# Fundamentals of Artificial Intelligence Chapter 03: **Problem Solving as Search**

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#### **Outline**

- Problem-Solving Agents
- Example Problems
- Search Generalities
  - Tree Search
  - Graph Search
  - Implementation Issues & Strategies
- Uninformed Search Strategies
  - Breadth-First Search
  - Uniform-cost Search
  - Depth-First Search
  - Depth-Limited Search & Iterative Deepening
- Informed Search Strategies
  - Greedy Search
  - A\* Search
  - Memory-bounded Heuristic Search (hints)
  - Heuristic Functions



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- **Problem-Solving Agents**
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### One of the dominant approaches to AI problem solving:

formulate a problem/task as search in a state space.

- Goal formulation: define the successful world states
  - Ex: a set of states, a Boolean test function ...
- Problem formulation:
  - define a representation for states
  - define legal actions and transition functions
- Search: find a solution by means of a search process
  - solutions are sequences of actions
- Execution: given the solution, perform the actions
  - ⇒ Problem-solving agents are (a kind of) goal-based agents

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# Problem Solving as Search: Example

#### Example: Traveling in Romania

- Informal description: On holiday in Romania; currently in Arad. Flight leaves tomorrow from Bucharest
- Formulate goal: (Be in) Bucharest
- Formulate problem:
  - States: various cities
  - Actions: drive between cities
  - Initial state: Arad
- Search for a solution: sequence of cities from Arad to Bucharest
  - e.g. Arad, Sibiu, Fagaras, Bucharest
  - explore a search tree/graph

#### Note

The agent is assumed to have no heuristic knowledge about traveling in Romania to exploit.

## Problem Solving as Search: Example

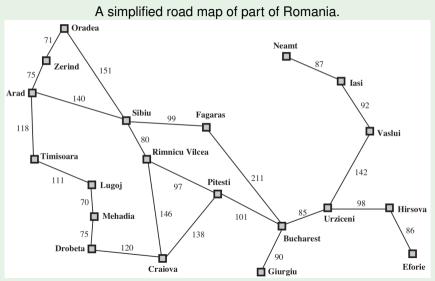
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# Problem Solving as Search: Example [cont.]



- state representations are atomic
  - ⇒ world states are considered as wholes, with no internal structure
    - Ex: Arad, Sibiu, Zerind, Bucharest,... (shortcut for In(Arad), In(Sibiu), ...)
- the environment is fully observable
  - the agent always knows the current state
    - Ex: Romanian cities & roads have signs
- the environment is discrete
  - ⇒ at any state there are only finitely many actions to choose from
    - Ex: from Arad, (go to) Sibiu, or Zerind, or Timisoara (see map)
- the environment is known
  - ⇒ the agent knows which states are reached by each action
    - ex: the agent has the map
- the environment is deterministic
  - ⇒ each action has exactly one outcome
    - ullet Ex: from Arad choose go to Sibiu  $\Longrightarrow$  next step in Sibiu

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#### Remarks about search

- Search happens inside the agent
  - a planning stage before acting
  - different from searching in the world
- An agent is given a description of what to achieve, not an algorithm to solve it
   the only possibility is to search for a solution
- Searching can be computationally very demanding (NP-hard)
- ◆ Can be driven with benefits by knowledge of the problem (heuristic knowledge)
   ⇒ informed/heuristic search

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# Problem-solving Agent: Schema

```
function SIMPLE-PROBLEM-SOLVING-AGENT(percept) returns an action
  persistent: seq, an action sequence, initially empty
               state, some description of the current world state
               goal, a goal, initially null
               problem, a problem formulation
  state \leftarrow \text{UPDATE-STATE}(state, percept)
  if seq is empty then
      goal \leftarrow FORMULATE-GOAL(state)
      problem \leftarrow FORMULATE-PROBLEM(state, goal)
      seq \leftarrow SEARCH(problem)
      if seg = failure then return a null action
  action \leftarrow FIRST(seq)
  seq \leftarrow REST(seq)
  return action
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While executing the solution sequence the agent ignores its percepts when choosing an action since it knows in advance what they will be ("open loop system")

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- the initial state the agent starts in
  - Ex: In(Arad)
- the set of applicable actions available in a state (ACTIONS(S))
  - Ex: if s is In(Arad), then the applicable actions are  $\{Go(Sibiu), Go(Timisoara), Go(Zerind)\}$
- a description of what each action does (aka transition model)
  - RESULT(S,A): state resulting from applying action A in state S
  - Ex: Result(In(Arad), Go(Zerind)) is In(Zerind)
- the goal test determining if a given state is a goal state
  - Explicit (e.g.: {In(Bucharest)})
  - Implicit (e.g. (Ex: CHECKMATE(X))
- the path cost function assigns a numeric cost to each path
  - in this chapter: the sum of the costs of the actions along the path



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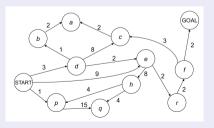
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#### State Space, Graphs, Paths, Solutions and Optimal Solutions

#### Initial state, actions, and transition model implicitly define the state space of the problem

- the state space forms a directed graph (e.g. the Romania map)
  - typically too big to be created explicitly and be stored in full
  - in a state space graph, each state occurs only once
- a path is a sequence of states connected by actions
- a solution is a path from the initial state to a goal state
- an optimal solution is a solution with the lowest path cost

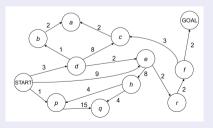


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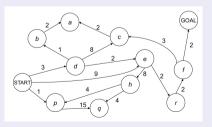


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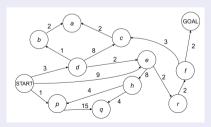
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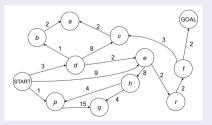
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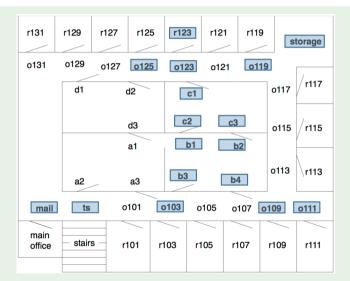
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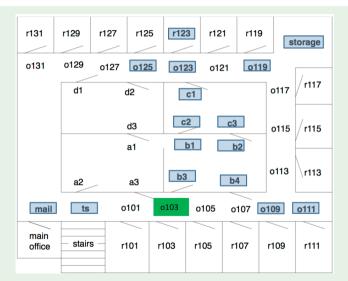
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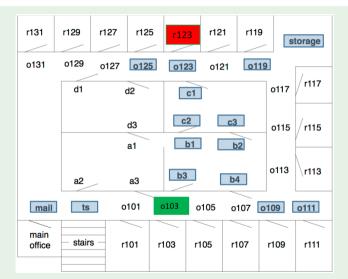
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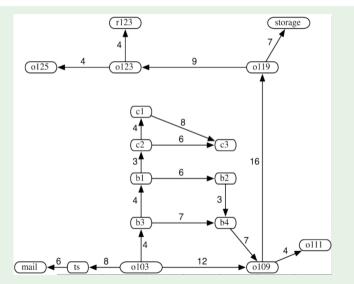
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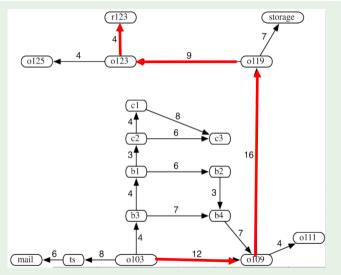
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### Abstraction

### Problem formulations are models of reality (i.e. abstract descriptions)

- real world is absurdly complex
  - ⇒ state space must be abstracted for problem solving
- lots of details removed because irrelevant to the problem
  - Ex: exact position, "turn steering wheel to the left by 20 degree", ...
- abstraction: the process of removing detail from representations
  - abstract state represents many real states
  - abstract action represents complex combination of real actions
- valid abstraction: can expand any abstract solution into a solution in the detailed world
- useful abstraction: if carrying out each of the actions in the solution is easier than in the original problem

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- valid abstraction: can expand any abstract solution into a solution in the detailed world
- useful abstraction: if carrying out each of the actions in the solution is easier than in the original problem

### **Outline**

- **Example Problems**
- - Tree Search
    - Graph Search
    - Implementation Issues & Strategies
- - Breadth-First Search
  - Uniform-cost Search

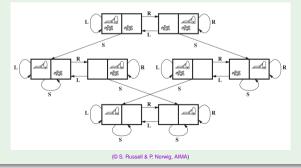
  - Depth-First Search
  - Depth-Limited Search & Iterative Deepening
- - Greedy Search

  - A\* Search
  - Memory-bounded Heuristic Search (hints)
  - Heuristic Functions



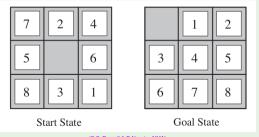
## Toy Example: Simple Vacuum Cleaner

- States: 2 locations, each { clean, dirty}:  $2 \cdot 2^2 = 8$  states
- Initial State: any
- Actions: {Left, Right, Suck}
- Transition Model: (...), Left [Right] if A [B], Suck if clean ⇒ no effect
- Goal Test: check if squares are clean
- Path Cost: each step costs 1 ⇒ path cost is # of steps in path



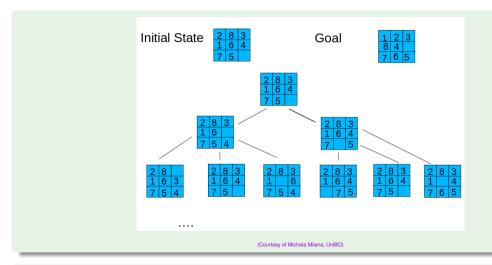
### Toy Example: The 8-Puzzle

- States: Integer location of each tile ⇒ 9!/2 reachable states
- Initial State: any
- Actions: moving {Left, Right, Up, Down} the empty space
- Transition Model: empty switched with the tile in target location
- Goal Test: checks state corresponds with goal configuration
- Path Cost: each step costs 1 ⇒ path cost is # of steps in path



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# Toy Example: The 8-Puzzle [cont.]



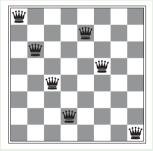
NP-complete: N-Puzzle ( $N = k^2 - 1$ ): N!/2 reachable states

### Toy Example: 8-Queens Problem

States: any arrangement of 0 to 8 queens on the board

```
\implies 64 · 63 · ... · 57 \approx 1.8 · 10<sup>14</sup> possible sequences
```

- Initial State: no queens on the board
- Actions: add a queen to any empty square
- Transition Model: returns the board with a queen added
- Goal Test: 8 queens on the board, none attacked by other queen
- Path Cost: none

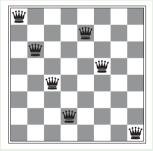


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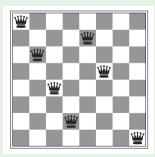
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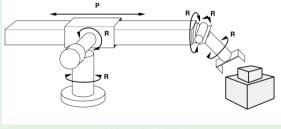
## Toy Example: 8-Queens Problem (incremental)

- States: n ≤ 8 queens on board, one per column in the n leftmost columns, no queen attacking another
  - ⇒ 2057 possible sequences
- Actions: Add a queen to any square in the leftmost empty column such that it is not attacked by any other queen.
- ...



## Real-World Example: Robotic Assembly

- States: real-valued coordinates of robot joint angles, and of parts of the object to be assembled
- Initial State: any arm position and object configuration
- Actions: continuous motions of robot joints
- Transition Model: position resulting from motion
- Goal Test: complete assembly (without robot)
- Path Cost: time to execute



## Other Real-World Examples

- Airline travel planning problems
- Touring problems
- VLSI layout problem
- Robot navigation
- Automatic assembly sequencing
- Protein design
- ...

### **Outline**

- Search Generalities
  - Tree Search
    - Graph Search
    - Implementation Issues & Strategies
- - Breadth-First Search
  - Uniform-cost Search

  - Depth-First Search
  - Depth-Limited Search & Iterative Deepening
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- Expansion: one starts from a state, and applying the operators (or successor function) will generate new states
- Search strategy: at each step, choose which state to expand
- Search Tree/DAG: represents the expansion of all states starting from the initial state (the root of the tree/DAG)
- The leaves of the tree/DAG represent either:
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- Problem-Solving Agents
- Example Problems
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- Off-line, simulated exploration of state space
  - start from initial state
  - pick one leaf node, and generate its successors (a.k.a. expanding a node) states)
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### Tree Search: Basic idea

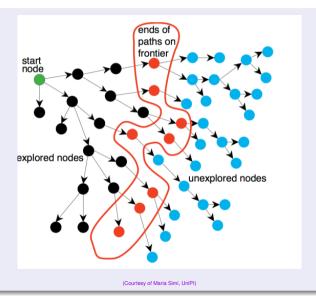
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**function** TREE-SEARCH(problem) **returns** a solution, or failure initialize the frontier using the initial state of problem **loop do** 

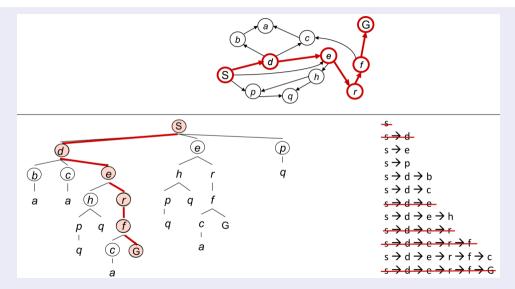
if the frontier is empty then return failure choose a leaf node and remove it from the frontier if the node contains a goal state then return the corresponding solution

expand the chosen node, adding the resulting nodes to the frontier

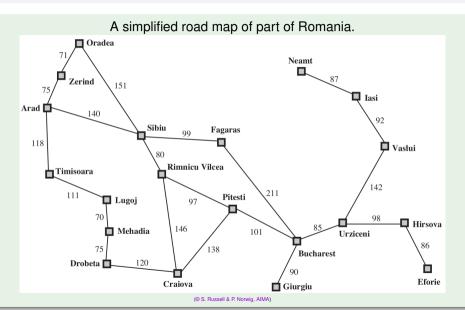
# Tree Search Algorithms [cont.]



## Tree-Search Example



# Tree-Search Example: Trip from Arad to Bucharest

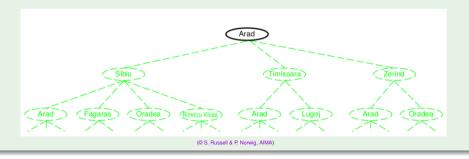


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### Tree-Search Example: Trip from Arad to Bucharest

### Expanding the search tree

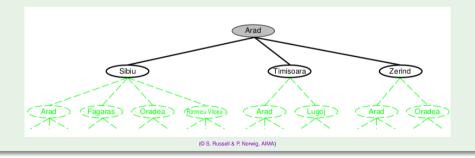
- Initial state: {Arad}
- Expand initial state ⇒ {Sibiu, Timisoara, Zerind}
- Pick&expand Sibiu ⇒ {Arad, Fagaras, Oradea, Rimnicu Vicea}
- o ..



Beware: Arad  $\mapsto$  Sibiu  $\mapsto$  Arad (repeated state  $\Longrightarrow$  loopy path!)

### Expanding the search tree

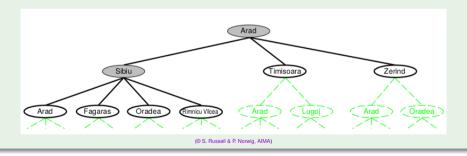
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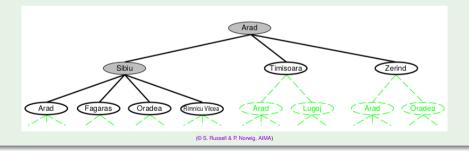
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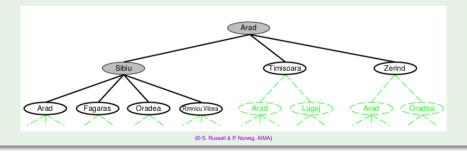
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- redundant paths occur when there is more than one way to get from one state to another
- Failure to detect repeated states can:
  - cause infinite loops
  - turn linear problem into exponential

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Moral: Algorithms that forget their history are doomed to repeat it

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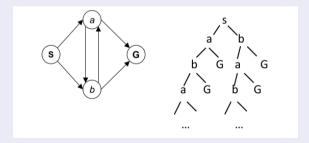
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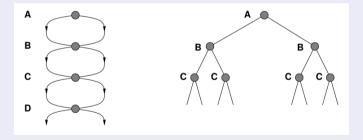
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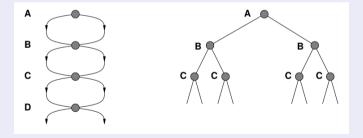
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# **Graph Search Algorithms**

#### Graph Search: Basic idea

- add a data structure which remembers every expanded node
  - a.k.a. explored set or closed list
  - typically a hash table (access O(1))
- do not expand a node if it already occurs in explored set

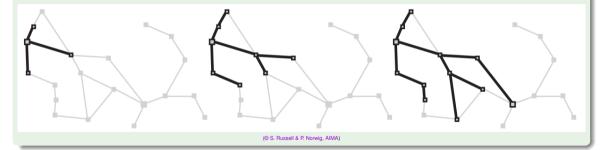
function GRAPH-SEARCH(problem) returns a solution, or failure
initialize the frontier using the initial state of problem
initialize the explored set to be empty
loop do

if the frontier is empty then return failure choose a leaf node and remove it from the frontier if the node contains a goal state then return the corresponding solution add the node to the explored set expand the chosen node, adding the resulting nodes to the frontier only if not in the frontier or explored set

## Graph Search Algorithms: Example

#### Graph search on the Romania trip problem

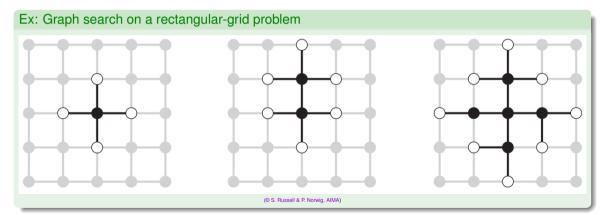
- (at each stage each path extended by one step)
- two states become dead-end



## Graph Search Algorithms: Example

#### Separation Property of graph search:

The frontier separates the state-space graph into the explored region and the unexplored region



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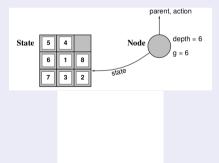


## Implementation: States vs. Nodes

- A state is a representation of a physical configuration
- A node is a data structure constituting part of a search tree
  - includes fields: state, parent, action, path cost g(x)
- $\implies$  node  $\neq$  state
  - Within a given problem, it should be easy to compute a child node from its parent and the action performed

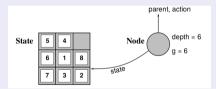
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STATE = problem.RESULT(parent.STATE, action),

PARENT = parent, ACTION = action,

 ${\sf PATH\text{-}COST} = parent. {\sf PATH\text{-}COST} + problem. {\sf STEP\text{-}COST} (parent. {\sf STATE}, action)$ 

### Frontier/Fringe

- Implemented as a Queue:
  - First-in-First-Out, FIFO (aka "queue"): O(1) access
  - Last-in-First-Out, LIFO (aka "stack"): O(1) access
  - Best-First-out (aka "priority queue"): O(log(n)) access
- Three primitives:
  - ISEMPTY(QUEUE): returns true iff there are no more elements
  - POP(QUEUE): removes and returns the first element of the queue
  - INSERT(ELEMENT, QUEUE): inserts an element into queue

- Implemented as a Hash Table: O(1) access
- Two primitives
  - ISTHERE(ELEMENT, HASH): returns true iff element is in the hash
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- Choice of hash function critical for efficiency

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# Implementation: general tree search

```
function TREE-SEARCH (problem, fringe) returns a solution, or failure
   fringe \leftarrow Insert(Make-Node(Initial-State[problem]), fringe)
   loop do
       if fringe is empty then return failure
       node \leftarrow Remove-Front(fringe)
       if GOAL-TEST(problem, STATE(node)) then return node
       fringe \leftarrow InsertAll(Expand(node, problem), fringe)
function Expand(node, problem) returns a set of nodes
   successors \leftarrow the empty set
   for each action, result in Successor-Fn(problem, State[node]) do
       s \leftarrow a new NODE
        PARENT-NODE[s] \leftarrow node; ACTION[s] \leftarrow action; STATE[s] \leftarrow result
        PATH-COST[s] \leftarrow PATH-COST[node] + STEP-COST(node, action, s)
        Depth[s] \leftarrow Depth[node] + 1
       add s to successors
   return successors
```

## Implementation: general graph search

```
function GRAPH-SEARCH (problem, fringe) returns a solution, or failure
   closed \leftarrow an empty set
  fringe \leftarrow Insert(Make-Node(Initial-State[problem]), fringe)
  loop do
       if fringe is empty then return failure
       node \leftarrow Remove-Front(fringe)
       if GOAL-TEST(problem, STATE[node]) then return node
       if STATE[node] is not in closed then
            add STATE[node] to closed
            fringe \leftarrow INSERTALL(EXPAND(node, problem), fringe)
  end
```

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## Uninformed vs. Informed Search Strategies

#### Strategies: Two possibilities

- Uninformed strategies (a.k.a. blind strategies)
  - do not use any domain knowledge
  - apply rules arbitrarily and do an exhaustive search strategy
  - impractical for some complex problems.
- Informed strategies
  - use domain knowledge
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- - Greedy Search

  - A\* Search
  - Memory-bounded Heuristic Search (hints)
  - Heuristic Functions

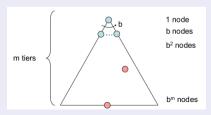


#### • Strategies are evaluated along the following dimensions:

- completeness: does it always find a solution if one exists?
- time complexity: how many steps to find a solution?
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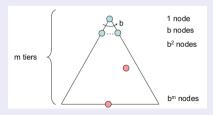
### Time and space complexity are measured in terms of

- b: maximum branching factor of the search tree
- d: depth of the least-cost solution
- ullet m: maximum depth of the state space (may be  $+\infty$ )
- $\implies$  # nodes: 1 + b +  $b^2$  + ... + b''' = O(b''')

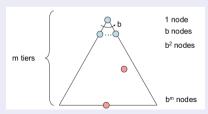


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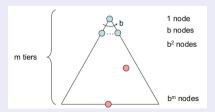


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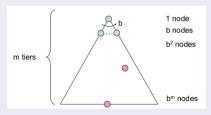
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    - $\rightarrow$  the product 1 | b |  $b^2$  |  $b^m = O(b^m)$ 
      - $\begin{array}{c} & & & 1 \text{ node} \\ & & b \text{ nodes} \\ & & b^2 \text{ nodes} \\ & & \\ &$

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  - m: maximum depth of the state space (may be +cc
  - $\rightarrow$  # nodes: 1  $\perp$   $h \perp h^2 \perp \perp h^n$



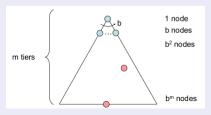
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 # nodes:  $1 + b + b^2 + ... + b^m = O(b^m)$ 



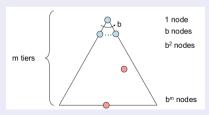
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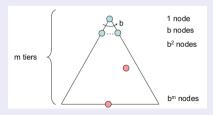
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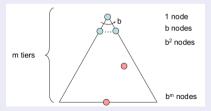
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 # nodes:  $1 + b + b^2 + ... + b^m = O(b^m)$ 



## **Uninformed Search Strategies**

### Uninformed strategies

Use only the information available in the problem definition

- Different uninformed search stategies
  - Breadth-first search
  - Uniform-cost search
  - Depth-first search
  - Depth-limited search & Iterative-deepening search
- Defined by the access strategy of the frontier/fringe (i.e. the order of node expansion)

## **Outline**

- - Tree Search
    - Graph Search
    - Implementation Issues & Strategies
- **Uninformed Search Strategies** 
  - Breadth-First Search
  - Uniform-cost Search
  - Depth-First Search
  - Depth-Limited Search & Iterative Deepening
- - Greedy Search

  - A\* Search
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#### Breadth-First Search

- Idea: Expand first the shallowest unexpanded nodes
- Implementation: frontier/fringe implemented as a FIFO queue
  - ⇒ novel successors pushed to the end of the queue

#### **Breadth-First Search**

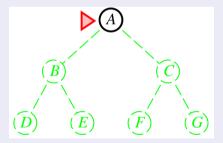
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- Implementation: frontier/fringe implemented as a FIFO queue
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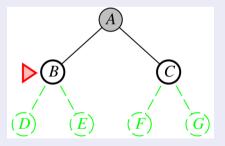
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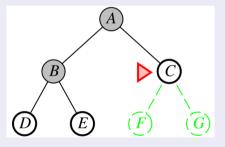
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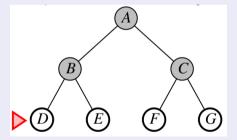
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- Implementation: frontier/fringe implemented as a FIFO queue
  - ⇒ novel successors pushed to the end of the queue



# Breadth-First Search Strategy (BFS) [cont.]

## BFS, Graph version (Tree version without "explored")

```
function BREADTH-FIRST-SEARCH(problem) returns a solution, or failure
  node \leftarrow a node with STATE = problem.INITIAL-STATE, PATH-COST = 0
  if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)
  frontier \leftarrow a FIFO queue with node as the only element
   explored \leftarrow an empty set
  loop do
      if EMPTY?(frontier) then return failure
      node \leftarrow Pop(frontier) /* chooses the shallowest node in frontier */
      add node.STATE to explored
      for each action in problem.ACTIONS(node.STATE) do
          child \leftarrow CHILD-NODE(problem, node, action)
          if child.STATE is not in explored or frontier then
             if problem.GOAL-TEST(child.STATE) then return SOLUTION(child)
             frontier \leftarrow Insert(child, frontier)
```

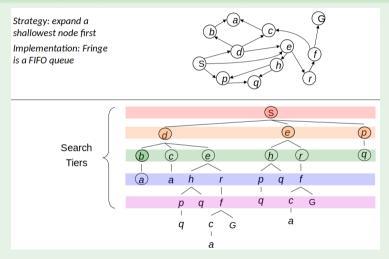
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Note: the goal test is applied to each node when it is generated, rather than when it is selected for expansion

⇒ solution detected 1 layer earlier

## Breadth-First Search: Tiers

## State space is explored by tiers (tree version, children expanded in alphabetical order)



## **Exercises**

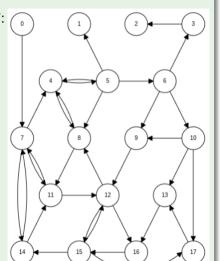
- As with previous example, with BFS graph search.
- Consider the following graph, initial state 0, goal state 17
  - explore it using BFS, tree version
  - explore it using BFS, graph version

children should be expanded in numerical order

## **Exercises**

- As with previous example, with BFS graph search.
- Consider the following graph, initial state 0, goal state 17:
  - explore it using BFS, tree version
    - explore it using BFS, graph version

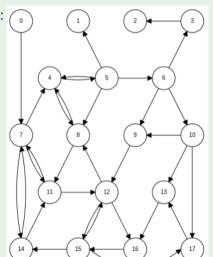
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## **Exercises**

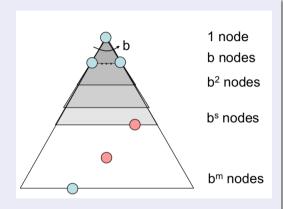
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children should be expanded in numerical order



## d: depth of shallowest solution

- How many steps?
  - processes all nodes above shallowest solution
    - $\implies$  takes  $O(b^a)$  time
- How much memory?
  - max frontier size: b<sup>d</sup> nodes
- Is it complete?
  - if solution exists, b<sup>o</sup> finite
- Is it optimal?
  - if and only if all costs are 1



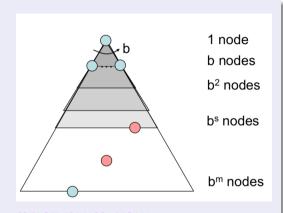
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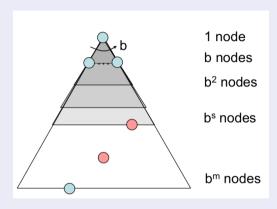
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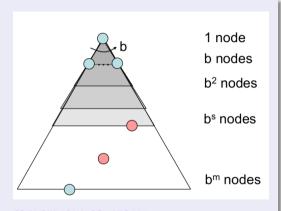
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\implies takes O(b^d) time
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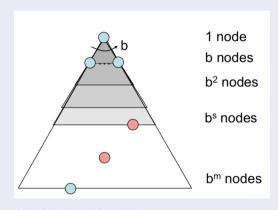
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### d: depth of shallowest solution

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```
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```

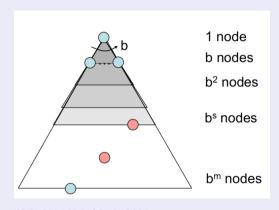
- How much memory?
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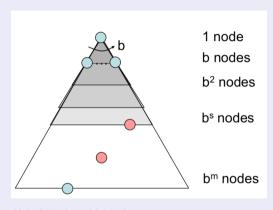
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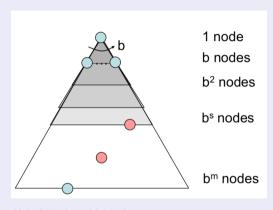
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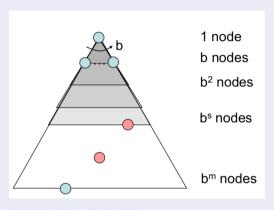
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- ⇒ shallowest solution



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  - if and only if all costs are 1
    - ⇒ shallowest solution



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# Breadth-First Search (BFS): Time and Memory

#### Assume:

- 1 million nodes generated per second
- 1 node requires 1000 bytes of storage
- branching factor b = 10

Depth	Nodes		Time	N	Memory	
2	110	.11	milliseconds	107	kilobytes	
4	11,110	11	milliseconds	10.6	megabytes	
6	$10^{6}$	1.1	seconds	1	gigabyte	
8	$10^{8}$	2	minutes	103	gigabytes	
10	$10^{10}$	3	hours	10	terabytes	
12	$10^{12}$	13	days	1	petabyte	
14	$10^{14}$	3.5	years	99	petabytes	
16	$10^{16}$	350	years	10	exabytes	

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## **Outline**

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#### Uniform-Cost Search

- Idea: Expand first the node with lowest path cost g(n)
- Implementation: frontier/fringe implemented as a priority queue ordered by g()  $\implies$  novel nearest successors pushed to the top of the queue
- similar to BFS if step costs are all equal



# **Uniform-Cost Search** • Idea: Expand first the node with lowest path cost g(n)• Implementation: frontier/fringe implemented as a priority queue ordered by q() similar to BFS if step costs are all equal (Courtesy of Michela Milano, UniBO)

#### **Uniform-Cost Search**

- Idea: Expand first the node with lowest path cost g(n)
- ullet Implementation: frontier/fringe implemented as a priority queue ordered by g()
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(Courtesy of Michela Milano, UniBO)

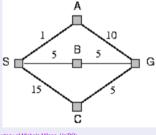
#### **Uniform-Cost Search**

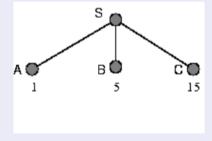
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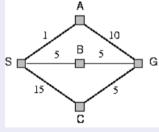


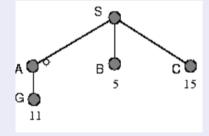
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## Uniform-Cost Search Strategy (UCS)

#### **Uniform-Cost Search**

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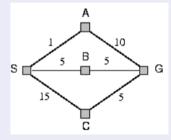


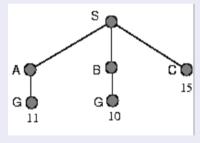
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### Uniform-Cost Search Strategy (UCS)

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(Courtesy of Michela Milano, UniBO)

# Uniform-Cost Search Strategy (UCS) [cont.]

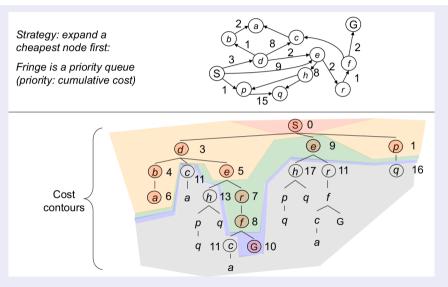
#### UCS, Graph version (Tree version: without "explored")

```
function UNIFORM-COST-SEARCH(problem) returns a solution, or failure
  node \leftarrow a node with STATE = problem.INITIAL-STATE, PATH-COST = 0
  frontier \leftarrow a priority queue ordered by PATH-COST, with node as the only element
  explored \leftarrow an empty set
  loop do
     if EMPTY?( frontier) then return failure
      node \leftarrow Pop(frontier) /* chooses the lowest-cost node in frontier */
      if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)
      add node.STATE to explored
      for each action in problem.ACTIONS(node.STATE) do
         child \leftarrow CHILD-NODE(problem, node, action)
         if child.State is not in explored or frontier then
             frontier \leftarrow Insert(child, frontier)
         else if child.STATE is in frontier with higher PATH-COST then
             replace that frontier node with child
```

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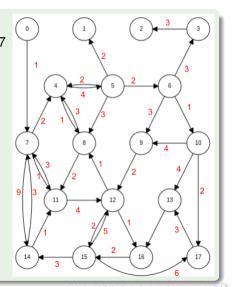
- apply the goal test to a node when it is selected for expansion rather than when it is first generated
- replace in the frontier a node with same state but worse path cost
- ⇒ avoid generating suboptimal paths (see previous example)

### **Uniform-Cost Search**



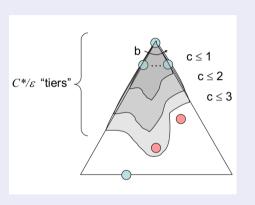
- Apply UCS to the Romania-map Example
- Consider the following graph, initial state 0, goal state 17
  - explore it using UCS (tree version)
  - explore it using UCS (graph version)

- Apply UCS to the Romania-map Example
- Consider the following graph, initial state 0, goal state 17
  - explore it using UCS (tree version)
  - explore it using UCS (graph version)



#### $C^*$ : cost of cheapest solution; $\epsilon$ : minimum arc cost

- $\Longrightarrow$  1 +  $\lfloor C^*\!\!/\epsilon \rfloor$  "effective depth"
  - How many steps?
    - processes all nodes costing less than cheapest solution
       ⇒ takes O(b¹+⌊C³/ϵ⌋) time
  - How much memory?
    - max frontier size: b<sup>1+⌊C\*/ϵ⌋</sup>
    - $\Longrightarrow O(b^{(+)})$  memory size
  - Is it complete?
    - if solution exists, finite cost
  - Is it optimal?

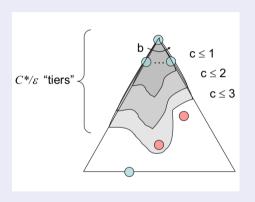


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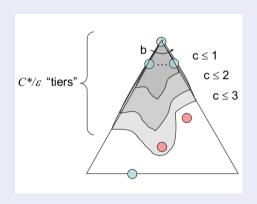
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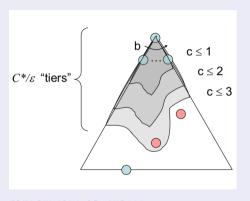
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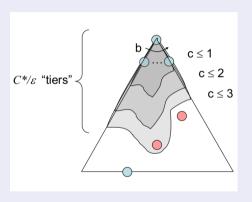
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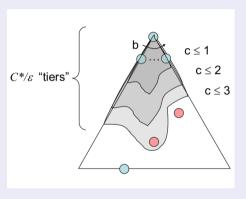
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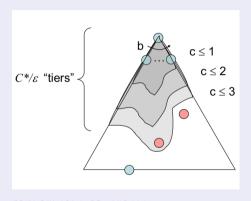
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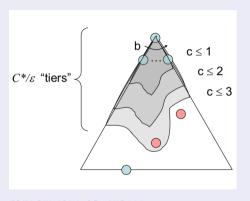
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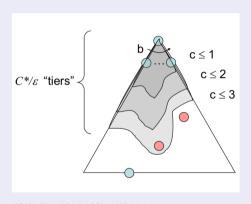
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### **Outline**

- - Tree Search
    - Graph Search
    - Implementation Issues & Strategies

#### **Uninformed Search Strategies**

- Breadth-First Search
- Uniform-cost Search
- Depth-First Search
- Depth-Limited Search & Iterative Deepening
- - Greedy Search

  - A\* Search
  - Memory-bounded Heuristic Search (hints)
  - Heuristic Functions

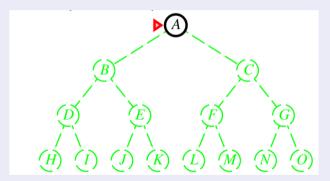


- Idea: Expand first the deepest unexpanded nodes
- Implementation: frontier/fringe implemented as a LIFO queue (aka stack)
  - $\implies$  novel successors pushed to the top of the stack

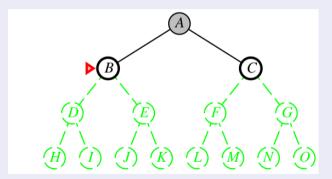
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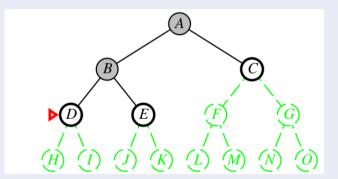


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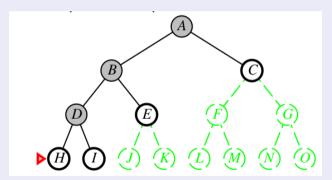
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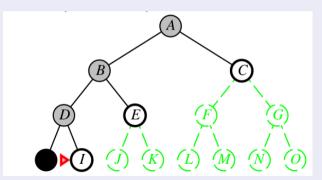


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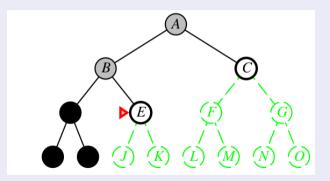
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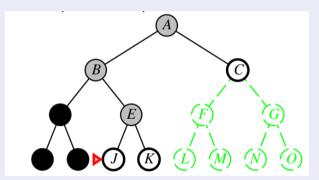
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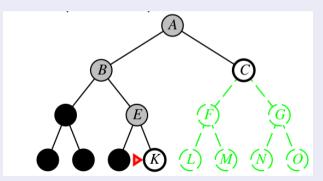
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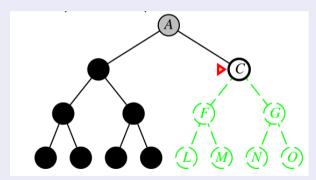
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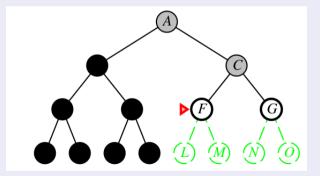
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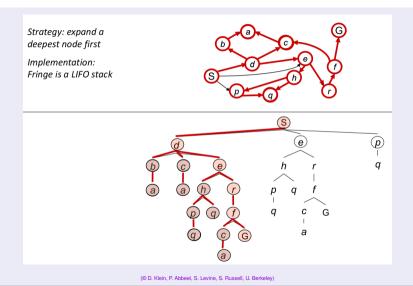
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### Depth-First Search

#### DFS on a Graph

Similar to BFS, using a LIFO access for frontier/fringe rather than FIFO.

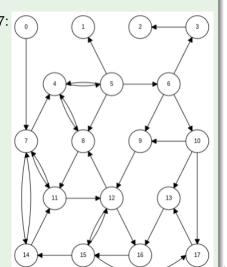


- As with previous example, with DFS graph search.
- Consider the following graph, initial state 0, goal state 17
  - explore it using DFS, tree version
    - explore it using DFS, graph version

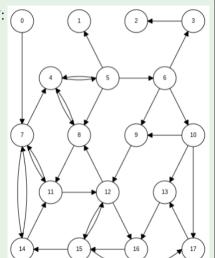
children should be expanded in numerical order

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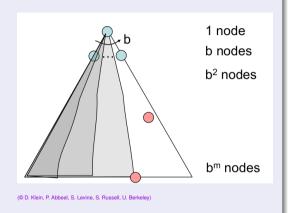


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# Depth-First Search (DFS): Properties

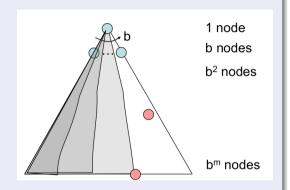
- How many steps?
  - could process the whole tree!  $\Longrightarrow$  if m finite, takes  $O(b^m)$  time
- How much memory?
  - only siblings on path to root
- Is it complete?
  - if infinite state space: no
  - if finite state space:
- Is it optimal?
  - No, regardless of depth/cost



Memory requirement much better than BFS: O(bm) vs.  $O(b^{\sigma})!$   $\Longrightarrow$  typically preferred to BFS

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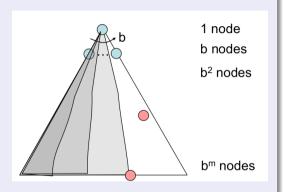


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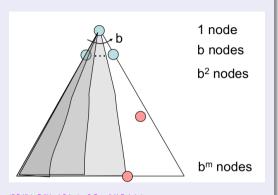
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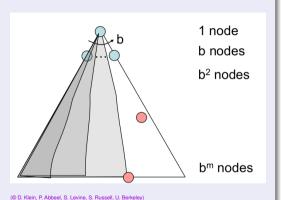
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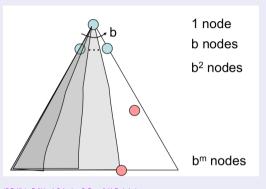
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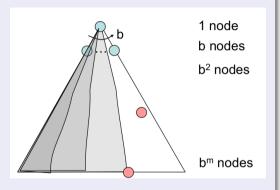
(w b. Neill, 1. Abbeel, 5. Leville, 5. Hussell, 5. Derkele)

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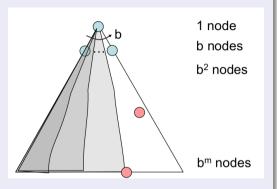
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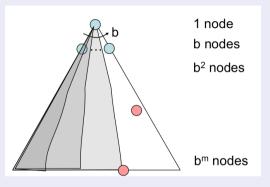
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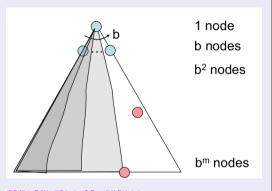
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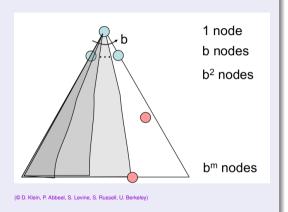
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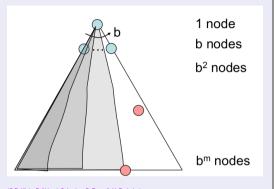


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#### A Variant of DFS: Backtracking Search

#### **Backtracking Search**

- Idea: only one successor is generated at the time
  - each partially-expanded node remembers which successor to generate next
  - generate a successor by modifying the current state description, rather than copying it firs
  - Applied in CSP, SAT/SMT and Logic Programming

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- Problem-Solving Agents
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- Search Generalities
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- Uninformed Search Strategies
  - Breadth-First Search
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  - i.e., nodes at depth / treated as having no successors
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- useful also if maximum-depth is known by domain knowledge
  - e.g., if maximum node distance in a graph (diameter) is known
  - Ex: Romania trip: 9 steps
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  - if  $d > l \Longrightarrow$  incomplete • if  $d < l \Longrightarrow$  takes  $O(b^l)$  instead of  $O(b^d)$  steps

- Idea: depth-first search with depth limit /
  - i.e., nodes at depth / treated as having no successors
  - DFS is DLS with  $I = +\infty$
- solves the infinite-path problem of DFS
  - ⇒ allows DFS deal with infinite-state spaces
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## Depth-Limited Search (DLS) Strategy [cont.]

#### **Recursive DLS**

```
function DEPTH-LIMITED-SEARCH(problem, limit) returns a solution, or failure/cutoff
  return RECURSIVE-DLS(MAKE-NODE(problem.INITIAL-STATE), problem, limit)
function RECURSIVE-DLS(node, problem, limit) returns a solution, or failure/cutoff
  if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)
  else if limit = 0 then return cutoff
  else
      cutoff\_occurred? \leftarrow false
      for each action in problem.ACTIONS(node.STATE) do
          child \leftarrow \text{CHILD-NODE}(problem, node, action)
          result \leftarrow Recursive-DLS(child, problem, limit - 1)
         if result = cutoff then cutoff\_occurred? \leftarrow true
         else if result \neq failure then return result
      if cutoff_occurred? then return cutoff else return failure
```

#### Iterative-Deepening Search

- Idea: call iteratively DLS for increasing depths l = 0, 1, 2, 3...
- combines the advantages of breadth- and depth-first strategies
  - complete (like BFS)
  - takes O(b<sup>\*</sup>) steps (like BFS and DFS)
  - requires O(bd) memory (like DFS)
  - explores a single branch at a time (like DFS)
  - optimal only if step cost = 1
  - optimal variants exist: iterative-lengthening search (see AIMA)
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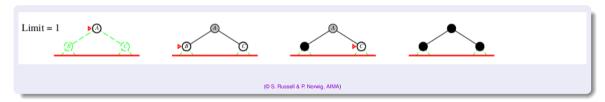
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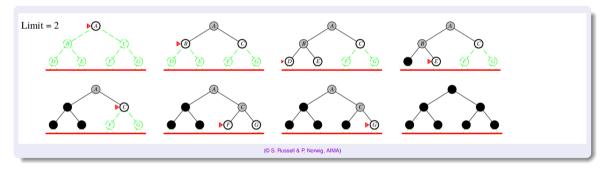
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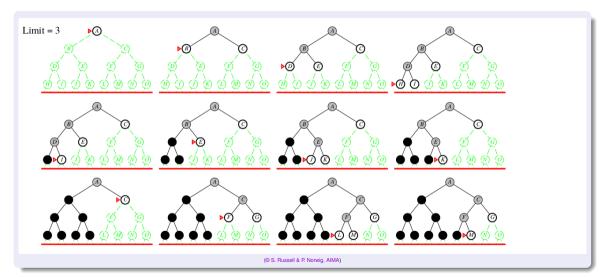
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#### **Exercises**

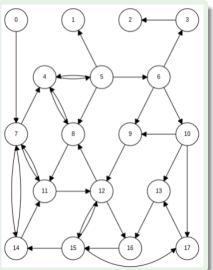
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  - explore it using IDS, graph version

children should be expanded in numerical order

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#### Remark: Why "only" $O(b^d)$ steps?

- may seem wasteful since states are generated multiple times..
- ... however, only a small fraction of nodes are multiply generated
- number of repeatedly-generated nodes decreases exponentially with number of repetitions
  - depth 1 (b nodes): repeated d times
  - depth 2 ( $b^2$  nodes): repeated d-1 times
  - ...
  - depth d (b<sup>a</sup> nodes): repeated 1 time
  - The total number of generated nodes is:

$$N(IDS) = (d)b^{\alpha} + (d-1)b^{\alpha} + ... + (1)b^{\alpha} = C$$

$$N(IDS) = b^{1} + b^{2} + ... + b^{\alpha} + C(b^{\alpha})$$

• Ex: with b = 10 and d = 5:

$$N(IDS) = 50 + 400 + 3,000 + 20,000 + 100,000 = 123,000$$

$$N(BFS) = 10 + 100 + 1,000 + 10,000 + 100,000 = 111,110$$

not significantly worse than BFS



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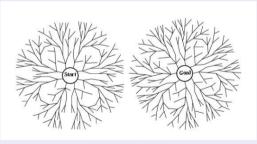


## Bidirectional Search [hints]

- Idea: Two simultaneous searches:
  - forward: from start node
  - backward: from goal node

checking if the node belongs to the other frontier before expansion

- Rationale:  $b^{d/2} + b^{d/2} << b^d$ 
  - $\implies$  number of steps and memory consumption are  $\approx 2b^{d/2}$
- backward search can be tricky in some cases (e.g. 8-queens)



## Uninformed Search Strategies: Comparison

#### Evaluation of tree-search strategies

Criterion	Breadth- First	Uniform- Cost	Depth- First	Depth- Limited	Iterative Deepening	Bidirectional (if applicable)
Complete?	$\mathrm{Yes}^a$	$\mathrm{Yes}^{a,b}$	No	No	$\mathrm{Yes}^a$	$\mathrm{Yes}^{a,d}$
Time	$O(b^d)$	$O(b^{1+\lfloor C^*/\epsilon \rfloor})$	$O(b^m)$	$O(b^\ell)$	$O(b^d)$	$O(b^{d/2})$
Space	$O(b^d)$	$O(b^{1+\lfloor C^*/\epsilon \rfloor})$	O(bm)	$O(b\ell)$	O(bd)	$O(b^{d/2})$
Optimal?	$\mathrm{Yes}^c$	Yes	No	No	$\mathrm{Yes}^c$	$\mathrm{Yes}^{c,d}$

a: complete if b is finite

d: if both directions use breadth-first search

(@ S. Russell & P. Norwig, AIMA)

#### For graph searches, the main differences are:

- depth-first search is complete for finite-state spaces
- space & time complexities are bounded by the state space size

b: complete if step costs  $\geq \epsilon$  for some positive  $\epsilon$  c: optimal if step costs are all identical

### **Outline**

- Problem-Solving Agents
- Example Problems
- Search Generalities
  - Tree Search
    - Graph Search
    - Implementation Issues & Strategies
- Uninformed Search Strategies
  - Breadth-First Search
  - Uniform-cost Search
  - Depth-First Search
  - Depth-Limited Search & Iterative Deepening
- Informed Search Strategies
  - Greedy Search
  - A\* Search
  - Memory-bounded Heuristic Search (hints)
  - Heuristic Functions



### Informed Search Strategies

#### Some general principles

- The intelligence of a system cannot be measured only in terms of search capacity, but in the ability to use knowledge about the problem to reduce/mitigate the combinatorial explosion
- If the system has some control on the order in which candidate solutions are generated, then it is useful to use this order so that actual solutions have a high chance to appear earlier
- For a system with limited processing capacity: intelligence is the wise choice of what to do next

### Heuristic search and heuristic functions

#### Heuristic search and heuristic functions

- Uninformed UCS strategy ignores the goal when selecting nodes
- → Idea: don't ignore the goal when selecting nodes
  - Intuition: often extra knowledge can be used to guide the search towards the goal: heuristics
  - A heuristic is a function h(n) that estimates how close a state n is to a goal
    - designed for a particular search problem
    - Ex Manhattan distance, Euclidean distance for pathing

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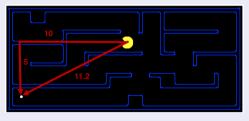
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### **Best-first Search Strategies**

#### General approach of informed search: Best-first search

- Best-first search: node selected for expansion based on an evaluation function f(n)
  - represent a cost estimate ⇒ choose node which appears best
  - ullet implemented like uniform-cost search, with f instead of g
  - $\implies$  the frontier is a priority queue sorted in decreasing order of f(n)
    - both tree-based and graph-based versions
    - most often f includes a heuristic function h(n)
- Heuristic function  $h(n) \in \mathbb{R}^+$ : estimated cost of the cheapest path from the state at node n to a goal state
  - $h(n) \geq 0 \ \forall n$
  - If G is goal, then h(G) = 0
  - implements extra domain knowledge
  - depends only on state, not on node (e.g., independent on paths)
- Main strategies:
  - Greedy search
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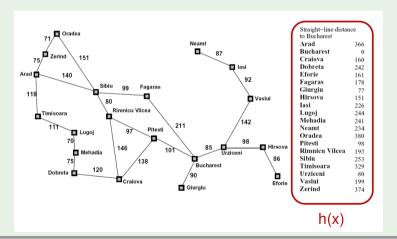
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# Example: Straight-Line Distance $h_{SLD}(n)$

- $h(n) \stackrel{\text{def}}{=} h_{SLD}(n)$ : straight-line distance heuristic
  - different from actual minimum-path dinstance
  - cannot be computed from the problem description itself



### **Outline**

- - Tree Search
    - Graph Search
    - Implementation Issues & Strategies
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  - Depth-First Search
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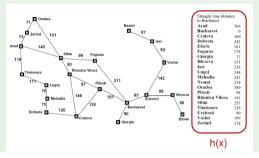


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- Implementation: same as uniform-cost search, with  $g(n) \stackrel{\text{def}}{=} h(n)$ 
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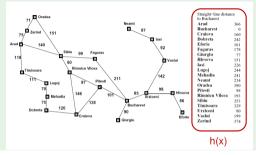
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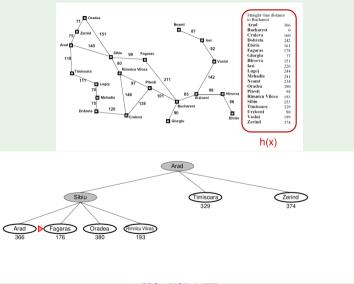
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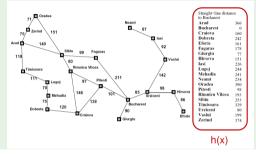


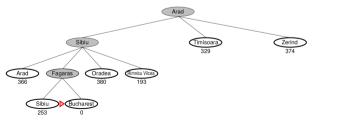


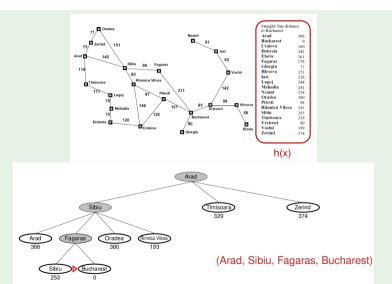


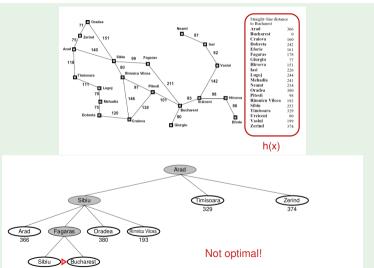


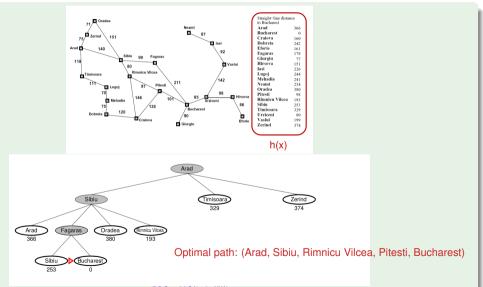






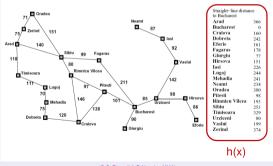






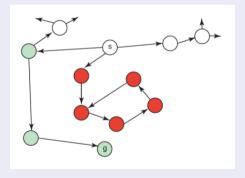
# Greedy Best-First Search: (Non-)Optimality

- Greedy best-first search is not optimal
  - it is not guaranteed to find the best solution
  - it is not guaranteed to find the best path toward a solution
- picks the node with minimum (estimated) distance to goal, regardless the cost to reach it
  - Ex: when in Sibiu, it picks Fagaras rather than Rimnicu Vicea



# Greedy Best-First Search: (In-)Completeness

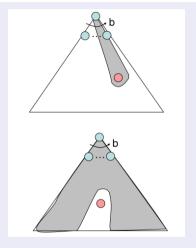
- Tree-based Greedy best-first search is not complete
  - may lead to infinite loops
- Graph-based version complete (if state space finite)
- substantially same completeness issues as DFS



(Courtesy of Maria Simi, UniPI)

### • How many steps?

- in worst cases may explore all states
   takes O(b<sup>d</sup>) time
   if good heuristics:
  - → may give good improvements
- How much memory?
  - max frontier size: b<sup>d</sup>
    - $\Longrightarrow \mathcal{O}(b^d)$  memory size
- Is it complete?
  - tree: no
    - graph: yes if space finite
- Is it optimal?
  - No



- How many steps?
  - in worst cases may explore all states

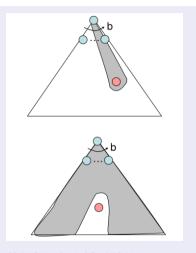
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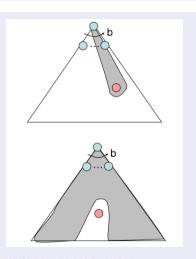
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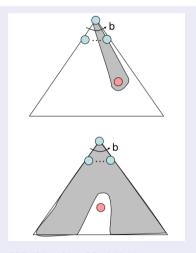
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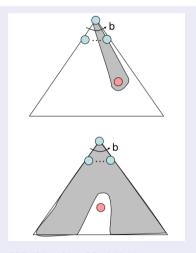
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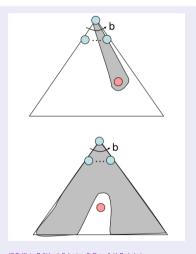
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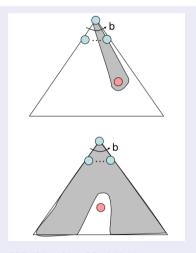
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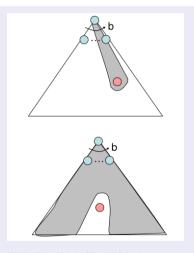
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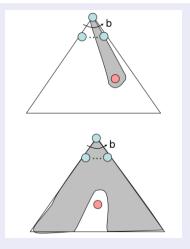
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### **Outline**

- Problem-Solving Agents
- Example Problems
- Search Generalities
  - Tree Search
    - Graph Search
    - Implementation Issues & Strategies
- Uninformed Search Strategies
  - Breadth-First Search
  - Uniform-cost Search
  - Depth-First Search
  - Depth-Limited Search & Iterative Deepening
- Informed Search Strategies
  - Greedy Search
  - A\* Search
  - Memory-bounded Heuristic Search (hints)
  - Heuristic Functions



- Best-known form of best-first search
- Idea: avoid expanding paths that are already expensive
- Combine Uniform-Cost and Greedy search: f(n) = g(n) + h(n)
  - g(n): cost so far to reach n
  - *h*(*n*): estimated cost to goal from n
  - f(n): estimated total cost of path through n to goal
- $\implies$  Expand first the node n with lowest estimated cost of the cheapest solution through n
  - Implementation: same as uniform-cost search, with g(n) + h(n) instead of g(n)

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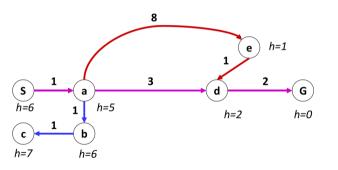
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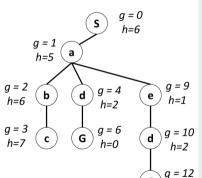
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- Uniform-cost orders by path cost, or backward cost g(n)
- Greedy orders by goal proximity, or forward cost h(n)

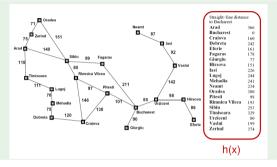




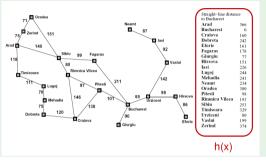
A\* Search orders by the sum: f(n) = g(n) + h(n)

(© D. Klein, P. Abbeel, S. Levine, S. Russell, U. Berkeley)

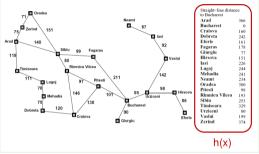
h=0

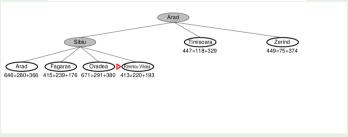


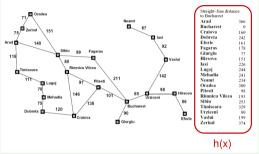


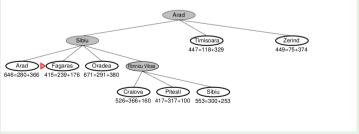


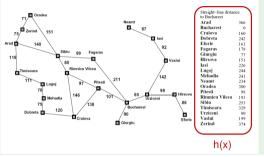




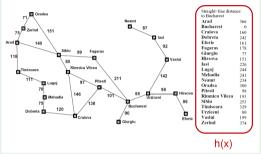


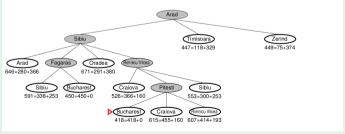


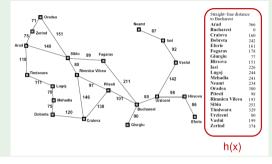


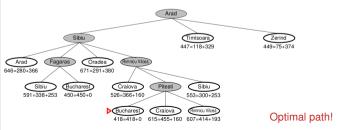












### A\* Search: Admissible and Consistent Heuristics

#### Admissible heuristics h(n)

- h(n) is admissible (aka optimistic) iff it never overestimates the cost to reach the goal:
  - $h(n) \le h^*(n)$  where  $h^*(n)$  is the true cost from n
  - ex: the straight-line distance  $h_{SDL}()$  to Bucharest

#### Consistent heuristics h(n)

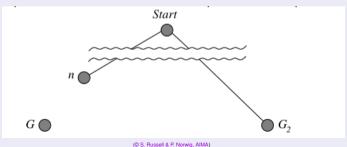
- h(n) is consistent (aka monotonic) iff, for every successor n' of n generated by any action a with step cost c(n, a, n'),
  - $h(n) \leq c(n,a,n') + h(n')$ 
    - verifies the triangular inequality
    - ex: the straight-line distance  $h_{SDL}()$  to Bucharest

#### If h(n) is admissible, then $A^*$ tree search is optimal

- Suppose some sub-optimal goal  $G_2$  is in the frontier queue.
- n unexpanded node on a shortest path to an optimal goal G.

• then: 
$$f(G_2) = g(G_2) \text{ since } h(G_2) = 0$$
 
$$> g(G) \text{ since } G_2 \text{ sub-optima}$$
 
$$\ge f(n) \text{ since } h \text{ is admissible}$$

 $\implies$  A\* will not pick  $G_2$  from the frontier queue before n

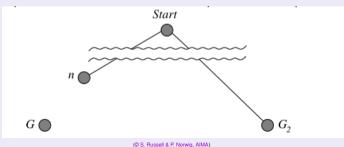


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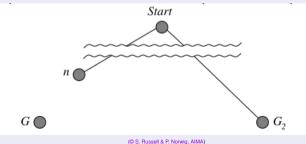
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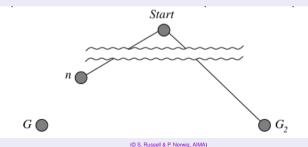


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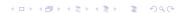


- $\bigcirc$  if h(n) is consistent, then h(n) is admissible (straightforward)
- ② If h(n) is consistent, then f(n) is non-decreasing along any path:
  - $f(n') = g(n') + h(n') = g(n) + c(n, a, n') + h(n') \ge g(n) + h(n) = f(n)$
- Graph A\* selects node n from the frontier only if the optimal path to n has been found
  - if not so, there would be a node n' in the frontier on the optimal path to n (because of the graph separation property)
  - since f is non-decreasing along any path,  $f(n') \leq f(n)$
  - since n' is on the optimal path to n, f(n') < f(n)
  - $\implies$  n' would have been selected before n
  - $\Rightarrow$   $A^*$  (graph search) expands nodes in non-decreasing order of f

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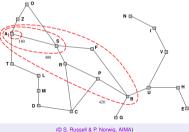
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# A\* Graph Search: Optimality

#### If h(n) is consistent, then $A^*$ graph search is optimal

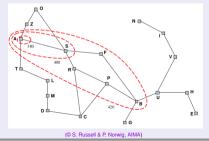
- A\* expands nodes in order of non-decreasing f value
- Gradually adds "f-contours" of nodes (as BFS adds layers)
  - contour *i* has all nodes with  $f = f_i$ , s.t.  $f_i < f_{i+1}$
  - cannot expand contour  $f_{i+1}$  until contour  $f_i$  is fully expanded
- If *C*\* is the cost of the optimal solution path
  - A\* expands all nodes s.t.  $f(n) < C^*$
  - $\bigcirc$  A\* might expand some of the nodes on "goal contour" s.t.  $f(n) = C^*$  before selecting a goal node
  - $\bigcirc$  A\* does not expand nodes s.t.  $f(n) > C^*$  (pruning



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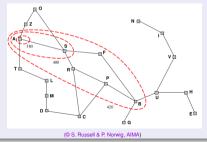
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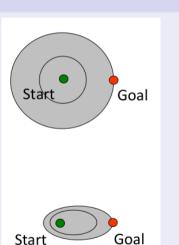
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### UCS vs A\* Contours

#### Intuition

- UCS expands equally in all "directions"
- A\* expands mainly toward the goal

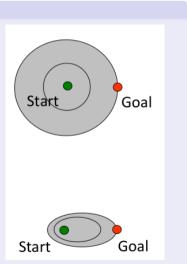




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### A\* Search: Completeness

If all step costs exceed some finite  $\epsilon$  and b is finite, then there are only finitely many nodes n s.t.  $f(n) \leq C^* \implies A^*$  is complete.

### A\* Search: Properties

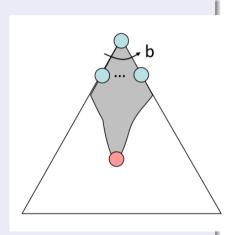
Under simplified hypotheses it can be shown the following.

Let  $\epsilon \stackrel{\text{def}}{=} (h^* - h)/h^*$  (relative error)

 $b^{\epsilon}$ : effective branching factor

- How many steps?
  - takes O((b<sup>e</sup>)<sup>d</sup>) time
     if good heuristics, may give dramatic
     improvements
- How much memory?
  - Keeps all nodes in memory
    - $\Longrightarrow \mathcal{O}(b^{\sigma})$  memory size (like UCS)
- Is it complete?
  - yes
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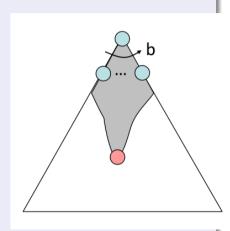
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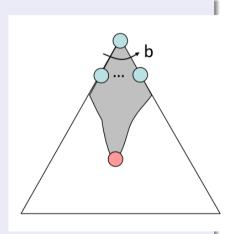
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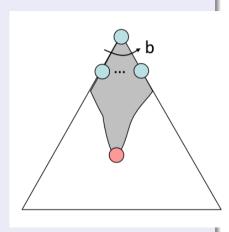


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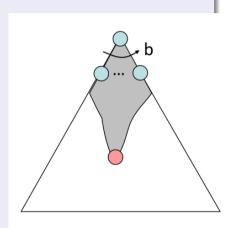


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 $b^{\epsilon}$ : effective branching factor

- How many steps?
  - takes O((b<sup>ε</sup>)<sup>d</sup>) time
    if good heuristics, may give dramatic
    improvements
- How much memory?
  - Keeps all nodes in memory  $\implies O(b^d)$  memory size (like UCS)
- Is it complete?
  - yes
- Is it optimal?

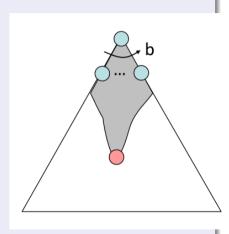


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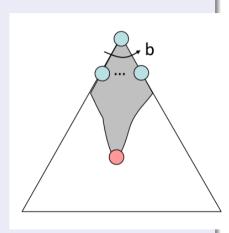


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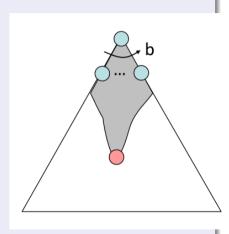


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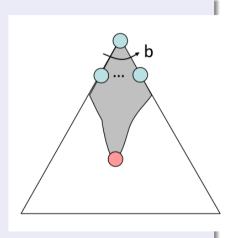


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### **Outline**

- Problem-Solving Agents
- Example Problems
- Search Generalities
  - Tree Search
  - Graph Search
  - Implementation Issues & Strategies
- Uninformed Search Strategies
  - Breadth-First Search
  - Uniform-cost Search
  - Depth-First Search
  - Depth-Limited Search & Iterative Deepening
- Informed Search Strategies
  - Greedy Search
  - A\* Search
  - Memory-bounded Heuristic Search (hints)
  - Heuristic Functions



# Memory-bounded Heuristic Search (hints)

Some solutions to *A*\* space problems (maintain completeness and optimality)

- Iterative-deepening A\* (IDA\*)
  - here cutoff information is the f-cost (g+h) instead of depth
- Recursive best-first search(RBFS)
  - attempts to mimic standard best-first search with linear space
- (simple) Memory-bounded A\* ((S)MA\*)
  - drop the worst-leaf node when memory is full

### **Outline**

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### **Admissible Heuristics**

### Main problem

What is the best admissible/consistent heuristic?

### **Dominance of Admissible Heuristics**

#### **Dominance**

Let  $h_1(n), h_2(n)$  admissible heuristics.

- $h_2(n)$  dominates  $h_1(n)$  iff  $h_2(n) \ge h_1(n)$  for all n.
- $\implies h_2(n)$  is better for search
  - is nearer to  $h^*(n)$

Let  $h_1(n), h_2(n)$  admissible heuristics. Let  $h_{12} \stackrel{\text{def}}{=} max(h_1(n), h_2(n))$ .

- h<sub>12</sub> is also admissible
- $h_{12}$  dominates both  $h_1(n), h_2(n)$

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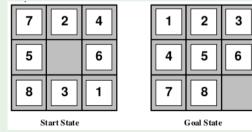
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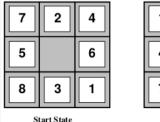
#### Ex: Heuristics for the 8-puzzle

- $h_1(n)$ : number of misplaced tiles
- $h_2(n)$ : total Manhattan distance over all tiles
  - (i.e., # of squares from desired location of each tile)
- $h_1(S)$ ?
- $h_2(S)$ ? 4+0+3+3+1+0+2+1=14
- h\*(S)? 20
- both  $h_1(n), h_2(n)$  admissible ( $\leq$  number of actual steps to solve)
- $h_2(n)$  dominates  $h_1(n)$



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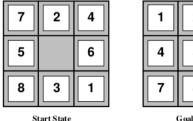
- $h_1(n)$ : number of misplaced tiles
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- $h_1(S)$ ? 6
- $h_2(S)$ ? 4+0+3+3+1+0+2+1 = 14
- h\*(S)? 2
- both  $h_1(n), h_2(n)$  admissible ( $\leq$  number of actual steps to solve)
- $h_2(n)$  dominates  $h_1(n)$





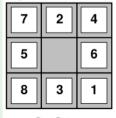
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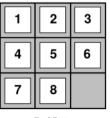
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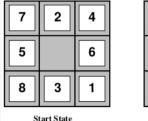




Start State Goal State

#### Ex: Heuristics for the 8-puzzle

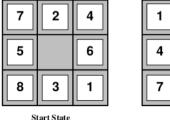
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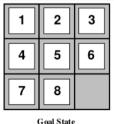




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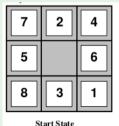
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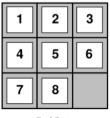




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# **Quality of Heuristics**

### Effective branching factor

 Effective branching factor b\*: the branching factor that a uniform tree of depth d would have in order to contain N+1 nodes

$$N+1=1+b^*+(b^*)^2+...+(b^*)^d$$

N being the number of nodes generated by the  $A^*$  search

- ex: if d=5 and N = 52, then  $b^* = 1.92$
- experimental measure of b\* is fairly constant for hard problems
  - ⇒ can provide a good guide to the heuristic's overall usefulness
- Ideal value of b\* is 1

# Admissible Heuristics: Example [cont.]

### Average performances on 100 random samples of 8-puzzle

	Search Cost (nodes generated)			Effective Branching Factor		
d	IDS	$A^*(h_1)$	$A^*(h_2)$	IDS	$A^*(h_1)$	$A^*(h_2)$
2	10	6	6	2.45	1.79	1.79
4	112	13	12	2.87	1.48	1.45
6	680	20	18	2.73	1.34	1.30
8	6384	39	25	2.80	1.33	1.24
10	47127	93	39	2.79	1.38	1.22
12	3644035	227	73	2.78	1.42	1.24
14	_	539	113	_	1.44	1.23
16	_	1301	211	_	1.45	1.25
18	_	3056	363	_	1.46	1.26
20	_	7276	676	_	1.47	1.27
22	_	18094	1219	_	1.48	1.28
24	_	39135	1641	_	1.48	1.26

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 $\Longrightarrow {\sf Dramatic\ performance\ improvement}$ 

- Relaxed 8-puzzle: a tile can move from any tile to any other tile  $\Rightarrow h_1(n)$  gives the shortest solution
- Relaxed 8-puzzle: a tile can move to any adjacent square
  - $\Rightarrow h_2(n)$  gives the shortest solution
- The relaxed problem adds edges to the state space
   any optimal solution in the original problem is also a solution in the relaxed problem
   the cost of an optimal solution to a relaxed problem is an admissible heuristic for the original problem
- the derived heuristic is an exact cost for the relaxed problem
   must obey the triangular inequality
   consistent

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**Idea**: If a problem definition is written down in a formal language, it is possible to construct relaxed problems automatically

### Example

8-puzzle actions

- we can generate three relaxed problems by removing one or both of the conditions
  - (a) a tile can move from square A to square B if A is adjacer
  - (b) a tile can move from square A to square B if B is blank
  - (c) a tile can move from square A to square B
- $\Longrightarrow$  (a) corresponds to  $h_2(n)$ , (c) corresponds to  $h_1(n)$ ,

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### Example

- 8-puzzle actions:
  - a tile can move from square A to square B if
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# Learning Admissible Heuristics

- Another way to find an admissible heuristic is through learning from experience:
  - Experience = solving lots of 8-puzzles
  - An inductive learning algorithm can be used to predict costs for other states that arise during search