### The Linux Kernel

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### Outline

1 Development

2 Kernel modules







#### Development

Kernel modules

Kernel List

Synchronization

Timing

### The Kernel Source Tree

• About 16,000 source files

• Main directories in the kernel source:

arch/	Architecture-specific code
Documentation/	Kernel source documentation
drivers/	Device drivers
fs/	File systems
include/	Kernel headers
kernel/	Core
net/	Networking

### Differences wrt normal user-space applications

- Development
- Kernel modules
- Kernel List
- Synchronization
- Timing

- Not a single entry point: a different entry point for any type of interrupt recognized by the kernel
- No memory protection
  - No control over illegal memory access
- Synchronization and concurrency are major concerns
  - Susceptible to race conditions on shared resources!
  - Use spinlocks and semaphores.
- No libraries to link to
  - Never include the usual header files, like <stdio.h>
- A fault can crash the whole system
- No debuggers
- Small stack: 4 or 8 KB
  - Do not use large variables
  - Allocate large structures at runtime (kmalloc)
- No floating point arithmetic

## Programming language

#### Development

- Kernel modules
- Kernel List
- Synchronization
- Timing

- Like all Unix-like OSs, Linux is coded mostly in C
- No access to the C library
  - No printf: use printk: printk(KERN\_ERR "This is an error!");

### • Not coded in ANSI C

- Both ISO C99 and GNU C extensions used
- 64-bit long long data type
- Inline functions to reduce overhead:

static inline void foo (...);

Branch annotation: if (likely(pippo)) /\* \*/

#### Development

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## Programming language

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Branch annotation:

```
if (likely(pippo)) {
    /*...*/
```

## Programming language (2)

#### Development

- Kernel modules
- Kernel Lists
- Synchronization
- Timing

- Few small critical functions coded in Assembly (around 10% of the code)
  - Architecture-dependent code placed in linux/arch
  - The symbolic link linux/include/asm identifies all architecture-dependent header files
  - Inline assembly (asm primitive)

### Loadable Kernel Modules

#### Development

- Kernel modules
- Kernel Lists
- Synchronization
- Timing

- Linux provides the ability of inserting (and removing) services provided by the kernel at runtime
- Every piece of code that can be dynamically loaded (and unloaded) is called Kernel Module

## Loadable Kernel Modules (2)

#### Development

Kernel modules

Kernel List

Synchronizatior

Timing

- A kernel module provides a new service (or services) available to users
- Event-driven programming:
  - Once inserted, a module just registers itself in order to serve future requests
  - The initialization function terminates immediately
- Once a module is loaded and the new service registered
  - The service can be used by all the processes, as long as the module is in memory
  - The module can access all the kernel's public symbols
- After unloading a module, the service is no longer available
- In the 2.6 series, modules have extensions .ko

## Loadable Kernel Modules (3)

- Development
- Kernel modules
- Kernel List
- Synchronization
- Timing

- The kernel core must be self-contained. Everything else can be written as a kernel module
- A kernel module is desirable for:
  - Device drivers
  - Filesystems
  - Network protocols
- Modules can only use **exported** functions (a collection of functions available to kernel developers). The function must already be part of the kernel at the time it is invoked.
- A module can export symbols through the following macros:
  - EXPORT\_SYMBOL(name);
  - EXPORT\_SYMBOL\_GPL(name);
    - makes the symbol available only to GPL-licensed modules

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### Development

### Kernel modules

- Kernel Lists
- Synchronization
- Timing

## Why using kernel modules

- Not all kernel services of features are required every time into the kernel: a module can be loaded only when it is necessary, saving memory
- Easier development: kernel modules can be loaded and unloaded several times, allowing to test and debug the code without rebooting the machine.

Kernel modules Kernel Lists Synchronization

### How to write a kernel module

Ways to write a kernel module:

- $1. \ \mbox{Insert}$  the code into the Linux kernel main source tree
  - Modify the Kconfig and the main Makefile
  - Create a patch for each new kernel version
- 2. Write the code in a separate directory, without modifying any file in the main source tree
  - More flexible
  - In the 2.6 series, the modules are linked against object files in the main source tree:
    - $\Rightarrow$  The kernel must be already configured and compiled

# Kernel modules Kernel Lists

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## Loading/unloading a module

#### Development

- Kernel modules
- Kernel List
- Synchronization
- Timing

- Only the superuser can load and unload modules
- insmod inserts a module and its data into the kernel.
- The kernel function sys\_init\_module:
  - 1. Allocates (through vmalloc) memory to hold the module
  - 2. Copies the module into that memory region
  - 3. Resolves kernel references in the module via the kernel symbol table (works like the linker ld)
  - 4. Calls the module's initialization function
- modprobe works as insmod, but it also checks module dependencies. It can only load a module contained in the /lib/modules/ directory
- rmmod removes a loaded module and all its services
- lsmod lists modules currently loaded in the kernel
  - Works through /proc/modules

### The Makefile

#### Development

#### Kernel modules

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### • The Makefile uses the extended GNU *make* syntax

• Structure of the Makefile: ## Name of the module: obj-m = mymodule.o

```
## Source files:
example-objs = file1.o file2.o
```

### • Command line:

make -C kernel\_dir M='pwd' modules

### Example 1: the include part

#### Development

#### Kernel modules

- Kernel Lists
- Synchronization
- Timing

- We now see how to write a simple module that writes "Hello World" at module insertion/removal
- For a simple module we need to include at least the following
  - #include <linux/module.h>
  - #include <linux/kernel.h>
  - #include <linux/init.h>
  - that define some essential macros and function prototypes.

### Example 1: the init function

```
Development
```

```
Kernel modules
```

```
Kernel List
```

```
Synchronization
```

```
Timing
```

```
● Function called when the module is inserted:
    static int __init hello_init(void)
    {
        printk(KERN_ALERT "Hello world!\n");
        return 0;
    }
```

```
module_init(hello_init);
```

- The function is defined static because it shouldn't be visible outside of the file
- The \_\_\_\_\_\_ token tells the kernel that the function can be dropped after the module is loaded
  - Similar tag for data: \_\_initdata
- The module\_init macro specifies which function must be called when the module is inserted

```
The unregister function must remove all the resources
allocated by the init function so that the module can be
safely unloaded
static void __exit hello_exit(void)
{
    printk(KERN_ALERT "Goodbye, cruel world!\n");
}
```

```
module_exit(hello_exit);
```

Kernel modules

Example 1: the cleanup function

- The \_\_exit token tells the compiler that the function will be called only during the unloading stage (the compiler puts this function in a special section of the ELF file)
- The module\_exit macro specifies which function must be called when the module is removed
- It **must** release any resource and undo everything the *init* function built up
- If it is not defined, the kernel does not allow module unloading

#### Development

#### Kernel modules

- Kernel List
- Synchronization
- Timing

### Other information

- Some other information should be specified:
  - MODULE\_AUTHOR("Claudio Scordino");
  - MODULE\_DESCRIPTION("Kernel Development Example");
  - MODULE\_VERSION("1.0");

### • License:

- MODULE\_LICENSE("GPL");
- The kernel accepts also "GPL v2", "GPL and additional rights", "Dual BSD/GPL", "Dual MPL/GPL" and "Proprietary"
- Convention: put all information at the end of the file

### Example 2: using the proc filesystem

- Development
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- We now see how to write a module that creates a new entry in the proc filesystem
- The entry will be created during the initialization phase and removed by the cleanup function
- Since modifications to the proc filesystem cannot be done at user level, we have to work at kernel level. A kernel module is perfect for this job!
- Requires
  - #include <linux/proc\_fs.h>

### Example 2: creating a directory

#### Development

- Kernel modules
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- Timing

- A new directory is created through struct proc\_dir\_entry\* proc\_mkdir(const char \*name, struct proc\_dir\_entry \* parent);
- It returns a pointer to struct proc\_dir\_entry\* lkh\_pde;

### Example 2: the code

```
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```

```
static struct proc_dir_entry *lkh_pde;
static int init ex2 init(void)
ł
    lkh_pde = proc_mkdir("lkh", NULL);
    if (!lkh_pde) {
        printk(KERN_ERR "%s: error creating proc_dir!\n", \
            MODULE_NAME);
    return -1:
    3
    printk("Proc dir created!\n");
    return 0;
}
static void __exit ex2_exit(void)
ł
    remove_proc_entry("lkh", NULL);
    printk("Proc dir removed!\n");
}
```

#### Kernel modules

- Kernel List
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### Module parameters

- Both insmod and modprobe accept parameters given at loading time
- Require #include <linux/moduleparam.h>
- A module parameter is defined through a macro: static int myvar = 13; module\_param(myvar, int, SIRUGO);
  - All parameters should be given a default value
  - The last argument is a permission bit-mask (see linux/stat.h)
  - The macro should be placed outside of any function

## Module parameters (2)

#### Development

#### Kernel modules

- Kernel Lists
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- Supported types: bool, charp, int, long, short, uint, ulong, ushort
- The module ex3 can be loaded assigning a value to the parameter myvar:

insmod ex3 myvar=27

- Another macro allows to accept array parameters: module\_param\_array(name, type, num, permission);
  - The module loader refuses to accept more values than will fit in the array

### Example: Kernel Linked Lists

Kernel Lists

- Data structure that stores a certain amount of nodes
- The nodes can be dynamically created, added and removed at runtime
  - Number of nodes unknown at compile time
  - Different from array
- For this reason, the nodes are linked together
  - Each node contains at least one pointer to another element

Timing

## Singly linked lists

```
struct list_element {
    int data;
    struct list_element *next;
};
```

• Singly linked list:



• Circular singly linked list:



Timing

## Doubly linked lists

```
struct list_element {
    int data;
    struct list_element *next;
    struct list_element *prev;
};
```

### • Doubly linked list:



• Circular doubly linked list:



Development Kernel modules Kernel Lists

Synchronization

Timing

## Kernel's linked list implementation

- Circular doubly linked list
- No head pointer: does not matter where you start...
  - All individual nodes are called *list heads*
- Declared in linux/list.h
- Data structure:

```
struct list_head {
    struct list_head* next;
    struct list_head* prev;
};
```

No locking: your responsibility to implement a locking scheme

### Defining linked lists

- 1. Include the list.h file:
   #include <linux/list.h>
- 2. Embed a list\_head inside your structure: struct my\_node { struct list\_head klist; /\* Data \*/ };
- Define a variable to access the list: struct list\_head my\_list;
- Initialize the list: INIT\_LIST\_HEAD(&my\_list);

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### Using linked lists

Development Kernel modules Kernel Lists

Timing

### • Add a new node after the given list head: struct my\_node \*q = kmalloc(sizeof(my\_node)); list\_add (&(q->klist), &my\_list);

Remove a node:

```
list_head *to_remove = q->klist;
list_del (&to_remove);
```

• Traversing the list:

```
list_head *g;
list_for_each (g, &my_list) {
    /* g points to a klist field inside
        * the next my_node structure */
}
```

 Knowing the structure containing a klist\* h: struct my\_node \*f = list\_entry(h, struct my\_node, klist);

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```
Development
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```

# Using linked lists: Example

```
How to remove from the linked list the node having value 7:
struct my_node {
    struct list_head klist;
    int value;
};
struct list_head my_list;
struct list_head *h;
list_for_each_safe(h, &my_list)
    if ((list_entry(h, struct my_node, klist))->value == 7)
        list_del(h);
```

# Using linked lists (3)

Kernel modules

Kernel Lists

Synchronizatio

Timing

• Add a new node after the given list head: list\_add\_tail();

- Delete a node and reinitialize it: list\_del\_init();
- Move one node from one list to another: list\_move();, list\_move\_tail();
- Check if a list is empty: list\_empty();
- Join two lists: list\_splice();
- Iterate without prefetching: \_\_list\_for\_each();
- Iterate backward: list\_for\_each\_prev();
- If your loop may delete nodes in the list: list\_for\_each\_safe();

### Synchronization

- Sources of concurrency:
  - 1. Processes using the same driver at the same time
  - 2. Interrupt handlers invoked at the same time that the driver is doing something else
  - 3. Kernel timers run asynchronously as well
  - 4. Kernel running on a symmetric multiprocessor (SMP)
  - 5. Preemptible kernel: uniprocessors behave like multiprocessors
- Kernel and drivers code must allow multiple instances to run at the same time in different contexts

Timing

- When programming the kernel it is crucial to forbid execution flows (asynchronous functions, exception and system call handlers) to badly interfere with each other (race conditions).
- Keep concurrency in mind!

Synchronization (2)

• The Linux kernel offers a large number of synchronization primitives

Timing

# Synchronization (3)

- A large number of synchronization primitives are used for efficiency reasons: the kernel must reduce to a minimum the time spent waiting for a resource
- In particular, most of the mutual exclusion mechanisms have been introduced to allow some kernel core components to scale well in large Enterprise systems
- Simplifying a little bit, mutual exclusion can be enforced by using
  - 1. mutexes (used to be semaphores in old kernels)
  - 2. spinlocks (optionally coupled with interrupt disabling)

#### Development Kernel modules Kernel Lists

Synchronization

### Mutexes

- Mutexes (mutex exclusion semaphores) can be used to protect shared data structures that are only accessed in process context
- Like user-space (pthread) mutexes, kernel mutexes are synchronization objects aimed at controlling the access to the resources shared among the processes in the system
- While a process is waiting on a busy mutex, it is blocked (put in state TASK\_INTERRUPTIBLE or TASK\_UNINTERRUPTIBLE) and replaced by another runnable process
- Remember: mutexes cannot be used in interrupt context!

# Basically, a semaphore cannot be used in interrupt context!

Timing

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Timing

# Using Mutexes

- First of all, declare the mutex as a shared variable seen by all the processes that need to use it: struct mutex foo\_mutex;
- To acquire the shared resource protected by the mutex: mutex\_lock(&foo\_mutex); or mutex\_lock\_interruptible(&foo\_mutex);
- To release the resource: mutex\_unlock(&foo\_mutex);

#### Development Kernel modules Kernel Lists

- Synchronization
- Timing

# Spinlocks

- **Spinlocks** are used to protect data structures that can be possibly accessed in interrupt context
- A spinlock is a mutex implemented by an atomic variable that can have only two possible values: *locked* and *unlocked*
- When the CPU must acquire a spinlock, it reads the value of the atomic variable and sets it to *locked*. If the variable was already locked before the read-and-set operation, the whole step is repeated ("spinning").
- Therefore, a process waiting for a spinlock is never blocked!

Timing

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### Spinlocks

- Development Kernel modules Kernel Lists
- Synchronization
- Timing

- When using spinlocks it's easy to cause deadlocks.
  Some important issues to remember:
  - If the data structure protected by the spinlock is accessed also in interrupt context, we must disable the interrupts before acquiring the spinlock
  - The kernel automatically disables kernel preemption once a spinlock has been acquired

Timing

# Using spinlocks

- To allocate and initialize a spinlock: spinlock\_t foo\_lock;
   spin\_lock\_init(&foo\_lock); [unlocked]
- To disable interrupts and acquire the spinlock: spin\_lock\_irqsave(&foo\_lock, flags); [locked]
- To release the spinlock and restore the previous interrupt status:

spin\_lock\_irqrestore(&foo\_lock, flags); [unlocked]

Development Kernel modules Kernel Lists

Timing

### Time management

- Several kernel functions are time-driven
- Periodic functions:
  - Time of day and system uptime updating
  - Runqueue balancing on SMP
  - Timeslice checking

Timing

### System timer

- Hardware timer issuing an interrupt at a programmable frequency called **tick rate**
- The interrupt handler is called timer interrupt
- The tick rate is defined by the static preprocessor define HZ (see linux/param.h)
- The value of HZ is architecture-dependent
- Some internal calculations assume  $12 \leq \mbox{HZ} \leq 1535$  (see linux/timex.h)
- On x86 architectures the primary system timer is the *Programmable Interrupt Timer* (PIT)

Timing

# Value of HZ

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### Development Kernel modules Kernel Lists

- Synchronizat
- Timing

# Larger HZ values: pros and cons

- Timer interrupt runs more frequently
- Benefits
  - Higher resolution of timed events
  - Improved accuracy of timed events
    - Average error = 5msec with HZ=100
    - Average error = 0.5msec with HZ=1000
  - Improved precision of syscalls employing a timeout
    - Examples: poll() and select().
  - Measurements (e.g. resource usage) have finer resolution
  - Process preemption occurs more accurately
- Drawbacks
  - The processor spends more time executing the timer interrupt handler
  - Higher overhead
  - More frequent cache trashing

Timing

### Measure of time

#### 1. Relative times

- Most important to kernel functions and device drivers
- Example: 5 seconds from now
- Kernel facilities: jiffies, clock cycles and get\_cycles()

#### 2. Absolute times

- Current time of day
- Called "wall time"
- Most important to user-space applications
- Kernel facilities: xtime, mktime() and do\_gettimeofday()
- Usually best left to user-space, where the C library offers better support
- Dealing with absolute times in kernel space is often sign of bad implementation

#### Development Kernel module Kernel Lists

Timing

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### Jiffies

- Development Kernel modules
- Synchronization
- Timing

#### • Global variable jiffies

- Number of ticks occurred since the system booted
- Read-only
- Incremented at any timer interrupt
- Not updated when interrupts are disabled
- The system uptime is therefore jiffies/HZ seconds
- Declared in linux/jiffies.h as extern unsigned long volatile jiffies;
- Declared as volatile to tell the compiler not to optimize memory reads
- unsigned long (32 bits) for backward compliance

# Jiffies (2)

- Development Kernel modules Kernel Lists
- Synchronization
- Timing

- For high values of HZ, jiffies wraps around very quickly
  Four macros to handle wraparounds:
  - time\_after(unknown, known)
  - time\_before(unknown, known)
  - time\_after\_eq(unknown, known)
  - time\_before\_eq(unknown, known)
- The macros convert the values to signed long and perform a subtraction
- The unknown parameter is typically jiffies
- See linux/jiffies.h

### Development Kernel modules Kernel Lists

Timing

### Jiffies\_64

- Extended variable jiffies\_64
- Read-only
- Declared in linux/jiffies.h as extern u64 jiffies\_64;
- jiffies is the lower 32 bits of the full 64-bit jiffies\_64 variable
- The access is not atomic on 32-bit architectures
- Can be read through the function get\_jiffies\_64()

# High-resolution processor-specific timing

Development

- Remer modu
- Kernel Lists
- Synchronization

Timing

- Many architectures provide high-resolution counter registers
- Incremented once at each clock cycle
- Architecture-dependent: readable from user space, writable, 32 or 64 bits, etc.
- x86 processors (from Pentium) have TimeStamp Counter (TSC)
  - 64-bit register
  - Readable from both kernel and user spaces
  - See asm/msr.h ( "machine-specific registers")
  - Three macros:

```
rdtsc(low32, high32);
rdtscl(low32);
```

```
rdtscll(var64);
```

# High-resolution architecture-independent timing

- Development Kernel modules
- Synchronization
- Timing

- The kernel offers an architecture-independent function
- cycles\_t get\_cycles(void);
- Defined in asm/timex.h
- Defined for every platform
  - Returns 0 on platforms without cycle-counter register

Timing

Absolute times: the xtime variable

- The xtime variable
- Defined in kernel/timer.c as struct timespec xtime;
- Timespec data structure:

```
struct timespec
ſ
   time_t tv_sec;
                      /* seconds */
   long tv_nsec;
```

```
/* nanoseconds */
```

```
};
```

- Time elapsed since January 1st 1970 ("epoch")
- Jiffies granularity
- Not atomic access
- Read through

```
struct timespec current_kernel_time(void);
```

Development Kernel module Kernel Lists

Timing

# Absolute times: do\_gettimeofday()

- Function do\_gettimeofday()
- Exported by linux/time.h
- Prototype:

void do\_gettimeofday(struct timeval \*tv);

• Timeval data structure:

```
struct timeval {
    time_t tv_sec; /* seconds */
    suseconds_t tv_usec; /* microseconds */
};
```

- Can have resolution near to microseconds
  - Interpolation: see what fraction of the current jiffy has already elapsed
  - m68k and Sun3 systems cannot offer more than jiffy resolution

Timing

### Absolute times: mktime()

• Function mktime()

- Turns a wall-clock time into a jiffies value
- Prototype:

• See linux/time.h

Timing

# Delaying Execution

The wrong way: busy waiting

```
while (time_before(jiffies, j1))
cpu_relax();
```

- Works because jiffies is declared as volatile
- Crash if interrupts are disabled

Timing

# Delaying Execution (2)

• Release the CPU

```
while (time_before(jiffies, j1))
schedule();
```

- Still not optimal
- There is always at least one runnable process
- The idle task never runs
- Waste of energy

### Development Kernel modules Kernel Lists

#### Timing

# Delaying Execution (3)

- The best way to implement a delay is to ask the kernel to do it!
- Facilities:
  - 1. ndelay(), udelay(), mdelay()
  - 2. schedule\_timeout()
  - 3. Kernel timers

Timing

# Small delays

- Sometimes the kernel code requires very short and rather precise delays
- Example: synchronization with hardware devices
- The kernel provides the following functions:
  - void ndelay (unsigned long nsecs);
  - void udelay (unsigned long usecs);
  - void mdelay (unsigned long msecs);
- Busy looping for a certain number of cycles
- Trivial usage:

udelay(150); for 150  $\mu$ secs.

- The delay is at least the requested value
- See linux/delay.h

Timing

# Small delays (2)

- To avoid overflows, there is a check for constant parameters
  - Unresolved symbol \_\_bad\_udelay
- Do not use for big amounts of time!
- Architecture-dependent (see asm/delay.h)
- BogoMIPS:
  - How many loops the processor can complete in a second
  - Stored in the loops\_per\_jiffy variable
  - See proc/cpuinfo

Timing

### Small delays without busy waiting

- Another way of achieving msec delays
- The kernel provides the following functions:
  - void msleep (unsigned int msecs);
    - Uninterruptible
  - 2. unsigned long msleep\_interruptible (unsigned int msecs);
    - Interruptible
    - Normally returns 0
    - Returns the number of milliseconds remaining if the process is awakened earlier
  - 3. void ssleep (unsigned int seconds);
    - Uninterruptible
- See linux/delay.h

Timing

Small delays without busy waiting

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• See linux/delay.h

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Timing

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- See linux/delay.h

Timing

### schedule\_timeout()

#### • Prototype:

signed long schedule\_timeout(signed long delay);

- See linux/sched.h
- Returns 0 unless the function returns before the given delay has elapsed (e.g. signal)
- Usage:

set\_current\_state(TASK\_INTERRUPTIBLE);

schedule\_timeout (delay);

• Use TASK\_UNINTERRUPTIBLE for uninterruptible delays
Timing

#### Kernel timers

- Allow to schedule an action to happen later without blocking the current process until that time arrives
- Have HZ resolution
- Example: shut down the floppy drive motor
- Also called "dynamic timers" or just "timers"
- Asynchronous execution: run in interrupt context
- Potential source of race conditions  $\Rightarrow$  protect data from concurrent access
- On SMPs the timer function is executed by the same CPU that registered it to achieve better cache locality

Timing

# Kernel timers (2)

- Can be dynamically created and destroyed
- Not cyclic
- No limit on the number of timers
- See linux/timer.h and kernel/timer.c
- $\bullet$  Represented by the struct timer\_list structure

```
struct timer_list {
    struct list_head entry;
    unsigned long expires;
    spinlock_t lock;
    void (*function)(unsigned long);
    unsigned long data;
    struct tvec_t_base_s * base;
};
```

#### Timing

# Kernel timers (2)

- The expires field represents when the timer will fire (expressed in jiffies)
- When the timer fires, it runs the function function with data as argument.

## Using kernel timers

1. Define a timer:

struct timer\_list my\_timer;

2. Define a function:

void my\_timer\_function(unsigned long data);

- Initialize the timer: init\_timer(&my\_timer)
- Set an expiration time: my\_timer.expires = jiffies + delay;
- 5. Set the argument of the function: my\_timer.data = 0; Or my\_timer.data = (unsigned long) &para
- 6. Set the handler function:
   my\_timer.function = my\_function;
- 7. Activate the timer:

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Timing

# Using kernel timers (2)

#### Modify the timer: mod\_timer (&my\_timer, jiffies + new\_delay);

- Deactivate the timer: del\_timer (&my\_timer);
- 10. Deactivate the timer avoiding race conditions on SMPs :
   del\_timer\_sync (&my\_timer);
- Knowing timer's state:
   timer pending (&my timer)

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#### Implementation of kernel timers



