

# Automated Reasoning and Formal Verification

## Module I: Automated Reasoning

### Ch. 04: Automata-Theoretic LTL Reasoning

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M.S. in Computer Science, Mathematics, & Artificial Intelligence Systems  
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- 2 The Automata-Theoretic Approach to LTL Reasoning
  - General Ideas
  - Language-Emptiness Checking of Büchi Automata
  - From Kripke Models to Büchi Automata
  - From LTL Formulas to Büchi Automata
  - Complexity
- 3 Exercises

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# Infinite Word Languages

## Modeling infinite computations of reactive systems

Given an **Alphabet**  $\Sigma$  (e.g.  $\Sigma \stackrel{\text{def}}{=} \{a, b\}$ )

- An  $\omega$ -word  $\alpha$  over  $\Sigma$  is an **infinite** sequence

$a_0, a_1, a_2 \dots$

Formally,  $\alpha : \mathbb{N} \rightarrow \Sigma$ .

- The set of all infinite words is denoted by  $\Sigma^\omega$ .
- A  $\omega$ -language  $L$  is collection of  $\omega$ -words, i.e.  $L \subseteq \Sigma^\omega$ .
- Example: All words over  $\{a, b\}$  with infinitely many  $a$ 's.

Notation:

**omega words**  $\alpha, \beta, \gamma \in \Sigma^\omega$ .

**omega-languages**  $L, L_1 \subseteq \Sigma^\omega$

For  $u \in \Sigma^+$ , let  $u^\omega = u.u.u \dots$

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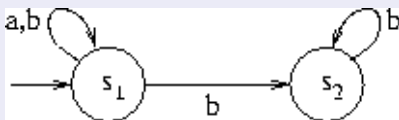
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# Omega-Automata

- We consider automaton running over infinite words.



- Let  $\alpha = aabbbb\dots$

There are several (infinite) possible runs.

Run  $\rho_1 = s_1, s_1, s_1, s_1, s_2, s_2 \dots$

Run  $\rho_2 = s_1, s_1, s_1, s_1, s_1, s_1 \dots$

- Acceptance Conditions: Büchi (Muller, Rabin, Street):  
Acceptance is based on states occurring infinitely often

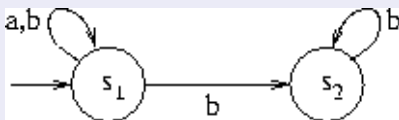
- Notation: Let  $Q$  be the set of states. Let  $\rho \in Q^\omega$ . Then,

$$\text{Inf}(\rho) = \{s \in Q \mid \exists^\infty i \in \mathbb{N}. \rho(i) = s\}.$$

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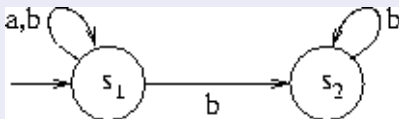
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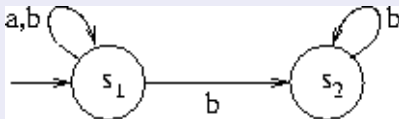
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# Büchi Automata

## Nondeterministic Büchi Automaton

- A **Nondeterministic Büchi Automaton (NBA)** is  $(Q, \Sigma, \delta, I, F)$  s.t.
  - $Q$  Finite set of states.
  - $\Sigma$  is a finite alphabet
  - $I \subseteq Q$  set of initial states.
  - $F \subseteq Q$  set of accepting states.
  - $\delta \subseteq Q \times \Sigma \times Q$  transition relation (edges).
- A **Deterministic Büchi Automaton (DBA)** is an NBA s.t. the transition relation is functional:  
 $\delta : Q \times \Sigma \mapsto Q$

## Runs and Language of NBAs

- A run  $\rho$  of  $A$  on  $\omega$ -word  $\alpha = a_0, a_1, a_2, \dots$  is an infinite sequence  $\rho = q_0, q_1, q_2, \dots$  s.t.  $q_0 \in I$  and  $q_i \xrightarrow{a_i} q_{i+1}$  for  $0 \leq i$ .
- The run  $\rho$  is accepting if  
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- The language accepted by  $A$   
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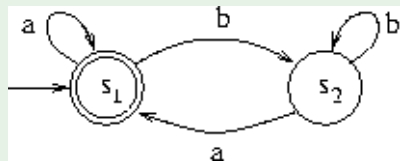
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# Büchi Automaton: Example

Let  $\Sigma = \{a, b\}$ .

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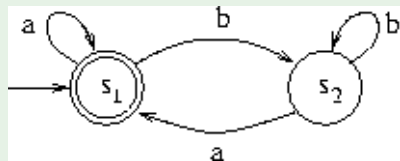


- With  $F = \{s_1\}$  the automaton recognizes words with infinitely many  $a$ 's.
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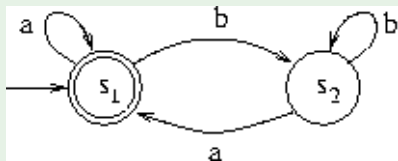


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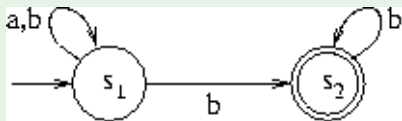
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## Büchi Automaton: Example (2)

Let a Nondeterministic Büchi Automaton (NBA)  $A_2$  be



With  $F = \{s_2\}$ , the automaton  $A_2$  recognizes words with finitely many  $a$ . Thus,  $\mathcal{L}(A_2) = \overline{\mathcal{L}(A_1)}$ .

# Deterministic vs. Nondeterministic Büchi Automata

## Theorem

*DBAs* are strictly less powerful than *NBAs*.

## Remark:

The subset construction of standard Final-State automata does not work!

Let  $DA_2$  be

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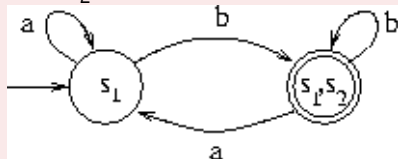
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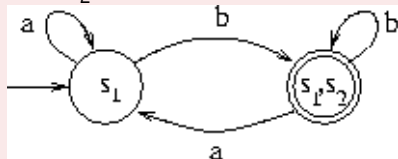
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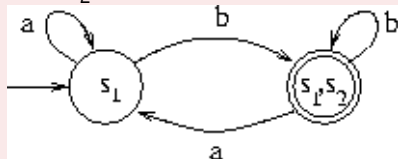
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# Closure Properties

## Theorem (union, intersection)

For the NBAs  $A_1, A_2$  we can construct

- the NBA  $A$  s.t.  $\mathcal{L}(A) = \mathcal{L}(A_1) \cup \mathcal{L}(A_2)$ .  $|A| = |A_1| + |A_2|$
- the NBA  $A$  s.t.  $\mathcal{L}(A) = \mathcal{L}(A_1) \cap \mathcal{L}(A_2)$ .  $|A| \leq |A_1| \cdot |A_2| \cdot 2$ .

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# Union of two NBAs

## Definition: union of NBAs

Let  $A_1 = (Q_1, \Sigma_1, \delta_1, I_1, F_1)$ ,  $A_2 = (Q_2, \Sigma_2, \delta_2, I_2, F_2)$ .

Then  $A = A_1 \cup A_2 = (Q, \Sigma, \delta, I, F)$  is defined as follows

- $Q := Q_1 \cup Q_2$ ,  $I := I_1 \cup I_2$ ,  $F := F_1 \cup F_2$
- $R(s, s') := \begin{cases} R_1(s, s') & \text{if } s \in Q_1 \\ R_2(s, s') & \text{if } s \in Q_2 \end{cases}$

## Theorem

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## Note

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## Theorem

- $\mathcal{L}(A) = \mathcal{L}(A_1) \cup \mathcal{L}(A_2)$
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$A$  is an automaton which just runs nondeterministically either  $A_1$  or  $A_2$   
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# Synchronous Product of NBAs

## Definition: synchronous product of NBAs

Let  $A_1 = (Q_1, \Sigma, \delta_1, I_1, F_1)$  and  $A_2 = (Q_2, \Sigma, \delta_2, I_2, F_2)$ .

Then,  $A_1 \times A_2 = (Q, \Sigma, \delta, I, F)$ , where

$$Q = Q_1 \times Q_2 \times \{1, 2\}.$$

$$I = I_1 \times I_2 \times \{1\}.$$

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$\langle p, q, 1 \rangle \xrightarrow{a} \langle p', q', 1 \rangle$  iff  $p \xrightarrow{a} p'$  and  $q \xrightarrow{a} q'$  and  $p \notin F_1$ .

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## Theorem

- $L(A_1 \times A_2) = L(A_1) \cap L(A_2)$ .
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# Synchronous Product of NBAs: Intuition

- The automaton remembers two tracks, one for each source NBA, and it points to one of the two tracks
- As soon as it goes through an accepting state of the current track, it switches to the other track

⇒ to visit infinitely often a state in  $F$  (i.e.,  $F_1$ ), it must visit infinitely often some state also in  $F_2$

- Important subcase: If  $F_2 = Q_2$ , then

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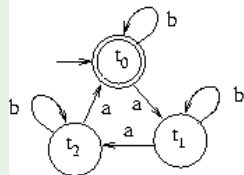
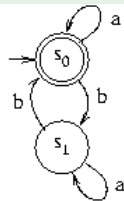
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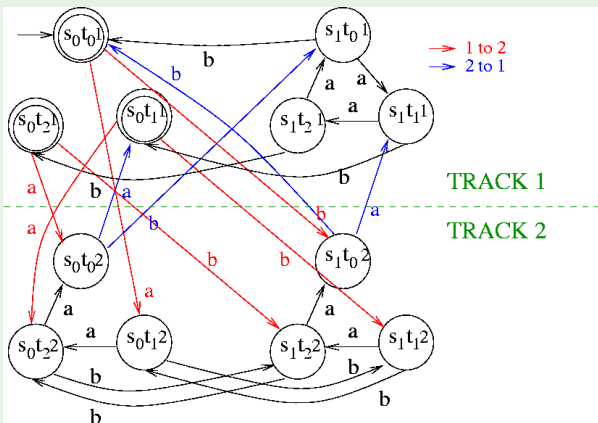
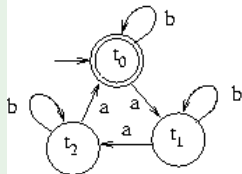
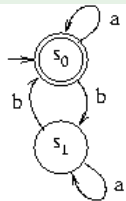
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# Synchronous Product of NBAs: Example





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## Closure Properties (2)

Theorem (complementation) [Safra, MacNaughten]

For the NBA  $A_1$  we can construct an NBA  $A_2$  such that  $\mathcal{L}(A_2) = \overline{\mathcal{L}(A_1)}$ .

$$|A_2| = O(2^{|A_1| \cdot \log(|A_1|)}).$$

Method: (hint)

- (i) convert a Büchi automaton into a Non-Deterministic Rabin automaton
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# Generalized Büchi Automaton

## Definition

- A **Generalized Büchi Automaton** is a tuple  $A := (Q, \Sigma, \delta, I, FT)$  where  $FT = \langle F_1, F_2, \dots, F_k \rangle$  with  $F_i \subseteq Q$ .
- A run  $\rho$  of  $A$  is accepting if  $\text{Inf}(\rho) \cap F_i \neq \emptyset$  for each  $1 \leq i \leq k$ .

## Theorem

For every Generalized Büchi Automaton we can construct a language equivalent plain Büchi Automaton.

## Intuition

Let  $Q' = Q \times \{1, \dots, K\}$ .

The automaton remains in phase  $i$  till it visits a state in  $F_i$ . Then, it moves to  $(i \bmod K) + 1$  mode.

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# De-generalization of a generalized NBA

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Then a language-equivalent BA  $A' \stackrel{\text{def}}{=} (Q', \Sigma, \delta', I', F')$  is built as follows

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$\delta'$  is s.t., for every  $i \in [1, \dots, K]$ :

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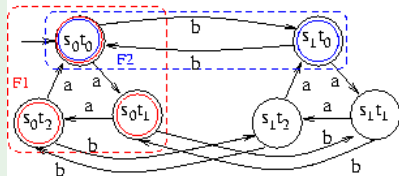
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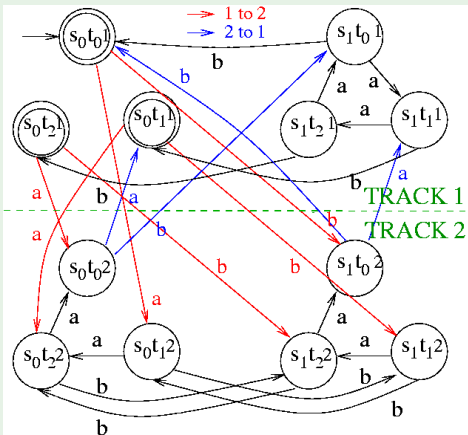
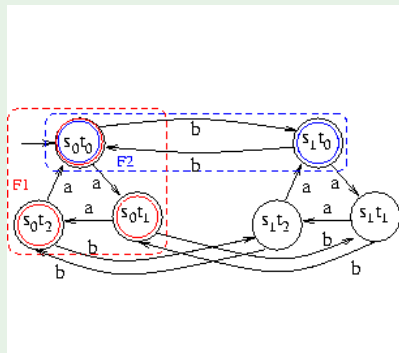
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# Omega-regular Expressions

## Recall:

A finite-word language is called **regular** if it is recognizable by some Finite-State-Automaton (FSA).

## Definition

An infinite-word language is called  **$\omega$ -regular** if it has the form  $\cup_{i=1}^n U_i \cdot (V_i)^\omega$  where  $U_i, V_i$  are regular languages.

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A language  $L$  is  $\omega$ -regular iff it is NBA-recognizable.

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- 2 The Automata-Theoretic Approach to LTL Reasoning**
  - General Ideas
  - Language-Emptiness Checking of Büchi Automata
  - From Kripke Models to Büchi Automata
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# Automata-Theoretic LTL Satisfiability and Entailment

## LTL Validity/Satisfiability

- Let  $\psi$  be an LTL formula

$$\models \psi \quad (\text{LTL})$$

$$\iff \neg\psi \text{ unsat}$$

$$\iff \mathcal{L}(A_{\neg\psi}) = \emptyset$$

- $A_{\neg\psi}$  is a Büchi Automaton which represents all and only the paths that satisfy  $\neg\psi$   
(do not satisfy  $\psi$ )

## LTL Entailment

- Let  $\varphi, \psi$  be an LTL formula

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Two steps for checking  $\models \psi$  [resp.  $\varphi \models \psi$ ]

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# Automata-Theoretic LTL Model Checking

## LTL Model Checking

- Let  $M$  be a Kripke model and  $\psi$  be an LTL formula

$$M \models \psi \quad (\text{LTL})$$

$$\iff \mathcal{L}(M) \subseteq \mathcal{L}(\psi)$$

$$\iff \mathcal{L}(M) \cap \mathcal{L}(\psi) = \mathcal{L}(M)$$

$$\iff \mathcal{L}(M) \cap \mathcal{L}(\neg\psi) = \emptyset$$

$$\iff \mathcal{L}(A_M) \cap \mathcal{L}(A_{\neg\psi}) = \emptyset$$

$$\iff \mathcal{L}(A_M \times A_{\neg\psi}) = \emptyset$$

- $A_M$  is a Büchi Automaton equivalent to  $M$  (which represents all and only the executions of  $M$ )

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# Automata-Theoretic LTL Model Checking

## LTL Model Checking

- Let  $M$  be a Kripke model and  $\psi$  be an LTL formula

$$M \models \psi \quad (\text{LTL})$$

$$\iff \mathcal{L}(M) \subseteq \mathcal{L}(\psi)$$

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# Automata-Theoretic LTL Model Checking

## Four steps

Let  $\varphi \stackrel{\text{def}}{=} \neg\psi$ :

- (i) Compute  $A_M$
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- 2 The Automata-Theoretic Approach to LTL Reasoning**
  - General Ideas
  - Language-Emptiness Checking of Büchi Automata**
  - From Kripke Models to Büchi Automata
  - From LTL Formulas to Büchi Automata
  - Complexity
- 3 Exercises

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- Two nested DFSs
    - DFS1 finds the accepting states  $f$  reachable from an initial state
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    - T1: reachable states
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- DFS1 invokes DFS2 on each  $f_i$  only after popping it (postorder)
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## (Smart) Double Nested DFS - First DFS

```
// returns True if empty language, false otherwise
Bool DFS1(NBA A) {
    stack S1=I; stack S2=∅;
    Hashtable T1=I; Hashtable T2=∅;
    while S1!=∅ {
        v=top(S1);
        if ∃w s.t. w∈δ(v) && T1(w)==0 {
            hash(w,T1);
            push(w,S1);
        } else {
            pop(S1);
            if (v∈F && !DFS2(v,S2,T2,A)) //test after popping!
                return False;
        }
    }
    return True;
}
```

## (Smart) Double Nested DFS - Second DFS

```
Bool DFS2(state f, stack & S, Hashtable & T, NBA A) {
    hash(f, T);
    S = {f}
    while S !=  $\emptyset$  {
        v=top(S);
        if  $f \in \delta(v)$  return False;
        if  $\exists w$  s.t.  $w \in \delta(v)$  && T(w) == 0 {
            hash(w);
            push(w);
        } else pop(S);
    }
    return True;
}
```

Remark: T passed by reference (or static)  $\implies$  is not reset at each call of DFS2 !

## Double nested DFS: Intuition

DFS1 invokes DFS2 on each  $f_1, \dots, f_n$  only after popping it (postorder):

- suppose  $DFS2$  is invoked on  $f_j$  earlier than on  $f_i$

⇒  $f_i$  not reachable from (any state  $s$  which is reachable from)  $f_j$

- If during  $DFS2(f_j, \dots)$  it is encountered a state  $S$  which has already been explored by  $DFS2(f_j, \dots)$  for some  $f_j$ ,
  - can we reach  $f_i$  from  $S$ ?
  - No, because  $f_i$  is not reachable from  $f_j$ !

⇒ It is safe to backtrack!

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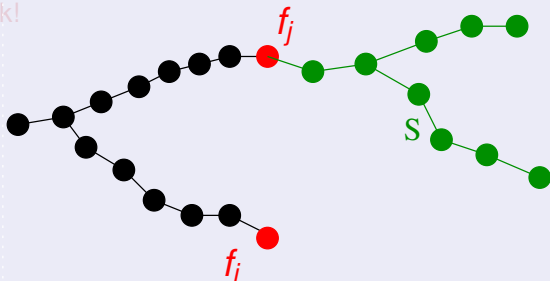
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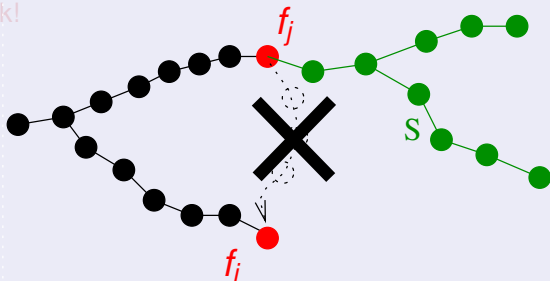
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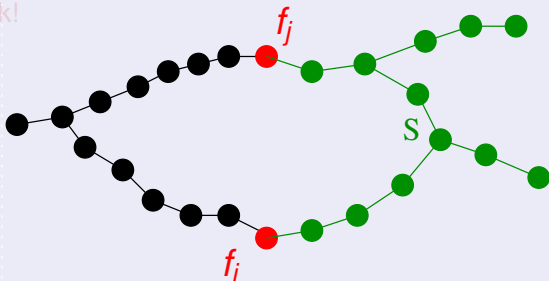
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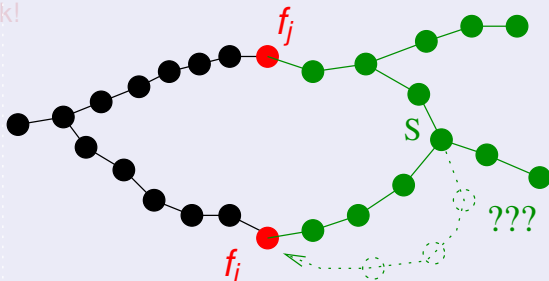
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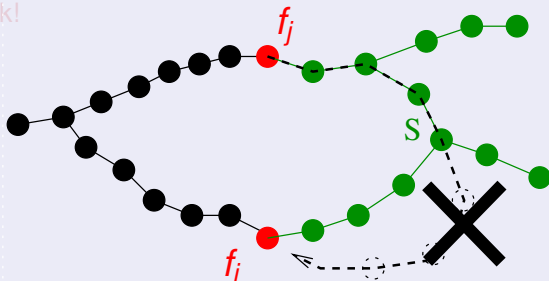
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  - can we reach  $f_i$  from  $S$ ?
  - No, because  $f_i$  is not reachable from  $f_j$ !

⇒ It is safe to backtrack!



# Double nested DFS: Intuition

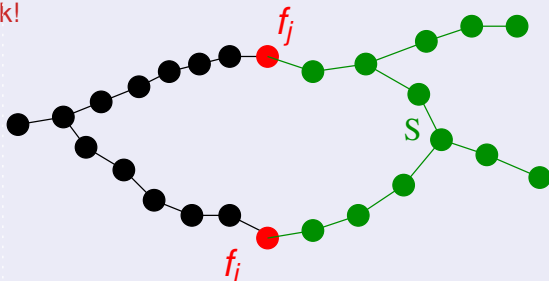
DFS1 invokes DFS2 on each  $f_1, \dots, f_n$  only after popping it (postorder):

- suppose  $DFS2$  is invoked on  $f_j$  earlier than on  $f_i$

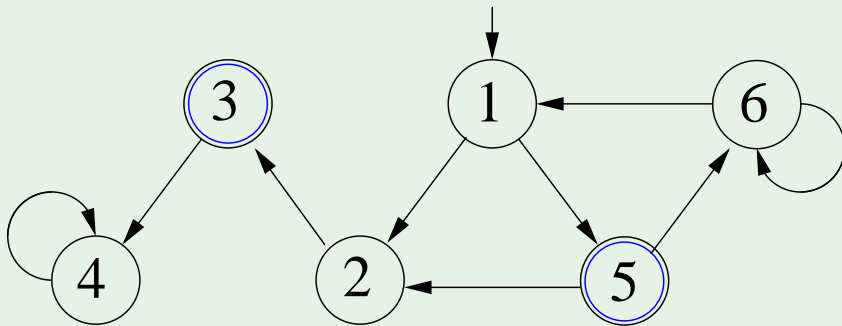
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# (Smart) Double Nested DFS: example



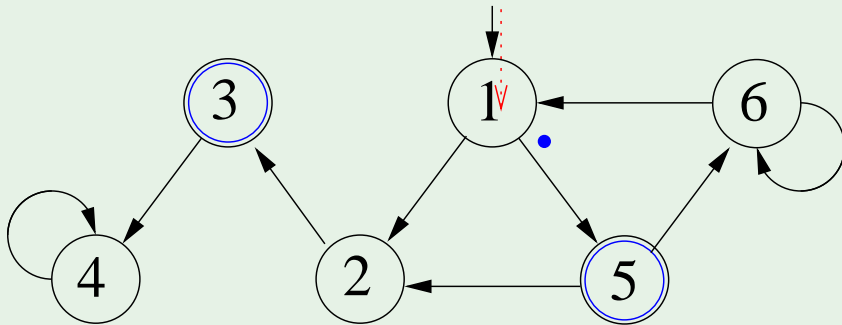
T1

S1

T2

S2

# (Smart) Double Nested DFS: example



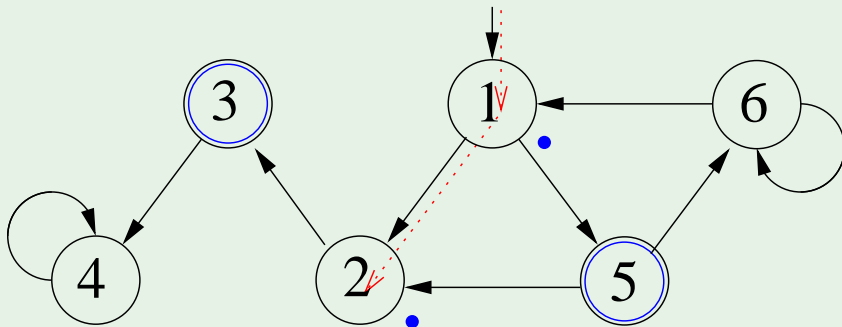
T1 1

S1 1

T2

S2

# (Smart) Double Nested DFS: example



T1 12

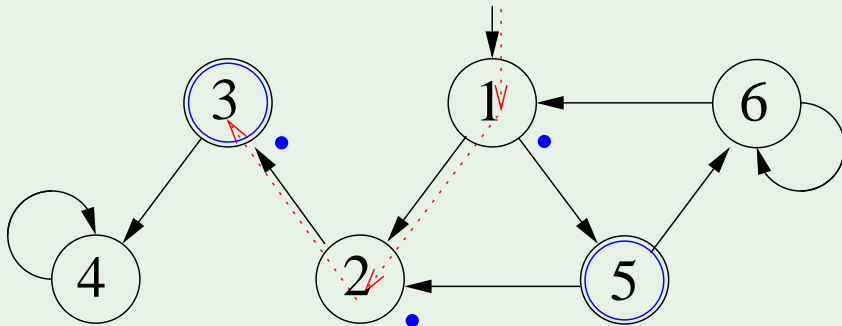
S1 12

T2

S2



# (Smart) Double Nested DFS: example



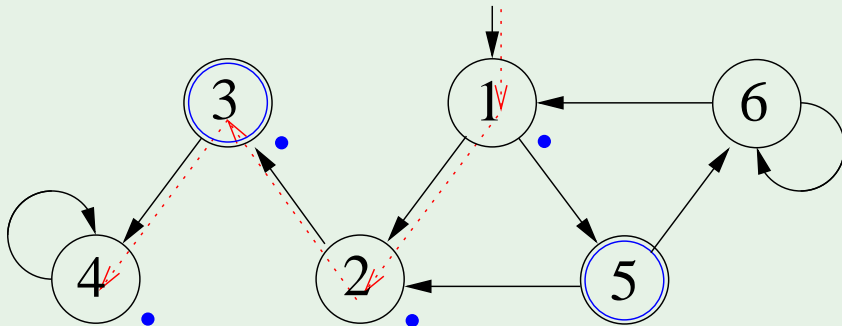
T1 1 2 3

S1 1 2 3

T2

S2

# (Smart) Double Nested DFS: example



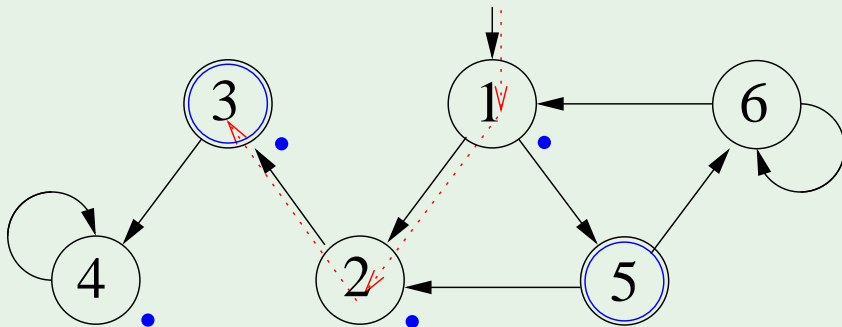
T1 1 2 3 4

S1 1 2 3 4

T2

S2

# (Smart) Double Nested DFS: example



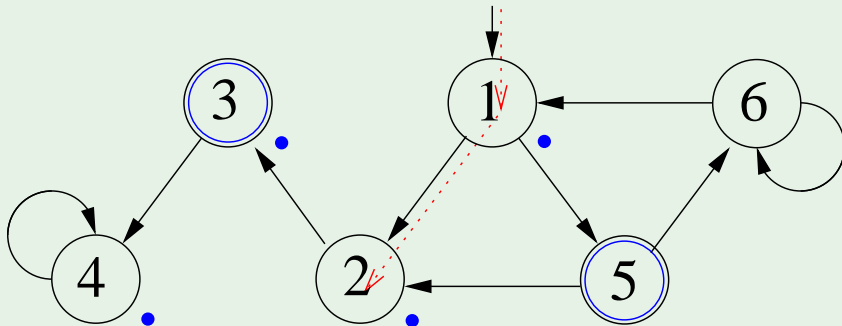
T1 1 2 3 4

S1 1 2 3

T2

S2

# (Smart) Double Nested DFS: example



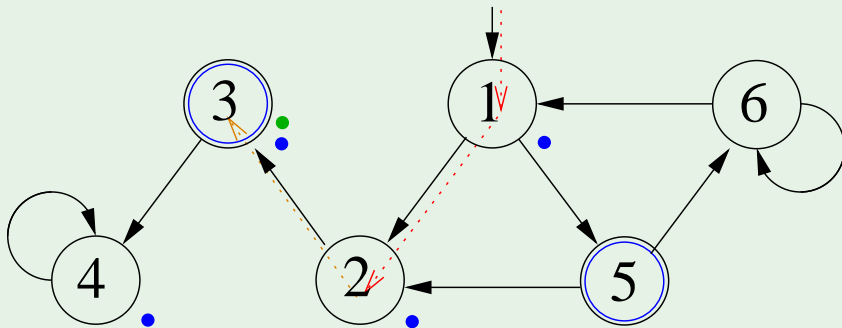
T1 1 2 3 4

T2

S1 1 2

S2

# (Smart) Double Nested DFS: example



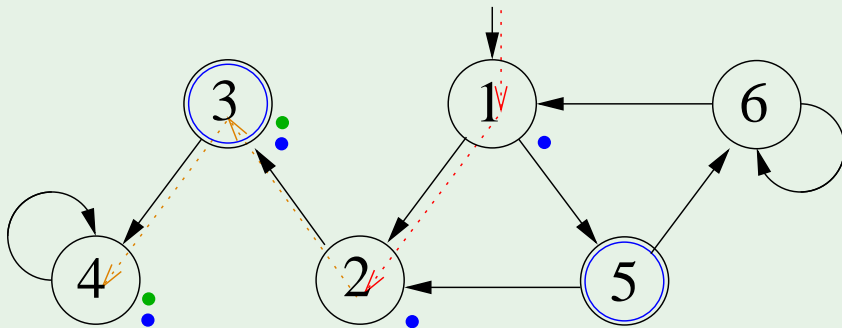
T1 1 2 3 4

S1 1 2

T2 3

S2 3

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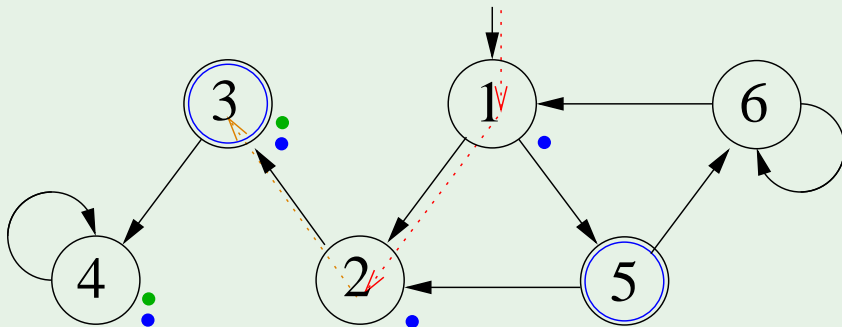
T1 1 2 3 4

S1 1 2

T2 3 4

S2 3 4

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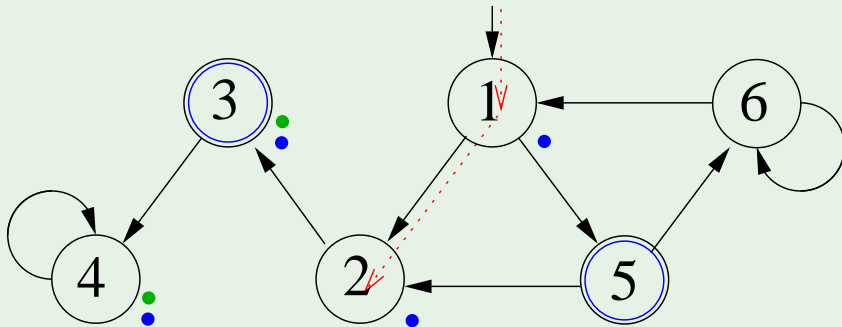
T1 1 2 3 4

S1 1 2

T2 3 4

S2 3

# (Smart) Double Nested DFS: example



T1 1 2 3 4

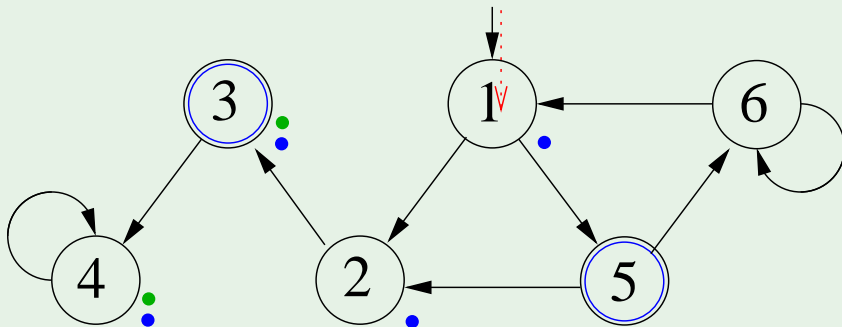
S1 1 2

T2 3 4

S2



# (Smart) Double Nested DFS: example



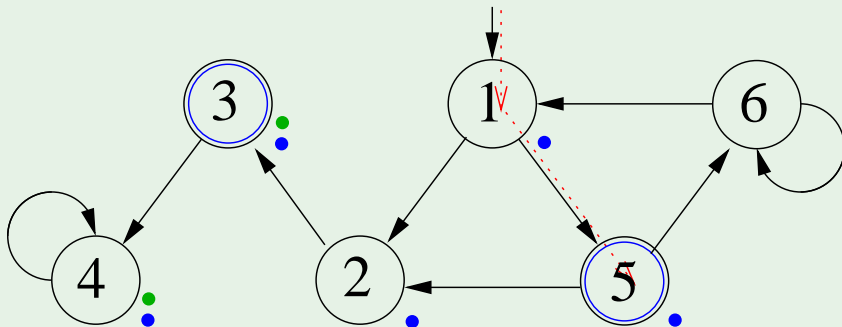
T1 1 2 3 4

T2 3 4

S1 1

S2

# (Smart) Double Nested DFS: example



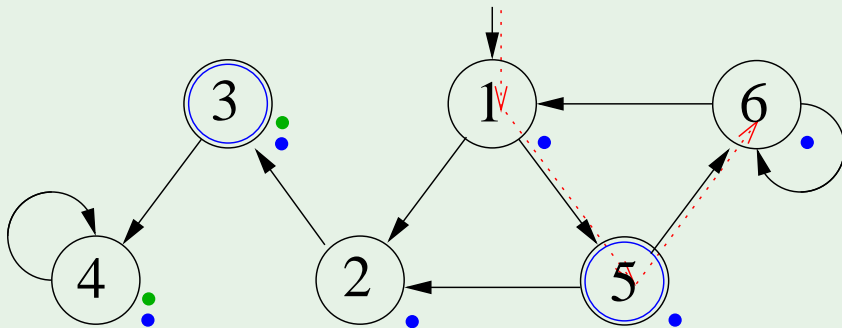
T1 12345

T2 34

S1 15

S2

# (Smart) Double Nested DFS: example



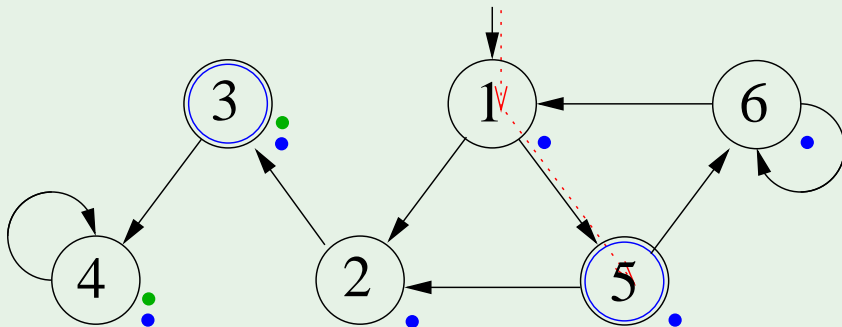
T1 1 2 3 4 5 6

T2 3 4

S1 1 5 6

S2

# (Smart) Double Nested DFS: example



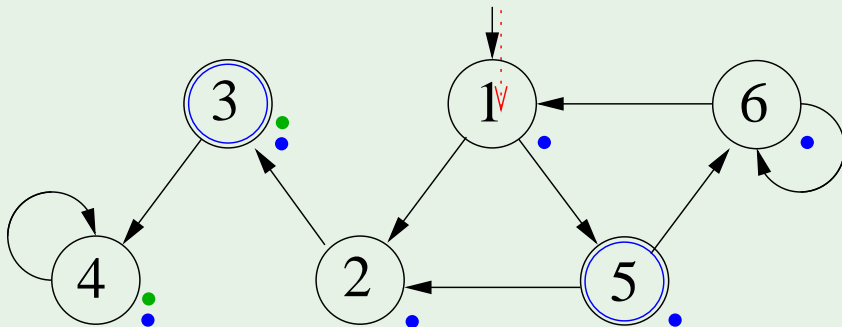
T1 1 2 3 4 5 6

T2 3 4

S1 1 5

S2

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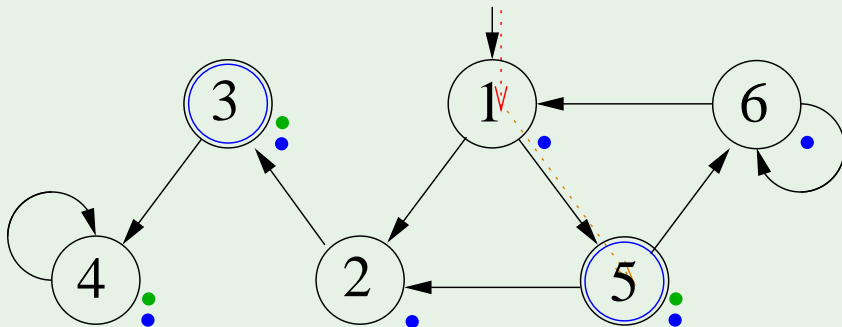
T1 1 2 3 4 5 6

T2 3 4

S1 1

S2

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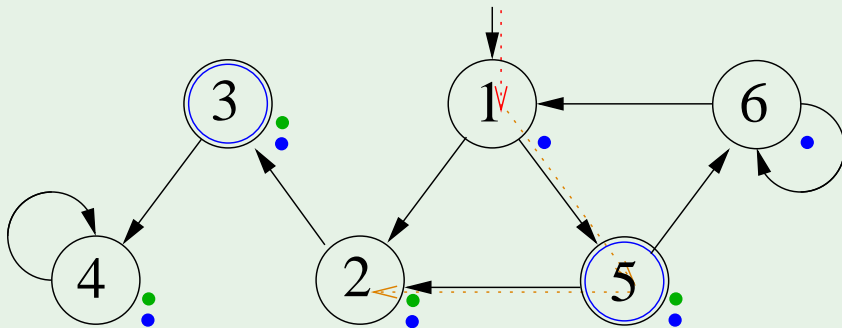
T1 1 2 3 4 5 6

T2 3 4 5

S1 1

S2 5

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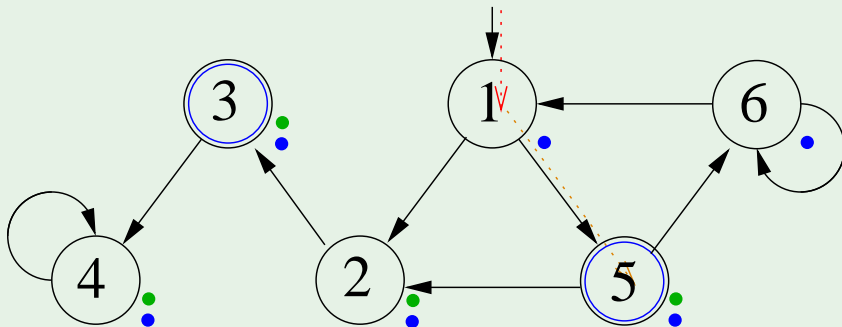
T1 1 2 3 4 5 6

T2 3 4 5 2

S1 1

S2 5 2

# (Smart) Double Nested DFS: example



T1 1 2 3 4 5 6

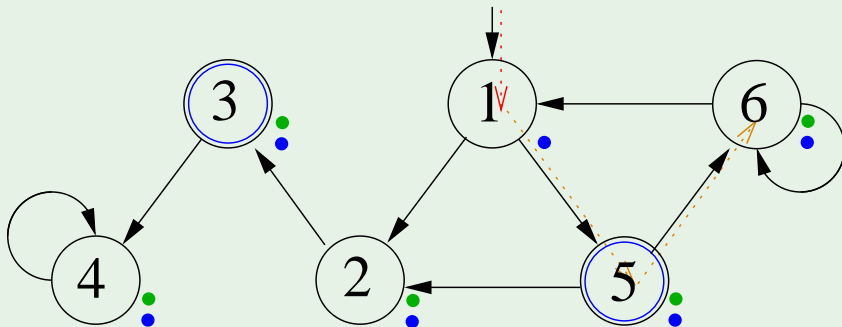
T2 3 4 5 2

S1 1

S2 5



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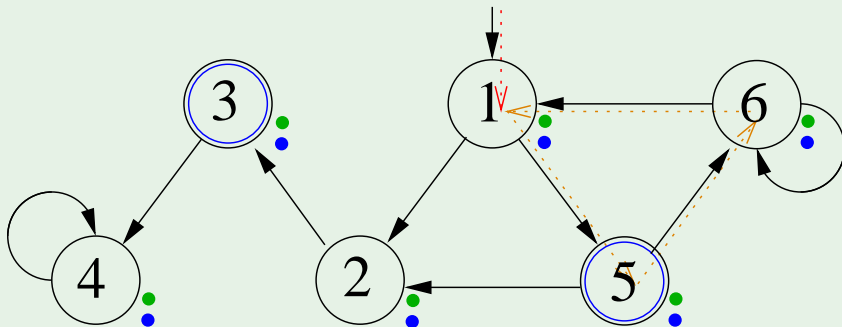
T1 1 2 3 4 5 6

T2 3 4 5 2 6

S1 1

S2 5 6

# (Smart) Double Nested DFS: example



T1 1 2 3 4 5 6

S1 1

T2 3 4 5 2 6 1

S2 5 6 1

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- 3 Exercises

# Computing an NBA $A_M$ from a Kripke Structure $M$

- Transform a Kripke model  $M = \langle S, S_0, R, L, AP \rangle$  into an NBA  $A_M = \langle Q, \Sigma, \delta, I, F \rangle$  s.t.:

- States:  $Q := S \cup \{init\}$ ,  $init$  being a new initial state
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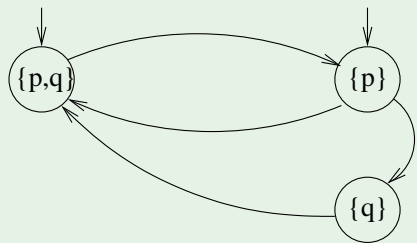
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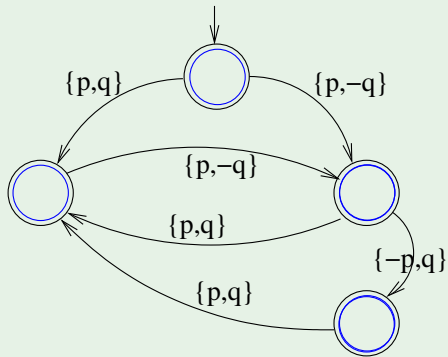
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# Computing a NBA $A_M$ from a Kripke Structure $M$ : Example



Kripke Structure



Buechi Automaton

$\implies$  Substantially:

1. add one initial state,
2. move labels from states to incoming edges,
3. set all states as accepting states

## Labels on Kripke Structures and BA's - Remark

Note that the labels of a Büchi Automaton are different from the labels of a Kripke Structure. Also graphically, they are interpreted differently:



- in a Kripke Structure, it means that  $p$  is true and all other propositions are false;
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# Translation problem

## Problem

Given an LTL formula  $\phi$ , find a Büchi Automaton that accepts the same language of  $\phi$ .

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# LTL Negative Normal Form (NNF)

- Every LTL formula  $\varphi$  can be written into an equivalent formula  $\varphi'$  using only the operators  $\wedge$ ,  $\vee$ , **X**, **U**, **R** on propositional literals.

- Done by pushing negations down to literal level:

$$\begin{aligned}\neg\neg\varphi_1 &\implies \varphi_1 \\ \neg(\varphi_1 \vee \varphi_2) &\implies (\neg\varphi_1 \wedge \neg\varphi_2) \\ \neg(\varphi_1 \wedge \varphi_2) &\implies (\neg\varphi_1 \vee \neg\varphi_2) \\ \neg\mathbf{X}\varphi_1 &\implies \mathbf{X}\neg\varphi_1 \\ \neg(\varphi_1 \mathbf{U}\varphi_2) &\implies (\neg\varphi_1 \mathbf{R}\neg\varphi_2) \\ \neg(\varphi_1 \mathbf{R}\varphi_2) &\implies (\neg\varphi_1 \mathbf{U}\neg\varphi_2)\end{aligned}$$

$\implies$  The resulting formula is expressed in terms of  $\vee$ ,  $\wedge$ , **X**, **U**, **R** and literals (Negative Normal Form, NNF).

- the encoding is linear if a DAG representation is used
- In the construction of  $A_\varphi$  we now assume that  $\varphi$  is in NNF.  
 $\implies$  every non-atomic subformula occurs positively in  $\varphi$
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# On-the-fly Construction of $A_\varphi$ (Intuition)

(Implicitly) Apply recursively the following steps:

**Step 1:** Apply the tableau expansion rules to  $\varphi$ :

$\psi_1 \mathbf{U} \psi_2 \implies \psi_2 \vee (\psi_1 \wedge \mathbf{X}(\psi_1 \mathbf{U} \psi_2))$  [and  $\mathbf{F}\psi \implies \psi \vee \mathbf{X}\mathbf{F}\psi$ ]

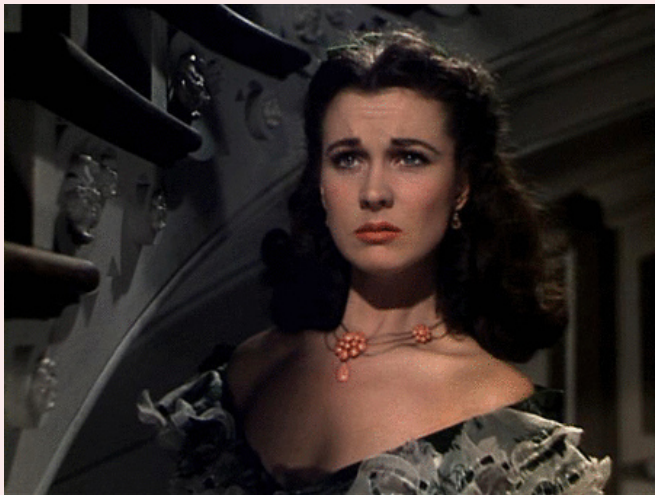
$\psi_1 \mathbf{R} \psi_2 \implies \psi_2 \wedge (\psi_1 \vee \mathbf{X}(\psi_1 \mathbf{R} \psi_2))$  [and  $\mathbf{G}\psi \implies \psi \wedge \mathbf{X}\mathbf{G}\psi$ ]

until we get a Boolean combination of **elementary subformulas** of  $\varphi$

(An elementary formula is a proposition or a  $\mathbf{X}$ -formula.)



## Tableaux Rules: a Quote



*"After all... tomorrow is another day."  
[Scarlett O'Hara, "Gone with the Wind"]*

# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

**Step 2:** Convert all formulas into **Disjunctive Normal Form**, by:

- (i) applying recursively the **DeMorgan rule**:  $\varphi_1 \wedge (\varphi_2 \vee \varphi_3) \implies (\varphi_1 \wedge \varphi_2) \vee (\varphi_1 \wedge \varphi_3)$ , and then
- (ii) pushing the conjunctions inside the next operator:

$$\varphi \xrightarrow{(i)} \bigvee_i (\bigwedge_j l_{ij} \wedge \mathbf{X} \bigwedge_k \psi_{ik}) \xrightarrow{(ii)} \bigvee_i (\bigwedge_j l_{ij} \wedge \mathbf{X} \bigwedge_k \psi_{ik}).$$

- Each disjunct  $(\overbrace{\bigwedge_j l_{ij}}^{\text{labels}} \wedge \mathbf{X} \overbrace{\bigwedge_k \psi_{ik}}^{\text{next part}})$  represents a state:

- the conjunction of literals  $\bigwedge_j l_{ij}$  represents a set of labels in  $\Sigma$   
(e.g., if  $\text{Vars}(\varphi) = \{p, q, r\}$ ,  $p \wedge \neg q$  represents the two labels  $\{p, \neg q, r\}$  and  $\{p, \neg q, \neg r\}$ )
- $\mathbf{X} \bigwedge_k \psi_{ik}$  represents the next part of the state  
(obligations for the successors)

- N.B., if no next part occurs,  $\mathbf{X}\top$  is implicitly assumed

# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

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# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

**Step 3:** For every state  $S_i$  represented by  $(\bigwedge_j l_{ij} \wedge \mathbf{X} \overbrace{\bigwedge_k \psi_{ik}}^{\varphi_i})$

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- mark that the state  $S_i$  satisfies  $\varphi$
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  - rewrite  $\varphi_i$  into  $\bigvee_{i'j'} (\bigwedge_j l'_{i'j} \wedge \mathbf{X} \bigwedge_k \psi'_{i'k})$
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# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

$\varphi$  ??



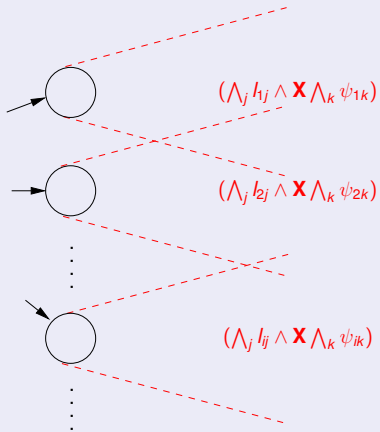
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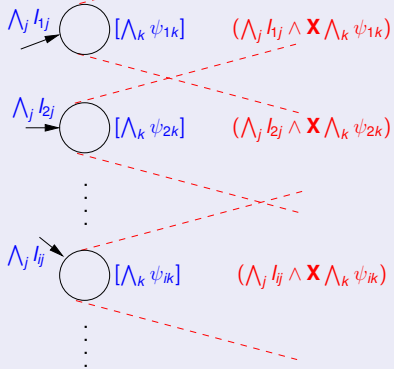
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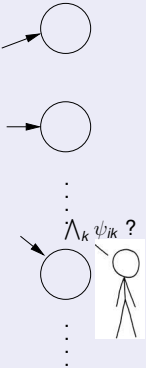


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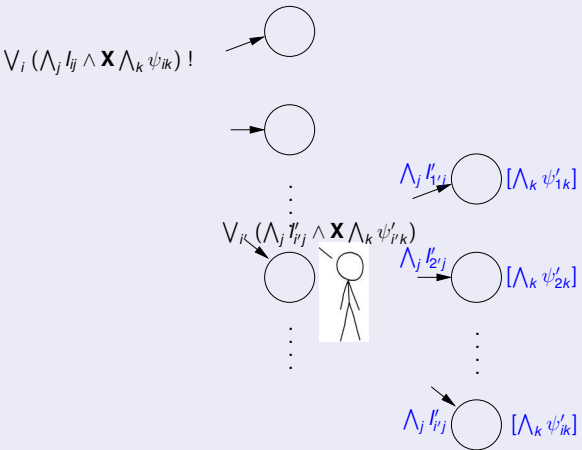


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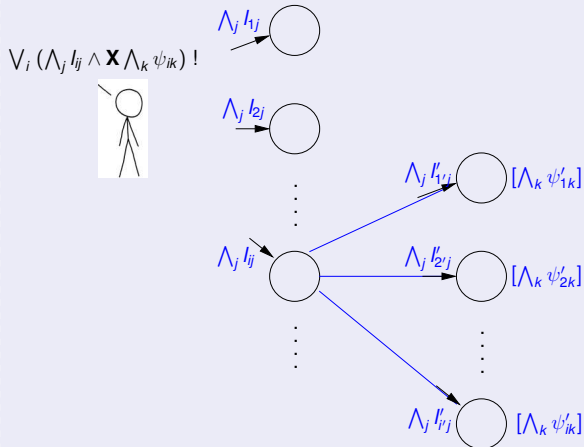




# On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]



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## On-the-fly Construction of $A_\varphi$ (Intuition) [cont.]

When the recursive applications of steps 1-3 has terminated and the automata graph has been built, then apply the following:

**Step 4:** For every  $\psi_i \mathbf{U} \varphi_i$ , for every state  $q_j$ , mark  $q_j$  with  $F_i$  iff  $(\psi_i \mathbf{U} \varphi_i) \notin q_j$  or  $\varphi_i \in q_j$   
(If there is no  $\mathbf{U}$ -subformulas, then mark all states with  $F_1$  —i.e.,  $FT \stackrel{\text{def}}{=} \{Q\}$ ).

### Remark

The fact that we initially converted the formula into NNF guarantees that only original positive  $\mathbf{U}/\mathbf{F}$ -subformulas and negative  $\mathbf{R}/\mathbf{G}$ -subformulas are considered in step 4

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# Dealing with **U**-subformulas: Intuition

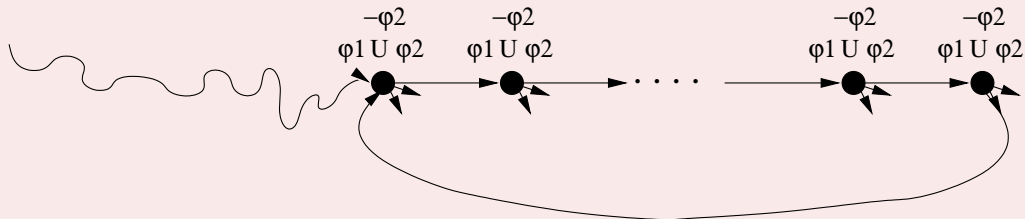
- Tableaux rules:  $\varphi_1 \mathbf{U} \varphi_2 \iff (\varphi_2 \vee (\varphi_1 \wedge \mathbf{X} \varphi_1 \mathbf{U} \varphi_2))$   
are a **property**, not a **definition** of **U**:  
 $\implies$  they implicitly admit a “weaker” semantics of  $\varphi_1 \mathbf{U} \varphi_2$ , in which  $\varphi_1 \mathbf{U} \varphi_2$  always holds and  $\varphi_2$  never holds
- It cannot happen that we get into a state  $s'$  from which we can enter a path  $\pi'$  in which  $\varphi_1 \mathbf{U} \varphi_2$  holds forever and  $\varphi_2$  never holds.

$\implies$  every legal path must touch infinitely often a state where  $\neg(\varphi_1 \mathbf{U} \varphi_2) \vee \varphi_2$  holds

- In LTL:  $\neg \mathbf{FG}((\varphi_1 \mathbf{U} \varphi_2) \wedge \neg \varphi_2)$ , i.e.,  $\mathbf{GF}(\neg(\varphi_1 \mathbf{U} \varphi_2) \vee \varphi_2)$  (“avoid bad loops”)

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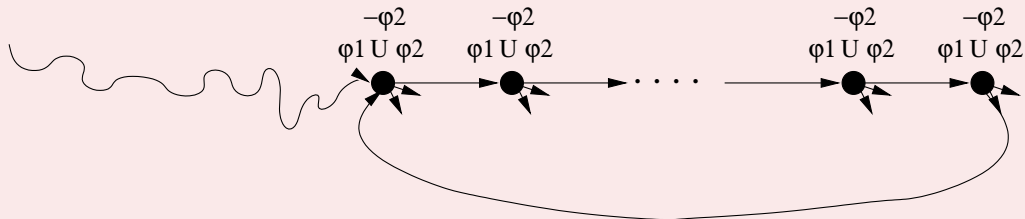


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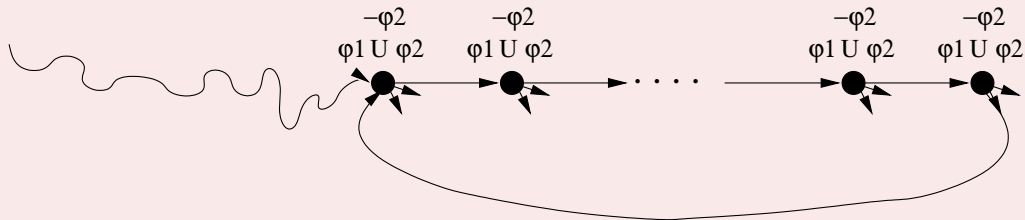


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# On-the-fly Construction of $A_\varphi$ - State

- Henceforth, a state is represented by a tuple  $s := \langle \lambda, \chi, \sigma \rangle$  where:
  - $\lambda$  is the set of labels
  - $\chi$  is the next part, i.e. the set of  $X$ -formulas satisfied by  $s$
  - $\sigma$  is the set of the subformulas of  $\varphi$  satisfied by  $s$  (necessary for the fairness definition)
- Given a set of LTL formulas  $\Psi \stackrel{\text{def}}{=} \{\psi_1, \dots, \psi_k\}$ , we define  $Cover(\Psi) \stackrel{\text{def}}{=} Expand(\Psi, \langle \emptyset, \emptyset, \emptyset \rangle)$  to be the set of initial states of the Buchi automaton representing  $\bigwedge_j \psi_j$ .
  - $Expand(\Psi, s)$  takes as input:
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- Henceforth, a state is represented by a tuple  $s := \langle \lambda, \chi, \sigma \rangle$  where:
  - $\lambda$  is the set of labels
  - $\chi$  is the next part, i.e. the set of  $X$ -formulas satisfied by  $s$
  - $\sigma$  is the set of the subformulas of  $\varphi$  satisfied by  $s$  (necessary for the fairness definition)
- Given a set of LTL formulas  $\Psi \stackrel{\text{def}}{=} \{\psi_1, \dots, \psi_k\}$ , we define  $Cover(\Psi) \stackrel{\text{def}}{=} Expand(\Psi, \langle \emptyset, \emptyset, \emptyset \rangle)$  to be the set of initial states of the Buchi automaton representing  $\bigwedge_j \psi_j$ .
  - $Expand(\Psi, s)$  takes as input:
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(split  $s$  into two copies and process  $\psi_1$  on the first,  $\psi_2$  on the second, add  $\psi_1 \mathbf{R} \psi_2$  to  $\sigma$ )

# On-the-fly Construction of $A_\varphi$ - Expand

Two relevant subcases:  $\mathbf{F}\psi \stackrel{\text{def}}{=} \top \mathbf{U}\psi$  and  $\mathbf{G}\psi \stackrel{\text{def}}{=} \perp \mathbf{R}\psi$

- if  $\mathbf{F}\psi \in \Psi$  and  $s = \langle \lambda, \chi, \sigma \rangle$ ,

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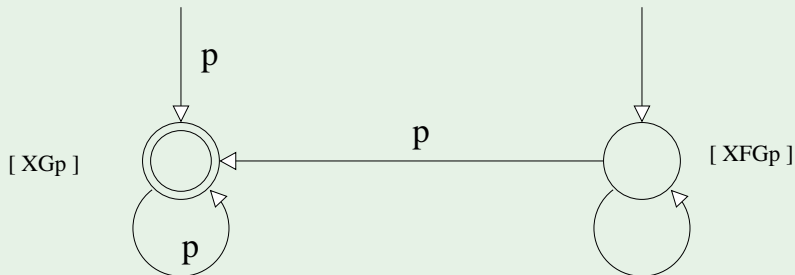
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## Example: $\varphi = \mathbf{FG}p$

- $Cover(\{\mathbf{FG}p\})$   
=  $Expand(\{\mathbf{FG}p\}, \langle \emptyset, \emptyset, \emptyset \rangle)$   
=  $Expand(\emptyset, \langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle) \cup Expand(\{\mathbf{G}p\}, \langle \emptyset, \emptyset, \{\mathbf{FG}p\} \rangle)$   
=  $\{\langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle\} \cup Expand(\{p\}, \langle \emptyset, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p\} \rangle)$   
=  $\{\langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle\} \cup Expand(\emptyset, \langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p, p\} \rangle)$   
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=  $\{\langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{G}p, p\} \rangle\}$
- Optimization:  
merge  $\langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p, p\} \rangle$  and  $\langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{G}p, p\} \rangle$

## Example: $\varphi = \mathbf{FG}p$

- Call  $s_1 = \langle \emptyset, \{\mathbf{FG}p\}, \{\mathbf{FG}p\} \rangle$ ,  $s_2 = \langle \{p\}, \{\mathbf{G}p\}, \{\mathbf{FG}p, \mathbf{G}p, p\} \rangle$
- $Q = \{s_1, s_2\}$
- $Q_0 = \{s_1, s_2\}$ .
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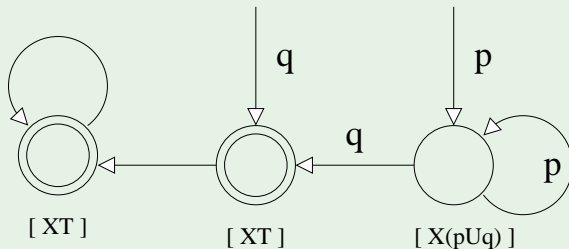


## Example: $\varphi = p \mathbf{U} q$

- $Cover(\{p \mathbf{U} q\})$ 
  - $= Expand(\{p \mathbf{U} q\}, \langle \emptyset, \emptyset, \emptyset \rangle)$
  - $= Expand(\{p\}, \langle \emptyset, \{p \mathbf{U} q\}, \{p \mathbf{U} q\} \rangle) \cup Expand(\{q\}, \langle \emptyset, \emptyset, \{p \mathbf{U} q\} \rangle)$
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  - $= \{ \langle \{p\}, \{p \mathbf{U} q\}, \{p \mathbf{U} q, p\} \rangle \} \cup \{ \langle \{q\}, \{T\}, \{p \mathbf{U} q, q\} \rangle \}$
- $Cover(\{T\}) = \{ \langle \emptyset, \{T\}, \{T\} \rangle \}$

## Example: $\varphi = pUq$

- Let  $s_1 =_{def} \langle \{p\}, \{pUq\}, \{pUq, p\} \rangle$ ,  $s_2 =_{def} \langle \{q\}, \{T\}, \{pUq, q\} \rangle$ ,  $s_3 =_{def} \langle \emptyset, \{T\}, \{T\} \rangle$ .
- $Q = \{s_1, s_2, s_3\}$ ,
- $Q_0 = \{s_1, s_2\}$ ,
- $T$ :  $s_1 \rightarrow \{s_1, s_2\}$ ,  
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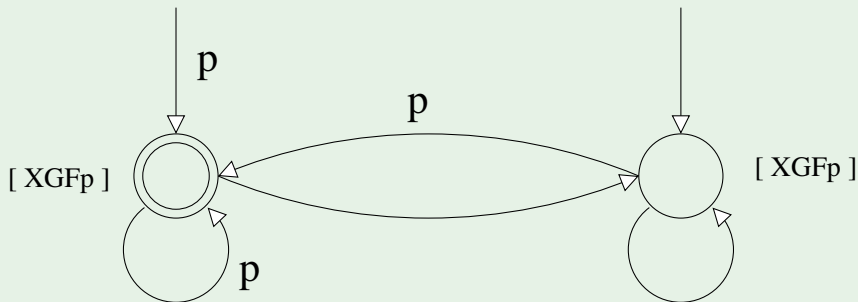
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$$\begin{aligned} \text{Cover}(\{\mathbf{GF}p\}) &= \text{Expand}(\{\mathbf{GF}p\}, \langle \emptyset, \emptyset, \emptyset \rangle) \\ &= \text{Expand}(\{\mathbf{F}p\}, \langle \emptyset, \{\mathbf{GF}p\}, \{\mathbf{GF}p\} \rangle) \\ &= \text{Expand}(\{\}, \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle) \cup \text{Expand}(\{p\}, \langle \{\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle) \\ &= \text{Expand}(\{\}, \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle) \cup \text{Expand}(\{\}, \langle \{p\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p, p\} \rangle) \\ &= \{ \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle \} \cup \{ \langle \{p\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p, p\} \rangle \} \end{aligned}$$

Note:  $\mathbf{GF}p \wedge \mathbf{F}p \iff \mathbf{GF}p$ , s.t.  $\text{Cover}(\mathbf{GF}p \wedge \mathbf{F}p) = \text{Cover}(\mathbf{GF}p)$

## Example: $\mathbf{GF}p$

- Let  $s_1 =_{\text{def}} \langle \{p\}, \{\mathbf{GF}p\}, \{\mathbf{GF}p, \mathbf{F}p, p\} \rangle$ ,  $s_2 =_{\text{def}} \langle \emptyset, \{\mathbf{GF}p, \mathbf{F}p\}, \{\mathbf{GF}p, \mathbf{F}p\} \rangle$ ,
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# NBAs of disjunctions of formulas

## Remark

If  $\varphi \stackrel{\text{def}}{=} (\varphi_1 \vee \varphi_2)$  and  $A_{\varphi_1}, A_{\varphi_2}$  are NBAs encoding  $\varphi_1$  and  $\varphi_2$  resp., then  $\mathcal{L}(\varphi) = \mathcal{L}(\varphi_1) \cup \mathcal{L}(\varphi_2)$ , so that  $A_{\varphi} \stackrel{\text{def}}{=} A_{\varphi_1} \cup A_{\varphi_2}$  is an NBA encoding  $\varphi$

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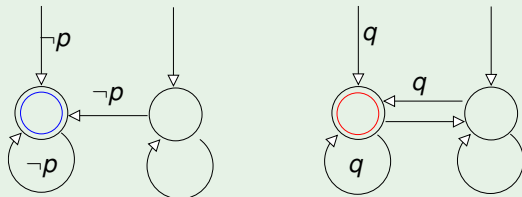
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## Suggested Exercises:

- Find an NBA encoding:
  - $p$
  - $(p \wedge q) \vee (\neg p \wedge \neg q)$
  - $\mathbf{F}p$
  - $\mathbf{G}p$
  - $p\mathbf{R}q$
  - $(\mathbf{G}Fp \wedge \mathbf{G}Fq) \rightarrow \mathbf{G}r$

- 1 Büchi Automata
- 2 The Automata-Theoretic Approach to LTL Reasoning**
  - General Ideas
  - Language-Emptiness Checking of Büchi Automata
  - From Kripke Models to Büchi Automata
  - From LTL Formulas to Büchi Automata
  - Complexity**
- 3 Exercises

# Automata-Theoretic LTL Model Checking: Complexity

Four steps:

(i) Compute  $A_M$ :

$$|A_M| = O(|M|)$$

(ii) Compute  $A_\varphi$ :

$$|A_\varphi| = O(2^{|\varphi|})$$

(iii) Compute the product  $A_M \times A_\varphi$ :

$$|A_M \times A_\varphi| = |A_M| \cdot |A_\varphi| = O(|M| \cdot 2^{|\varphi|})$$

(iv) Check the emptiness of  $\mathcal{L}(A_M \times A_\varphi)$ :

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# Final Remarks

- Büchi automata are in general more expressive than LTL!
- ⇒ some tools (e.g., Spin) allow specifications to be expressed directly as NBAs
- ⇒ complementation of NBA relevant in general
  - For every LTL formula, there are many possible equivalent NBAs
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- 2 The Automata-Theoretic Approach to LTL Reasoning
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  - Language-Emptiness Checking of Büchi Automata
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  - From LTL Formulas to Büchi Automata
  - Complexity
- 3 Exercises

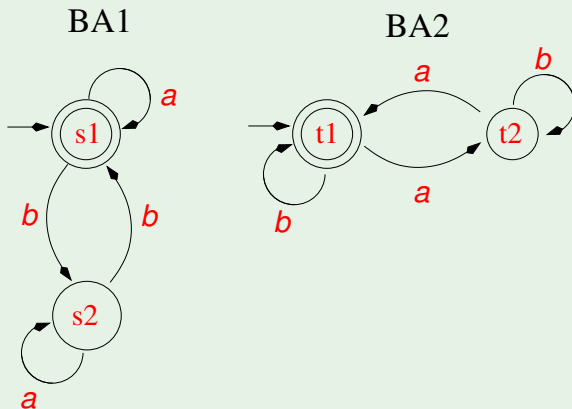
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Given the following two Büchi automata (doubly-circled states represent accepting states,  $a$ ,  $b$  are labels):

Write the product Büchi automaton  $BA1 \times BA2$ .

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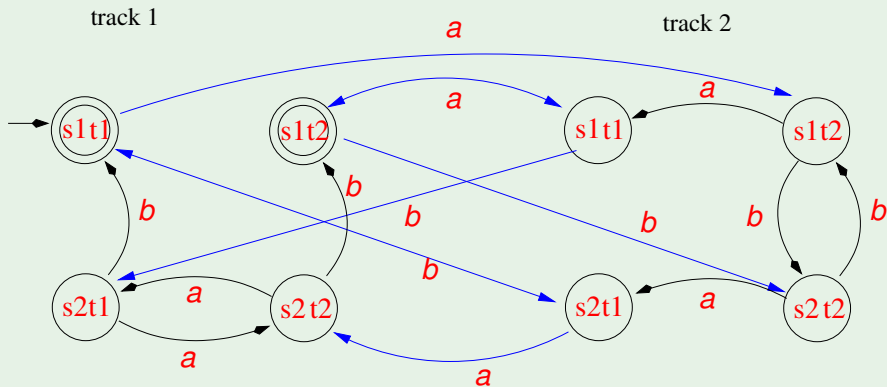
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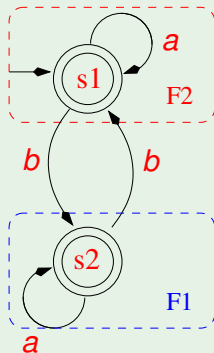
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# Ex: De-generalization of Büchi Automata

Given the following generalized Büchi automaton  $A \stackrel{\text{def}}{=} \langle Q, \Sigma, \delta, I, FT \rangle$ , with two sets of accepting states  $FT \stackrel{\text{def}}{=} \{F1, F2\}$   
s.t.  $F1 \stackrel{\text{def}}{=} \{s2\}$ ,  $F2 \stackrel{\text{def}}{=} \{s1\}$ :



convert it into an equivalent plain Büchi automaton.



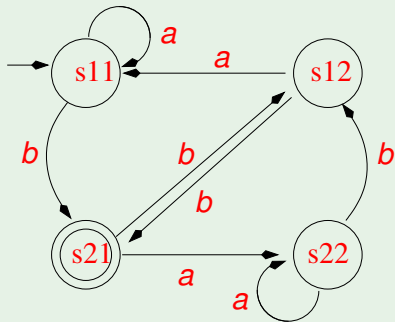
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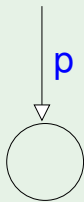
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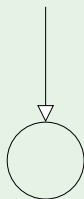
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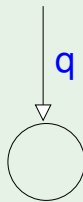
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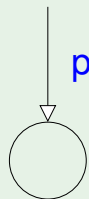
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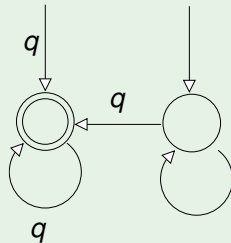
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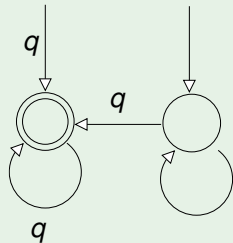
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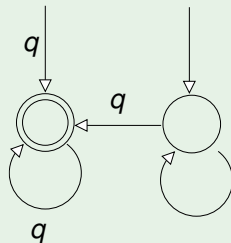


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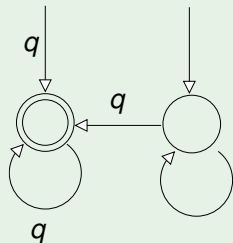


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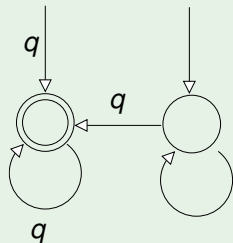


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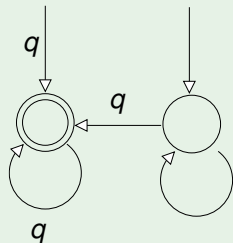


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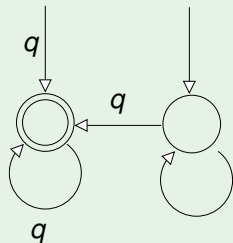


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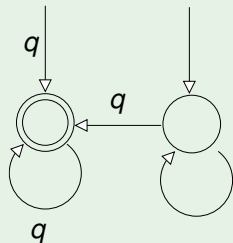


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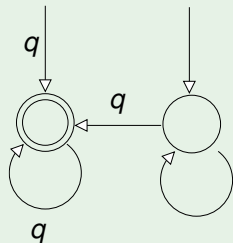
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