Automated Reasoning and Formal Verification Module II: Formal Verification Ch. 08: **Abstraction in Model Checking** 

#### Roberto Sebastiani

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## Outline



Abstraction



Abstraction-Based Symbolic Model Cheching

- Abstraction
- Checking the counter-examples
- Refinement



## Outline

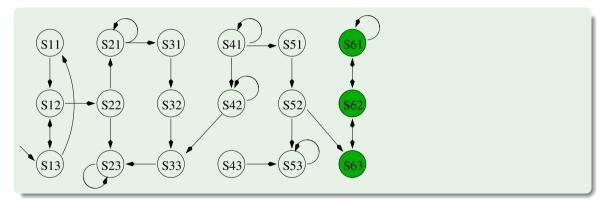


Abstraction-Based Symbolic Model Cheching

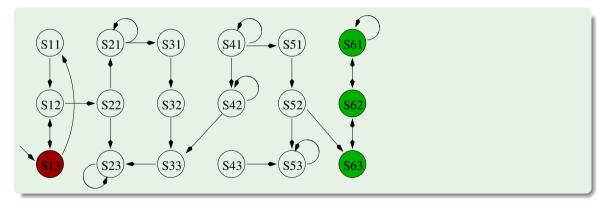
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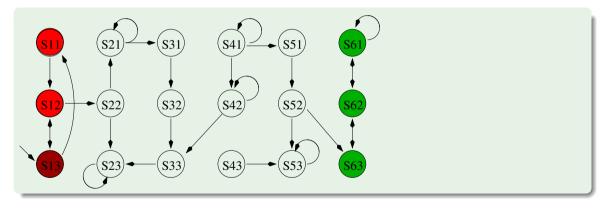
Add reachable states until reaching a fixed-point or a "bad" state



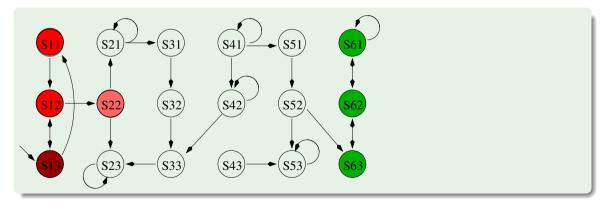
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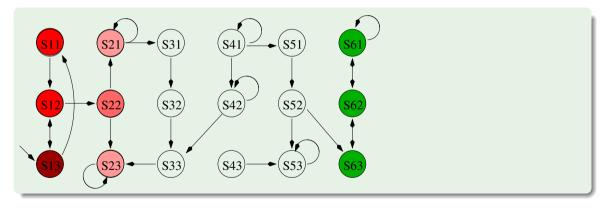
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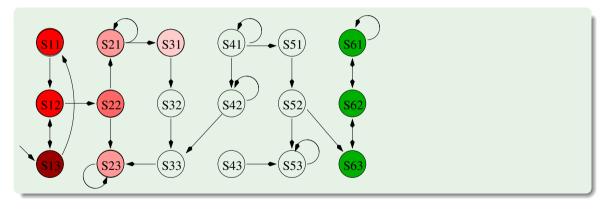
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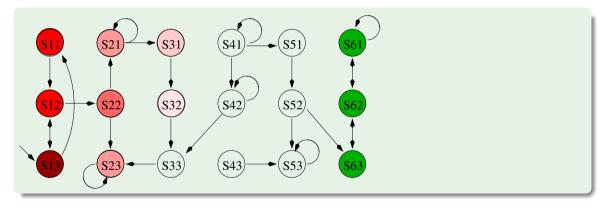
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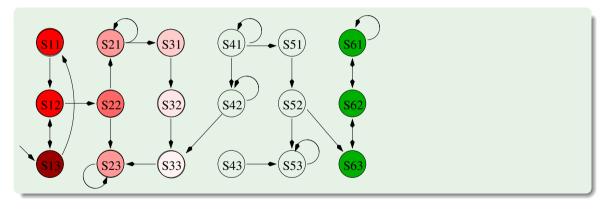
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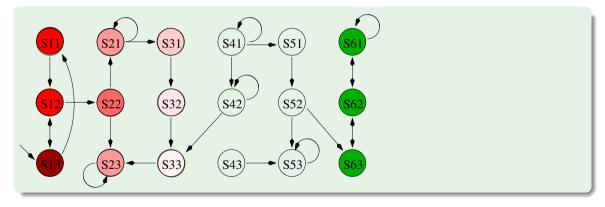
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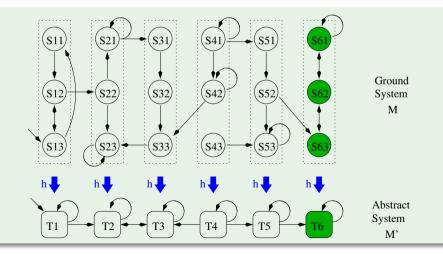
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### Idea: Abstraction

Apply a (non-injective) Abstraction Function h to M

⇒ Build an abstract (and much smaller) system M'



### Abstraction & Refinement

- Let S be the ground (concrete) state space
- Let S' be the abstract state space
- Abstraction: a (typically non-injective) map  $h: S \mapsto S'$ 
  - *h* typically a many-to-one function (typically maps 2<sup>k</sup> states into 1, for some k)
- Refinement: a map  $r: S' \longrightarrow 2^S$  s.t.  $r(s') \stackrel{\text{\tiny def}}{=} \{s \in S \mid s' = h(s)\}$

### Simulation

Let  $M_1 \stackrel{\text{def}}{=} \langle S_1, I_1, R_1, AP_1, L_1 \rangle$  and  $M_2 \stackrel{\text{def}}{=} \langle S_2, I_2, R_2, AP_2, L_2 \rangle$ . Then a relation  $p \subseteq S_1 \times S_2$  is a simulation between  $M_1$  and  $M_2$  ( $M_1$  simulates  $M_2$ ) iff

- for every  $s_2 \in I_2$  exists  $s_1 \in I_1$  s.t.  $\langle s_1, s_2 \rangle \in p$ , and
- for every  $\langle s_1, s_2 \rangle \in p$ :
  - for every transition  $\langle s_2, t_2 \rangle \in R_2$ , exists a transition  $\langle s_1, t_1 \rangle \in R_1$  s.t.  $\langle t_1, t_2 \rangle \in p$

(Intuitively, for every transition in  $M_2$  there is a corresponding transition in  $M_1$ .)

Example of p (spy game): "follower  $M_1$  keeps escaper  $M_2$  at eyesight"

### Bisimulation

P is a bisimulation between M and M' iff it is both a simulation between M and M' and between M' and M.

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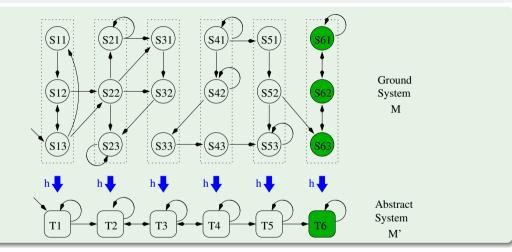
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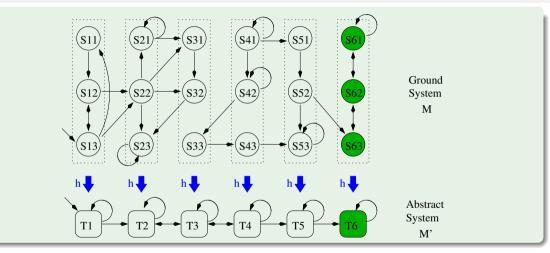
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#### **Bisimulation**

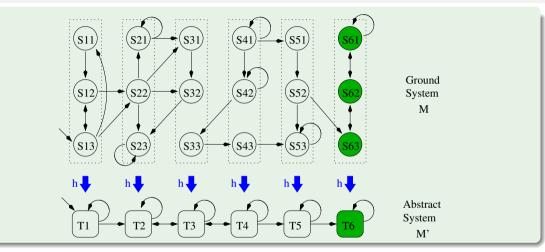
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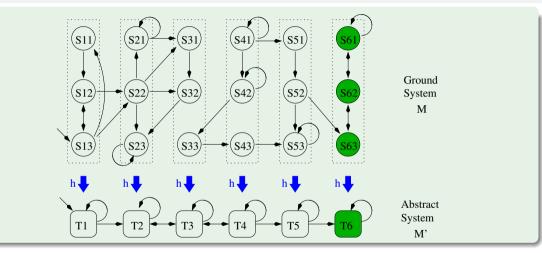




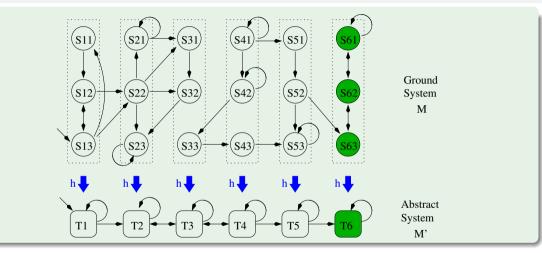
• Does M simulate M'?



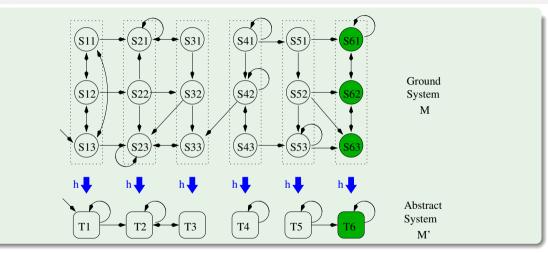
• Does M simulate M'? No: e.g., no arc from S23 to any S3i.



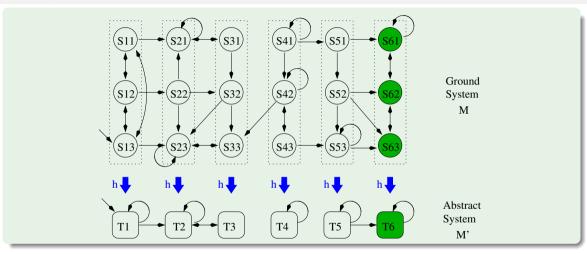
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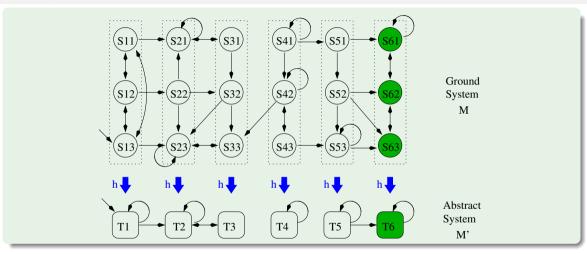
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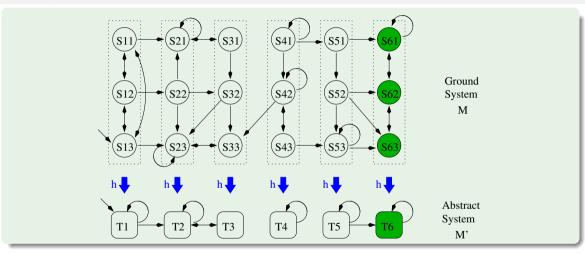




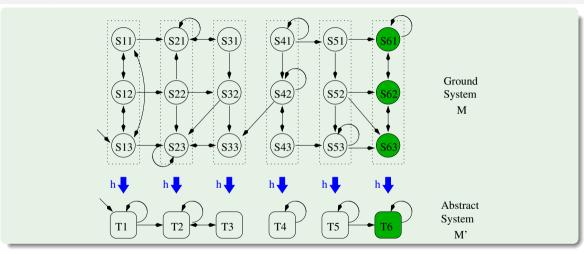
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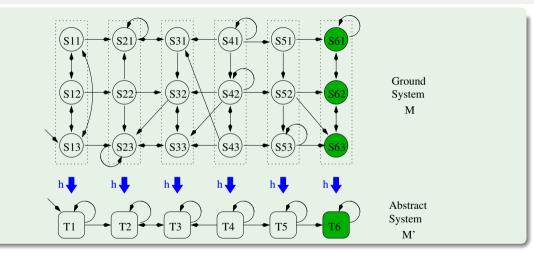
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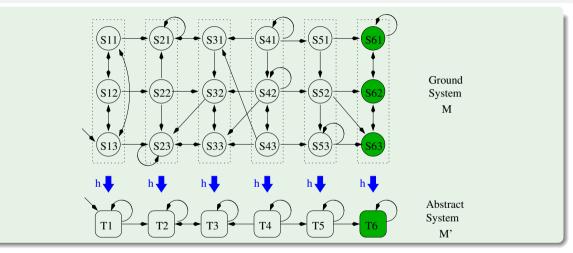


- Does M simulate M'? Yes
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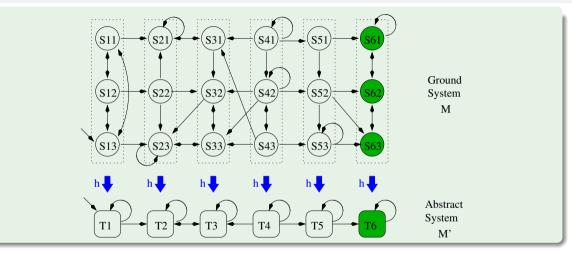


- Does M simulate M'? Yes
- Does M' simulate M? No: e.g., no arc from T4 to T3.

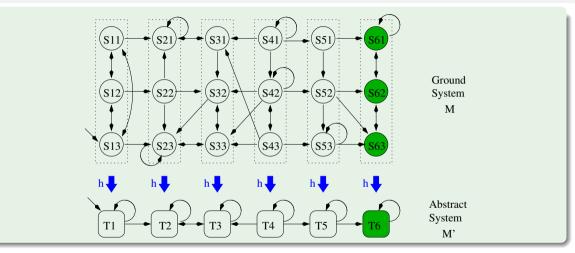




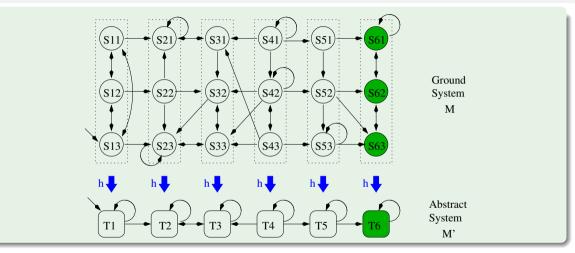
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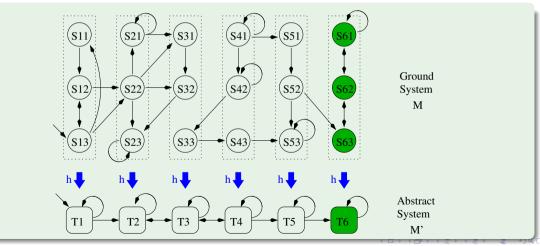
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## Existential Abstraction (Over-Approximation)

An Abstraction from M to M' is an Existential Abstraction (aka Over-Approximation) iff M' simulates M



### Model Checking with Existential Abstractions

### **Preservation Theorem**

- Let  $\varphi$  be a universally-quantified property (e.g., in LTL or ACTL)
- Let M' simulate M

Then we have that

 $M'\models\varphi\Longrightarrow M\models\varphi$ 

- Intuition: if M has a countermodel, then M' simulates it
- The converse does not hold

$$\mathbf{M}\models\varphi\not\Longrightarrow\mathbf{M'}\models\varphi$$

⇒ The abstract counter-example may be spurious (e.g., in previous figure,  $T1 \rightarrow T2 \rightarrow T3 \rightarrow T4 \rightarrow T5 \rightarrow T6$ )

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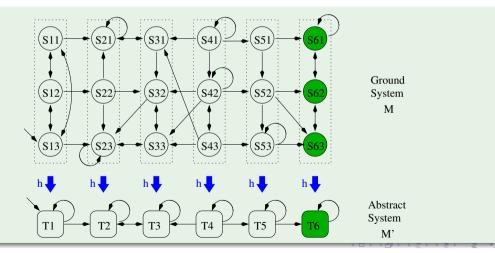
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## **Bisimulation Abstraction**

An Abstraction from M to M' is a Bisimulation Abstraction iff M simulates M' and M' simulates M



## Model Checking with Bisimulation Abstractions

### **Preservation Theorem**

- Let  $\varphi$  be any ACTL/LTL property
- Let *M* simulate *M'* and *M'* simulate *M*

Then we have that

 $M' \models \varphi \Longleftrightarrow M \models \varphi$ 

# Outline



Abstraction

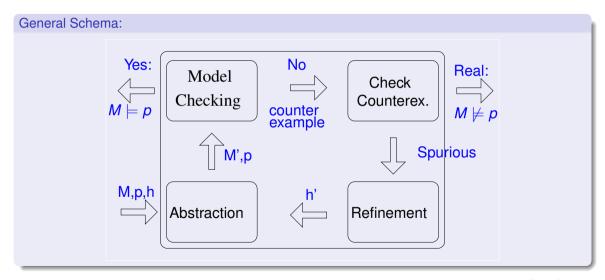


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# Counter-Example Guided Abstraction Refinement - CEGAR



# Outline



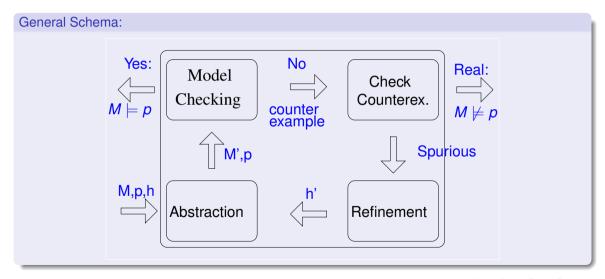
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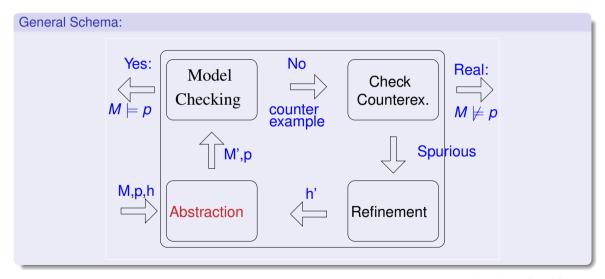
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## **Counter-Example Guided Abstraction Refinement**



## **Counter-Example Guided Abstraction Refinement**



- A.k.a. "Localization Reduction"
- Partition Boolean variables into visible (V) and invisible (I) ones
  - The abstract model built on visible variables only.
  - Invisible variables are made inputs (no updates in the transition relation)
  - All variables occurring in "¬BAD" must be visible
- The abstraction function maps each state to its projection over V.
- $\Rightarrow$  Group ground states with same visible part to a single abstract state.

$$\begin{bmatrix} visible & invisible \\ x_1 & x_2 & x_3 & x_4 \\ \hline S_{11} : & 0 & 0 & 0 & 0 \\ S_{12} : & 0 & 0 & 0 & 1 \\ S_{13} : & 0 & 0 & 1 & 0 \\ S_{14} : & 0 & 0 & 1 & 1 \end{bmatrix}$$

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M' can be computed efficiently if M is in functional form (e.g. sequential circuits).

$$\begin{bmatrix} next(x_1) := f_1(x_1, x_2, x_3, x_4) \\ next(x_2) := f_2(x_1, x_2, x_3, x_4) \\ next(x_3) := f_3(x_1, x_2, x_3, x_4) \\ next(x_4) := f_4(x_1, x_2, x_3, x_4) \end{bmatrix} \Longrightarrow \begin{bmatrix} next(x_1) := f_1(x_1, x_2, x_3, x_4) \\ next(x_2) := f_2(x_1, x_2, x_3, x_4) \\ next(x_2) := f_2(x_1, x_2, x_3, x_4) \end{bmatrix}$$

Note: The next values of invisible variables,  $next(x_3)$  and  $next(x_4)$ , can assume every value nondeterministically

 $\Longrightarrow$  do not constrain the transition relation

Since *M*′ obviously simulates *M*, this is an Existential Abstraction

$$\bullet \ M' \models \varphi \Longrightarrow M \models \varphi$$

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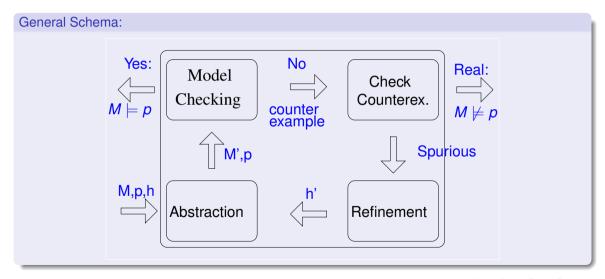
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### Abstraction-Based Symbolic Model Cheching

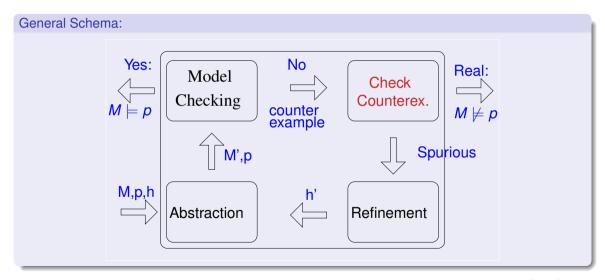
- Abstraction
- Checking the counter-examples
- Refinement



## **Counter-Example Guided Abstraction Refinement**



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### Checking the Abstract Counter-Example I

#### The problem

- Let  $c_0, ..., c_m$  counter-example in the abstract space
  - Note: each c<sub>i</sub> is a truth assignment on the visible variables
- Problem: check if there exist a corresponding ground counterexample  $s_0, ..., s_m$  s.t.  $c_i = h(s_i)$ , for every *i*

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## Checking the Abstract Counter-Example II

### Idea

- Simulate the counterexample on the concrete model
- Use Bounded Model Checking:

$$\Phi \stackrel{\scriptscriptstyle{\mathsf{def}}}{=} \textit{I}(s_0) \land \bigwedge_{i=0}^{m-1} \textit{R}(s_i, s_{i+1}) \land \bigwedge_{i=0}^m \textit{visible}(s_i) = c_i$$

If satisfiable, the counter example is real, otherwise it is spurious

Note: much more efficient than the direct BMC problem:

$$\Phi \stackrel{\scriptscriptstyle{\mathsf{def}}}{=} \textit{I}(s_0) \land \bigwedge_{i=0}^{m-1} \textit{R}(s_i, s_{i+1}) \land \bigvee_{i=0}^m \neg \textit{BAD}_i$$

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# Outline



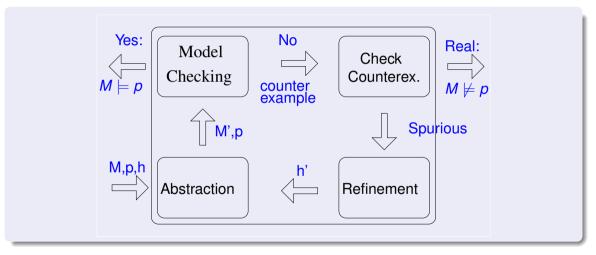
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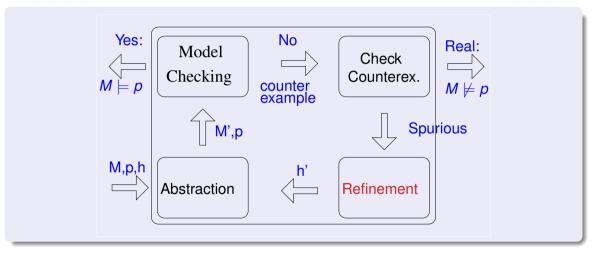
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### **Counter-Example Guided Abstraction Refinement**



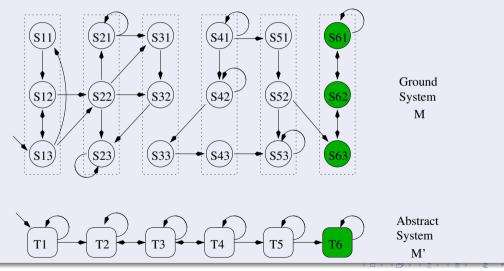
### **Counter-Example Guided Abstraction Refinement**

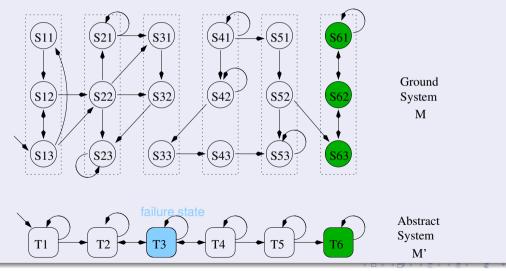


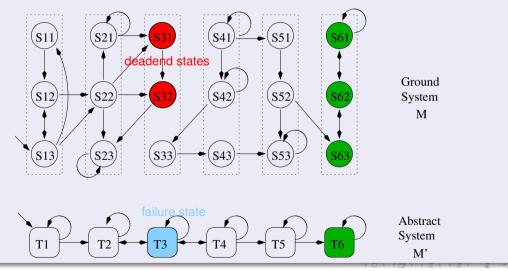
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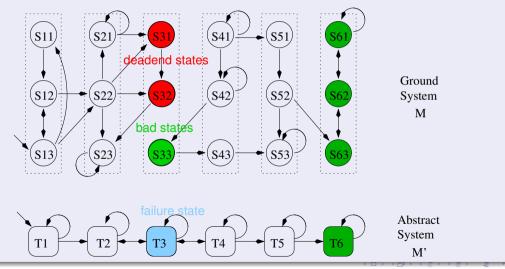
There is a state in the abstract counter-example (failure state) s.t. two different and un-connected kinds of ground states are mapped into it:

- Deadend states: reachable states which do not allow to proceed along a refinement of the abstract counter-example
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### Solution: Refine the abstraction function.

- 1. identify the failure state and its deadend and bad states
- 2. refine the abstraction function s.t. deadend and bad states are mapped into different abstract state

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### Identify the failure state and its deadend & bad states

• The failure state is the state of maximum index *f* in the abstract counter-example s.t. the following formula is satisfiable:

$$\Phi_D \stackrel{\text{\tiny def}}{=} \textit{I}(s_0) \land \bigwedge_{i=0}^{f-1} \textit{R}(s_i, s_{i+1}) \land \bigwedge_{i=0}^{f} \textit{visible}(s_i) = c_i$$

- The (restriction on index *f* of the) models of Φ<sub>D</sub> identify the deadend states {*d*<sub>1</sub>,..., *d<sub>k</sub>*}
   can be identified by projected AllSAT enumeration over variables *s<sub>f</sub>*
- The bad states {b<sub>1</sub>,..., b<sub>n</sub>} are identified by the (restriction on index f of the) models of the following formula:

$$\Phi_B \stackrel{\scriptscriptstyle{ ext{o}eff}}{=} \textit{R}(\textit{s}_{\textit{f}}, \textit{s}_{\textit{f}+1}) \land \textit{visible}(\textit{s}_{\it{f}}) = \textit{c}_{\it{f}} \land \textit{visible}(\textit{s}_{\textit{f}+1}) = \textit{c}_{\it{f}+1}$$

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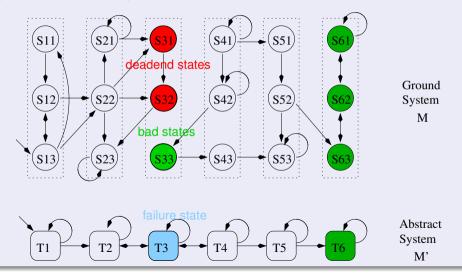
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## Identify the failure state and its deadend & bad states

For the spurious counter-example:  $T1 \rightarrow T2 \rightarrow T3 \rightarrow T4 \rightarrow T5 \rightarrow T6$ 



#### The state separation problem

• Input: sets  $D \stackrel{\text{\tiny def}}{=} \{d_1, ..., d_k\}$  and  $B \stackrel{\text{\tiny def}}{=} \{b_1, ..., b_n\}$  of states

• Output: (possibly smallest) set  $U \subseteq I$  of invisible variables s.t.

 $\forall d_i \in D, \forall b_j \in B, \exists u \in U \ s.t. \ d_i(u) \neq b_j(u)$ 

⇒ the truth values of *U* allow for separating each pair  $\langle d_i, b_j \rangle$ ⇒ The refinement *h*' is obtained by adding U to V.

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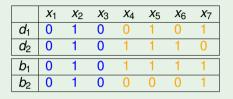
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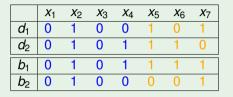
#### visible, invisible



- differentiating d<sub>1</sub>, b<sub>1</sub>: make x<sub>4</sub> visible
- differentiating  $d_1, b_2$ : make  $x_5$  visible
- differentiating  $d_2, b_1$ : make  $x_7$  visible
- differentiating d<sub>2</sub>, b<sub>2</sub>: already different

 $\implies U = \{x_4, x_5, x_7\}, h' \text{ keeps only } x_6 \text{ invisible}$ 

#### visible, invisible

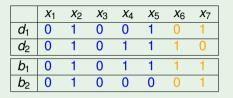


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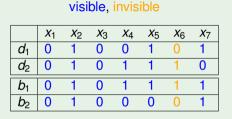
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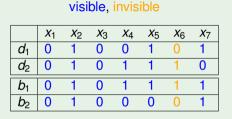


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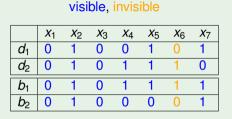


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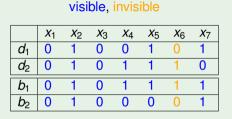


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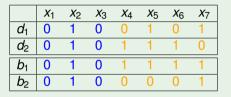
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# **Two Separation Methods**

- Separation based on Decision-Tree Learning
  - Not optimal.
  - Polynomial.
- ILP-based separation
  - Minimal separating set.
  - Computationally expensive.

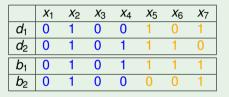
Idea: expand the decision tree until no  $\langle d_i, b_j \rangle$  pair belongs to set.



 $\{d_1, d_2, b_1, b_2\}$ 

- differentiating  $d_1, b_1: x_4$
- differentiating  $d_1, b_2: x_5$
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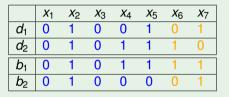
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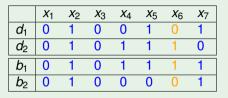
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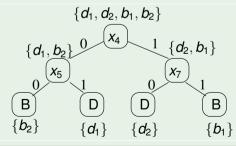
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# Separation with 0-1 ILP

#### Idea

• Encode the problem as a 0-1 ILP problem



subject to :

 $\forall d \in D, \forall b \in B,$ 

• intuition:  $v_k = \top$  iff  $x_k$  must me made visible

• one constraint for every pair  $\langle d_i, b_j \rangle$ 

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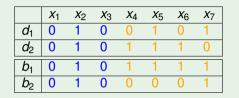


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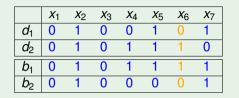
## Separation with 0-1 ILP: Example



$$\begin{array}{ll} \min \left\{ v_4 + v_5 + v_6 + v_7 \right\} & subject \ to: \\ \left\{ \begin{array}{ll} v_4 + & v_6 & \geq 1 & // \ \text{separating} \ d_1, \ b_1 \\ v_5 & \geq 1 & // \ \text{separating} \ d_1, \ b_2 \\ & v_7 & \geq 1 & // \ \text{separating} \ d_2, \ b_1 \\ v_4 + & v_5 + & v_6 + & v_7 & \geq 1 & // \ \text{separating} \ d_2, \ b_2 \end{array} \right. \end{array}$$

 $\implies \text{return } \{v_4, v_5, v_7\} \implies U = \{x_4, x_5, x_7\}$ or return  $\{v_5, v_6, v_7\} \implies U = \{x_5, x_6, x_7\}$ 

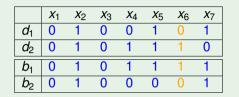
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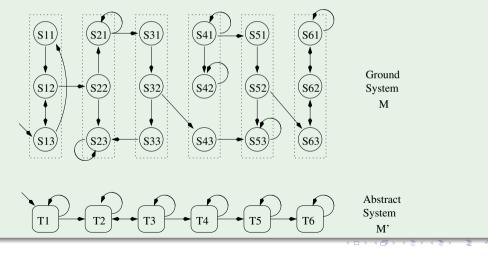
### Abstraction

- Abstraction-Based Symbolic Model Cheching
  - Abstraction
  - Checking the counter-examples
  - Refinement



# **Ex: Simulation**

Consider the following pair of ground and abstract machines M and M', and the abstraction  $\alpha : M \mapsto M'$  which, for every  $j \in \{1, ..., 6\}$ , maps Sj1, Sj2, Sj3 into Tj.



# Ex: Simulation [cont.]

For each of the following facts, say which is true and which is false.

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[Solution: False. E.g.,: if *M* is in *S*23, *M'* is in *T*2 and *M'* switches to *T*3, there is no transition in *M* from *S*23 to any state *S*3*i*,  $i \in \{1, 2, 3\}$ .]

(a) M simulates M'.

[Solution: False. E.g.,: if *M* is in *S*23, *M'* is in *T*2 and *M'* switches to *T*3, there is no transition in *M* from *S*23 to any state *S*3*i*,  $i \in \{1, 2, 3\}$ .]

(b) M' simulates M.

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(b) M' simulates M.

[Solution: true]

(a) M simulates M'.

[Solution: False. E.g.,: if M is in S23, M' is in T2 and M' switches to T3, there is no transition in M from S23 to any state S3*i*,  $i \in \{1, 2, 3\}$ .]

- (b) M' simulates M. [Solution: true]
- (c) for every  $j \in \{1, ..., 6\}$  and  $i \in \{1, ..., 3\}$ , if Tj is reachable in M', then Sji is reachable in M

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(b) M' simulates M.

[ Solution: true ]

(c) for every  $j \in \{1, ..., 6\}$  and  $i \in \{1, ..., 3\}$ , if Tj is reachable in M', then Sji is reachable in M [Solution: False. E.g., T4 is reachable but S42 is not. ]

(a) M simulates M'.

[Solution: False. E.g.,: if M is in S23, M' is in T2 and M' switches to T3, there is no transition in M from S23 to any state S3*i*,  $i \in \{1, 2, 3\}$ .]

- (b) M' simulates M. [Solution: true]
- (c) for every  $j \in \{1, ..., 6\}$  and  $i \in \{1, ..., 3\}$ , if Tj is reachable in M', then Sji is reachable in M [Solution: False. E.g., T4 is reachable but S42 is not. ]
- (d) for every  $j \in \{1, ..., 6\}$  and  $i \in \{1, ..., 3\}$ , if *Sji* is reachable in *M*, then *Tj* is reachable in *M'*.

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[Solution: False. E.g.,: if M is in S23, M' is in T2 and M' switches to T3, there is no transition in M from S23 to any state S3*i*,  $i \in \{1, 2, 3\}$ .]

(b) M' simulates M.

[Solution: true]

- (c) for every  $j \in \{1, ..., 6\}$  and  $i \in \{1, ..., 3\}$ , if Tj is reachable in M', then Sji is reachable in M [Solution: False. E.g., T4 is reachable but S42 is not. ]
- (*d*) for every  $j \in \{1, ..., 6\}$  and  $i \in \{1, ..., 3\}$ , if *Sji* is reachable in *M*, then *Tj* is reachable in *M'*. [Solution: true]

## Ex: Abstraction-based MC

Consider the following pair of ground and abstract machines M and M', and the abstraction  $\alpha : M \mapsto M'$  which makes the variable z invisible.

MODULE main

M:
MODULE main
VAR
x : boolean;
y : boolean;
z : boolean;
ASSIGN
<pre>init(x) := FALSE;</pre>
<pre>init(y) := FALSE;</pre>
<pre>init(z) := TRUE;</pre>
TRANS
(next(x) <-> y) &
(next(y) <-> z) &
(next(z) <-> x)

. .

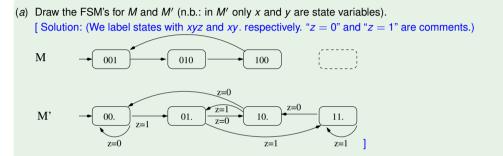
*M*′:

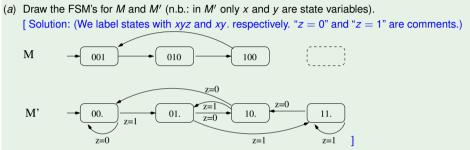
MODULE main								
VA	R							
	х	:	boo	le	an	;		
	У	:	boo	le	an	;		
	Z	:	boo	le	an	;		
ASSIGN								
	in	it	(x)	:	=	FA	LSE	Ξ;
	in	it	(y)	:	=	FA	LSI	Ξ;
ΤR	AN	S						
	(n	ex	t(x	)	<-	>	y)	&
	(n	ex	t(y	)	<-	>	z)	

# Ex: Abstraction-based MC [cont.]

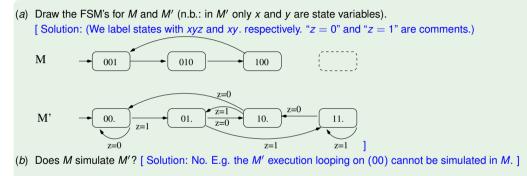
(a) Draw the FSM's for M and M' (n.b.: in M' only x and y are state variables).

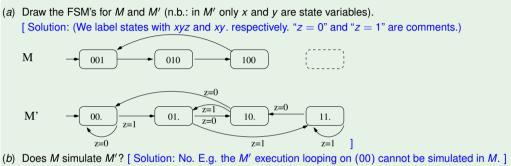
(a) Draw the FSM's for *M* and *M'* (n.b.: in *M'* only *x* and *y* are state variables).
 [Solution: (We label states with *xyz* and *xy*. respectively. "*z* = 0" and "*z* = 1" are comments.)



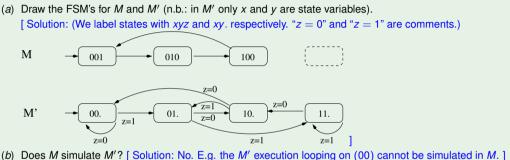


(b) Does M simulate M'?

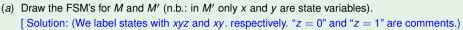


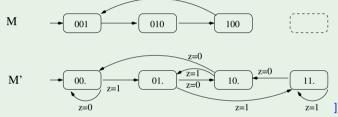


<sup>(</sup>c) Does M' simulate M?



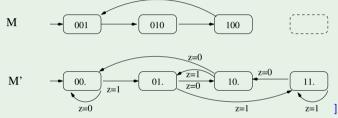
(b) Does M simulate M'? [Solution: No. E.g. the M' execution looping on (00) cannot be simulated in M. ]
 (c) Does M' simulate M? [Solution: Yes ]





- (b) Does M simulate M'? [Solution: No. E.g. the M' execution looping on (00) cannot be simulated in M. ]
- (c) Does M' simulate M? [Solution: Yes]
- (*d*) Is  $\alpha$  a suitable abstraction for solving the MC problem  $M \models \mathbf{G} \neg (x \land y)$ ? If yes, explain why. If no, produce a spurious counter-example.

(a) Draw the FSM's for M and M' (n.b.: in M' only x and y are state variables).
 [Solution: (We label states with xyz and xy. respectively. "z = 0" and "z = 1" are comments.)



- (b) Does M simulate M'? [Solution: No. E.g. the M' execution looping on (00) cannot be simulated in M. ]
- (c) Does M' simulate M? [Solution: Yes]
- (*d*) Is  $\alpha$  a suitable abstraction for solving the MC problem  $M \models \mathbf{G} \neg (x \land y)$ ? If yes, explain why. If no, produce a spurious counter-example. [Solution: No, since  $M \models \mathbf{G} \neg (x \land y)$  but  $M' \not\models \mathbf{G} \neg (x \land y)$ . A spurious counter-example is  $C \stackrel{\text{def}}{=} (00) \implies (01) \implies (11)$ .]

(e) Use the SAT-based refinement technique to show that the abstract counter-example  $C \stackrel{\text{def}}{=} (00) \implies (01) \implies (11)$  is spurious.

Use the SAT-based refinement technique to show that the abstract counter-example  $C \stackrel{\text{def}}{=} (00) \Longrightarrow (01) \Longrightarrow (11)$  is spurious.

Solution: We generate the following formula and feed it to a SAT solver:

 $\begin{array}{lll} (\neg x_0 \land \neg y_0 \land z_0) & \land & // \ I(x_0, y_0, z_0) \land \\ ((x_1 \leftrightarrow y_0) \land (y_1 \leftrightarrow z_0) \land (z_1 \leftrightarrow x_0)) & \land & // \ T(x_0, y_0, z_0, x_1, y_1, z_1) \land \\ ((x_2 \leftrightarrow y_1) \land (y_2 \leftrightarrow z_1) \land (z_2 \leftrightarrow x_1)) & \land & // \ T(x_1, y_1, z_1, x_2, y_2, z_2) \land \\ (\neg x_0 \land \neg y_0) & \land & // \ (visible(s_0) = c_0) \land \\ (\neg x_1 \land y_1) & \land & // \ (visible(s_1) = c_1) \land \end{array}$  $(\neg x_1 \land y_1)$  $(x_2 \land y_2)$ 

 $//(visible(s_2) = c_2)$ 

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 $\begin{array}{lll} (\neg x_0 \wedge \neg y_0 \wedge z_0) & & \wedge & // \ I(x_0, y_0, z_0) \wedge \\ ((x_1 \leftrightarrow y_0) \wedge (y_1 \leftrightarrow z_0) \wedge (z_1 \leftrightarrow x_0)) & \wedge & // \ T(x_0, y_0, z_0, x_1, y_1, z_1) \wedge \\ ((x_2 \leftrightarrow y_1) \wedge (y_2 \leftrightarrow z_1) \wedge (z_2 \leftrightarrow x_1)) & \wedge & // \ T(x_1, y_1, z_1, x_2, y_2, z_2) \wedge \\ (\neg x_0 \wedge \neg y_0) & \wedge & // \ (visible(s_0) = c_0) \wedge \\ (\neg x_1 \wedge y_1) & \wedge & // \ (visible(s_1) = c_1) \wedge \\ (x_2 \wedge y_2) & // \ (visible(s_2) = c_2) \end{array}$ 

 $\implies \{\neg x_0, \neg y_0, z_0, \neg x_1, y_1, \neg z_1, x_2, \neg y_2, \neg z_2\}$  are unit-propagated due to the first three rows

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 $\implies \{\neg x_0, \neg y_0, z_0, \neg x_1, y_1, \neg z_1, x_2, \neg y_2, \neg z_2\} \text{ are unit-propagated due to the first three rows} \\ \implies \text{UNSAT}$ 

 $\implies$  spurious counter-example.

In a counter-example-guided-abstraction-refinement model checking process using localization reduction, variables  $x_3, x_4, x_5, x_6, x_7, x_8$  are made invisible.

Suppose the process has identified a spurious counterexample with an abstract failure state [00], two ground deadend states  $d_1$ ,  $d_2$  and two ground bad states  $b_1$ ,  $b_2$  as described in the following table:

	<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	<i>x</i> 3	<i>x</i> <sub>4</sub>	<i>x</i> 5	<i>x</i> 6	<b>X</b> 7	<i>x</i> 8	
$d_1$	0	0	0	0	0	1	1	1	
d <sub>1</sub> d <sub>2</sub>	0	0	0	1	1	1	1	0	
$b_1$	0	0	1	1	1	1	0	1	
b <sub>1</sub> b <sub>2</sub>	0	0	0	1	0	0	0	0	

Identify a minimum-size subset of invisible variables which must be made visible in the next abstraction to avoid the above failure. Briefly explain why.

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Suppose the process has identified a spurious counterexample with an abstract failure state [00], two ground deadend states  $d_1$ ,  $d_2$  and two ground bad states  $b_1$ ,  $b_2$  as described in the following table:

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$d_1$	0	0	0	0	0	1	1	1	
$d_1$ $d_2$	0	0	0	1	1	1	1	0	
$b_1$	0	0	1	1	1	1	0	1	
b <sub>2</sub>	0	0	0	1	0	0	0	0	

Identify a minimum-size subset of invisible variables which must be made visible in the next abstraction to avoid the above failure. Briefly explain why.

[Solution: The minimum-size subset is  $\{x_7\}$ . In fact, if  $x_7$  is made visible, then both  $d_1$ ,  $d_2$  are made different from both  $b_1$ ,  $b_2$ .]