



# Topic Models and Applications to Short Documents

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# Outline

Introduction

Latent Dirichlet Allocation

Gibbs Sampling

Short Text Enrichment with Topic Models

- Author Name Disambiguation

- Online Contextual Advertising

- Query Classification



## Problems with data collections



- ▶ With the availability of large document collections online, it becomes more difficult to represent and extract knowledge from them
- ▶ We need new tools to organize and understand these vast collections





## Discover Topics from a Document Collection

human	evolution	disease	computer
genome	evolutionary	host	models
dna	species	bacteria	information
genetic	organisms	diseases	data
genes	life	resistance	computers
sequence	origin	bacterial	system
gene	biology	new	network
molecular	groups	strains	systems
sequencing	phylogenetic	control	model
map	living	infectious	parallel
information	diversity	malaria	methods
genetics	group	parasite	networks
mapping	new	parasites	software
project	two	united	new
sequences	common	tuberculosis	simulations

# Image Annotation with Topic Models



people pillars stone temple  
 stone pillars people temple  
 people water sky mountains



flowers leaves plants  
 leaves flowers plants  
 tree water sky



cat rock tiger water  
 water cat tiger rock  
 water tree sky people



bear polar snow  
 snow bear polar  
 water tree grass



birds branch night owl  
 birds owl night branch  
 tree people water sky



jet plane sky  
 sky plane jet  
 sky tree plane

1

<sup>1</sup>Source: Y. Shao et al. Semi-supervised topic modeling for image annotation, 2009



# Intuition behind LDA (Latent Dirichlet Allocation)

## Seeking Life's Bare (Genetic) Necessities

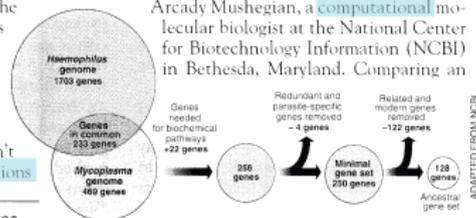
COLD SPRING HARBOR, NEW YORK—How many genes does an organism need to survive? Last week at the genome meeting here,<sup>8</sup> two genome researchers with radically different approaches presented complementary views of the basic genes needed for life. One research team, using computer analyses to compare known genomes, concluded that today's organisms can be sustained with just 250 genes, and that the earliest life forms required a mere 128 genes. The other researcher mapped genes in a simple parasite and estimated that for this organism, 800 genes are plenty to do the job—but that anything short of 100 wouldn't be enough.

Although the numbers don't match precisely, those predictions

\* Genome Mapping and Sequencing, Cold Spring Harbor, New York, May 8 to 12.

SCIENCE • VOL. 272 • 24 MAY 1996

"are not all that far apart," especially in comparison to the 75,000 genes in the human genome, notes Siv Andersson of Uppsala University in Sweden, who arrived at the 800 number. But coming up with a consensus answer may be more than just a genetic numbers game, particularly as more and more genomes are completely mapped and sequenced. "It may be a way of organizing any newly sequenced genome," explains Arcady Mushegian, a computational molecular biologist at the National Center for Biotechnology Information (NCBI) in Bethesda, Maryland. Comparing an



Stripping down. Computer analysis yields an estimate of the minimum modern and ancient genomes.

ADAPTED FROM NCBI

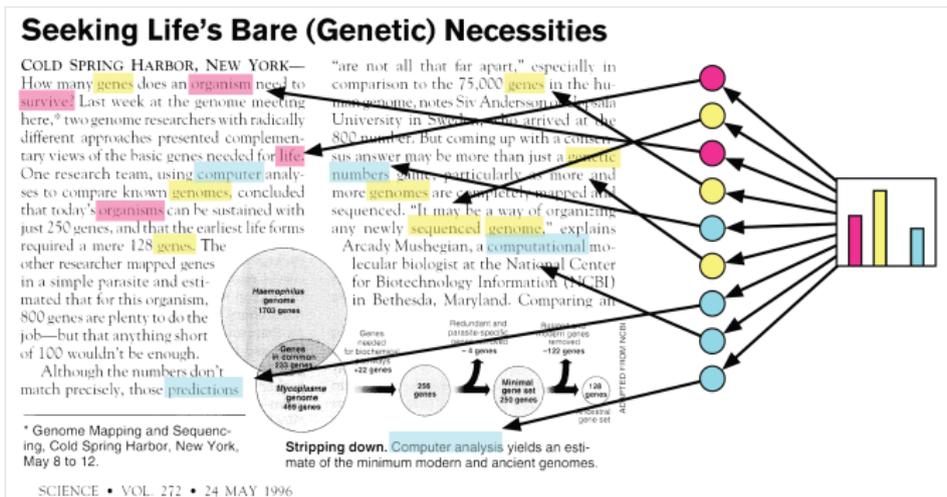
2

Simple intuition: Documents exhibit multiple topics

<sup>2</sup>Source: <http://www.cs.princeton.edu/blei/modeling-science.pdf>



## Generative Process



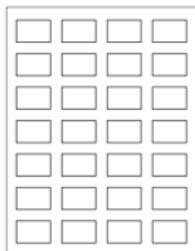
Cast this intuition into a probabilistic procedure by which documents can be generated:

- ▶ Choose a distribution over topics for a document
- ▶ For each word, choose a topic according to the distribution



## Generative Process (2)

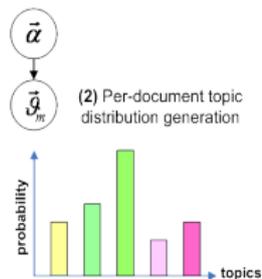
(1) Empty document



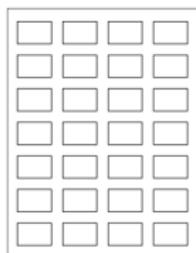
word placeholder



## Generative Process (2)



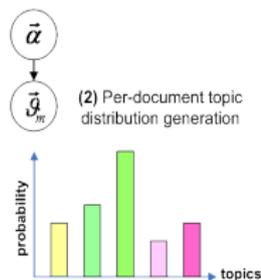
(1) Empty document



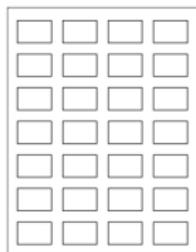
word placeholder



## Generative Process (2)

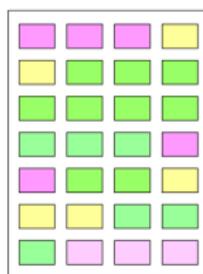


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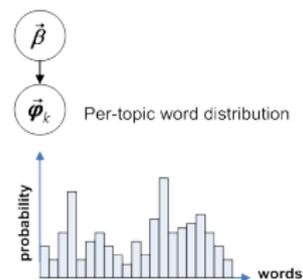
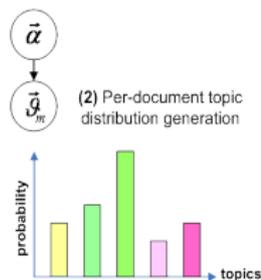
 word placeholder

(3) Topic sampling for word placeholders

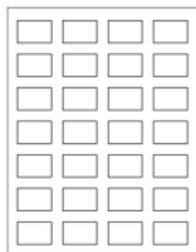




## Generative Process (2)

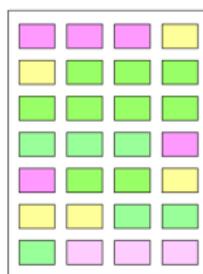


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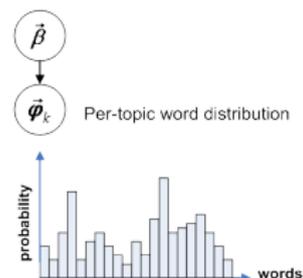
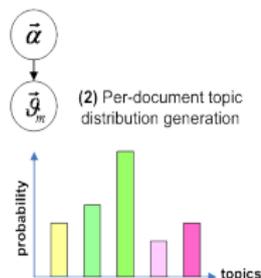
 word placeholder

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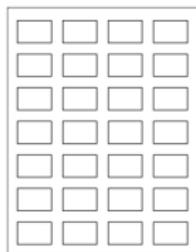




# Generative Process (2)

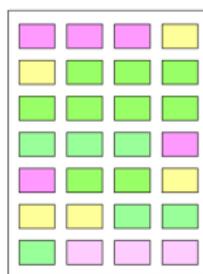


(1) Empty document



 word placeholder

(3) Topic sampling for word placeholders



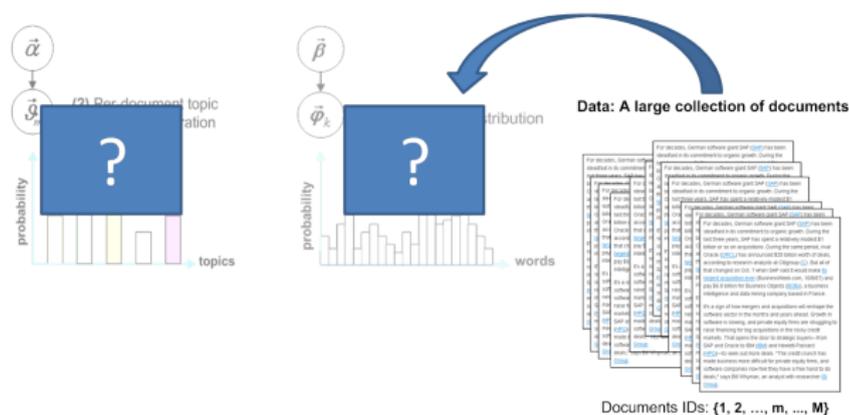
(4) Real word generation

For decades, German software giant SAP ([SAP](#)) has been steadfast in its commitment to organic growth. During the last three years, SAP has spent a relatively modest \$1 billion or so on acquisitions. During the same period, rival Oracle ([Oracle](#)) has announced \$25 billion worth of deals, according to research analysts at Citigroup ([C](#)). But all of that changed on Oct. 7 when SAP said it would make [a biggest acquisition ever](#) (BusinessWeek.com, 10/6/07) and pay \$6.8 billion for Business Objects ([BO](#)), a business intelligence and data mining company based in France.

It's a sign of how mergers and acquisitions will reshape the software sector in the months and years ahead. Growth in software is slowing, and private equity firms are struggling to raise financing for big acquisitions in the risky credit markets. That opens the door to strategic buyers—from SAP and Oracle to IBM ([IBM](#)) and Hewlett-Packard ([HP](#))—to seek out more deals. "The credit crunch has made business more difficult for private equity firms, and software companies now feel they have a free hand to do deals," says Bill Welton, an analyst with researcher [Giga](#).



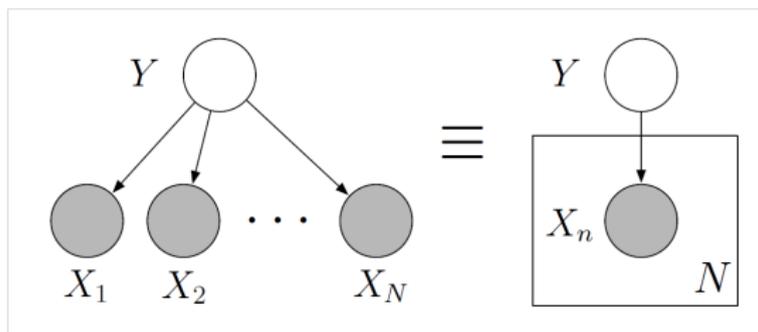
# Statistical Inference: a Reverse Process



In reality, what we observe are only documents. Given these documents, our goal is to know what topic model is most likely to have generated the data:

- ▶ What are the words for each topic?
- ▶ What are the topics for each document?

## Graphical Models Notation



- ▶ Nodes are random variables
- ▶ Edges denote possible dependence
- ▶ Observed variables are shaded
- ▶ Plates denote repetitions

E.g, this graph is:

$$p(y, x_1, \dots, x_N) = p(y) \prod_{n=1}^N p(x_n | y)$$

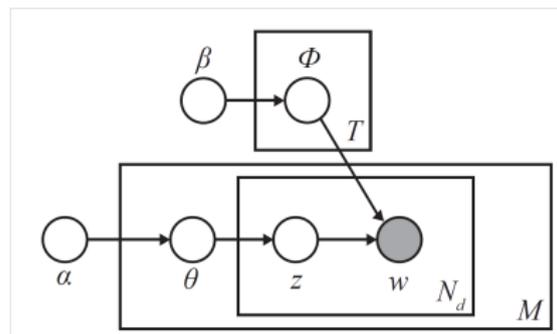


## Notations

- ▶ Word:  $1 \dots V$
- ▶ Document:  $w = (w_1, w_2, \dots, w_{N_d})$  sequence of  $N$  words
- ▶ Corpus:  $D = (w_1, w_2, \dots, w_M)$  collection of  $M$  documents



## LDA: Graphical Model

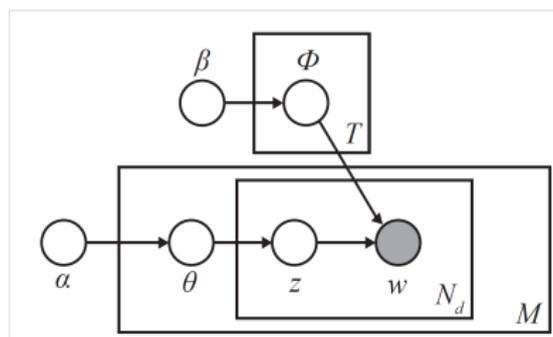


- ▶  $\alpha, \beta$ : Dirichlet prior
- ▶  $M$ : number of doc
- ▶  $N_d$ : number of words in  $d$
- ▶  $z$ : latent topic
- ▶  $w$ : observed word
- ▶  $\theta$ : distribution of topic in doc
- ▶  $\phi$ : distribution of words generated from topic  $z$

Using plate notation:

- ▶ Sampling of distribution over topics for each document  $d$
- ▶ Sampling of word distributions for each topic  $z$  until  $T$  topics have been generated

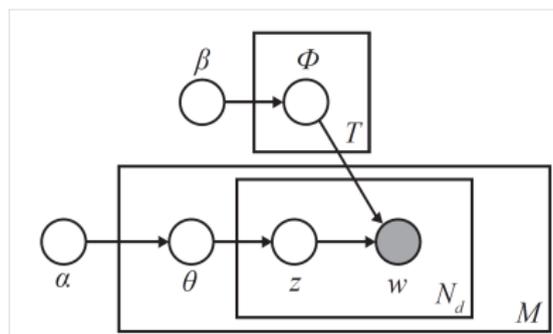
## LDA: Graphical Model



### Key Problem

Compute posterior distribution of the hidden variables given a document

## Algorithm for Extracting Topics



- ▶ How to estimate posterior distribution of hidden variables given a collection of documents?
  - ▶ Direct: e.g., via expectation-maximization (EM) [Hofmann, 1999]
  - ▶ Indirect: estimate the posterior distribution over  $z$ . E.g., Gibbs Sampling [Griffiths & Steyvers, 2004]



## Gibbs Sampling for LDA

- ▶ Random start
- ▶ Iterative
- ▶ For each word, we compute:
  - ▶ How dominant is a topic  $z$  in doc  $d$ ? How often was topic  $z$  already used in doc  $d$ ?
  - ▶ How likely is a word for a topic  $z$ ? How often was the word  $w$  already assigned to topic  $z$ ?



## Gibbs Sampling for LDA

$$P(z_i = j | z_{-i}, w_i, d_i, \cdot) \propto \frac{C_{w_{ij}}^{WT} + \beta}{\sum_{w=1}^W C_{wj}^{WT} + W\beta} \frac{C_{d_{ij}}^{DT} + \alpha}{\sum_{t=1}^T C_{d_{it}}^{DT} + T\alpha}$$

- ▶ Topic of each word will be sampled from this distribution
- ▶ #times word  $w_i \Rightarrow$  topic  $j$  (except the current)
- ▶ total words  $\Rightarrow$  topic  $k$
- ▶ #words in doc  $d \Rightarrow$  topic  $j$  (except the current)
- ▶ #words in doc  $m$



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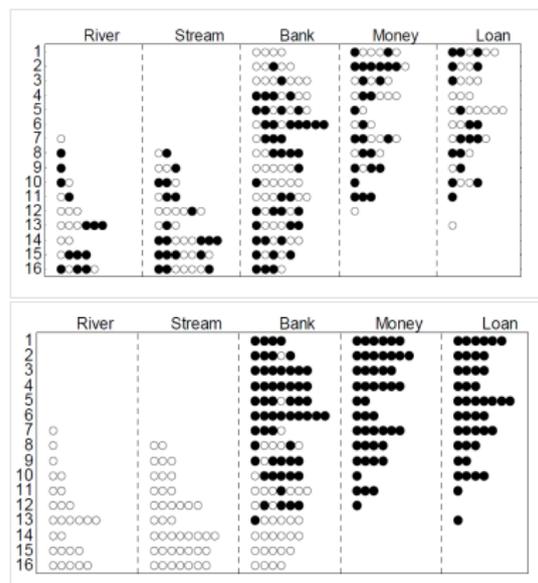
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- ▶ #words in doc  $m$



# Gibbs Sampling Convergence



- ▶ Random Start
- ▶  $N$  iterations
- ▶ Each iteration updates count-matrices

## Convergence:

- ▶ count-matrices stop changing



## Estimating $\theta$ and $\phi$

$$\phi_i^{(j)} = \frac{C_{ij}^{WT} + \beta}{\sum_{k=1}^W C_{kj}^{WT} + W\beta}$$

$$\theta_j^{(d)} = \frac{C_{dj}^{DT} + \alpha}{\sum_{k=1}^T C_{dk}^{DT} + T\alpha}$$



## Short & Sparse Text Segments

- ▶ The explosion of
  - ▶ e-commerce
  - ▶ online communication, and
  - ▶ online publishing
- ▶ Typical examples
  - ▶ Web search snippets
  - ▶ Forum & chat messages
  - ▶ Blog and news feeds/summaries
  - ▶ Book & movie summaries
  - ▶ Product descriptions
  - ▶ Customer reviews
  - ▶ Short descriptions of entities, such as people, company, hotel, etc.



## Challenges

- ▶ Very short
  - ▶ From a dozen of words to several sentences
  - ▶ Noisier
  - ▶ Less topic-focused
- ▶ Sparse
  - ▶ Not enough common words or shared context among them
- ▶ Consequences
  - ▶ Difficult in similarity measure
  - ▶ Hard to classify and clustering correctly



## Synonym & Polysemy with Topics

Topic 77

word	prob.
MUSIC	.090
DANCE	.034
SONG	.033
<b>PLAY</b>	.030
SING	.026
SINGING	.026
BAND	.026
PLAYED	.023
SANG	.022
SONGS	.021
DANCING	.020
PIANO	.017
PLAYING	.016
RHYTHM	.015
ALBERT	.013
MUSICAL	.013

Topic 82

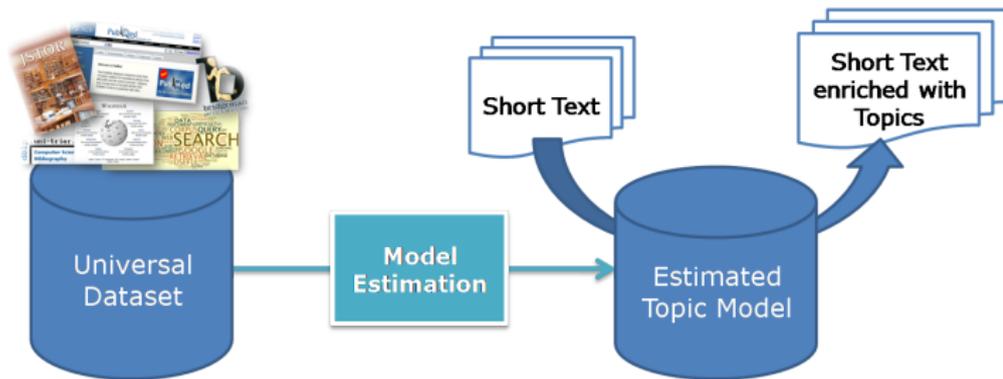
word	prob.
LITERATURE	.031
POEM	.028
POETRY	.027
POET	.020
PLAYS	.019
POEMS	.019
<b>PLAY</b>	.015
LITERARY	.013
WRITERS	.013
DRAMA	.012
WROTE	.012
POETS	.011
WRITER	.011
SHAKESPEARE	.010
WRITTEN	.009
STAGE	.009

Topic 166

word	prob.
<b>PLAY</b>	.136
BALL	.129
GAME	.065
PLAYING	.042
HIT	.032
PLAYED	.031
BASEBALL	.027
GAMES	.025
BAT	.019
RUN	.019
THROW	.016
BALLS	.015
TENNIS	.011
HOME	.010
CATCH	.010
FIELD	.010

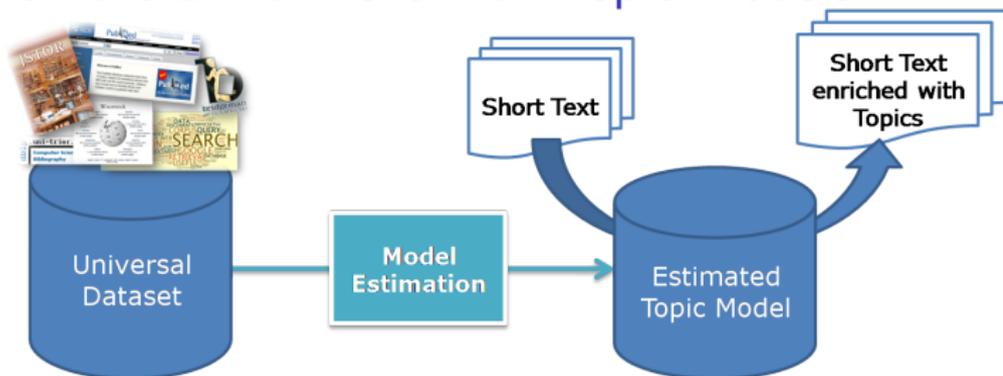


## Short Text Enrichment with Topic Models



- ▶ Take advantage of available large collections, learn a topic model
- ▶ Use this model to analyze topics for short text documents
- ▶ Enrich short text documents with topics that have high probability

## Short Text Enrichment with Topic Models



- ▶ Deal with problems of sparse and short texts: word choice, synonym, polysemy
- ▶ Increase the co-occurrence phenomenon among them
- ▶ Expand and enrich the shared context of data
- ▶ General and flexible: can be applied for different tasks, domains, languages



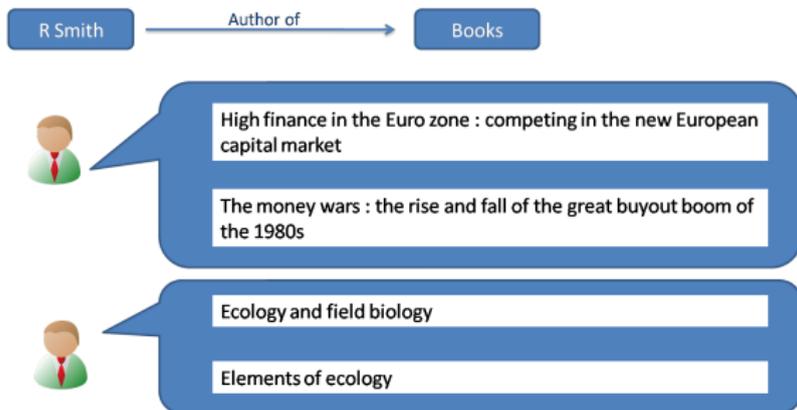
## Applications

- ▶ **Author Name Disambiguation**  
Enrich books' titles, scientific/general domain, in English
- ▶ **Online Contextual Advertising**  
Enrich webpages and advertisements, general domain, in Vietnamese
- ▶ **Query Classification**  
Enrich queries, art domain, in English



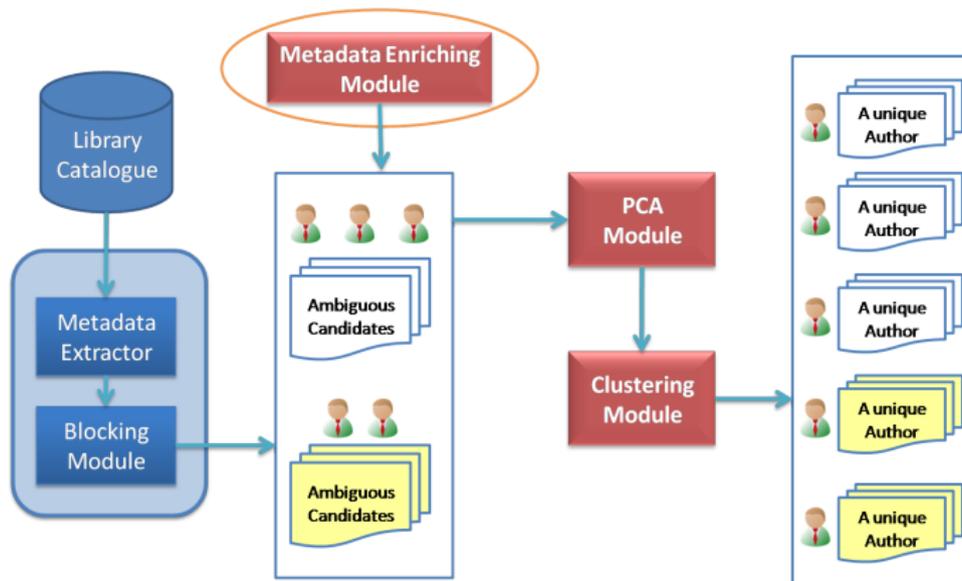
## Author Name Disambiguation

- ▶ Ambiguous author name: Different authors having the same name
- ▶ Author Name Disambiguation: a crucial service in catalogue searching & data integration



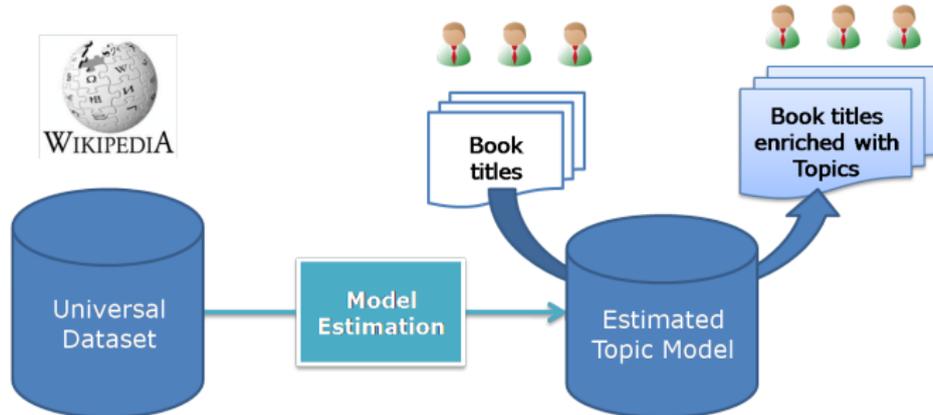


# Author Name Disambiguation: A Framework

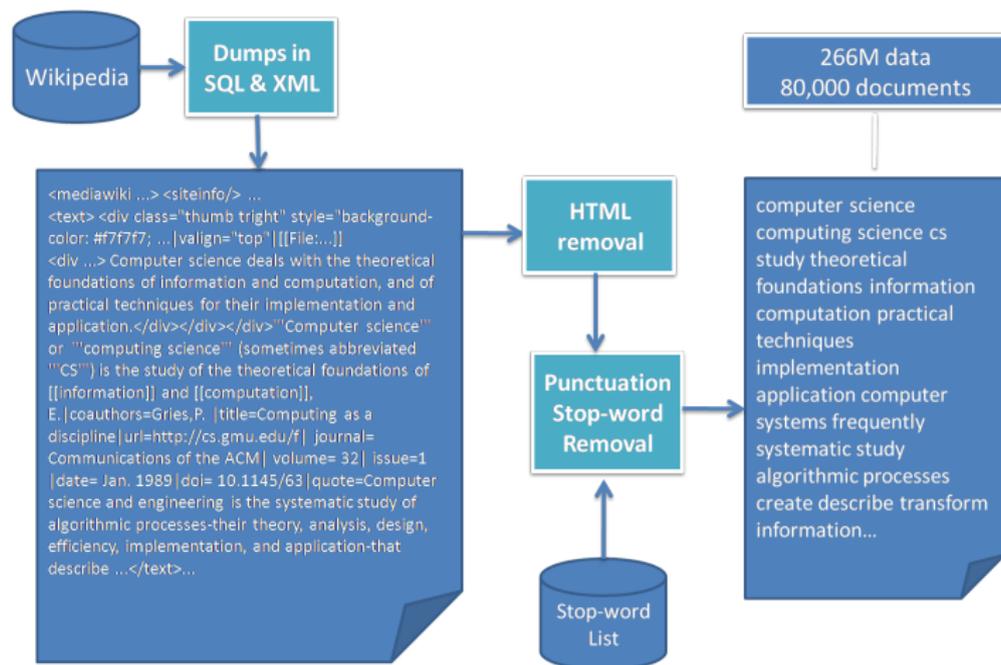




## Metadata enriching module with Topics



# Wikipedia Preprocessing



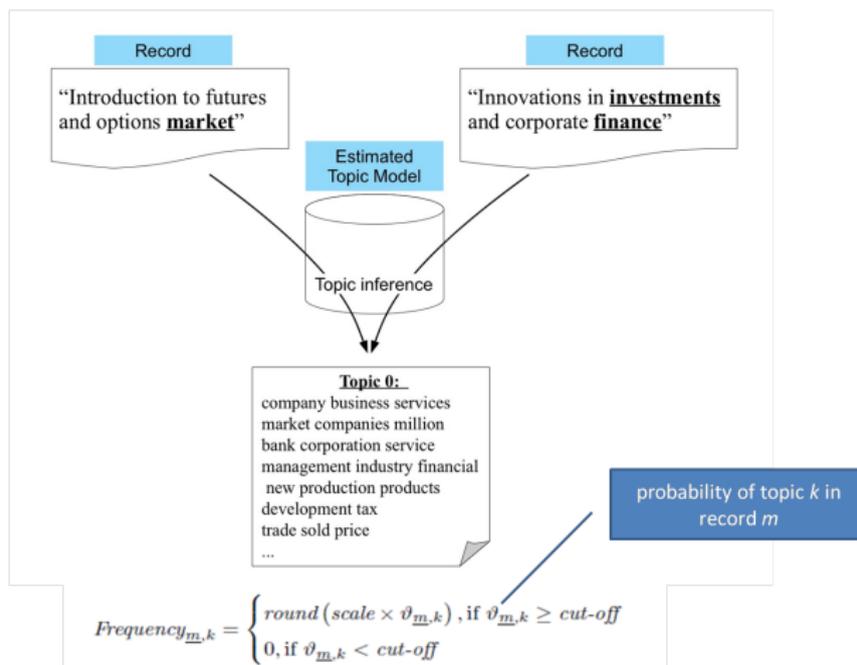


## Sample topics extracted from the estimated model

Topic 0	Topic 8	Topic 23	Topic 39	Topic 68	Topic 86	Topic 96
company	album	cells	law	storm	war	school
business	music	disease	court	tropical	army	university
services	band	medical	police	damage	force	college
market	song	patients	legal	winds	battle	high
companies	released	treatment	rights	typhoon	military	students
million	singer	cell	public	cyclone	air	schools
bank	rock	blood	justice	storms	navy	education
service	guitar	health	laws	caused	ship	institute
industry	live	medicine	judge	landfall	command	year
financial	records	brain	criminal	season	attack	program
tax	vocals	protein	supreme	pacific	fire	campus

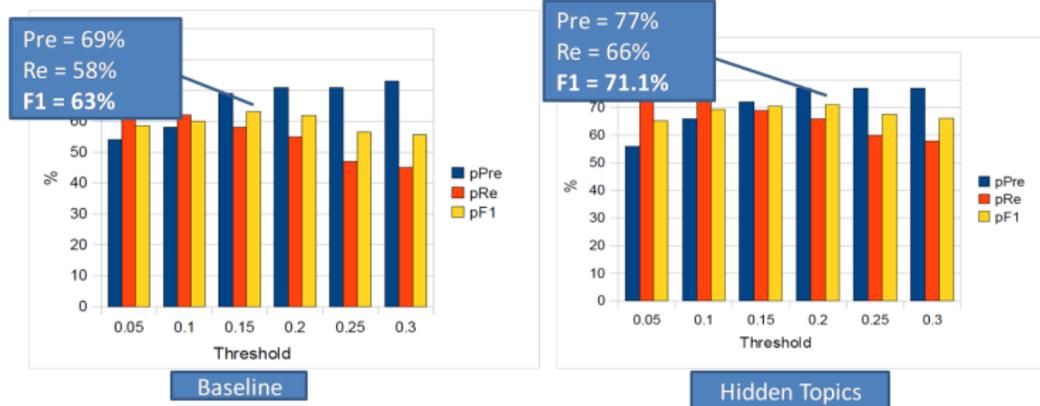


# Hidden Topic Inference for Metadata





# Results



# Online Contextual Advertising

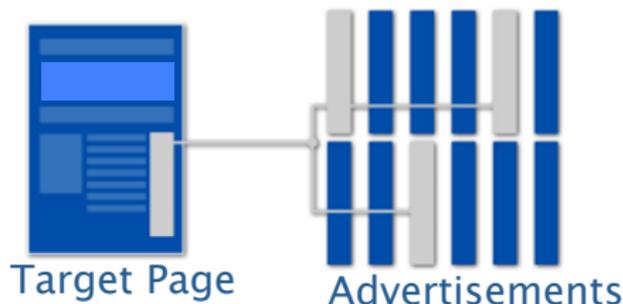
The screenshot shows a website titled "Jerry's DOG-NAMING SERVICE" with a navigation bar (Home, Training, About, Photos, Questionnaire, Buy & Name, Contact Us, Links). The main content area includes a "Top 10 MOST POPULAR DOG NAMES IN AMERICA" list, a "NAME A Dog" section with a "Do You Hope People Won't Hear You When You Call Your Dog?" article, and "THE BAD JOKES" section. A sidebar on the right contains links for "Dog Training", "Puppy Housebreaking", and "Dog Behavior".

An advertisement from Google is overlaid on the right side of the page. The ad is titled "Dog Training" and "Puppy Housebreaking". The text in the ad reads: "PetSmart Dog Training is Fun, Safe & Convenient. Find Classes Near You [www.PetSmart.com](http://www.PetSmart.com)". Below this, another section reads: "Puppy Housebreaking Discover IAMS Smart Puppy Formula. Register for Advice, Offers & More! [www.iams.com/smartpup](http://www.iams.com/smartpup)". A blue callout box with a pointer to the ad text says: "You get relevant text and image ads that are precisely targeted to your site and your site content."

A solution for “reaching the **right person** with the **right message** at the **right time**”.



## Contextual Matching & Ranking

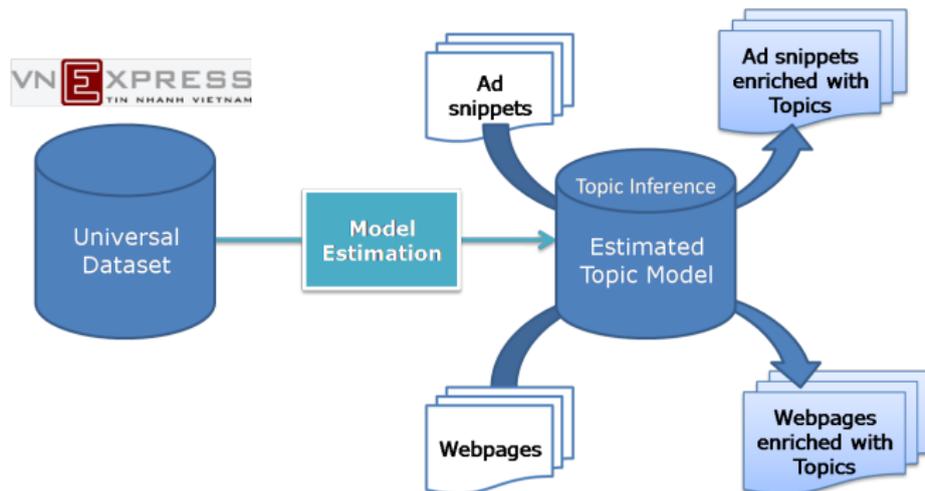


- ▶ A set of Web pages  $P = p_1, p_2, \dots, p_n$
- ▶ A set of ads:  $A = \{a_1, a_2, \dots, a_m\}$

### Matching & Ranking:

- ▶ For each  $p \in P$  ( $p$  is called “target page”)
- ▶ Match & rank all ads in  $A$  w.r.t  $p$  such that  $k$ -top ads  $A^* = \{a_{p1}, \dots, a_{pk}\} \subset A$  are most relevant to the content of  $p$

# Webpage & Advertisement Enriching with Topics

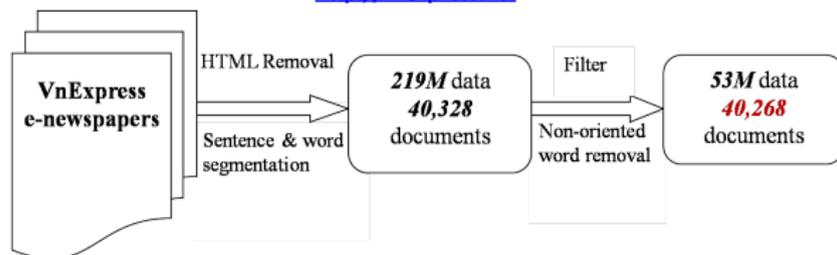




# Topic Analysis of Large News Collections



<http://vnexpress.net>



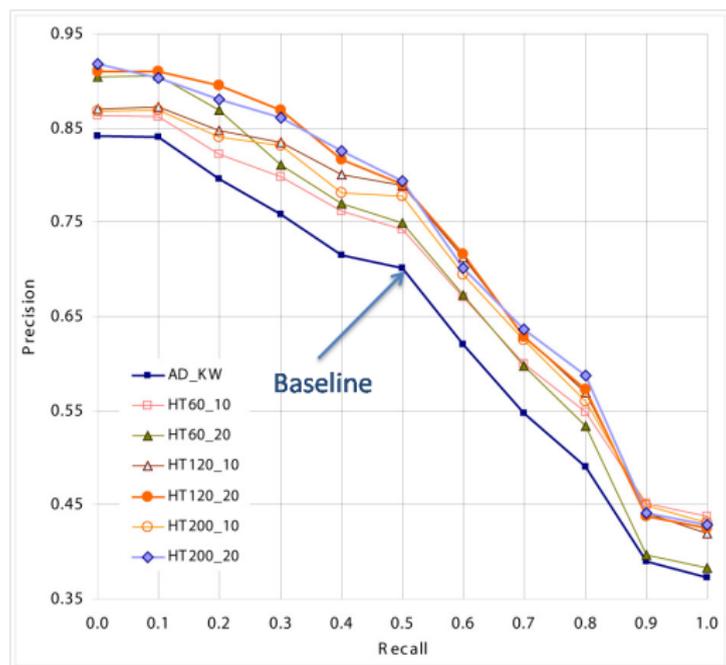
Using Latent Dirichlet Allocation (LDA) [Blei et al. 2003] & Gibbs Sampling [Griffiths & Steyvers 2004]

# Sample topics extracted from the estimated model

Topic 1	Topic 3	Topic 15	Topic 44
<b>phòng</b> (room)	<b>bác sĩ</b> (doctor)	<b>thời trang</b> (fashion)	<b>thiết bị</b> (equipment)
<b>không gian</b> (space)	<b>bệnh viện</b> (hospital)	<b>người mẫu</b> (model)	<b>sản phẩm</b> (product)
<b>thiết kế</b> (design)	<b>thuốc</b> (medicine)	<b>mặc</b> (wear)	<b>máy</b> (machine)
<b>ngôi nhà</b> (house)	<b>bệnh</b> (disease)	<b>trang phục</b> (clothes)	<b>màn hình</b> (screen)
<b>tầng</b> (floor)	<b>phẫu thuật</b> (surgery)	<b>thiết kế</b> (design)	<b>công nghệ</b> (technology)
<b>trang trí</b> (decorate)	<b>điều trị</b> (treatment)	<b>đẹp</b> (beautiful)	<b>điện thoại</b> (telephone)
<b>nội thất</b> (interior)	<b>bệnh nhân</b> (patient)	<b>váy</b> (dress)	<b>hãng</b> (company)
<b>tường</b> (wall)	<b>y tế</b> (medical)	<b>sur tập</b> (collection)	<b>sử dụng</b> (use)
<b>ánh sáng</b> (light)	<b>ung thư</b> (cancer)	<b>mang</b> (wear)	<b>thị trường</b> (market)
<b>đèn</b> (lamp)	<b>tiền trạng</b> (condition)	<b>phong cách</b> (style)	<b>usd</b> (USD)
<b>phòng ngủ</b> (bedroom)	<b>cơ thể</b> (body)	<b>quần áo</b> (costume)	<b>pin</b> (battery)
<b>rộng</b> (wide)	<b>sức khỏe</b> (health)	<b>nổi tiếng</b> (famous)	<b>cho phép</b> (allow)
<b>bố trí</b> (arrange)	<b>đau</b> (hurt)	<b>quần</b> (trousers)	<b>samsung</b> (samsung)
<b>vườn</b> (garden)	<b>gây</b> (cause)	<b>trình diễn</b> (perform)	<b>di động</b> (mobile)
<b>kính</b> (glass)	<b>khám</b> (health check)	<b>thích</b> (like)	<b>sony</b> (sony)
<b>cảm giác</b> (feel)	<b>kết quả</b> (result)	<b>quyến rũ</b> (charming)	<b>nhạc</b> (music)
<b>diện tích</b> (square)	<b>căn bệnh</b> (illness)	<b>sang trọng</b> (luxurious)	<b>máy tính</b> (computer)
<b>căn phòng</b> (apartment)	<b>nặng</b> (serious)	<b>vẻ đẹp</b> (beauty)	<b>hỗ trợ</b> (support)
<b>khu</b> (area)	<b>cho biết</b> (inform)	<b>gái</b> (girl)	<b>điện tử</b> (electronic)
<b>hiện đại</b> (modern)	<b>máu</b> (blood)	<b>gwong mặt</b> (figure)	<b>tính năng</b> (feature)



# Result



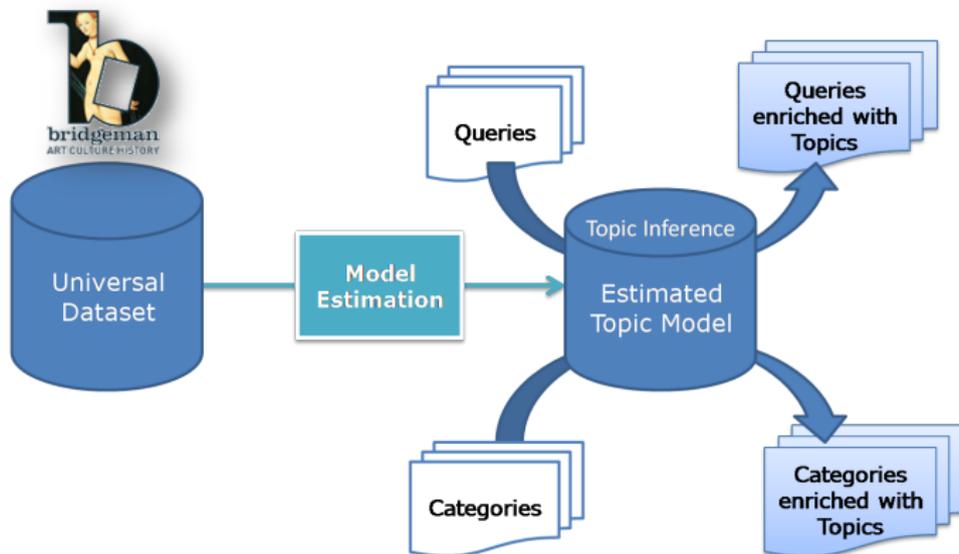


## Query Classification Task

- ▶ Classifying queries to a target taxonomy
- ▶ Domain: Art, Culture & History images



# Query enriching with Topics





## Result

Setting	Hits				% <sub>Top_3</sub>
	# 1	# 2	# 3	$\sum_{Top_3}$	
Baseline 1	13	17	5	33	<b>60%</b>
Baseline 2	15	14	7	35	63.6%
TM 1	14	15	5	32	58.2%
TM 2a	22	14	6	40	72.7%
TM 2b	31	9	6	44	<b>80%</b>

Table: Results of Query Classification: with Click Through Information



## Conclusions

- ▶ Topic Models can be useful tools for statistical analysis of document collections
- ▶ These models make explicit assumptions about the process responsible for generating a document
- ▶ Topic Models estimated from large corpora can be exploited to deal with the problem of short and sparse text, experimented in different tasks with promising results



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