

Course “Introduction to SAT & SMT”  
TEST

Roberto Sebastiani  
DISI, Università di Trento, Italy

June 11<sup>th</sup>, 2020

Name (please print):

769857918

Surname (please print):

**1**

Let  $\varphi$  be a generic Boolean formula, and let  $\varphi_{nnf}^{tree} \stackrel{\text{def}}{=} NNF^{tree}(\varphi)$  and  $\varphi_{nnf}^{dag} \stackrel{\text{def}}{=} NNF^{dag}(\varphi)$ , s.c.  $NNF()^{tree}$  and  $NNF()^{dag}$  are the conversion into negative normal form using a tree and a DAG representation of the formulas respectively.

Let  $|\varphi|$ ,  $|\varphi_{nnf}^{tree}|$  and  $|\varphi_{nnf}^{dag}|$  denote the size of  $\varphi$ ,  $\varphi_{nnf}^{tree}$  and  $\varphi_{nnf}^{dag}$  respectively.

For each of the following sentences, say if it is true or false.

- (a)  $|\varphi_{nnf}^{tree}|$  is in worst-case polynomial in size wrt.  $|\varphi|$ .
- (b)  $|\varphi_{nnf}^{dag}|$  is in worst-case polynomial in size wrt.  $|\varphi|$ .
- (c)  $\varphi_{nnf}^{dag}$  has the same number of distinct Boolean variables as  $\varphi$  has.
- (d) A model for  $\varphi_{nnf}^{dag}$  (if any) is also a model for  $\varphi$ , and vice versa.

**2**

Using the variable ordering “  $A_1, A_2, A_3, A_4$ ”, draw the OBDD corresponding to the following formulas:

$$A_1 \wedge (\neg A_1 \vee \neg A_2) \wedge (A_2 \vee A_3) \wedge (\neg A_3 \vee A_4)$$

**3**

Using the semantic tableaux algorithm, decide whether the following formula is satisfiable or not.  
(Write the search tree.)

$$\begin{array}{l} (\neg A_1) \wedge \\ (A_1 \vee \neg A_2) \wedge \\ (A_1 \vee A_2 \vee A_3) \wedge \\ (A_4 \vee \neg A_3 \vee A_6) \wedge \\ (A_4 \vee \neg A_3 \vee \neg A_6) \wedge \\ (\neg A_3 \vee \neg A_4 \vee A_7) \wedge \\ (\neg A_3 \vee \neg A_4 \vee \neg A_7) \end{array}$$

(Literal-selection criteria to your choice.)

## 4

Consider the following piece of a much bigger formula, which has been fed to a CDCL SAT solver:

$$\begin{aligned}c_1 &: \neg A_7 \vee A_2 \\c_2 &: A_4 \vee A_1 \vee A_{11} \\c_3 &: A_8 \vee \neg A_6 \vee \neg A_4 \\c_4 &: \neg A_5 \vee \neg A_1 \\c_5 &: A_7 \vee \neg A_8 \\c_6 &: A_7 \vee A_6 \vee A_9 \\c_7 &: \neg A_7 \vee A_3 \vee \neg A_{12} \\c_8 &: A_4 \vee A_5 \vee A_{10} \\&\dots\end{aligned}$$

Suppose the solver has decided, in order, the following literals (possibly interleaved by others not occurring in the above clauses):

$$\{\dots, \neg A_9, \dots, \neg A_{10}, \dots, \neg A_{11}, \dots, A_{12}, \dots, A_{13}, \dots, \neg A_7\}$$

- (a) List the sequence of unit-propagations following after the last decision, each literal tagged (in square brackets) by its antecedent clause
- (b) Derive the conflict clause via conflict analysis by means of the 1st-UIP technique
- (c) Using the 1st-UIP backjumping strategy, update the list of literals above after the backjumping step and the unit-propagation of the UIP

## 5

Consider the following CNF formula:

$$\begin{aligned} & ( A_7 ) \wedge \\ & ( A_8 \vee \neg A_7 ) \wedge \\ & ( \neg A_4 \vee \neg A_7 \vee \neg A_5 ) \wedge \\ & ( \neg A_8 \vee \neg A_6 \vee A_1 ) \wedge \\ & ( A_2 \vee \neg A_7 \vee \neg A_6 ) \wedge \\ & ( \neg A_8 \vee A_6 \vee \neg A_2 ) \wedge \\ & ( \neg A_1 \vee \neg A_5 \vee \neg A_2 ) \wedge \\ & ( A_2 \vee \neg A_6 \vee \neg A_8 ) \wedge \\ & ( \neg A_1 \vee \neg A_5 \vee A_8 ) \wedge \\ & ( A_2 \vee A_7 \vee \neg A_6 ) \wedge \\ & ( \neg A_6 \vee A_4 \vee \neg A_6 ) \wedge \\ & ( A_3 \vee A_8 \vee \neg A_7 ) \end{aligned}$$

Decide *quickly* if it is satisfiable or not, and briefly explain why.

**6**

Consider the following Boolean formulas:

$$\varphi_1 \stackrel{\text{def}}{=} \begin{aligned} & (\neg A_7 \vee \neg A_3) \wedge \\ & (A_7 \vee \neg A_3) \wedge \\ & (A_2) \wedge \\ & (\neg A_2 \vee \neg A_4) \end{aligned}$$

$$\varphi_2 \stackrel{\text{def}}{=} \begin{aligned} & (A_3 \vee A_5) \wedge \\ & (A_4 \vee \neg A_1) \wedge \\ & (\neg A_5 \vee A_1) \end{aligned}$$

which are such that  $\varphi_1 \wedge \varphi_2 \models \perp$ . For each of the following formulas, say if it is a Craig interpolant for  $(\varphi_1, \varphi_2)$  or not.

(a)

$$\begin{aligned} & (\neg A_7 \vee \neg A_3) \wedge \\ & (A_7 \vee \neg A_3) \wedge \\ & (\neg A_4) \end{aligned}$$

(b)

$$(\neg A_4)$$

(c)

$$(\neg A_3 \wedge \neg A_4)$$

## 7

Consider the following formula in the theory  $\mathcal{EUF}$  of linear arithmetic on the Rationals.

$$\begin{aligned} \varphi = & \{(f(x) = f(f(y))) \vee A_2\} \wedge \\ & \{\neg(h(x, f(y)) = h(g(x), y)) \vee \underline{\neg(h(x, g(z) = h(f(x), y)))} \vee \neg A_1\} \wedge \\ & \{A_1 \vee (h(x, y) = h(y, x))\} \wedge \\ & \{(x = \underline{f(x)}) \vee A_3 \vee \neg A_1\} \wedge \\ & \{\underline{\neg(w(x) = g(f(y)))} \vee A_1\} \wedge \\ & \{\underline{\neg A_2} \vee (w(g(x)) = w(f(x)))\} \wedge \\ & \{A_1 \vee \underline{(y = g(z))} \vee A_2\} \end{aligned}$$

and consider the partial truth assignment  $\mu$  given by the underlined literals above:

$$\{\neg(w(x) = g(f(y))), \neg A_2, \neg(h(x, g(z) = h(f(x), y))), (x = f(x)), (y = g(z))\}.$$

1. Does (the Boolean abstraction of)  $\mu$  propositionally satisfy (the Boolean abstraction of)  $\varphi$ ?
2. Is  $\mu$  satisfiable in  $\mathcal{EUF}$ ?
  - (a) If no, find a minimal conflict set for  $\mu$  and the corresponding conflict clause  $C$ .
  - (b) If yes, show one unassigned literal which can be deduced from  $\mu$ , and show the corresponding deduction clause  $C$ .

## 8

Consider the following set of clauses  $\varphi$  in the theory of linear arithmetic on the Integers  $\mathcal{EUF}$ .

$$\left\{ \begin{array}{l} (\neg(x = y) \vee (f(x) = f(y))), \\ (\neg(x = y) \vee \neg(f(x) = f(y))), \\ ((x = y) \vee (f(x) = f(y))), \\ ((x = y) \vee \neg(f(x) = f(y))) \end{array} \right\}$$

Say which of the following sets is a  $\mathcal{EUF}$ -unsatisfiable core of  $\varphi$  and which is not. For each one, explain why.

(a)

$$\left\{ \begin{array}{l} (\neg(x = y) \vee \neg(f(x) = f(y))), \\ ((x = y) \vee (f(x) = f(y))), \\ ((x = y) \vee \neg(f(x) = f(y))) \end{array} \right\}$$

(b)

$$\left\{ \begin{array}{l} (\neg(x = y) \vee (f(x) = f(y))), \\ ((x = y) \vee (f(x) = f(y))), \\ ((x = y) \vee \neg(f(x) = f(y))) \end{array} \right\}$$

(c)

$$\left\{ \begin{array}{l} (\neg(x = y) \vee \neg(f(x) = f(y))), \\ ((x = y) \vee (f(x) = f(y))), \\ ((x = y) \vee \neg(f(x) = f(y))), \\ ((x = f(y))) \end{array} \right\}$$

## 9

Let  $\mathcal{LRA}$  be the logic of linear arithmetic over the rationals and  $\mathcal{EUF}$  be the logic of equality and uninterpreted functions. Consider the following pure formula  $\varphi$  in the combined logic  $\mathcal{LRA} \cup \mathcal{EUF}$ :

$$(x = 1.0) \wedge (h = 1.0) \wedge (k = 1.0) \wedge (y = 2h - k) \wedge (z < w) \quad (1)$$

$$(z = f(x)) \wedge (w = f(y)) \quad (2)$$

Say which variables are interface variables, list the interface equalities for this formula (modulo symmetry), and decide whether this formula is  $\mathcal{LRA} \cup \mathcal{EUF}$ -satisfiable or not, using either Nelson-Oppen or Delayed Theory Combination.

## 10

Consider the following formulas in difference logic ( $\mathcal{DL}$ ):

$$\begin{aligned} \varphi_1 \stackrel{\text{def}}{=} & (x_2 - x_3 \leq -4) \wedge \\ & (x_3 - x_4 \leq -6) \wedge \\ & (x_5 - x_6 \leq 4) \wedge \\ & (x_6 - x_1 \leq 2) \wedge \\ & (x_6 - x_7 \leq -2) \wedge \\ & (x_7 - x_8 \leq 1) \end{aligned}$$

$$\begin{aligned} \varphi_2 \stackrel{\text{def}}{=} & (x_4 - x_9 \leq 2) \wedge \\ & (x_9 - x_5 \leq 0) \wedge \\ & (x_1 - x_2 \leq 1) \end{aligned}$$

which are such that  $\varphi_1 \wedge \varphi_2 \models_{\mathcal{DL}} \perp$ . For each of the following formulas, say if it is a Craig interpolant in  $\mathcal{DL}$  for  $(\varphi_1, \varphi_2)$ , and explain why.

- (a)  $(x_2 - x_3 + x_6 - x_1 \leq -2)$
- (b)  $(x_2 - x_4 \leq -10)$
- (c)  $(x_2 - x_4 \leq -10) \wedge$   
 $(x_5 - x_1 \leq 6)$