

# Mathematical Logic

## An overview of Proof methods

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In these slides we present an overview of the basic proof techniques adopted in mathematics and computer science to prove theorems.

We consider:

- 1 direct proof
- 2 proof by “reductio ad absurdum”, or, indirect proof
- 3 proof under hypothesis
- 4 proof by cases
- 5 proof of a universal statement
- 6 proof of an existential statement
- 7 proof of a universal implication
- 8 proof by induction

# Direct proof of a fact $A$

## Theorem

*the fact  $A$  is true*

## Schema of a direct proof (example).

- from axiom  $A_1$  it follows that  $A_2$ ,
- from axiom  $B_1$  it follows  $B_2$ ,
- from  $A_2$  and  $B_2$  it follows  $C$
- from  $C$  we can conclude that either  $C_1$  or  $C_2$ , then
- from  $C_1$  it follows that  $A$
- and also from  $C_2$  it follows that  $A$ .

So we can conclude that  $A$  is true. □

# Direct proof of a fact $A$

## Remark

- Axioms ( $A_1$  and  $B_1$ ) are facts that are accepted to be true without a proof.
- from axioms we can infer other facts (e.g.,  $A_2$ ,  $B_2$ )
- from inferred facts we can infer other facts (e.g.,  $C$ )
- from a fact we can infer some alternative facts (e.g., either  $C_1$  or  $C_2$ ),
- alternatives can be treated separately, to prove the theorem. In this case we have to show that it is true in all the possible alternatives (see proof by cases).

# Example of direct proof

## Theorem

*The sum of two even integers is always even.*

## Proof.

- Let  $x$  and  $y$  two arbitrary even numbers.  
They can be written as

$$x = 2a \text{ and } y = 2b$$



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- From this it is clear that 2 is a factor of  $x + y$ .



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They can be written as

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- Then the sum  $x + y = 2a + 2b = 2(a + b)$
- From this it is clear that 2 is a factor of  $x + y$ .

So, the sum of two even integers is always an even number.  $\square$



# Proof by “reductio ad absurdum”

## Theorem

*It is the case that  $A$  is true*

## By reductio ad absurdum.

Suppose that  $A$  is not the case, then by reasoning, you try to reach an impossible situation.  $\square$

# Example of proof by “reductio ad absurdum”

## Theorem

$\sqrt{2}$  is not a rational number

## Proof.

- 1 Suppose that  $\sqrt{2}$  is a rational number



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- 8 Similarly to above this means that  $m^2$  is even, and that  $m$  is even.



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- 8 Similarly to above this means that  $m^2$  is even, and that  $m$  is even.
- 9 but this contradicts the hypothesis that  $n$  and  $m$  are coprime, and is therefore impossible.
- 10 Therefore  $\sqrt{2}$  is not a rational number



# Proof under hypothesis

## Theorem

*if A then B*

## Schema 1: Direct proof.

If  $A$  is true, then  $A_1$  is also true, then  $\dots A_n$  is true, and therefore  $B$  is true. □

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## Schema 2: Proof by reductio ad absurdum.

Suppose that  $B$  is not the case, then  $B_1$  is the case, then  $\dots$ , then  $B_n$  is the case, and therefore  $A$  is not the case  $\square$

# Proof of an “if ... then...” theorem

## Theorem

*If  $A \cup B = A$  then  $B \subseteq A$*

## Direct Proof.

- Suppose that  $A \cup B = A$ , then



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- Suppose that  $B \not\subseteq A$



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- This implies that there exists  $x \in B$  such that  $x \notin A$ .
- This implies that  $x \in A \cup B$  such that  $x \notin A$ ,



# Proof of an “if ... then...” theorem

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## Proof by reductio ad absurdum.

- Suppose that  $B \not\subseteq A$
- This implies that there exists  $x \in B$  such that  $x \notin A$ .
- This implies that  $x \in A \cup B$  such that  $x \notin A$ ,
- and therefore  $A \cup B \neq A$ .



# Proof by cases

## Theorem

*If A then B*

## Proof.

If A then either  $A_1$  or  $A_2$  or ... or  $A_n$ . Then, let us consider all the cases one by one

- if  $A_1$ , then ... then  $B$
- if  $A_2$ , then ... then  $B$
- ...
- if  $A_n$ , then ... then  $B$

So in all the cases we managed to proof the same conclusion  $B$ . This implies that the theorem is correct.  $\square$

# Example of proof by cases

## Theorem

If  $n$  is an integer then  $n^2 \geq n$ .

## Proof.

If  $n$  is an integer then we have three cases:

- 1  $n = 0$ ,
- 2  $n > 0$ ,
- 3  $n < 0$

1  $n = 0$ , then  $n^2 = 0$ , and therefore  $n^2 \geq n$ .

Since in all the cases we have conclude that  $n^2 \geq n$  we can conclude that the theorem is correct. □

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2  $n \geq 1$ , then by multiplying the inequality for a positive integer  $n$ , we have that  $n^2 \geq n$ .

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2  $n \geq 1$ , then by multiplying the inequality for a positive integer  $n$ , we have that  $n^2 \geq n$ .

3 if  $n \leq -1$ , then since  $n^2$  is always positive we have that  $n^2 \geq n$ .

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# Proof of a universal statement

## Theorem

*The property  $A$  holds for all  $x$ .<sup>a</sup>*

---

<sup>a</sup>In symbols,  $\forall xA(x)$ .

## Proof Schema.

Consider a generic element  $x$  and try to show that it satisfies property  $A$ .

In doing that you are not allowed to make any additional assumptions on the nature of  $x$ . If you make some extra assumption on  $x$ , say for instance that  $x$  has the property  $B$ , then you have proved a different theorem which is “for every  $x$ , if  $x$  has the property  $B$  then it has the property  $A$ ”. □

# Example of a universal statement

## Theorem

*For any integer  $a$ , if  $a$  is odd then  $a^2$  is also odd.*

## Proof (direct proof in this case).

- 1 If  $a$  is odd, then  $a = 2m + 1$  for some integer  $m$  (By definition)



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- 2 Then  $a^2 = (2m + 1)^2 = 4m^2 + 4m + 1 = 2(2m^2 + 2m) + 1$



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- 3 Let  $z = 2m^2 + 2m$ .  $z$  is an integer (trivial proof because of the fact that  $m$  is an integer).



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- 3 Let  $z = 2m^2 + 2m$ .  $z$  is an integer (trivial proof because of the fact that  $m$  is an integer).
- 4 Then  $a^2 = 2z + 1$  for an integer  $z$ , which means, by definition, that  $a^2$  is an odd number.



# Proof of an existential statement

## Theorem

*There is an  $x$  that has a property  $A$ .<sup>a</sup>*

---

<sup>a</sup>In symbols,  $\exists x.A(x)$

## Schema 1: Constructive proof.

- 1 Construct a special element  $x$  (usually by means of a procedure (a set of steps))
- 2 Show that  $x$  has the property  $A$



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## Schema 2: Non Constructive proof (reductio ad absurdum).

Assume that there is no such an  $x$  such that the property  $A$  holds for  $x$  and try to reach an inconsistent (absurd) situation.





# Example of an existential statement

## Theorem

*There is an integer  $n > 5$  such that  $2^n - 1$  is a prime number.*

## Proof (constructive).

- 1 Examine all integers  $n > 5$ .



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- 2  $n = 6$ .  $2^6 - 1 = 64 - 1 = 63$ . NO!



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## Proof (constructive).

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- 2  $n = 6$ .  $2^6 - 1 = 64 - 1 = 63$ . NO!
- 3  $n = 7$ .  $2^7 - 1 = 128 - 1 = 127$ . YES!



# Universal and existential statements

- Disproving universal statements reduces in proving an existential one.

Dont try to construct a general argument when a single specific counterexample would be sufficient!

## Example

For every rational number  $q$ , there is a rational number  $r$  such that  $qr = 1$

# Universal and existential statements

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Dont try to construct a general argument when a single specific counterexample would be sufficient!

## Example

For every rational number  $q$ , there is a rational number  $r$  such that  $qr = 1$

This statement is false. In fact 0 has no inverse.

# Universal and existential statements

- Disproving an existential statement needs proving a universal one.

## Example

There is an integer  $k$  such that  $k^2 + 2k + 1 < 0$

# Universal and existential statements

- Disproving an existential statement needs proving a universal one.

## Example

There is an integer  $k$  such that  $k^2 + 2k + 1 < 0$

This statement is false. Indeed it can be proved that  $k^2 + 2k + 1 \geq 0$

# Proof of a universal implication

## Theorem

*For all  $x$ , if  $x$  has a property  $A$ , then  $x$  has the property  $B$ .<sup>a</sup>*

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<sup>a</sup>In symbols,  $\forall x(A(x) \Rightarrow B(x))$ .

## Proof.

The proof is a combination of the proof method for universal statements, and the proof for implication statements.

Take an arbitrary  $x$  that satisfies the property  $A$ . then show, either with a direct proof or by reductio ad absurdum, that if  $x$  has property  $A$ , then  $x$  has property  $B$  as well. □



# Proof of a universal implication

## Theorem

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## Remark

If there is no such an  $x$  that has a property  $A$ , the theorem  $\forall x(A(x) \Rightarrow B(x))$  is true. For instance the statement

*“For every number  $x$  (if  $x > y$  for all  $y$ , then  $y = 23$ )”*

is a theorem.

The proof consists in showing that there is no  $x$  which is greater than all the numbers.

# Proof by induction

The simplest and most common form of mathematical induction infers that a statement involving a natural number  $n$  holds for all values of  $n$ .

The proof consists of two steps:

- 1 The basis (**base case**): prove that the statement holds for the first natural number  $n$ . Usually,  $n = 0$  or  $n = 1$ .
- 2 The **inductive step**: prove that, if the statement holds for some natural number  $n$ , then the statement holds for  $n + 1$ .

The hypothesis in the inductive step that the statement holds for some  $n$  is called the **inductive hypothesis**.

# Proof by induction: example

## Theorem

$$0 + 1 + \dots + x = \frac{x(x + 1)}{2} \quad [x \text{ Natural Number}]$$

## proof

**Base case** Show that the statement holds for  $n = 0$ .

$$0 = \frac{0(0 + 1)}{2}.$$

**Inductive step** Show that if the statement holds for  $n$ , then it holds for  $n + 1$ .

Assume that  $0 + 1 + \dots + n = \frac{n(n + 1)}{2}$ , we have to show that

$$0 + 1 + \dots + n + (n + 1) = \frac{(n + 1)((n + 1) + 1)}{2}.$$

# Proof by induction: example - cont'd

①  $0 + 1 + \dots + n + (n + 1) = \frac{n(n + 1)}{2} + (n + 1)$  from the inductive hypothesis

# Proof by induction: example - cont'd

- 1  $0 + 1 + \dots + n + (n + 1) = \frac{n(n + 1)}{2} + (n + 1)$  from the inductive hypothesis
- 2 Algebraically,  $\frac{n(n + 1)}{2} + (n + 1) = \frac{n(n + 1) + 2(n + 1)}{2}$

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- 3  $= \frac{n^2 + n + 2n + 2}{2}$

# Proof by induction: example - cont'd

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③  $= \frac{n^2 + n + 2n + 2}{2}$

④  $= \frac{(n + 1)(n + 2)}{2}$

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# Proof by induction: example - cont'd

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2 Algebraically,  $\frac{n(n + 1)}{2} + (n + 1) = \frac{n(n + 1) + 2(n + 1)}{2}$

3  $= \frac{n^2 + n + 2n + 2}{2}$

4  $= \frac{(n + 1)(n + 2)}{2}$

5  $= \frac{(n + 1)(n + 1 + 1)}{2}$

6  $= \frac{(n + 1)((n + 1) + 1)}{2}$

# Induction on inductively defined sets.

## Main idea

Prove a statement of the form

*forall  $x$ ,  $x$  has the property  $A$*

when  $x$  is an element of a set which is inductively defined.

## Definition (Inductive definition of $A$ )

The set  $A$  is inductively defined as follows:

**Base:**  $a_1 \in A, a_2 \in A, \dots, a_n \in A$

**Step 1:** if  $y_1 \dots y_{k_1} \in A$ , then  $S_1(y_1, \dots y_{k_1}) \in A$

**Step 2:** if  $y_1 \dots y_{k_2} \in A$ , then  $S_2(y_1, \dots y_{k_2}) \in A$

$\vdots$

**Step  $m$ :** if  $y_1 \dots y_{k_m} \in A$ , then  $S_m(y_1, \dots y_{k_m}) \in A$

**Closure:** Nothing else is contained in  $A$

# Example of set defined by induction

## Definition

We inductively define a set  $P$  of strings, built starting from the Latin alphabet, as follows:

**Base**  $\langle a \rangle, \langle b \rangle, \dots, \langle z \rangle \in P$

**Step 1** if  $x \in P$  then  $\text{concat}(x, x) \in P$

**Step 2** if  $x, y \in P$ , then  $\text{concat}(x, y, x) \in P$

**Closure** nothing else is in  $P$

where  $\text{concat}(\langle x_1 \dots x_n \rangle, \langle y_1 \dots y_n \rangle) = \langle x_1 \dots x_n y_1 \dots y_n \rangle$ .

# Example of proof by induction on sets defined by induction.

## Theorem

For any  $x \in P$ ,  $x$  is a palindrome, i.e.,  $x = \langle x_1 \dots x_n \rangle \in P$  and for all  $1 \leq k \leq n$ ,  $x_k = x_{n-k+1}$ .

## Proof.

**Base case** We have to prove that  $x$  is palindrome for all strings in the Base set.

If  $x$  belongs to  $P$  because of the base case definition, then it is either  $\langle a \rangle$  or  $\dots \langle z \rangle$ , then it is of the form  $x = \langle x_1 \rangle$ , then  $n = 1$  and for all  $k \leq 1 \leq 1$ , i.e., for  $k = 1$  we have that  $x_1 = x_{1-1+1}$ .

**Inductive step** Show that if the statement holds for a certain  $P$ , then it holds also for  $P$  enriched by the strings at steps 1 and 2.

**Step 1.** If  $x \in P$  because of step 1, then  $x$  is of the form  $\text{concat}(y, y)$ , for some  $y \in P$ . From the definition of “concat”,  $x$  is of the form  $\langle y_1 \dots y_{n/2} y_1 \dots y_{n/2} \rangle$ , where  $\langle y_1 \dots y_{n/2} \rangle \in P$  (i.e., is palindrome).

By induction for all  $1 \leq k \leq n/2$ ,  $y_k = y_{n/2-k+1}$ .

This implies that, for all  $1 \leq k \leq n$ , if  $k \leq n/2$ , then

$$x_k = y_k = y_{n/2-k+1} = x_{n/2+n/2-k+1} = x_{n-k+1}.$$



# Example of proof by induction on sets defined by induction.

## Proof.

**Inductive step** Show that if the statement holds for a certain  $P$ , then it holds also for  $P$  enriched by the strings at steps 1 and 2.

**Step 2.** If  $x \in P$  because of step 2, then  $x$  is of the form  $\text{concat}(z, y, z)$ , for some  $z, y \in P$ . From the definition of “concat”,  $x$  is of the form  $\langle z_1 \dots z_l y_1 \dots y_h z_1 \dots z_l \rangle$ , where  $\langle z_1 \dots z_l \rangle \in P$  and  $\langle y_1 \dots y_h \rangle \in P$  (i.e., are palindrome).

By induction for all  $1 \leq k \leq l$ ,  $z_k = z_{l-k+1}$  and for all  $1 \leq k \leq h$ ,  $y_k = y_{h-k+1}$ .

This implies that for all  $1 \leq k \leq n$  we have that:

**Case 1** if  $k \leq l$ , then  $x_k = z_k = z_{l-k+1} = x_{l+h+l-k+1} = x_{n-k+1}$ .

**Case 2** if  $l+1 \leq k \leq l+1+h/2$ , then

$x_k = y_{k-l} = y_{h-k+l+1} = x_{h-k+l+1} = x_{n-k+1}$ .



# Proofs by induction on the structure of formula

## Theorem

*Any propositional formula  $\phi$  which does not contain the symbol of negation  $\neg$  and of falsehood  $\perp$  is satisfiable.*

## Proof.

**Base case** Let us assume that  $\phi$  does not contain any propositional connective, then  $\phi$  is an atomic formula  $p$ .  
The interpretation  $\mathcal{I}(p) = \text{True}$  satisfies  $\phi$ .



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**Inductive step** Assume that the statement holds for every  $\psi$  containing a number  $n$  of connectives and prove that it holds for a formula  $\phi$  containing  $n + 1$  connectives.

Three cases

•  $\phi = \psi \vee \theta$ .

If  $\phi$  contains  $n + 1$  connectives, then  $\psi$  and  $\theta$  contain at most  $n$  connectives. They do not contain the symbol of negation  $\neg$  and of falsehood  $\perp$  and are therefore satisfiable. Let  $\mathcal{I}_\psi$  and  $\mathcal{I}_\theta$  the two interpretations that satisfy  $\psi$  and  $\theta$ , respectively.

$$\mathcal{I}(p) = \begin{cases} \mathcal{I}_\psi(p) & \text{if } p \text{ occurs in } \psi, \\ \mathcal{I}_\theta(p) & \text{if } p \text{ occurs in } \theta \text{ and does not occur in } \psi. \end{cases}$$

satisfies  $\phi$



# Proofs by induction on the structure of formula

## Theorem

*Any propositional formula  $\phi$  which does not contain the symbol of negation  $\neg$  and of falsehood  $\perp$  is satisfiable.*

## Proof.

**Inductive step** Continued...

Three cases

- $\phi = \psi \supset \theta$ . Strategy similar to  $\vee$



# Proofs by induction on the structure of formula

## Theorem

*Any propositional formula  $\phi$  which does not contain the symbol of negation  $\neg$  and of falsehood  $\perp$  is satisfiable.*

## Proof.

**Inductive step** Continued...

Three cases

- $\phi = \psi \supset \theta$ . Strategy similar to  $\vee$
- $\phi = \psi \wedge \theta$ .

Let  $\mathcal{I}_\psi$  and  $\mathcal{I}_\theta$  the two interpretations that satisfy  $\psi$  and  $\theta$ , respectively.

How do I define  $\mathcal{I}$ ?

Another strategy of proof is needed. We need to prove a stronger theorem!



# Proofs by induction on the structure of formula

## Theorem (Stronger theorem)

*Any propositional formula  $\phi$  which does not contain the symbol of negation  $\neg$  and of falsehood  $\perp$  is satisfiable by an assignment that assigns True to all propositional atoms.*

## Proof.

**Base case** Let us assume that  $\phi$  does not contain any propositional connective, then  $\phi$  is an atomic formula  $p$ .  
The interpretation  $\mathcal{I}(p) = \text{True}$  satisfies  $\phi$  and is compliant to our requirement.



# Proofs by induction on the structure of formula

## Theorem (Stronger theorem)

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**Base case** Let us assume that  $\phi$  does not contain any propositional connective, then  $\phi$  is an atomic formula  $p$ .

The interpretation  $\mathcal{I}(p) = \text{True}$  satisfies  $\phi$  and is compliant to our requirement.

**Inductive step** Assume that the statement holds for every  $\psi$  containing a number  $n$  of connectives and prove that it holds for a formula  $\phi$  containing  $n + 1$  connectives.

Three cases

- $\phi = \psi \vee \theta$ .

$\psi$  and  $\theta$  contain at most  $n$  connectives. By induction they are satisfiable by two interpretations  $\mathcal{I}_\psi$  and  $\mathcal{I}_\theta$  that assign all the propositional atoms of  $\psi$  and  $\theta$  to true, respectively.

$\mathcal{I} = \mathcal{I}_\psi \cup \mathcal{I}_\theta$  is the assignment we need to prove the theorem.



# Proofs by induction on the structure of formula

## Proof.

**Inductive step** Continued...

Three cases

- $\phi = \psi \supset \theta$ . Analogous to the above
- $\phi = \psi \wedge \theta$ . Analogous to the above



# Proofs by induction on the structure of formula

## Theorem

*Any propositional formula  $\phi$  which contains a subformula at most once is satisfiable.*

## Proof.

**Base case** Let us assume that  $\phi$  does not contain any propositional connective, then  $\phi$  is an atomic formula  $p$ .  
The interpretation  $\mathcal{I}(p) = \text{True}$  satisfies  $\phi$ .



# Proofs by induction on the structure of formula

## Theorem

Any propositional formula  $\phi$  which contains a subformula at most once is satisfiable.

## Proof.

**Base case** Let us assume that  $\phi$  does not contain any propositional connective, then  $\phi$  is an atomic formula  $p$ .

The interpretation  $\mathcal{I}(p) = \text{True}$  satisfies  $\phi$ .

**Inductive step** Assume that the statement holds for every  $\psi$  containing a number  $n$  of connectives and prove that it holds for a formula  $\phi$  containing  $n + 1$  connectives.

Three cases

- $\phi = \psi \vee \theta$ .

By inductive hypothesis let  $\mathcal{I}_\psi$  and  $\mathcal{I}_\theta$  the two interpretations that satisfy  $\psi$  and  $\theta$ , respectively.

Let  $p$  be a propositional atom occurring in  $\phi$ , then it either occur in  $\psi$  or it occur in  $\theta$  (but not in both).

$\mathcal{I} = \mathcal{I}_\psi \cup \mathcal{I}_\theta$  is the assignment we need to prove the theorem.

- Similarly for  $\phi = \psi \supset \theta$  and  $\phi = \psi \wedge \theta$ .

