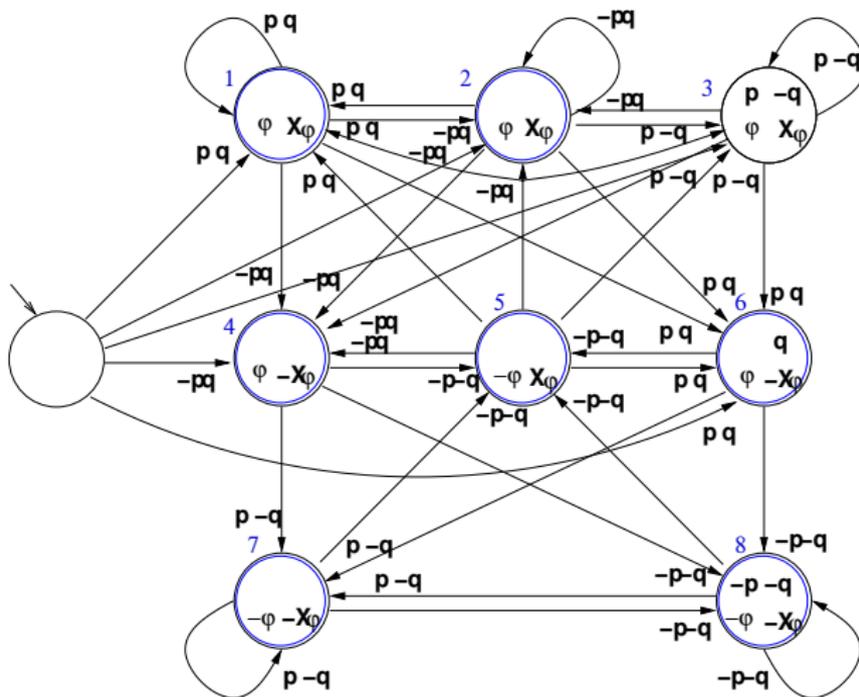
Inefficient: up to  $2^{EL(\varphi)}$  states.

- Kripke models require **total** truth assignments to state variables

# Example



# Example



# LTL Negative Normal Form (NNF)

- Every LTL formula  $\varphi$  can be written into an equivalent formula  $\varphi'$  using only the operators  $\wedge, \vee, \mathbf{X}, \mathbf{U}, \mathbf{R}$  on propositional literals.
- Done by pushing negations down to literal level:
  - $\neg(\varphi_1 \vee \varphi_2) \implies (\neg\varphi_1 \wedge \neg\varphi_2)$
  - $\neg(\varphi_1 \wedge \varphi_2) \implies (\neg\varphi_1 \vee \neg\varphi_2)$
  - $\neg\mathbf{X}\varphi_1 \implies \mathbf{X}\neg\varphi_1$
  - $\neg(\varphi_1 \mathbf{U}\varphi_2) \implies (\neg\varphi_1 \mathbf{R}\neg\varphi_2)$
  - $\neg(\varphi_1 \mathbf{R}\varphi_2) \implies (\neg\varphi_1 \mathbf{U}\neg\varphi_2)$
- $\implies$  the resulting formula is expressed in terms of  $\vee, \wedge, \mathbf{X}, \mathbf{U}, \mathbf{R}$  and literals (Negative Normal Form, NNF).
  - encoding linear if a DAG representation is used
- In the construction of  $A_\varphi$  we now assume that  $\varphi$  is in NNF.

# On-the-fly Construction of $A_\varphi$ (Intuition)

Apply recursively the following steps:

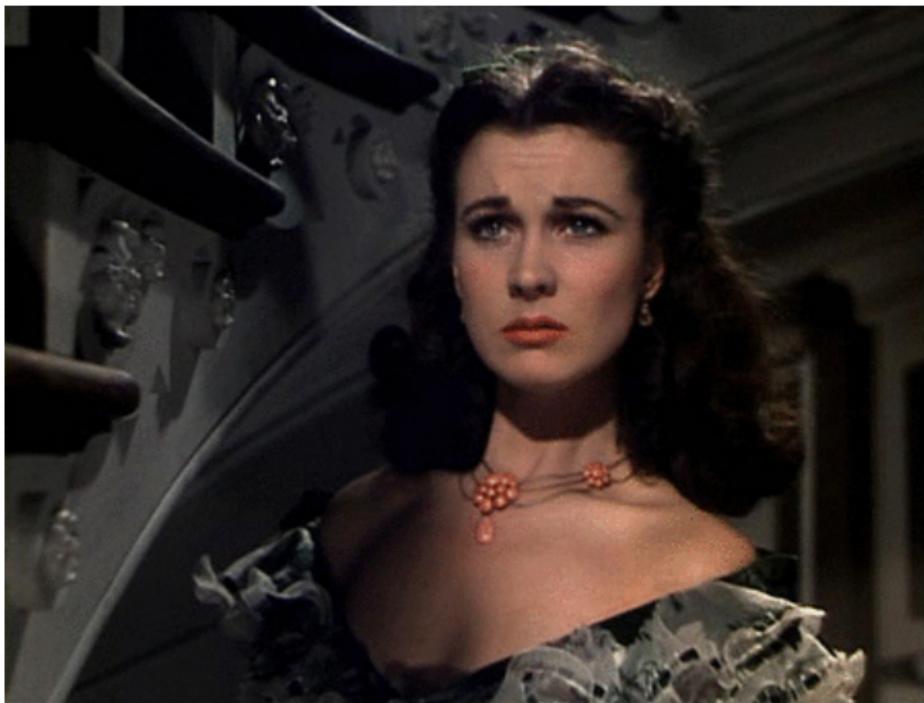
**Step 1:** Apply the tableau expansion rules to  $\varphi$

$$\psi_1 \mathbf{U} \psi_2 \implies \psi_2 \vee (\psi_1 \wedge \mathbf{X}(\psi_1 \mathbf{U} \psi_2))$$

$$\psi_1 \mathbf{R} \psi_2 \implies \psi_2 \wedge (\psi_1 \vee \mathbf{X}(\psi_1 \mathbf{R} \psi_2))$$

until we get a Boolean combination of elementary subformulas of  $\varphi$   
(An elementary formula is a proposition or a  $\mathbf{X}$ -formula.)

## Tableaux rules: a quote



*"After all... tomorrow is another day."  
[Scarlett O'Hara, "Gone with the Wind"]*

## On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

**Step 2:** Convert all formulas into Disjunctive Normal Form, and then push the conjunctions inside the next:

$$\varphi \implies \bigvee_i (\bigwedge_j l_{ij} \wedge \mathbf{X} \bigwedge_k \psi_{ik}) \implies \bigvee_i (\bigwedge_j l_{ij} \wedge \mathbf{X} \bigwedge_k \psi_{ik}).$$

- Each disjunct  $\underbrace{\left(\bigwedge_j l_{ij}\right)}_{\text{labels}} \wedge \mathbf{X} \underbrace{\left(\bigwedge_k \psi_{ik}\right)}_{\text{next part}}$  represents a state:
  - the conjunction of literals  $\bigwedge_j l_{ij}$  represents a set of labels in  $\Sigma$  (e.g., if  $\text{Vars}(\varphi) = \{p, q, r\}$ ,  $p \wedge \neg q$  represents the two labels  $\{p, \neg q, r\}$  and  $\{p, \neg q, \neg r\}$ )
  - $\mathbf{X} \bigwedge_k \psi_{ik}$  represents the next part of the state (obligations for the successors)
- N.B., if no next part occurs,  $\mathbf{X}\top$  is implicitly assumed

# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

**Step 3:** For every state  $S_i$  represented by  $(\bigwedge_j l_{ij} \wedge \mathbf{X} \overbrace{\bigwedge_k \psi_{ik}}^{\varphi_i})$

- label the incoming edges of  $S_i$  with  $\bigwedge_j l_{ij}$
- mark that the state  $S_i$  satisfies  $\varphi$
- apply recursively steps 1-2-3 to  $\varphi_i \stackrel{\text{def}}{=} \bigwedge_k \psi_{ik}$ ,
  - rewrite  $\varphi_i$  into  $\bigvee_{i'} (\bigwedge_j l'_{ij} \wedge \mathbf{X} \bigwedge_k \psi'_{i'k})$
  - from each disjunct  $(\bigwedge_j l'_{ij} \wedge \mathbf{X} \bigwedge_k \psi'_{i'k})$  generate a new state  $S_{i'}$  (if not already present) and label it as satisfying  $\varphi_i \stackrel{\text{def}}{=} \bigwedge_k \psi_{ik}$
- draw an edge from  $S_i$  to all states  $S_{i'}$  which satisfy  $\bigwedge_k \psi_{ik}$
- (if no next part occurs,  $\mathbf{X}\top$  is implicitly assumed, so that an edge to a “true” node is drawn)

# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

$\varphi$  ??



## On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

When the recursive applications of steps 1-3 has terminated and the automata graph has been built, then apply the following:

**Step 4:** For every  $\psi_i \mathbf{U} \varphi_i$ , for every state  $q_j$ , mark  $q_j$  with  $F_i$  iff  
 $(\psi_i \mathbf{U} \varphi_i) \notin q_j$  or  $\varphi_i \in q_j$   
 (If there is no U-subformulas, then mark all states with  $F_1$   
 —i.e.,  $FT \stackrel{\text{def}}{=} \{Q\}$ ).

# On-the-fly Construction of $A_\phi$ - State

- Henceforth, a state is represented by a tuple  $s := \langle \lambda, \chi, \sigma \rangle$  where:
  - $\lambda$  is the set of labels
  - $\chi$  is the next part, i.e. the set of  $X$ -formulas satisfied by  $s$
  - $\sigma$  is the set of the subformulas of  $\phi$  satisfied by  $s$  (necessary for the fairness definition)
- Given a set of LTL formulas  $\Psi \stackrel{\text{def}}{=} \{\psi_1, \dots, \psi_k\}$ , we define  $Cover(\Psi) \stackrel{\text{def}}{=} Expand(\Psi, \langle \emptyset, \emptyset, \emptyset \rangle)$  to be the set of initial states of the Buchi automaton representing  $\bigwedge_j \psi_j$ .
  - Combines steps 1. and 2. of previous slides
  - $Expand()$  defined recursively as follows

## On-the-fly Construction of $A_\phi$ - Expand

Given a set of formulas  $\Phi$  to expand and a state  $s$ , we define the set of states  $Expand(\Phi, s)$  recursively as follows:

- if  $\Phi = \emptyset$ ,  $Expand(\Phi, s) = \{s\}$
- if  $\perp \in \Phi$ ,  $Expand(\Phi, s) = \emptyset$
- if  $\top \in \Phi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  
 $Expand(\Phi, s) = Expand(\Phi \setminus \{\top\}, \langle \lambda, \chi, \sigma \cup \{\top\} \rangle)$
- if  $I \in \Phi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  $I$  propositional literal  
 $Expand(\Phi, s) = Expand(\Phi \setminus \{I\}, \langle \lambda \cup \{I\}, \chi, \sigma \cup \{I\} \rangle)$   
 (add  $I$  to the labels of  $s$  and to set of satisfied formulas)
- if  $\mathbf{X}\psi \in \Phi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  
 $Expand(\Phi, s) = Expand(\Phi \setminus \{\mathbf{X}\psi\}, \langle \lambda, \chi \cup \{\psi\}, \sigma \cup \{\mathbf{X}\psi\} \rangle)$   
 (add  $\psi$  to the next part of  $s$  and  $\mathbf{X}\psi$  to set of satisfied formulas)
- if  $\psi_1 \wedge \psi_2 \in \Phi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  
 $Expand(\Phi, s) =$   
 $Expand(\Phi \cup \{\psi_1, \psi_2\} \setminus \{\psi_1 \wedge \psi_2\}, \langle \lambda, \chi, \sigma \cup \{\psi_1 \wedge \psi_2\} \rangle)$   
 (process both  $\psi_1$  and  $\psi_2$  and add  $\psi_1 \wedge \psi_2$  to  $\sigma$ )

# On-the-fly Construction of $A_\phi$ - Expand

- if  $\psi_1 \vee \psi_2 \in \Phi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  

$$\text{Expand}(\Phi, s) = \text{Expand}(\Phi \cup \{\psi_1\} \setminus \{\psi_1 \vee \psi_2\}, \langle \lambda, \chi, \sigma \cup \{\psi_1 \vee \psi_2\} \rangle) \cup \text{Expand}(\Phi \cup \{\psi_2\} \setminus \{\psi_1 \vee \psi_2\}, \langle \lambda, \chi, \sigma \cup \{\psi_1 \vee \psi_2\} \rangle)$$
 (split  $s$  in two copies, process  $\psi_2$  on the first,  $\psi_1$  on the second, add  $\psi_1 \vee \psi_2$  to  $\sigma$ )
- if  $\psi_1 \mathbf{U} \psi_2 \in \Phi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  

$$\text{Expand}(\Phi, s) = \text{Expand}(\Phi \cup \{\psi_1\} \setminus \{\psi_1 \mathbf{U} \psi_2\}, \langle \lambda, \chi \cup \{\psi_1 \mathbf{U} \psi_2\}, \sigma \cup \{\psi_1 \mathbf{U} \psi_2\} \rangle) \cup \text{Expand}(\Phi \cup \{\psi_2\} \setminus \{\psi_1 \mathbf{U} \psi_2\}, \langle \lambda, \chi, \sigma \cup \{\psi_1 \mathbf{U} \psi_2\} \rangle)$$
 (split  $s$  in two copies and process  $\psi_1$  on the first,  $\psi_2$  on the second, add  $\psi_1 \mathbf{U} \psi_2$  to  $\sigma$ )
- if  $\psi_1 \mathbf{R} \psi_2 \in \Phi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,  

$$\text{Expand}(\Phi, s) = \text{Expand}(\Phi \cup \{\psi_2\} \setminus \{\psi_1 \mathbf{R} \psi_2\}, \langle \lambda, \chi \cup \{\psi_1 \mathbf{R} \psi_2\}, \sigma \cup \{\psi_1 \mathbf{R} \psi_2\} \rangle) \cup \text{Expand}(\Phi \cup \{\psi_1, \psi_2\} \setminus \{\psi_1 \mathbf{R} \psi_2\}, \langle \lambda, \chi, \sigma \cup \{\psi_1 \mathbf{R} \psi_2\} \rangle)$$
 (split  $s$  in two copies and process  $\psi_1$  on the first,  $\psi_2$  on the second, add  $\psi_1 \mathbf{R} \psi_2$  to  $\sigma$ )

# On-the-fly Construction of $A_\phi$ - Expand

Two relevant subcases:  $\mathbf{F}\psi \stackrel{\text{def}}{=} \top \mathbf{U}\psi$  and  $\mathbf{G}\psi \stackrel{\text{def}}{=} \perp \mathbf{R}\psi$

- if  $\mathbf{F}\psi \in \Phi$  and  $\mathbf{s} = \langle \lambda, \chi, \sigma \rangle$ ,

$$\text{Expand}(\Phi, \mathbf{s}) = \text{Expand}(\Phi \setminus \{\mathbf{F}\psi\}, \langle \lambda, \chi \cup \{\mathbf{F}\psi\}, \sigma \cup \{\mathbf{F}\psi\} \rangle) \\ \cup \text{Expand}(\Phi \cup \{\psi\} \setminus \{\mathbf{F}\psi\}, \langle \lambda, \chi, \sigma \cup \{\mathbf{F}\psi\} \rangle)$$

- if  $\mathbf{G}\psi \in \Phi$  and  $\mathbf{s} = \langle \lambda, \chi, \sigma \rangle$ ,

$$\text{Expand}(\Phi, \mathbf{s}) = \text{Expand}(\Phi \cup \{\psi\} \setminus \{\mathbf{G}\psi\}, \langle \lambda, \chi \cup \{\mathbf{G}\psi\}, \sigma \cup \{\mathbf{G}\psi\} \rangle)$$

Note:  $\text{Expand}(\Phi \cup \{\perp, \psi\} \setminus \{\mathbf{G}\psi\}, \dots) = \emptyset$

## Definition of $A_\phi$

Given a set of LTL formulas  $\Psi$ , we define

$$\mathit{Cover}(\Psi) \stackrel{\text{def}}{=} \mathit{Expand}(\Psi, \langle \emptyset, \emptyset, \emptyset \rangle).$$

For an LTL formula  $\phi$ , we construct a Generalized NBA

$A_\phi = (Q, Q_0, \Sigma, L, T, FT)$  as follows:

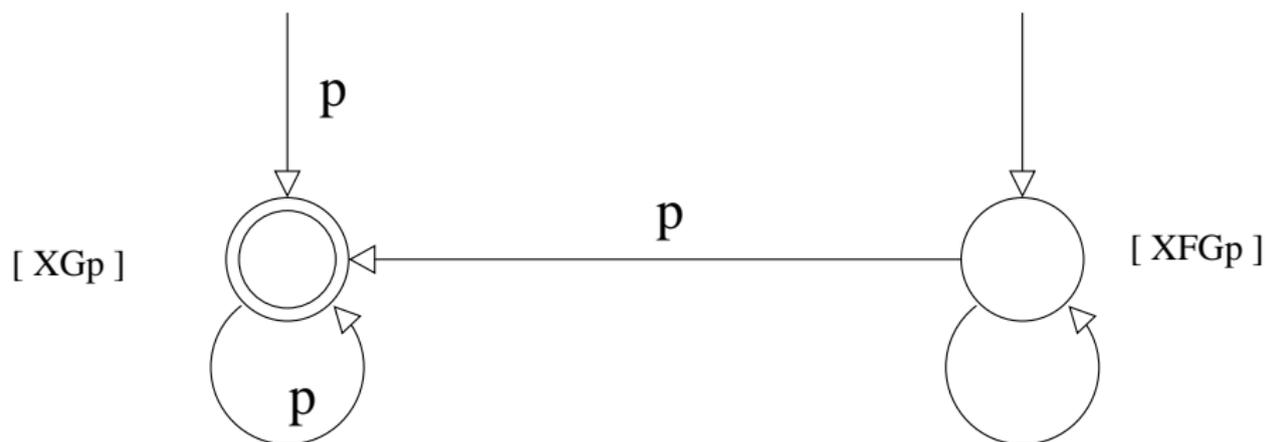
- $\Sigma = 2^{\mathit{vars}(\phi)}$
- $Q$  is the smallest set such that
  - $\mathit{Cover}(\{\phi\}) \subseteq Q$
  - if  $\langle \lambda, \chi, \sigma \rangle \in Q$ , then  $\mathit{Cover}(\chi) \in Q$
- $Q_0 = \mathit{Cover}(\{\phi\})$ .
- $L(\langle \lambda, \chi, \sigma \rangle) = \{a \in \Sigma \mid a \models \lambda\}$
- $(s, s') \in T$  iff,  $s = \langle \lambda, \chi, \sigma \rangle$  and  $s' \in \mathit{Cover}(\chi)$
- $FT = \langle F_1, F_2, \dots, F_k \rangle$  where, for all  $(\psi_i \mathbf{U} \phi_i)$  occurring positively in  $\phi$ ,  
 $F_i = \{\langle \lambda, \chi, \sigma \rangle \in Q \mid (\psi_i \mathbf{U} \phi_i) \notin \sigma \text{ or } \phi_i \in \sigma\}$ .  
 (If there is no U-subformulas, then  $FT \stackrel{\text{def}}{=} \{Q\}$ ).

## Example: $\phi = \mathbf{FG}p$

- $$\begin{aligned}
 & \text{Cover}(\{\mathbf{FG}p\}) \\
 &= \text{Expand}(\{\mathbf{FG}p\}, \langle \emptyset, \emptyset, \emptyset \rangle) \\
 &= \text{Expand}(\emptyset, \langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle) \cup \text{Expand}(\{\mathbf{G}p\}, \langle \emptyset, \emptyset, \{\mathbf{FG}p\} \rangle) \\
 &= \{ \langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle \} \cup \text{Expand}(\{p\}, \langle \emptyset, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p\} \rangle) \\
 &= \{ \langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle \} \cup \text{Expand}(\emptyset, \langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p, p\} \rangle) \\
 &= \{ \langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle, \langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p, p\} \rangle \}
 \end{aligned}$$
- $$\begin{aligned}
 \text{Cover}(\{\mathbf{G}p\}) &= \text{Expand}(\{\mathbf{G}p\}, \langle \emptyset, \emptyset, \emptyset \rangle) \\
 &= \text{Expand}(\{p\}, \langle \emptyset, \{\mathbf{G}p\}, \{\mathbf{G}p\} \rangle) \\
 &= \text{Expand}(\emptyset, \langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{G}p, p\} \rangle) \\
 &= \{ \langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{G}p, p\} \rangle \}
 \end{aligned}$$
- Optimization:  
 merge  $\langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p, p\} \rangle$  and  $\langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{G}p, p\} \rangle$

## Example: $\phi = \mathbf{FG}p$

- Call  $s_1 = \langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle$ ,  $s_2 = \langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p, p\} \rangle$
- $Q = \{s_1, s_2\}$
- $Q_0 = \{s_1, s_2\}$ .
- $T : s_1 \rightarrow \{s_1, s_2\}$ ,  
 $s_2 \rightarrow \{s_2\}$
- $FT = \langle F_1 \rangle$  where  $F_1 = \{s_2\}$ .



# Example: $\phi = p\mathbf{U}q$



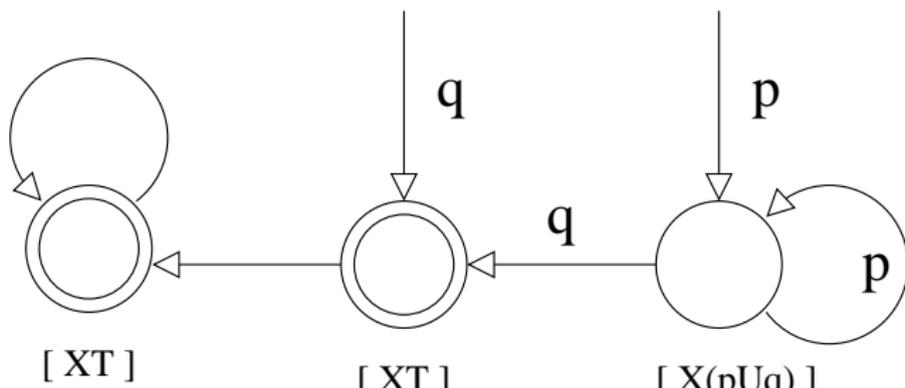
$$\begin{aligned}
 & \text{Cover}(\{p\mathbf{U}q\}) \\
 = & \text{Expand}(\{p\mathbf{U}q\}, \langle \emptyset, \emptyset, \emptyset \rangle) \\
 = & \text{Expand}(\{p\}, \langle \emptyset, \{p\mathbf{U}q\}, \{p\mathbf{U}q\} \rangle) \cup \text{Expand}(\{q\}, \langle \emptyset, \emptyset, \{p\mathbf{U}q\} \rangle) \\
 = & \text{Expand}(\emptyset, \langle \{p\}, \{p\mathbf{U}q\}, \{p\mathbf{U}q, p\} \rangle) \cup \text{Expand}(\emptyset, \langle \{q\}, \emptyset, \{p\mathbf{U}q, q\} \rangle) \\
 = & \{ \langle \{p\}, \{p\mathbf{U}q\}, \{p\mathbf{U}q, p\} \rangle \} \cup \{ \langle \{q\}, \{T\}, \{p\mathbf{U}q, q\} \rangle \}
 \end{aligned}$$



$$\text{Cover}(\{T\}) = \{ \langle \emptyset, \{T\}, \{T\} \rangle \}$$

## Example: $\phi = p\mathbf{U}q$

- Let  $s_1 =_{\text{def}} \langle \{p\}, \{p\mathbf{U}q\}, \{p\mathbf{U}q, p\} \rangle$ ,  $s_2 =_{\text{def}} \langle \{q\}, \{\top\}, \{p\mathbf{U}q, q\} \rangle$ ,  
 $s_3 =_{\text{def}} \langle \emptyset, \{\top\}, \{\top\} \rangle$ .
- $Q = \{s_1, s_2, s_3\}$ ,
- $Q_0 = \{s_1, s_2\}$ ,
- $T$ :  $s_1 \rightarrow \{s_1, s_2\}$ ,  
 $s_2 \rightarrow \{s_3\}$   
 $s_3 \rightarrow \{s_3\}$
- $FT = \langle F_1 \rangle$  where  $F_1 = \{s_2, s_3\}$ .



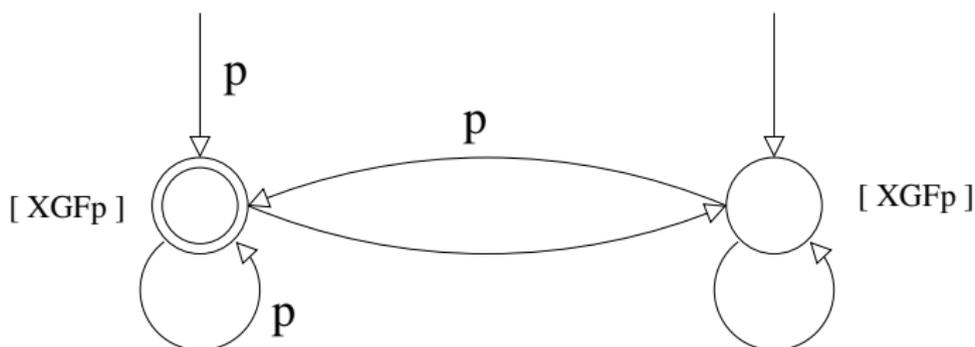
# Example: $\phi = \mathbf{GF}p$

$$\begin{aligned}
 & \text{Cover}(\{\mathbf{GF}p\}) \\
 = & E(\{\mathbf{GF}p\}, \langle \emptyset, \emptyset, \emptyset \rangle) \\
 = & E(\{\mathbf{F}p\}, \langle \emptyset, \{\mathbf{GF}p\}, \{\mathbf{GF}p\} \rangle) \\
 = & E(\{\}, \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle) \cup E(\{p\}, \langle \{\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle) \\
 = & E(\{\}, \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle) \cup E(\{\}, \langle \{p\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p, p\} \rangle) \\
 = & \{ \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle \} \cup \{ \langle \{p\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p, p\} \rangle \}
 \end{aligned}$$

Note:  $\mathbf{GF}p \wedge \mathbf{F}p \iff \mathbf{GF}p$ , s.t.  $\text{Cover}(\mathbf{GF}p \wedge \mathbf{F}p) = \text{Cover}(\mathbf{GF}p)$

## Example: $\mathbf{GF}p$

- Let  $s_1 =_{\text{def}} \langle \{p\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p, p\} \rangle$ ,  
 $s_2 =_{\text{def}} \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle$ ,
- $Q = \{s_1, s_2\}$ ,
- $Q_0 = \{s_1, s_2\}$ ,
- $T : s_1 \rightarrow \{s_1, s_2\}$ ,  
 $s_2 \rightarrow \{s_1, s_2\}$
- $FT = \langle F_1 \rangle$  where  $F_1 = \{s_1\}$ .



# NBAs of disjunctions of formulas

## Remark

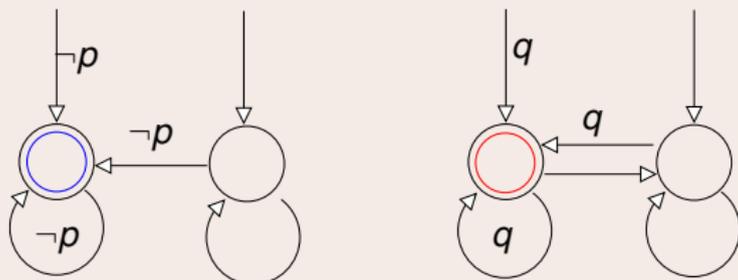
If  $\varphi \stackrel{\text{def}}{=} (\varphi_1 \vee \varphi_2)$  and  $A_{\varphi_1}, A_{\varphi_2}$  are NBAs encoding  $\varphi_1$  and  $\varphi_2$  resp., then  $\mathcal{L}(\varphi) = \mathcal{L}(\varphi_1) \cup \mathcal{L}(\varphi_2)$ , so that  $A_{\varphi} \stackrel{\text{def}}{=} A_{\varphi_1} \cup A_{\varphi_2}$  is an NBA encoding  $\varphi$

- $A_{\varphi}$  non necessarily the smallest/best NBA encoding  $\varphi$

## Example

Let  $\varphi \stackrel{\text{def}}{=} (\mathbf{GF}p \rightarrow \mathbf{GF}q)$ , i.e.,  $\varphi \equiv (\mathbf{FG}\neg p \vee \mathbf{GF}q)$ .

Then  $A_{\mathbf{FG}\neg p} \cup A_{\mathbf{GF}q}$  encodes  $\varphi$ :



## Suggested Exercises:

- Find an NBA encoding:
  - $p$
  - $\mathbf{F}p$
  - $\mathbf{G}p$
  - $p\mathbf{R}q$
  - $(\mathbf{G}Fp \wedge \mathbf{G}Fq) \rightarrow \mathbf{G}r$

# Automata-Theoretic LTL Model Checking: complexity

Four steps:

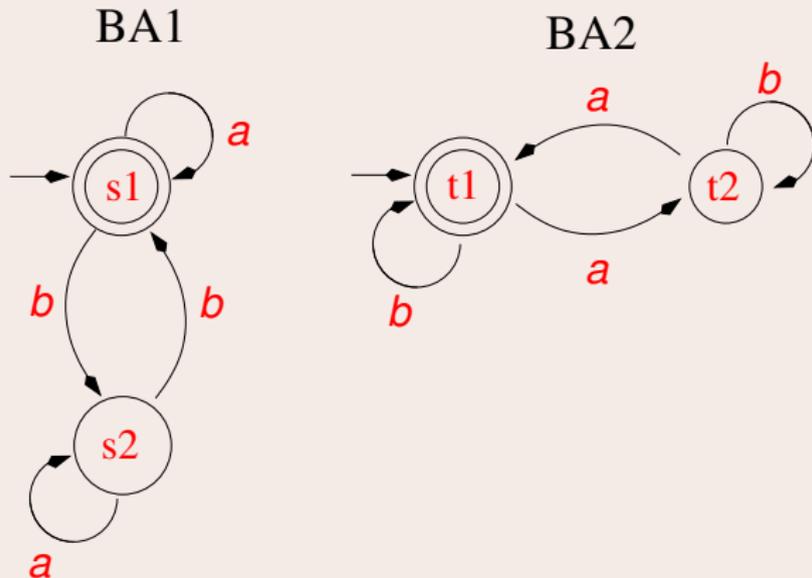
- (i) Compute  $A_M$ :  $|A_M| = O(|M|)$
- (ii) Compute  $A_\varphi$ :  $|A_\varphi| = O(2^{|\varphi|})$
- (iii) Compute the product  $A_M \times A_\varphi$ :  
 $|A_M \times A_\varphi| = |A_M| \cdot |A_\varphi| = O(|M| \cdot 2^{|\varphi|})$
- (iv) Check the emptiness of  $\mathcal{L}(A_M \times A_\varphi)$ :  $O(|A_M \times A_\varphi|) = O(|M| \cdot 2^{|\varphi|})$   
 $\implies$  the complexity of LTL M.C. grows linearly wrt. the size of the model  $M$  and exponentially wrt. the size of the property  $\varphi$

# Final Remarks

- Büchi automata are in general more expressive than LTL!
  - ⇒ Some tools (e.g., Spin) allow specifications to be expressed directly as NBAs
  - ⇒ complementation of NBA important!
- for every LTL formula, there are many possible equivalent NBAs
  - ⇒ lots of research for finding “the best” conversion algorithm
- performing the product and checking emptiness very relevant
  - ⇒ lots of techniques developed (e.g., partial order reduction)
  - ⇒ lots on ongoing research

# Ex: Product of Büchi automata

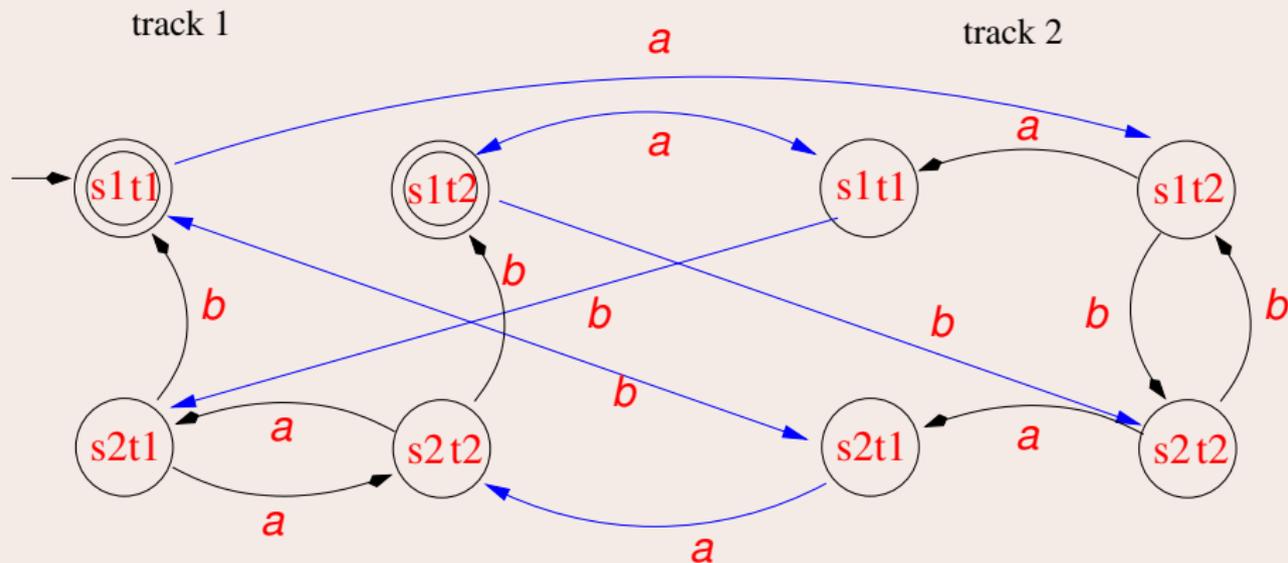
Given the following two Büchi automata (doubly-circled states represent accepting states,  $a$ ,  $b$  are labels):



Write the product Büchi automaton  $BA1 \times BA2$ .

# Ex: Product of Büchi automata

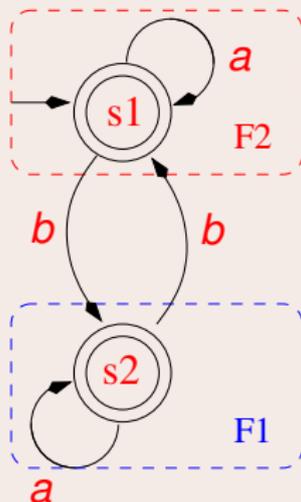
[ Solution: The product is:



]

# Ex: De-generalization of Büchi Automata

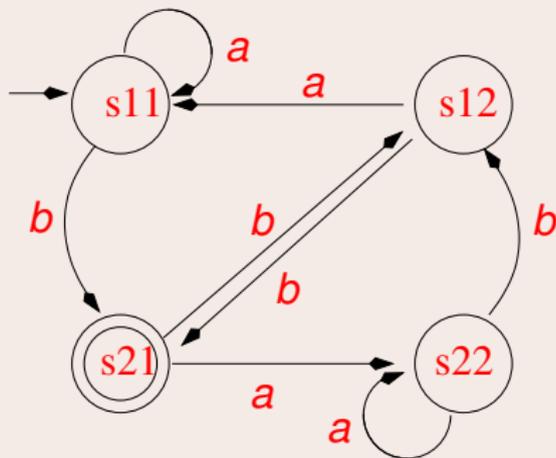
Given the following generalized Büchi automaton  $A \stackrel{\text{def}}{=} \langle Q, \Sigma, \delta, I, FT \rangle$ , with two sets of accepting states  $FT \stackrel{\text{def}}{=} \{F1, F2\}$  s.t.  $F1 \stackrel{\text{def}}{=} \{s2\}$ ,  $F2 \stackrel{\text{def}}{=} \{s1\}$ :



convert it into an equivalent plain Büchi automaton.

# Ex: De-generalization of Büchi Automata

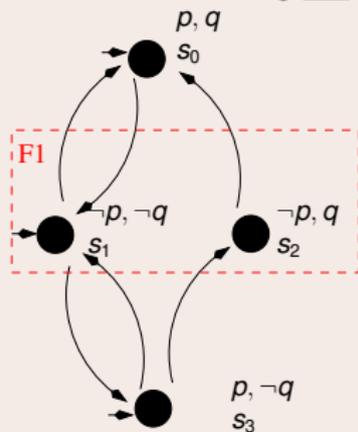
[ Solution: The result is:



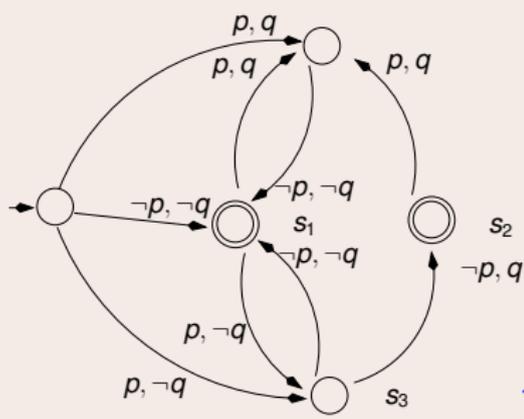
]

# Ex: From Kripke models to Büchi automata

Given the following fair Kripke model  $M$ , convert it into an equivalent Buchi automaton.



[ Solution:



]

# Ex: Construction of Büchi Automata

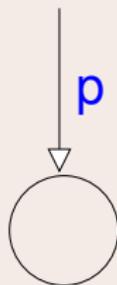
Consider the LTL formula  $\varphi \stackrel{\text{def}}{=} (\mathbf{G}\neg p) \rightarrow (p\mathbf{U}q)$ .

(a) rewrite  $\varphi$  into Negative Normal Form

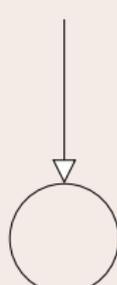
[ Solution:  $(\mathbf{G}\neg p) \rightarrow (p\mathbf{U}q) \implies (\neg\mathbf{G}\neg p) \vee (p\mathbf{U}q) \implies (\mathbf{F}p) \vee (p\mathbf{U}q)$  ]

(b) find the initial states of a corresponding Buchi automaton (for each state, define the labels of the incoming arcs and the “next” section.)

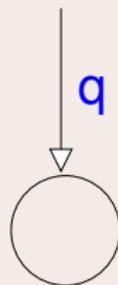
[ Solution: Applying tableaux rules we obtain:  $p \vee \mathbf{X}\mathbf{F}p \vee q \vee (p \wedge \mathbf{X}(p\mathbf{U}q))$ , which is already in disjunctive normal form. This correspond to the following four initial states:



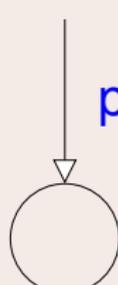
[ $\top$ ]



[ $\mathbf{F}p$ ]



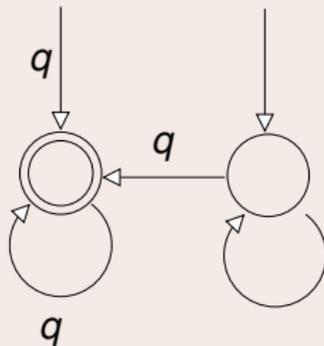
[ $\top$ ]



[ $p\mathbf{U}q$ ]

## Ex: Büchi automaton

Given the following Büchi automaton BA (doubly-circled states represent accepting states):



Say which of the following sentences are true and which are false.

- (a) BA accepts all and only the paths verifying **GF** $q$ . [ Solution: false ]
- (b) BA accepts all and only the paths verifying **FG** $q$ . [ Solution: true ]
- (c) BA accepts only paths verifying **F** $q$ , but not all of them. [ Solution: true ]
- (d) BA accepts all the paths verifying **F** $q$ , but not only them. [ Solution: false ]