

# Fundamentals of Artificial Intelligence

## Chapter 06: **Constraint Satisfaction Problems**

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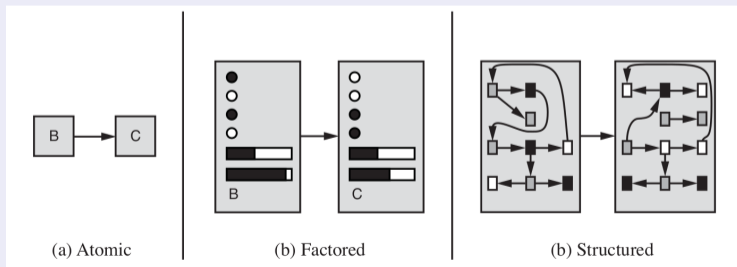
- 1 Constraint Satisfaction Problems (CSPs)
- 2 Search with CSPs
  - Inference: Constraint Propagation
  - Backtracking Search
  - Interleaving Search and Inference
  - Chronological vs. Conflict-Driven Backtracking
- 3 Local Search with CSPs
- 4 Exploiting Structure of CSPs

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# Recall: State Representations [Ch. 02]

## Representations of states and transitions

- Three ways to represent states and transitions between them:
  - **atomic**: a state is a **black box with no internal structure**
  - **factored**: a state consists of a **vector of attribute values**
  - **structured**: a state **includes objects**, each of which may have **attributes** of its own as well as **relationships** to other objects
- increasing **expressive power** and **computational complexity**
- reality represented at **different levels of abstraction**



# Constraint Satisfaction Problems (CSPs): Generalities

## Constraint Satisfaction Problems, CSPs (aka Constraint Satisfiability Problems)

- Search problem so far: **Atomic representation of states**
  - black box with no internal structure
  - goal test as set inclusion
- Henceforth: use a **Factored representation of states**
  - **state** is defined by **a set of variables values** from some domains
  - **goal test** is a **set of constraints** specifying allowable combinations of values for subsets of variables
    - a set of variable values is a goal iff the values verify all constraints
- **CSP Search Algorithms**
  - take advantage of the **structure of states**
  - **use general-purpose heuristics rather than problem-specific ones**
  - main idea: **eliminate large portions of the search space all at once**
    - identify variable/value combinations that violate the constraints

# CSPs: Definitions

## CSPs

- A **Constraint Satisfaction Problem** is a tuple  $\langle X, D, C \rangle$ :
  - a set of variables  $X \stackrel{\text{def}}{=} \{X_1, \dots, X_n\}$
  - a set of (non-empty) domains  $D \stackrel{\text{def}}{=} \{D_1, \dots, D_n\}$ , one for each  $X_i$
  - a set of constraints  $C \stackrel{\text{def}}{=} \{C_1, \dots, C_m\}$ 
    - specify allowable combinations of values for the variables in  $X$
- Each  $D_i$  is a set of allowable values  $\{v_i, \dots, v_k\}$  for variable  $X_i$
- Each  $C_i$  is a pair  $\langle \text{scope}, \text{rel} \rangle$ 
  - **scope** is a tuple of variables that participate in the constraint
  - **rel** is a relation defining the values that such variables can take
- A relation is
  - an explicit list of all tuples of values that satisfy the constraint (most often inconvenient), or
  - an abstract relation supporting two operations:
    - test if a tuple is a member of the relation
    - enumerate the members of the relation
- We need a language to express constraint relations!

# CSPs: Definitions [cont.]

## States, Assignments and Solutions

- A **state** in a CSP is **an assignment of values to some or all of the variables**  $\{X_i = v_{x_i}\}_i$  s.t.  $X_i \in X$  and  $v_{x_i} \in D_i$
- An assignment is
  - **complete** (aka **total**) if every variable is assigned a value
  - **incomplete** (aka **partial**) if some variable is assigned a value
- An assignment that does not violate any constraints in the CSP is called a **consistent** or **legal assignment**
- A **solution** to a CSP is a **consistent and complete assignment**
- **A CSP consists in finding one solution (or state there is none)**
- **Constraint Optimization Problems (COPs):**  
**CSPs requiring solutions that maximize/minimize an objective function**

# Example: Sudoku

- 81 Variables: (each square)  $X_{ij}$ ,  
 $i = A, \dots, I$ ;  $j = 1 \dots 9$
- Domain:  $\{1, 2, \dots, 8, 9\}$
- Constraints:
  - $AllDiff(X_{i1}, \dots, X_{i9})$  for each row  $i$
  - $AllDiff(X_{A1}, \dots, X_{I1})$  for each column  $j$
  - $AllDiff(X_{A1}, \dots, X_{A3}, X_{B1}, \dots, X_{C3})$  for each  $3 \times 3$  square region(alternatively, a long list of pairwise inequality constraints:  $X_{A1} \neq X_{A2}, X_{A1} \neq X_{A3}, \dots$ )
- Solution: total value assignment satisfying all the constraints:  $X_{A1} = 4, X_{A2} = 8, X_{A3} = 3, \dots$

	1	2	3	4	5	6	7	8	9
A			3		2		6		
B	9			3		5			1
C			1	8		6	4		
D			8	1		2	9		
E	7								8
F			6	7		8	2		
G			2	6		9	5		
H	8			2		3			9
I			5		1		3		

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	1	2	3	4	5	6	7	8	9
A	4	8	3	9	2	1	6	5	7
B	9	6	7	3	4	5	8	2	1
C	2	5	1	8	7	6	4	9	3
D	5	4	8	1	3	2	9	7	6
E	7	2	9	5	6	4	1	3	8
F	1	3	6	7	9	8	2	4	5
G	3	7	2	6	8	9	5	1	4
H	8	1	4	2	5	3	7	6	9
I	6	9	5	4	1	7	3	8	2

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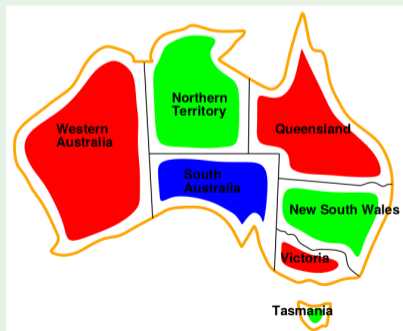
# Example: Map-Coloring

- Variables WA, NT, Q, NSW, V, SA, T
- Domain  $D_i = \{\text{red}, \text{green}, \text{blue}\}, \forall i$
- Constraints: adjacent regions must have different colours
  - e.g. (explicit enumeration):  $\langle \text{WA}, \text{NT} \rangle \in \{\langle \text{red}, \text{green} \rangle, \langle \text{red}, \text{blue} \rangle, \}$   
or (implicit, if language allows it):  $\text{WA} \neq \text{NT}$
- A solution:  $\{\text{WA}=\text{red}, \text{NT}=\text{green}, \text{Q}=\text{red}, \text{NSW}=\text{green}, \text{V}=\text{red}, \text{SA}=\text{blue}, \text{T}=\text{green}\}$



# Example: Map-Coloring

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- Domain  $D_i = \{red, green, blue\}, \forall i$
- Constraints: adjacent regions must have different colours
  - e.g. (explicit enumeration):  $\langle WA, NT \rangle \in \{\langle red, green \rangle, \langle red, blue \rangle, \}$   
or (implicit, if language allows it):  $WA \neq NT$
- A solution:  $\{WA=red, NT=green, Q=red, NSW=green, V=red, SA=blue, T=green\}$

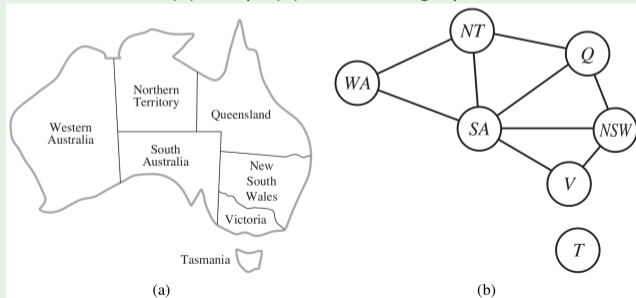


# Constraint Graphs

- Useful to visualize a CSP as a **constraint graph** (aka **network**)
  - the **nodes of the graph** correspond to variables of the problem
  - an **edge** connects any two variables that participate in a constraint
- CSP algorithms use the graph structure to speed up search
  - Ex: **Tasmania is an independent subproblem!**

## Example: Map Coloring

(a): map; (b) constraint graph



# Varieties of CSPs

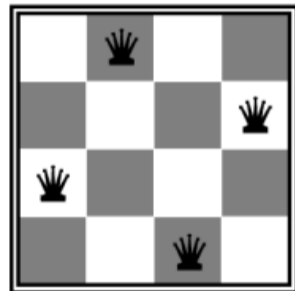
- Discrete variables
  - Finite domains (ex: **Booleans**, **bounded integers**, **lists of values**)
    - domain size  $d \implies d^n$  **complete assignments** (candidate solutions)
    - e.g. Boolean CSPs, incl. **Boolean satisfiability** (NP-complete)
    - **possible to define constraints by enumerating all combinations** (although unpractical)
  - Infinite domains (ex: **unbounded integers**)
    - infinite domain size  $\implies$  **infinite # of complete assignments**
    - e.g. **job scheduling**: variables are start/end days for each job
    - need a **constraint language** (ex:  $StartJob_1 + 5 \leq StartJob_3$ )
    - **linear constraints**  $\implies$  solvable (but NP-Hard)
    - **non-linear constraints**  $\implies$  undecidable (ex:  $x^n + y^n = z^n, n > 2$ )
- Continuous variables (ex: **reals**, **rationals**)
  - **linear constraints** solvable in poly time by LP methods
  - **non-linear constraints** solvable (e.g. by **Cylindrical Algebraic Decomposition**) but dramatically hard

The same problem may have distinct formulations as CSP!

# Example: N-Queens

## Formulation #1

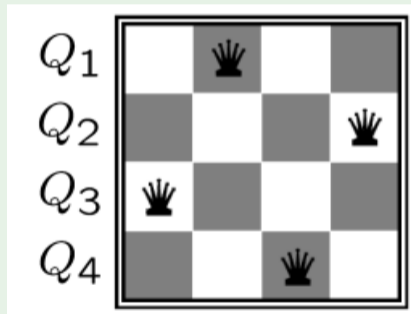
- variables  $X_{ij}$ ,  $i, j = 1..N$  (there is a queen i position  $i, j$ )
- domains:  $\{0, 1\}$  (false,true)
- constraints (explicit):
  - $\forall i, j, k \langle X_{ij}, X_{ik} \rangle \in \{\langle 0, 0 \rangle, \langle 1, 0 \rangle, \langle 0, 1 \rangle\}$  (row)
  - $\forall i, j, k \langle X_{ij}, X_{kj} \rangle \in \{\langle 0, 0 \rangle, \langle 1, 0 \rangle, \langle 0, 1 \rangle\}$  (column)
  - $\forall i, j, k \langle X_{ij}, X_{i+k, j+k} \rangle \in \{\langle 0, 0 \rangle, \langle 1, 0 \rangle, \langle 0, 1 \rangle\}$  (upward diagonal)
  - $\forall i, j, k \langle X_{ij}, X_{i+k, j-k} \rangle \in \{\langle 0, 0 \rangle, \langle 1, 0 \rangle, \langle 0, 1 \rangle\}$  (downward diagonal)
- explicit representation
- very inefficient



# Example: N-Queens [cont.]

## Formulation #2

- variables  $Q_k$ ,  $k = 1..N$  (row)
- domains:  $\{1..N\}$  (column position)
- constraints (implicit): *Nonthreatening*( $Q_k, Q_{k'}$ ):
  - none (row)
  - $Q_i \neq Q_j$  (column)
  - $Q_i \neq Q_{j+k} + k$  (downward diagonal)
  - $Q_i \neq Q_{j+k} - k$  (upward diagonal)
- implicit representation
- much more efficient



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# Varieties of Constraints

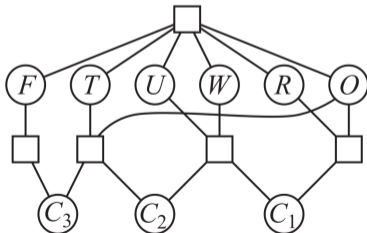
- **Unary constraints:** involve one single variable
  - ex: ( $SA \neq green$ )
- **Binary constraints:** involve pairs of variables
  - ex: ( $SA \neq WA$ )
- **Higher-order constraints:** involve  $\geq 3$  variables
  - ex: cryptarithmic column constraints
  - can be represented by **constraint hypergraphs** (hypernodes represent n-ary constraints, squares in cryptarithmic example)
- **Global constraints:** involve an **arbitrary number of variables**
  - ex:  $AllDiff(X_1, \dots, X_k)$
  - note: maximum domain size  $\geq k$ , otherwise  $AllDiff()$  unsatisfiable
  - compact, specialized routines for handling them
- **Preference constraints** (aka **soft constraints**): describe preferences between/among solutions
  - ex: “I’d rather WA in red than in blue or green”
  - can often be encoded as **costs/rewards** for variables/constraints:  
⇒ **solved by cost-optimization search techniques** (**Constraint Optimization Problems (COPs)**)



# Example: Cryptarithmic Puzzle

- Variables:  $F, T, U, W, R, O$ , plus  $C_1, C_2, C_3$  (carry)
- Domains:  $F, T, U, W, R, O \in \{0, 1, \dots, 9\}$ ;  $C_1, C_2, C_3 \in \{0, 1\}$
- Constraints: 
$$\left\{ \begin{array}{l} O + O = R + 10 \cdot C_1 \\ W + W + C_1 = U + 10 \cdot C_2 \\ T + T + C_2 = 10 \cdot C_3 + O \\ F = C_3, F \neq 0, T \neq 0 \end{array} \right\}$$
- (one) solution:  $\{F=1, T=7, U=2, W=1, R=8, O=4\}$  (714+714=1428)

$$\begin{array}{r} T W O \\ + T W O \\ \hline F O U R \end{array}$$



## Example: Job-Shop Scheduling

- Scheduling the assembling of a car requires several tasks
  - ex: installing axles, installing wheels, tightening nuts, put on hubcap, inspect
- Variables  $X_t$  (for each task t): **starting times of the tasks**
- Domain: **(bounded) integers** (time units)
- Constraints:
  - Precedence:  $(X_T + duration_T \leq X_{T'})$  (task T precedes task T')
    - $duration_T$  constant value (ex:  $(X_{axleA} + 10 \leq X_{axleB})$ )
  - Alternative precedence (combine arithmetic and logic):  
 $(X_T + duration_T \leq X_{T'})$  or  $(X_{T'} + duration_{T'} \leq X_T)$

## Remark

- **k-ary constraints can be transformed into sets of binary constraints**
  - hint: add enough auxiliary variables (see ex. 6.6 in AIMA book)

⇒ often CSP solvers work with binary constraints only

- In the rest of this chapter (unless specified otherwise) we assume we have only binary constraints in the CSP
- We call **neighbours** two variables sharing a binary constraint

# Real-World CSPs

- Task-Assignment problems
  - Ex: who teaches which class?
- Timetabling problems
  - Ex: which class is offered when and where?
- Hardware configuration
  - Ex: which component is placed where? with which connections?
- Transportation scheduling
  - Ex: which van goes where?
- Factory scheduling
  - Ex: which machine/worker takes which task? in which order?
- ...

## Remarks

- many real-world problems involve **real/rational-valued variables**
- many real-world problems involve **combinatorics and logic**
- many real-world problems require **optimization**

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# Search & Constraint Propagation with CSPs

- In state-space search, an algorithm can only **search**
  - **move from complete state to complete state**
- A CSPs interleaves **search** with **constraint propagation**:
  - **search**: **pick a new variable assignment** (and backtrack when needed)
    - does not move from complete state to complete state,
    - rather, builds a complete state by progressively extending partial ones
  - **constraint propagation** (aka **inference**):
    - **use the constraints to reduce the set of legal candidate values for a variable**
    - forces next variable assignment when candidate values are reduced to one
    - forces backtracking when candidate values are reduced to zero
- Constraint propagation can either:
  - be interleaved with search
  - be performed as a preprocessing step

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# Constraint Propagation

- Use the constraints to reduce the set of legal candidate values for variables
- Intuition: **preserve and propagate local consistency**
  - enforcing local consistency in each part of the constraint graph

⇒ inconsistent values eliminated throughout the graph
- Different types of local consistency:
  - **node consistency** (aka **1-consistency**)
  - **arc consistency** (aka **2-consistency**)
  - **path consistency** (aka **3-consistency**)
  - **k-consistency**  $k \geq 1$



# Node Consistency (aka 1-Consistency)

- $X_i$  is node-consistent if all the values in the variable's domain satisfy its unary constraints
- A CSP is node-consistent if every variable is node-consistent
- Node-consistency propagation:  
remove all values from the domain  $D_i$  of  $X_i$  which violate unary constraints on  $X_i$ 
  - ex: if the constraint  $WA \neq green$  is added to map-coloring problem then  $WA$  domain  $\{red, green, blue\}$  is reduced to  $\{red, blue\}$
  - ex: if the constraint  $WA = green$  is added to map-coloring problem then  $WA$  domain  $\{red, green, blue\}$  is reduced to  $\{green\}$
- Unary constraints can be removed a priori by node consistency propagation

# Arc Consistency (aka 2-Consistency)

- $X_i$  is arc-consistent wrt.  $X_j$  iff for every value  $d_i$  of  $X_i$  in  $D_i$  exists a value  $d_j$  for  $X_j$  in  $D_j$  which satisfy all binary constraints on  $\langle X_i, X_j \rangle$
  - A CSP is arc-consistent if every variable is arc consistent with every other variable
  - **Forward Checking**: remove values from unassigned variables which are not arc consistent with assigned variables
    - i.e., remove values which are non consistent with the assigned values of neighbour variables
    - ⇒ ensure arcs from assigned to unassigned variables are arc consistent
    - Limitation: If  $X$  loses a value, neighbors of  $X$  are not rechecked
  - **Arc-consistency propagation**: remove all values from the domains of every variable which are not arc-consistent with these of some other variables
    - Idea: If  $X$  loses a value, neighbors of  $X$  are rechecked
    - ⇒ ensure all arcs are arc consistent!
  - A well-known algorithm: **AC-3**
    - ⇒ every arc is arc-consistent, or some variable domain is empty
    - complexity:  $O(|C| \cdot |D|^3)$  worst-case
    - **AC-4** is  $O(|C| \cdot |D|^2)$  worst-case, but worse than AC-3 on average
- ⇒ Can be interleaved with search or used as a preprocessing step

# Forward Checking

- Simplest form of propagation
- Idea: **propagate information from assigned to unassigned variables**
  - pick (novel) variable assignment
  - update remaining legal values for unassigned variables
- **Does not provide early detection for all failures**
- Limitation: **If X loses a value, neighbors of X are not rechecked!**
  - ex: **SA single value is incompatible with NT single value**

- Can we conclude anything?
  - **NT and SA cannot both be blue!**
- Why didn't we detect this inconsistency yet?



# Forward Checking Example: Sudoku

(consider  $AllDiff()$  as a set of binary constraints)

Apply forward checking:

- What about E6?
  - forward checking on column 6:  
drop 2,3,5,6,8,9  $\implies \text{Domain}(E6)=\{1, 4, 7\}$
  - forward checking on square:  
drop 1,7  $\implies \text{Domain}(E6)=\{4\}$   
(will be assigned to 4 at next search step,  
but does not trigger other propagations)
- What about I6?
  - forward checking on column 6:  
drop 2,3,5,6,8,9  $\implies \text{Domain}(I6)=\{1, 4, 7\}$
  - forward checking on square:  
drop 1  $\implies \text{Domain}(I6)=\{4, 7\}$
- What about A6?
  - forward checking on column 6:  
drop 2,3,5,6,8,9  $\implies \text{Domain}(A6)=\{1, 4, 7\}$
- **Next decisions: assign  $E6 = 4$**

	1	2	3	4	5	6	7	8	9
A			3		2		6		
B	9			3		5			1
C			1	8		6	4		
D			8	1		2	9		
E	7								8
F			6	7		8	2		
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- **Next decisions: assign  $E6 = 4$**

	1	2	3	4	5	6	7	8	9
A			3		2		6		
B	9			3		5			1
C			1	8		6	4		
D			8	1		2	9		
E	7					4			8
F			6	7		8	2		
G			2	6		9	5		
H	8			2		3			9
I			5		1		3		

# The Arc-Consistency Propagation Algorithm AC-3

**function** AC-3(*msp*) **returns** false if an inconsistency is found and true otherwise

**inputs:** *msp*, a binary CSP with components ( $X$ ,  $D$ ,  $C$ )

**local variables:** *queue*, a queue of arcs, initially all the arcs in *msp*

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$

**if** REVISE(*msp*,  $X_i$ ,  $X_j$ ) **then**   *// makes  $X_i$  arc-consistent wrt.  $X_j$*

**if** size of  $D_i = 0$  **then return false**

**for each**  $X_k$  **in**  $X_i.\text{NEIGHBORS} - \{X_j\}$  **do**

            add  $(X_k, X_i)$  to *queue*

**return true**

---

**function** REVISE(*msp*,  $X_i$ ,  $X_j$ ) **returns** true iff we revise the domain of  $X_i$

*revised*  $\leftarrow$  false

**for each**  $x$  **in**  $D_i$  **do**

**if** no value  $y$  in  $D_j$  allows  $(x, y)$  to satisfy the constraint between  $X_i$  and  $X_j$  **then**

            delete  $x$  from  $D_i$

*revised*  $\leftarrow$  true

**return revised**

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note: “queue” is LIFO  $\implies$  revises first the neighbours of revised vars

# Arc-Consistency Propagation AC-3: Example

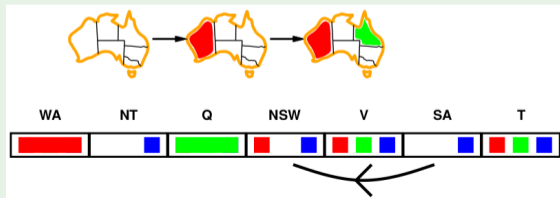
- Idea: If  $X$  loses a value, neighbors of  $X$  need to be rechecked

● Ex:

- $\text{Revise}(\text{SA}, \text{NSW}) \implies D_{\text{SA}}$  unchanged
- ...
- $\text{Revise}(\text{NSW}, \text{SA}) \implies D_{\text{NSW}}$  revised
- $\text{Revise}(\text{V}, \text{NSW}) \implies D_{\text{V}}$  revised
- ...
- $\text{Revise}(\text{SA}, \text{NT}) \implies D_{\text{SA}}$  revised

● Empty domain!

$\implies$  Arc-consistency propagation detects failure earlier than forward checking



# Arc-Consistency Propagation AC-3: Example

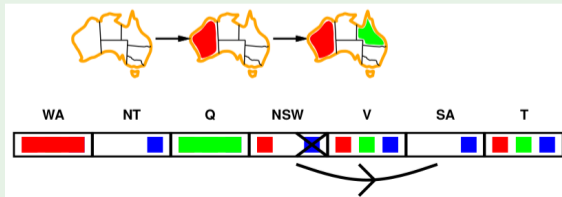
- Idea: If  $X$  loses a value, neighbors of  $X$  need to be rechecked

● Ex:

- $\text{Revise}(\text{SA}, \text{NSW}) \implies D_{\text{SA}}$  unchanged
- ...
- $\text{Revise}(\text{NSW}, \text{SA}) \implies D_{\text{NSW}}$  revised
- $\text{Revise}(\text{V}, \text{NSW}) \implies D_{\text{V}}$  revised
- ...
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# Arc-Consistency Propagation AC-3: Example

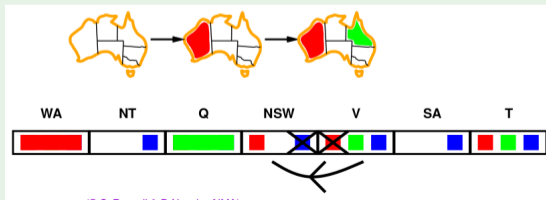
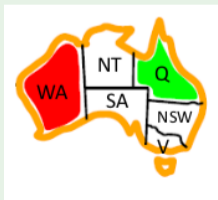
- Idea: If  $X$  loses a value, neighbors of  $X$  need to be rechecked

Ex:

- Revise(SA,NSW)  $\implies D_{SA}$  unchanged
- ...
- Revise(NSW,SA)  $\implies D_{NSW}$  revised
- Revise(V,NSW)  $\implies D_V$  revised
- ...
- Revise(SA,NT)  $\implies D_{SA}$  revised

Empty domain!

$\implies$  Arc-consistency propagation detects failure earlier than forward checking



# Arc-Consistency Propagation AC-3: Example

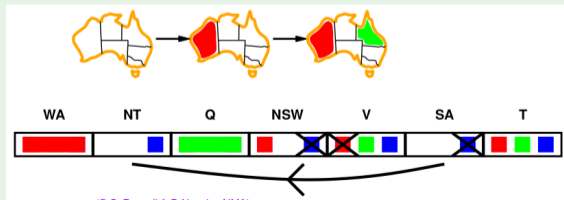
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● Ex:

- $\text{Revise}(\text{SA}, \text{NSW}) \implies D_{\text{SA}}$  unchanged
- ...
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- $\text{Revise}(\text{V}, \text{NSW}) \implies D_{\text{V}}$  revised
- ...
- $\text{Revise}(\text{SA}, \text{NT}) \implies D_{\text{SA}}$  revised

● Empty domain!

$\implies$  Arc-consistency propagation detects failure earlier than forward checking



## Remark

Notice the differences between:

(a) an assigned variable  $X_i$ , with value  $v_j$ , and

(b) an unassigned variable  $X_i$  whose domain is reduced to a singleton  $\{v_j\}$ :

- With (b)  $X_i$  is not (yet) assigned the value  $v_j$   
(although it will be likely assigned soon the value  $v_j$  by next search steps)
- With **Forward Checking**, (a) forces checking the domain of  $X_i$ 's unassigned neighbours wrt.  $X_i$ , whereas (b) does not
- With **ARC-Consistency Propagation**, both (a) and (b) force checking the domain of  $X_i$ 's unassigned neighbours wrt.  $X_i$

# Arc-consistency Propagation AC-3 Example: Sudoku [cont.]

Apply arc-consistency propagation:

- What about E6?

- arc-consistency propagation on column 6:  
drop 2,3,5,6,8,9  $\implies$   $\text{Domain}(E6)=\{1, 4, 7\}$
- arc-consistency propagation on square:  
drop 1,7  $\implies$   $\text{Domain}(E6)=\{4\}$   
(will be assigned to 4 at next search step, but triggers next propagations)

- What about I6?

- arc-consistency propagation on column 6:  
drop 2,3,4,5,6,8,9  $\implies$   $\text{Domain}(I6)=\{1, 7\}$
- arc-consistency propagation on square:  
drop 1  $\implies$   $\text{Domain}(I6)=\{7\}$

- What about A6?

- arc-consistency propagation on column 6:  
drop 2,3,4,5,6,7,8,9  $\implies$   $\text{Domain}(A6)=\{1\}$

- Next decisions: assign  $E6=4$ ,  $I6=7$ ,  $A6=1$ ,...

- Exercise: show that AC-3 solves the whole puzzle

	1	2	3	4	5	6	7	8	9
A			3		2		6		
B	9			3		5			1
C			1	8		6	4		
D			8	1		2	9		
E	7								8
F			6	7		8	2		
G			2	6		9	5		
H	8			2		3			9
I			5		1		3		

# Arc-consistency Propagation AC-3 Example: Sudoku [cont.]

Apply arc-consistency propagation:

- What about E6?

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E	7					4			8
F			6	7		8	2		
G			2	6		9	5		
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I			5		1	7	3		

## Arc-consistency Propagation AC-3 Example: Sudoku [cont.]

Apply arc-consistency propagation:

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- What about A6?
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drop 2,3,4,5,6,7,8,9  $\implies$   $\text{Domain}(A6)=\{1\}$
- Next decisions: assign  $E6=4$ ,  $I6=7$ ,  $A6=1$ ,...
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## Arc-consistency Propagation AC-3 Example: Sudoku [cont.]

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drop 2,3,4,5,6,7,8,9  $\implies$   $\text{Domain}(A6)=\{1\}$
- Next decisions: assign E6=4, I6=7, A6=1,...
- Exercise: show that AC-3 solves the whole puzzle

	1	2	3	4	5	6	7	8	9
A	4	8	3	9	2	1	6	5	7
B	9	6	7	3	4	5	8	2	1
C	2	5	1	8	7	6	4	9	3
D	5	4	8	1	3	2	9	7	6
E	7	2	9	5	6	4	1	3	8
F	1	3	6	7	9	8	2	4	5
G	3	7	2	6	8	9	5	1	4
H	8	1	4	2	5	3	7	6	9
I	6	9	5	4	1	7	3	8	2



# Path Consistency & K-Consistency

## Path Consistency

A two-variable set  $\{X_i, X_j\}$  is **path-consistent** wrt. a third variable  $X_m$  if, for every assignment  $\{X_i = a, X_j = b\}$  consistent with the constraints on  $\{X_i, X_j\}$ , there is an assignment to  $X_m$  that satisfies the constraints on  $\{X_i, X_m\}$  and  $\{X_m, X_j\}$ .

## K-Consistency

- A CSP is **k-consistent** iff for any set of  $k - 1$  variables and for any consistent assignment to those variables, a consistent value can always be assigned to any other k-th variable
  - 1-consistency is **node consistency**
  - 2-consistency is **arc consistency**
  - 3-consistency is **path consistency**
- Algorithm for 3-consistency available: PC-2
  - generalization of AC-3
- Time and space complexity grow exponentially with  $k$

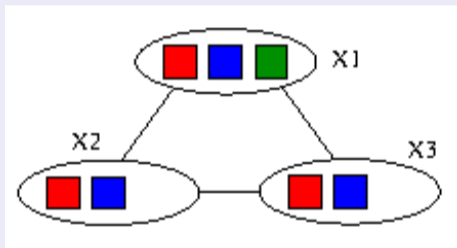
# Arc vs. Path Consistency

- Can we say anything about X1?

We can drop red & blue from D1

⇒ Infers the assignment  $C1 = \textit{green}$

- Can arc-consistency propagation reveal it?  
NO!
- Can path-consistency propagation reveal it?  
YES!



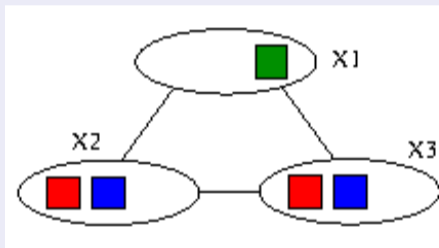
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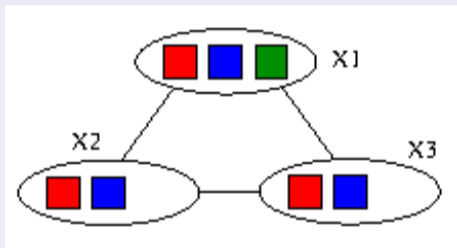
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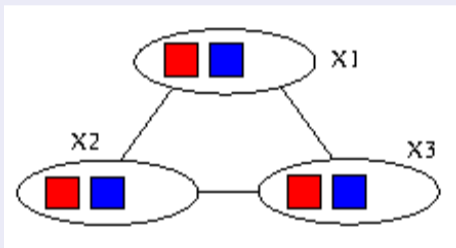
⇒ Infers the assignment  $C1 = \text{green}$

- Can arc-consistency propagation reveal it?  
NO!
- Can path-consistency propagation reveal it?  
YES!



## Arc vs. Path Consistency [cont.]

- Can we say anything?  
The triplet is inconsistent
- Can arc-consistency propagation reveal it?  
NO!
- Can path-consistency propagation reveal it?  
YES!



- 1 Constraint Satisfaction Problems (CSPs)
- 2 **Search with CSPs**
  - Inference: Constraint Propagation
  - **Backtracking Search**
  - Interleaving Search and Inference
  - Chronological vs. Conflict-Driven Backtracking
- 3 Local Search with CSPs
- 4 Exploiting Structure of CSPs

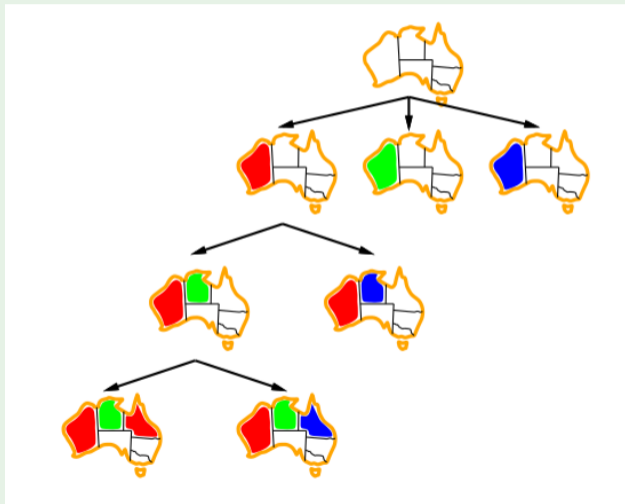
# Backtracking Search: Generalities

## Backtracking Search

- Basic uninformed algorithm for solving CSPs
- Idea 1: **Pick one variable at a time**
  - variable assignments are commutative  $\implies$  fix an ordering
  - ex:  $\{WA = red, NT = green\}$  same as  $\{NT = green, WA = red\}$
  - $\implies$  can consider assignments to a single variable at each step
  - reasons on **partial assignments**
- Idea 2: **Check constraints as long as you proceed**
  - pick only **values which do not conflict with previous assignments**
  - requires some computation to check the constraints
  - $\implies$  “incremental goal test”
  - can detect if a partial assignments violate a goal
    - $\implies$  early detection of inconsistencies  $\implies$  **pruning**
- **Backtracking search**: DFS with the two above improvements

# Backtracking Search: Example

(Part of) Search Tree for Map-Coloring





# Backtracking Search Algorithm

**function** BACKTRACKING-SEARCH(*csp*) **returns** a solution or *failure*  
**return** BACKTRACK(*csp*, { })

**function** BACKTRACK(*csp*, *assignment*) **returns** a solution or *failure*  
**if** *assignment* is complete **then return** *assignment*  
*var* ← SELECT-UNASSIGNED-VARIABLE(*csp*, *assignment*)  
**for each** *value* **in** ORDER-DOMAIN-VALUES(*csp*, *var*, *assignment*) **do**  
  **if** *value* is consistent with *assignment* **then**  
    add {*var* = *value*} to *assignment*  
    *inferences* ← INFERENCE(*csp*, *var*, *assignment*)  
    **if** *inferences* ≠ *failure* **then**  
      add *inferences* to *csp*  
      *result* ← BACKTRACK(*csp*, *assignment*)  
      **if** *result* ≠ *failure* **then return** *result*  
      remove *inferences* from *csp*  
    remove {*var* = *value*} from *assignment*  
**return** *failure*

## Backtracking Search Algorithm [cont.]

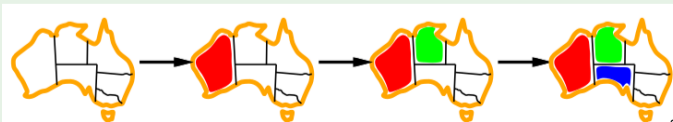
- General-purpose algorithm for generic CSPs
- The representation of CSPs is standardized
  - ⇒ no need to provide a domain-specific initial state, action function, transition model, or goal test
- **BACKTRACKING-SEARCH()** keeps a single representation of a state
  - alters such representation rather than creating new ones
- We can add some sophistication to the unspecified functions:
  - **SELECT-UNASSIGNED-VARIABLE(...)**: which variable should be assigned next?
  - **ORDER-DOMAIN-VALUES(...)**: in which order should its values be tried?
  - **INFERENCE(...)**: what inferences should be performed at each step?
- We can also wonder: when an assignment violates a constraint:
  - where should we backtrack s.t. to avoid useless search?
  - how can we avoid repeating the same failure in the future?

# Variable-Selection Heuristics

## Minimum Remaining Values (MRV) heuristic

- Aka **most constrained variable** or **fail-first** heuristic
- MRV: **Choose the variable with the fewest legal values**
  - ⇒ pick a variable that is most likely to cause a failure soon
- If X has no legal values left, MRV heuristic selects X
  - ⇒ **failure detected immediately**
    - avoid pointless search through other variables
- (Otherwise) If X has one legal value left, MRV selects X
  - ⇒ **performs deterministic choices first!**
    - postpones nondeterministic steps as much as possible

- Pick (*WA = red*), (*NT = green*) ⇒ (*SA = blue*) (deterministic)
- Next? (*Q = red*)
- ...



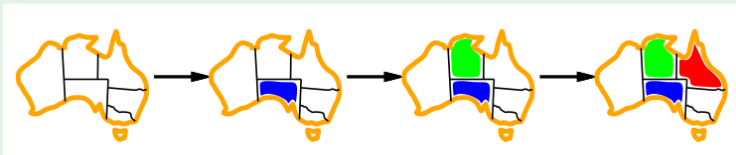
# Variable-Selection Heuristics [cont.]

## Degree heuristic

- Pick the variable that is involved in the largest number of constraints on other unassigned variables
  - ⇒ attempts to reduce the branching factor on future choices
  - ⇒ favours future deterministic choices
- Used as tie-breaker in combination with MRV
  - apply MRV; if ties, apply DH to these variables

## Example: MRV+DH

- Pick ( $SA = blue$ ), ( $NT = green$ )  $\implies$  ( $Q = red$ ) (deterministic)
- Next? ( $NSW=green$ )... (deterministic MRV+DH),



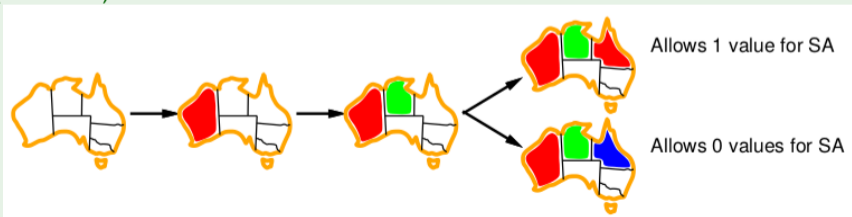
# Value Selection Heuristics

## Least Constraining Value (LCS) heuristic

- Pick the value that rules out the fewest choices for the neighboring variables  
⇒ tries maximum flexibility for subsequent variable assignments
- Look for the most likely values first  
⇒ improve chances of finding solutions earlier
- Ex: MRV+DH+LCS allow for solving 1000-queens

## LCS

- Pick ( $SA = red$ ), ( $NT = green$ )  $\implies$  ( $Q = red$ ) (preferred)
- Next? ( $SA=blue$ )



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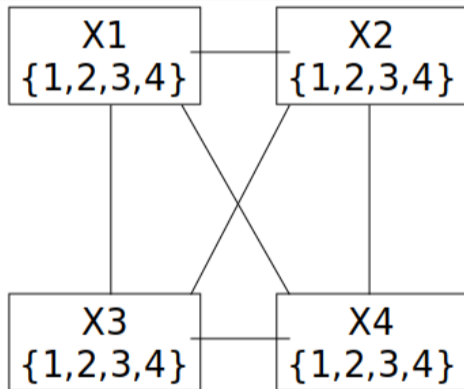
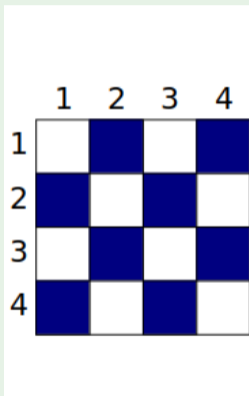
# Interleaving search and inference

## Interleaving search and inference:

- After each choice, **infer new domain reductions on other variables**
  - detect inconsistencies earlier
  - reduce search spaces
  - may produce unary domains (deterministic steps)  
⇒ returned as assignments (“inferences”)
- Tradeoff between effectiveness and efficiency
- **Forward checking**
  - cheap
  - ensures arc consistency of  $\langle \text{assigned}, \text{unassigned} \rangle$  variable pairs only
- **AC-3**
  - more expensive
  - ensure arc consistency of all variable pairs
  - strategy (MAC):
    - after  $X_i$  is assigned, start AC-3 with only the arcs  $\langle X_j, X_i \rangle$  s.t.  $X_j$  unassigned neighbour variables of  $X_i$   
⇒ much more effective than forward checking, more expensive

# Backtracking with Forward Checking: Example

## 4-Queens (columns)



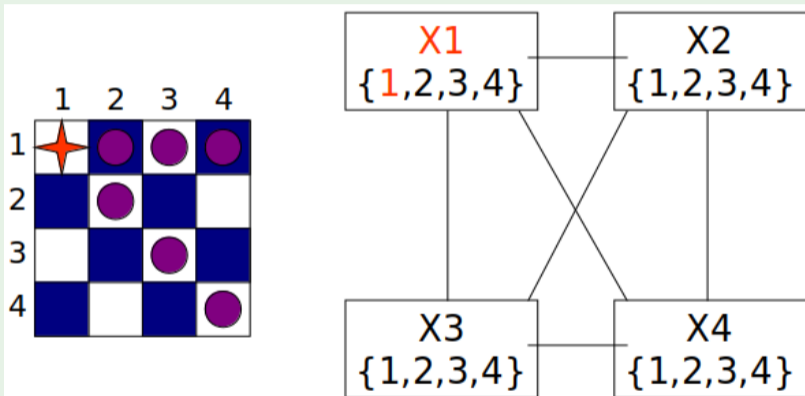
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...(after trying  $X_2 = 4$ ,  $X_3 = 2$ , failing and backtracking) assign  $X_1 = 2$  ...



# Backtracking with Forward Checking: Example

## 4-Queens (columns)

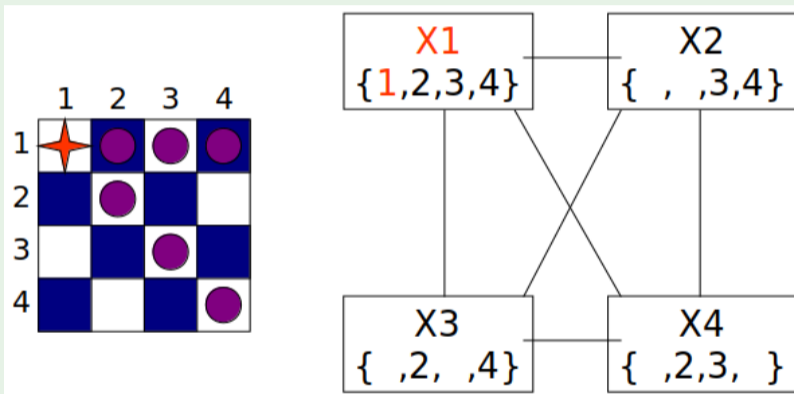


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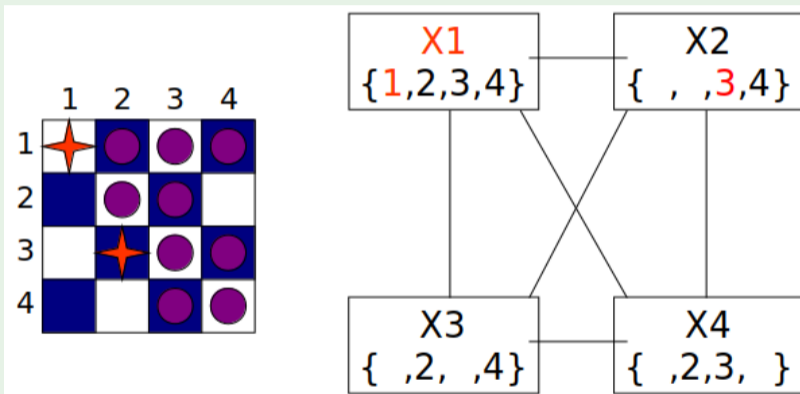


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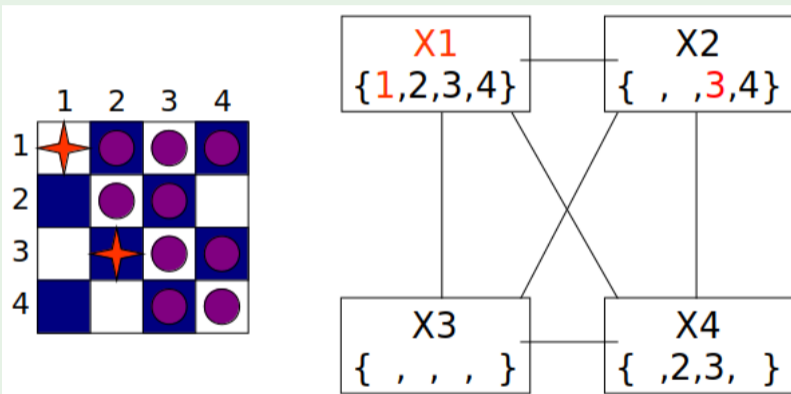


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## 4-Queens (columns)

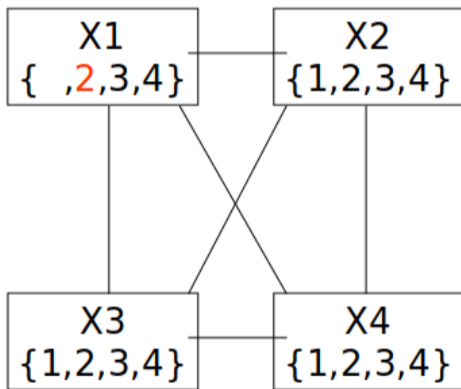
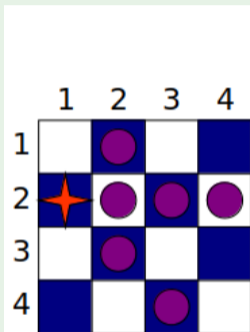


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## 4-Queens (columns)

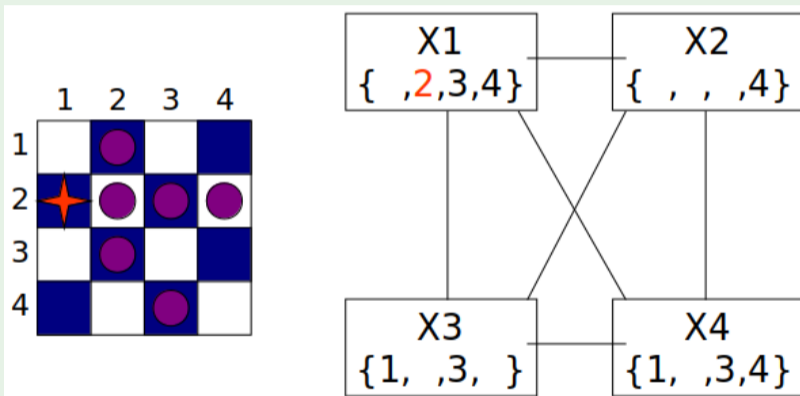


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## 4-Queens (columns)

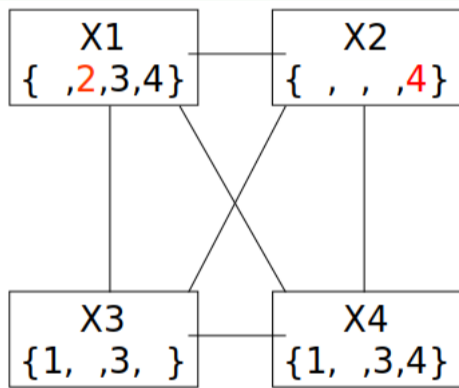
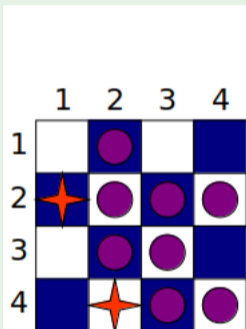


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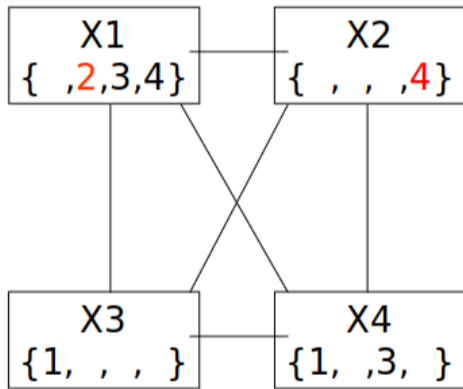
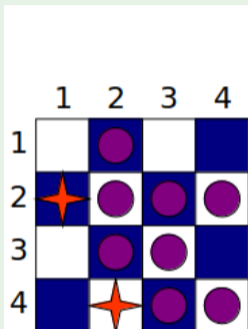


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...(after trying  $X_2 = 4$ ,  $X_3 = 2$ , failing and backtracking) assign  $X_1 = 2$  ...

# Backtracking with Forward Checking: Example

## 4-Queens (columns)



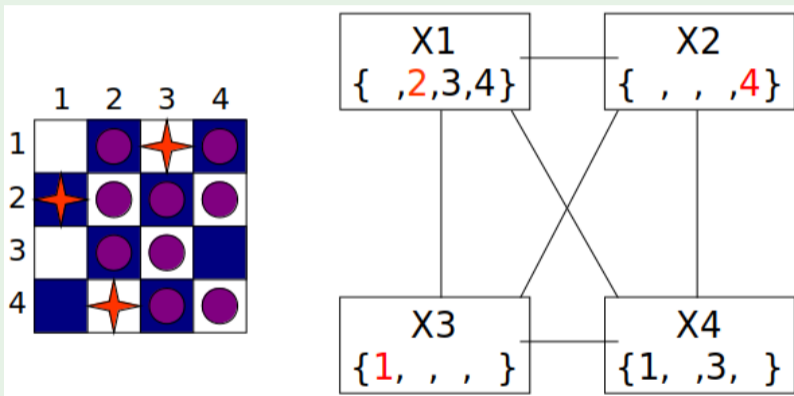
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# Backtracking with Forward Checking: Example

## 4-Queens (columns)

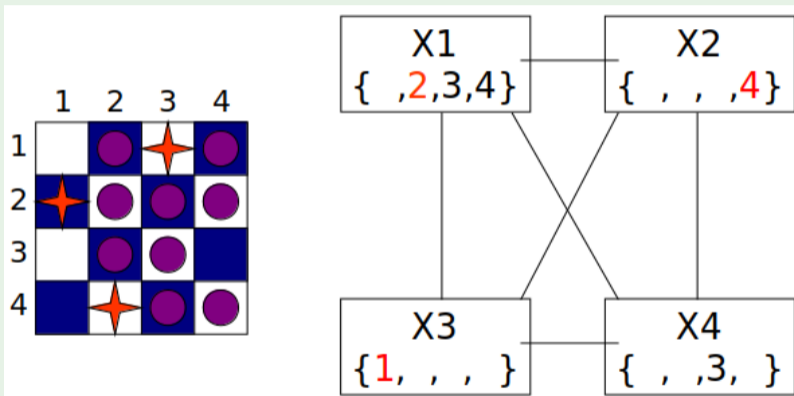


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...(after trying  $X_2 = 4$ ,  $X_3 = 2$ , failing and backtracking) assign  $X_1 = 2$  ...

# Backtracking with Forward Checking: Example

## 4-Queens (columns)

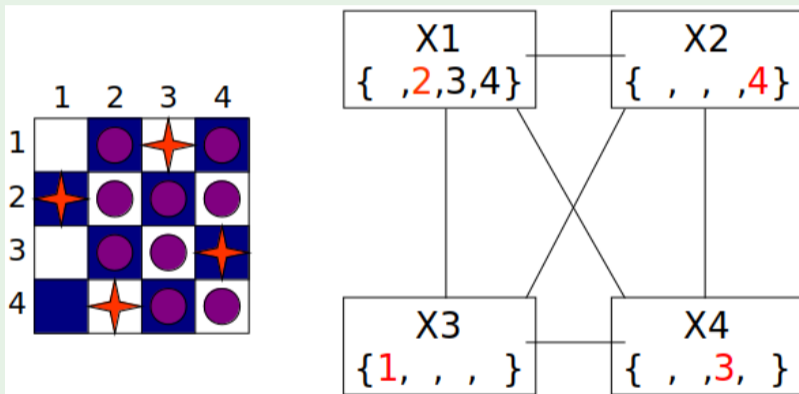


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...(after trying  $X_2 = 4$ ,  $X_3 = 2$ , failing and backtracking) assign  $X_1 = 2$  ...

# Backtracking with Forward Checking: Example

## 4-Queens (columns)



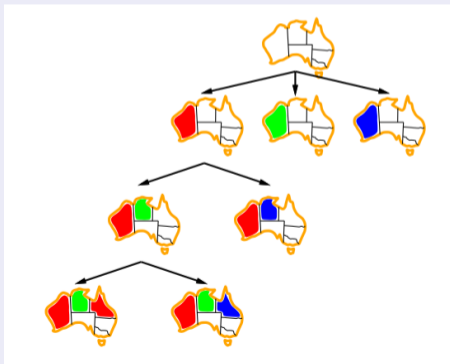
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...(after trying  $X2 = 4$ ,  $X3 = 2$ , failing and backtracking) assign  $X1 = 2$  ...

- 1 Constraint Satisfaction Problems (CSPs)
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# Standard Chronological Backtracking

- When a branch fails (empty domain for variable  $X_i$ ):
  - 1 back up to the preceding variable which still has some untried value
    - forward-propagated assignments and rightmost choices are skipped
  - 2 try a different value for it
- Problem: lots of search wasted!



# Standard Chronological Backtracking: Example

Assume variable selection order: WA,NSW,T,NT,Q,V,SA

	<i>step</i>	<i>assignment</i>	<i>[domain]</i>
	(1)	<i>pick</i> WA = <i>r</i>	[ <i>rbg</i> ]
	(2)	<i>pick</i> NSW = <i>r</i>	[ <i>rbg</i> ]
● failed branch:	(3)	<i>pick</i> T = <i>r</i>	[ <i>rbg</i> ]
	(4)	<i>pick</i> NT = <i>g</i>	[ <i>bg</i> ]
	(5)	$\xrightarrow{fc}$ Q = <i>b</i>	[ <i>b</i> ]
	(6)	<i>pick</i> V = <i>b</i>	[ <i>b, g</i> ]
	(7)	$\xrightarrow{fc}$ SA = {}	[]

● backtrack to (5), pick V = *g*  $\implies$  (7) again

● backtrack to (3), pick NT = *b*  $\xrightarrow{fc}$  Q = *g*  $\implies$  same subtree (6), with values switched

● backtrack to (2), pick T = *b*  $\implies$  same subtree (4)...

● backtrack to (2), pick T = *g*  $\implies$  same subtree (4)...

$\implies$  backtrack to (1), then assign NSW another value

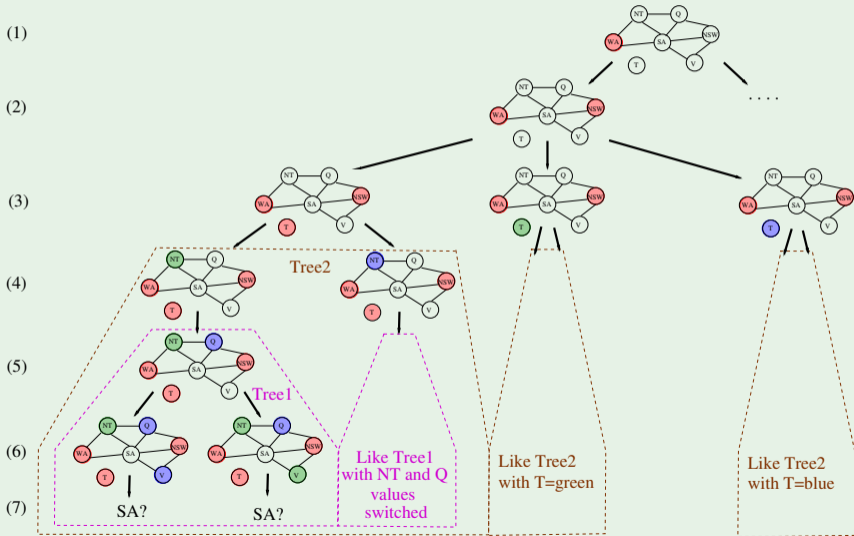
$\implies$  lots of useless search on T and V values

● source of inconsistency not identified: {WA = *r*, NSW = *r*}



# Standard Chronological Backtracking: Example [cont.]

## Search Tree



# Nogoods & Conflict Sets

- **Nogood**: subassignment which cannot be part of any solution
  - ex:  $\{WA = r, NSW = r\}$  (see previous example)
- **Conflict set for  $X_j$**  (aka **explanations**):  
(minimal) set of value assignments which caused the reduction of  $D_j$  via forward checking (i.e., in direct conflict with some values of  $X_j$ )
  - ex:  $NSW=r, NT=g$  in conflict with  $r$  and  $g$  values for  $Q$  resp.  
 $\implies$  domain of  $Q$  reduced to  $\{b\}$  via forward checking
  - a conflict set of an empty-domain variable is a nogood



# Conflict-Driven Backjumping

- Idea: When a branch fails (empty domain for variable  $X_i$ ):
  - 1 identify nogood which caused the failure deterministically via forward checking
  - 2 backtrack s.t. to pop the most-recently assigned element in nogood,
  - 3 change its value

⇒ May jump much higher, lots of search saved

- Identify nogood:

- 1 take the conflict set  $C_i$  of empty-domain  $X_i$  (initial nogood)
- 2 progressively backward-substitute inside  $C_i$  every deterministic assignments  $X_j = v$  with its respective conflict set  $C_j$ :

$$C_i := C_i \cup C_j \setminus \{X_j = v\}$$

until none is left

⇒ Identify the most recent decision which caused the failure due to FC by “undoing” FC steps

- Many different strategies & variants available

# Conflict-Driven Backjumping: Example

- failed branch:

step	assign.	[domain]	← {conflict set}
(1) pick	WA = <i>r</i>	[ <i>rbg</i> ]	← {}
(2) pick	NSW = <i>r</i>	[ <i>rbg</i> ]	← {}
(3) pick	T = <i>r</i>	[ <i>rbg</i> ]	← {}
(4) pick	NT = <i>g</i>	[ <i>bg</i> ]	← {WA = <i>r</i> }
(5) $\xrightarrow{fc}$	Q = <i>b</i>	[ <i>b</i> ]	← {NSW = <i>r</i> , NT = <i>g</i> }
(6) pick	V = <i>b</i>	[ <i>b, g</i> ]	← {NSW = <i>r</i> }
(7) $\xrightarrow{fc}$	SA = $\emptyset$	[]	← {WA = <i>r</i> , NT = <i>g</i> , Q = <i>b</i> }

- backward-substitute assignments

$$\frac{\emptyset \quad (7)}{\frac{\{WA = r, NT = g, Q = b\} \quad (5)}{\{WA = r, NT = g, NSW = r\}}}$$

⇒ backtrack till (3) s.t. to pop (4), then assign *NT* = *b*

⇒ saves useless search on *V* values



# Conflict-Driven Backjumping: Example [cont.]

- new failed branch:

<i>step</i>	<i>assign.</i>	<i>[domain]</i>	$\leftarrow$ { <i>conflict set</i> }
(1) <i>pick</i>	WA = <i>r</i>	[ <i>rbg</i> ]	$\leftarrow$ {}
(2) <i>pick</i>	NSW = <i>r</i>	[ <i>rbg</i> ]	$\leftarrow$ {}
(3) <i>pick</i>	T = <i>r</i>	[ <i>rbg</i> ]	$\leftarrow$ {}
(4) <i>pick</i>	NT = <i>b</i>	[ <i>b</i> ]	$\leftarrow$ {WA = <i>r</i> }
(5) $\xrightarrow{fc}$	Q = <i>g</i>	[ <i>g</i> ]	$\leftarrow$ {NSW = <i>r</i> , NT = <i>b</i> }
(6) <i>pick</i>	V = <i>b</i>	[ <i>b, g</i> ]	$\leftarrow$ {NSW = <i>r</i> }
(7) $\xrightarrow{fc}$	SA = $\emptyset$	[]	$\leftarrow$ {WA = <i>r</i> , NT = <i>b</i> , Q = <i>g</i> }

- backward-substitute assignments

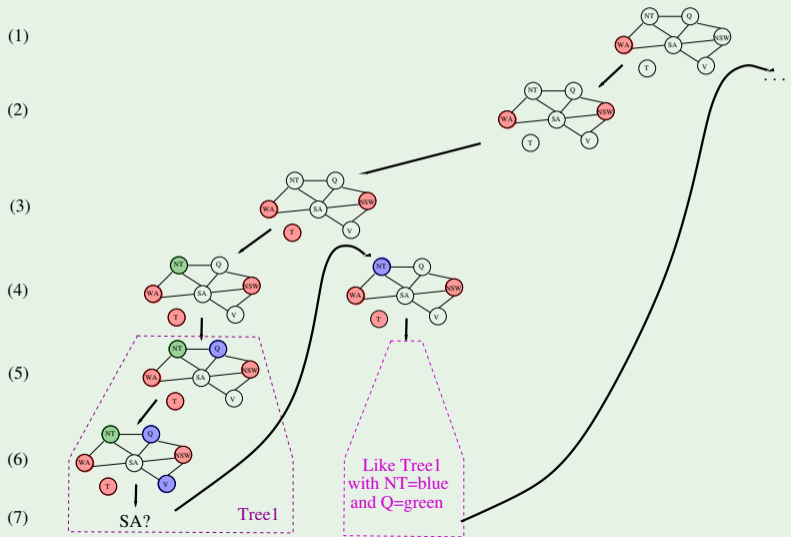
$$\frac{\frac{\emptyset \quad (7)}{\{WA=r, NT=b, Q=g\} \quad (5)}}{\{WA=r, NT=b, NSW=r\} \quad (4)}}{\{WA=r, NSW=r\}}$$

- $\Rightarrow$  backtrack till (1), then assign NSW another value
- $\Rightarrow$  saves useless search on T values
- $\Rightarrow$  overall, saves lots of search wrt. chronological backtracking



# Conflict-Driven Backjumping: Example [cont.]

## Search Tree



# Learning Nogoods

- Nogood can be *learned* (stored) for future search pruning:
  - added to constraints (e.g. “(WA  $\neq$  r) or (NSW  $\neq$  r)”)
  - added to explicit nogood list
- As soon as assignment contains all but one element of a nogood, **drop the value of the remaining element from variable's domain**
- Example:
  - given **nogood**: {WA = r, NSW = r}
  - as soon as {NSW = r} is added to assignment  
r is dropped from WA domain
- Allows for
  - early-reveal inconsistencies
  - cause further constraint propagation
- Nogoods can be learned either temporarily or permanently
  - pruning effectiveness vs. memory consumption & overhead
- Many different strategies & variants available

# Outline

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# Local Search with CSPs

- Extension of Local Search to CSPs straightforward
- Use complete-state representation (**complete assignments**)
  - allow states with unsatisfied constraints
  - “**neighbour states**” differ for one variable value
  - steps: reassign variable values
- **Min-conflicts heuristic** in hill-climbing:
  - Variable selection: **randomly select any conflicted variable**
  - Value selection: **select new value that results in a minimum number of conflicts with the other variables**
  - Improvement: adaptive strategies giving different weights to constraints according to their criticality
- SLC variants [see Ch. 4] apply to CSPs as well
  - random walk, simulated annealing, GAs, taboo search, ...
- ex: **1000-queens solved in few minutes**

# The Min-Conflicts Heuristic

**function** MIN-CONFLICTS(*csp*, *max\_steps*) **returns** a solution or failure

**inputs:** *csp*, a constraint satisfaction problem

*max\_steps*, the number of steps allowed before giving up

*current*  $\leftarrow$  an initial complete assignment for *csp*

**for** *i* = 1 to *max\_steps* **do**

**if** *current* is a solution for *csp* **then return** *current*

*var*  $\leftarrow$  a randomly chosen conflicted variable from *csp*.VARIABLES

*value*  $\leftarrow$  the value *v* for *var* that minimizes CONFLICTS(*var*, *v*, *current*, *csp*)

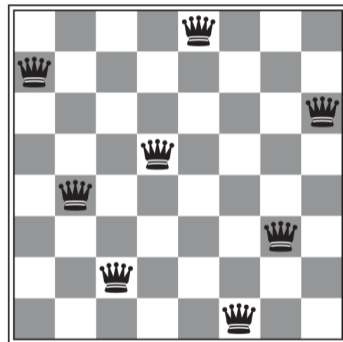
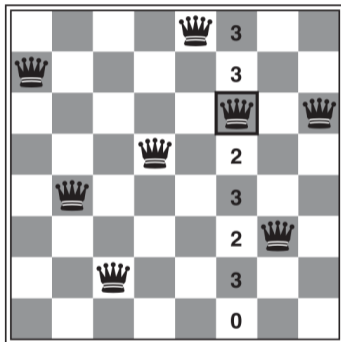
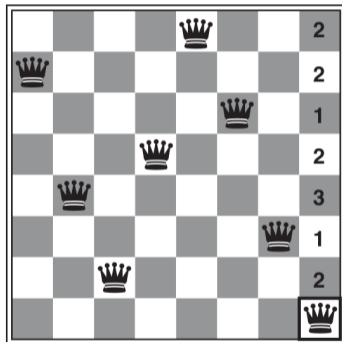
    set *var* = *value* in *current*

**return** *failure*



# The Min-Conflicts Heuristic: Example

Two steps solution of 8-Queens problem



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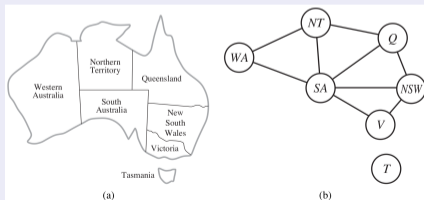
# Outline

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# Partitioning CFPs

## “Divide & Conquer” CSPs

- Idea (when applicable): **Partition a CSP into independent CSPs**
  - identify **strongly-connected components** in constraint graph
  - e.g. by **Tarjan’s algorithms** (linear!)
- Ex: **Tasmania and mainland are independent subproblems**
- E.g. partition  $n$ -variable CSP into  $n/c$  CSPs with  $c$  variables each:
  - from  $d^n$  to  $n/c \cdot d^c$  steps in worst-case
  - if  $n = 80, d = 2, c = 20$ , then from  $2^{80} \approx 10^{24}$  to  $4 \cdot 2^{20} \approx 4 \cdot 10^6$   
 $\implies$  from **4 billion years** to **0.4 secs** at 10million steps/sec



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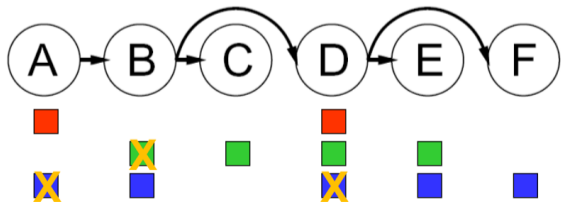
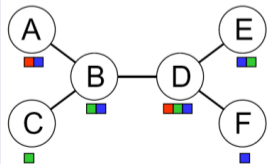
# Solving Tree-structured CSPs

## Theorem:

- If the constraint graph has no loops, the CSP can be solved in  $O(nd^2)$  time in worst case
  - general CSPs can be solved  $O(d^n)$  time worst-case

## Algorithm

- 1 Choose a variable as root, order variables from root to leaves
- 2 For  $j \in n..2$  apply MAKEARCCONSISTENT(PARENT( $X_j$ ),  $X_j$ )
- 3 (If no empty domain, then) For  $j \in 2..n$ , assign  $X_j$  consistently with PARENT( $X_j$ )



## Solving Tree-structured CSPs [cont.]

**function** TREE-CSP-SOLVER(*csp*) **returns** a solution, or failure

**inputs:** *csp*, a CSP with components  $X$ ,  $D$ ,  $C$

$n \leftarrow$  number of variables in  $X$

*assignment*  $\leftarrow$  an empty assignment

*root*  $\leftarrow$  any variable in  $X$

$X \leftarrow$  TOPOLOGICALSORT( $X$ , *root*)

**for**  $j = n$  **down to** 2 **do**

    MAKE-ARC-CONSISTENT(PARENT( $X_j$ ),  $X_j$ )

**if** it cannot be made consistent **then return** *failure*

**for**  $i = 1$  **to**  $n$  **do**

*assignment*[ $X_i$ ]  $\leftarrow$  any consistent value from  $D_i$

**if** there is no consistent value **then return** *failure*

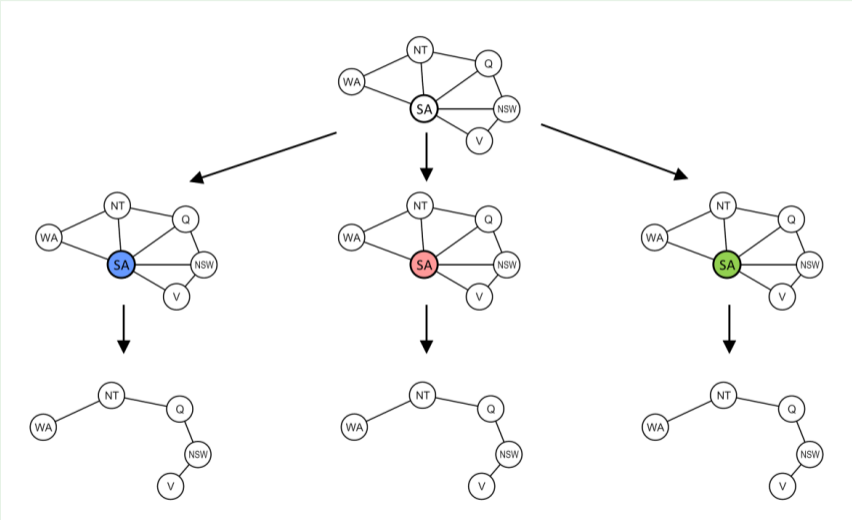
**return** *assignment*

# Solving Nearly Tree-Structured CSPs

## Cutset Conditioning

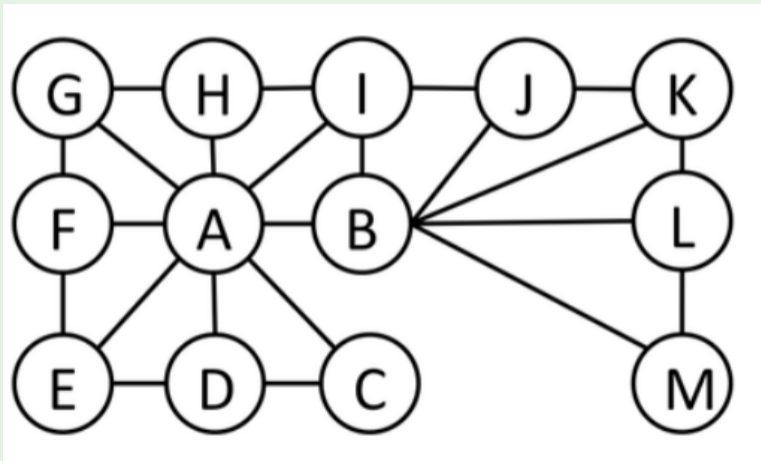
- 1 Identify a (small) **cycle cutset**  $S$ : a set of variables s.t. the remaining constraint graph is a tree
  - finding smallest cycle cutset is NP-hard
  - fast approximated techniques known
- 2 For each possible consistent assignment to the variables in  $S$ 
  - a) remove from the domains of the remaining variables any values that are inconsistent with the assignment for  $S$
  - b) apply the tree-structured CSP algorithm
- 3 If  $c \stackrel{\text{def}}{=} |S|$ , then runtime is  $O(d^c \cdot (n - c)d^2)$ 
  - $\implies$  much smaller than  $d^n$  if  $c$  small

# Cutset Conditioning: Example



## Exercise

- Solve the following 3-coloring problem by Cutset Conditioning





# Breaking Value Symmetry

- **Value symmetry**: if domain size is  $n$  and no unary constraints
  - every solution has  $n!$  solutions obtained by permuting value names
  - ex: 3-coloring,  $3! = 6$  permutations for every solutions
- **Symmetry Breaking**: add **symmetry-breaking constraints** s.t. only one of the  $n!$  solution is possible
  - ⇒ reduce search space by  $n!$  factor
- Add **value-ordering constraints** on  $n$  variables:
  - give an ordering of values (ex:  $r < b < g$ )
  - impose an ordering on the values of  $n$  variables s.t.  $x_i \neq x_j$   
(ex:  $WA < NT < SA$ )
  - ⇒ only one solution out of  $n!$