Sameer Pradhan<br>Raytheon BBN Technologies,<br>Cambridge, MA 02138<br>USA<br>pradhan@bbn.com

Alessandro Moschitti<br>University of Trento, 38123 Povo (TN)<br>Italy<br>moschitti@disi.unitn.it

Nianwen Xue<br>Brandeis University,<br>Waltham, MA 02453<br>USA<br>xuen@cs.brandeis.edu

Olga Uryupina<br>University of Trento, 38123 Povo (TN)<br>Italy<br>uryupina@gmail.com

Yuchen Zhang<br>Brandeis University,<br>Waltham, MA 02453<br>USA<br>yuchenz@brandeis.edu


#### Abstract

The CoNLL-2012 shared task involved predicting coreference in English, Chinese, and Arabic, using the final version, v5.0, of the OntoNotes corpus. It was a follow-on to the English-only task organized in 2011. Until the creation of the OntoNotes corpus, resources in this sub-field of language processing were limited to noun phrase coreference, often on a restricted set of entities, such as ACE entities. OntoNotes provides a largescale corpus of general anaphoric coreference not restricted to noun phrases or to a specified set of entity types, and covers multiple languages. OntoNotes also provides additional layers of integrated annotation, capturing additional shallow semantic structure. This paper describes the OntoNotes annotation (coreference and other layers) and then describes the parameters of the shared task including the format, pre-processing information, evaluation criteria, and presents and discusses the results achieved by the participating systems. The task of coreference has had a complex evaluation history. Potentially many evaluation conditions, have, in the past, made it difficult to judge the improvement in new algorithms over previously reported results. Having a standard test set and evaluation parameters, all based on a resource that provides multiple integrated annotation layers (parses, semantic roles, word senses, named entities and coreference) and in multiple languages could support joint models, and should help ground and energize ongoing research in the task of entity and event coreference.


## 1 Introduction

The importance of coreference resolution for the entity/event detection task, namely identifying all
mentions of entities and events in text and clustering them into equivalence classes, has been well recognized in the natural language processing community. Automatic identification of coreferring entities and events in text has been an uphill battle for several decades, partly because it can require world knowledge which is not well-defined and partly owing to the lack of substantial annotated data. Aside from the fact that resolving coreference in text is a very hard problem, there have been hindrances that contributed in damping its progress further:
(i) Smaller sized corpora such as MUC which covered coreference across all noun phrases. Corpora such as ACE which were larger in size, but covered a smaller set of entities, and attempted to cover multiple coreference phenomenon which are not equally annotatable with high agreement; and
(ii) Complex evaluation with multiple evaluation metrics and multiple possible evaluation scenarios, complicated with varying training and test partitions, led to many researchers reporting one or few of the metrics and under a subset of evaluation scenarios making it harder to gauge the improvements in algorithms over the years(Stoyanov et al., 2009), or to determine which particular areas require further attention. Looking at various numbers reported in literature can greatly affect the perceived difficulty of the task. It can seem to be a very hard problem (Soon et al., 2001) or one that is somewhat easier (Culotta et al., 2007).

A step in the right direction for the benefit of the community was to possibly:
(i) Create a large corpus with high annotator agreement possibly by restricting the corefer-
ence annotating to phenomenon that can be annotated with high agreement, and covering an unrestricted set of entities and events; and
(ii) Create a standard evaluation scenario with an official evaluation setup, and possibly several ablation settings to capture the range of performance. This can then be used as a standard benchmark by various researchers.

Creation of the OntoNotes corpus automatically addressed the first issue. A SemEval-2010 coreference task was the first attempt at addressing the second issue. Among other corpora, a small subset ( $\sim 120 \mathrm{k}$ ) of English portion of OntoNotes was used for this purpose. However, it did not receive enough participation which prevented the organizers from forming reaching at any strong conclusions. CoNLL-2011 shared task was another attempt to address the second issue. It was well received, but was only limited to the English portion of OntoNotes. In addition, the coreference portion of OntoNotes did not have a concrete baseline prior to the 2011 evaluation, thereby making it challenging for participants to gauge the performance of their algorithms in absence of established state of the art on this flavor of annotation. The closest comparison was to the results reported by Pradhan et al. (2007b) on the newswire portion of OntoNotes. Since the corpus also covers Chinese and Arabic, it provided a great opportunity to have a follow up task in 2012 covering all three languages. As we will see later, peculiarities of each of these languages had to be considered in creating the evaluation framework.

In the language processing community, the field of speech recognition probably has longest history of shared evaluations held primary by NIST $^{1}$ (Pallett, 2002). In the past decade Machine Translation has been a topic of shared evaluations also by NIST ${ }^{2}$ There are many phenomenon of syntax and semantics that are not quite amenable to such continued evaluation efforts. CoNLL shared tasks over the past 15 years have tried to fill that gap, helping in establishing benchmarks and promoting the advancement of state of the art in various sub-fields within NLP. The importance of shared tasks has now permeated the domain of clinical NLP (Chapman et al., 2011) and recently a coreference task was organized as part of the i2b2 workshop (Uzuner et al., 2012).

The rest of the paper is organized as follows: Section 2 gives a quick overview of the research in
coreference. Section 3 presents an overview of the OntoNotes corpus. Section 4, describes the range of phenomenon annotated in OntoNotes, with language specific issues. Section 5 describes the shared task data and the evaluation parameters, with Section 5.4.2 looking at the performance of state of the art tools on all/most intermediate layers of annotation. Section briefly describes the approaches taken by various participating systems. Section presents the system results with some analysis. Section 10 concludes the paper.

## 2 Background

Early work on corpus-based coreference resolution dates back to the mid-90s by McCarthy and Lenhert (1995) where they experimented with using decision trees and hand-written rules. A systematic study was then conducted using decision trees by Soon et al. (2001). Significant improvements have been made in the field of language processing in general, and improved learning techniques have been developed to push the state of the art in coreference resolution forward (Morton, 2000; Harabagiu et al., 2001; McCallum and Wellner, 2004; Culotta et al., 2007; Denis and Baldridge, 2007; Rahman and Ng, 2009; Haghighi and Klein, 2010). Researchers continued finding novel ways of exploiting ontologies such as WordNet. Various different knowledge sources from shallow semantics to encyclopedic knowledge are being exploited (Ponzetto and Strube, 2005; Ponzetto and Strube, 2006; Versley, 2007; Ng, 2007). Given that WordNet is a static ontology and as such has limitation on coverage, more recently, there have been successful attempts to utilize information from much larger, collaboratively built resources such as Wikipedia (Ponzetto and Strube, 2006). More recently [??] researchers have used graph based algorithms. For a detailed treatment of progress in this field, we refer the reader to a recent survey article ( $\mathrm{Ng}, 2010$ ) and a tutorial (Ponzetto and Poesio, 2009) dedicated to this subject.

In spite of all the progress, current techniques still rely primarily on surface level features such as string match, proximity, and edit distance; syntactic features such as apposition; and shallow semantic features such as number, gender, named entities, semantic class, Hobbs' distance, etc. Corpora to support supervised learning of this task date back to the Message Understanding Conferences (mUC

[^0]dard datasets for current coreference studies are the mUC and the $\mathrm{ACE}^{3}$ (G. Doddington et al., 2004) corpora. These corpora were tagged with coreferring entities identified by noun phrases in the text. The MUC corpora cover all noun phrases in text, but represent small training and test sets. The ACE corpora, on the other hand, have much more annotation, but are restricted to a small subset of entities. They are also less consistent, in terms of interannotator agreement (ITA) (Hirschman et al., 1998) need to add a real citation. This lessens the reliability of statistical evidence in the form of lexical coverage and semantic relatedness that could be derived from the data and used by a classifier to generate better predictive models. The importance of a well-defined tagging scheme and consistent ITA has been well recognized and studied in the past (Poesio, 2004; Poesio and Artstein, 2005; Passonneau, 2004). There is a growing consensus that in order for these to be most useful for language understanding applications such as question answering or distillation - both of which seek to take information access technology to the next level - we need more consistent annotation of larger amounts of broad coverage data for training better automatic techniques for entity and event identification. Identification and encoding of richer knowledge - possibly linked to knowledge sources - and development of learning algorithms that would effectively incorporate them is a necessary next step towards improving the current state of the art. The computational learning community, in general, is also witnessing a move towards evaluations based on joint inference, with the two previous CoNLL tasks (Surdeanu et al., 2008; Hajič et al., 2009) devoted to joint learning of syntactic and semantic dependencies. A principle ingredient for joint learning is the presence of multiple layers of semantic information.

One fundamental question still remains, and that is - what would it take to improve the state of the art in coreference resolution that has not been attempted so far? Many different algorithms have been tried in the past 15 years, but one thing that was lacking until now was a corpus comprehensively tagged on a large scale with consistent, rich semantic information. One of the many goals of the OntoNotes project $^{4}$ (Hovy et al., 2006; Weischedel et al., 2011) was to explore whether it could fill this void and help push the progress further - not only in coreference, but with the various layers of semantics that

[^1]it tries to capture. As one of its layers, it has created a corpus for general anaphoric coreference that covers entities and events not limited to noun phrases or a limited set of entity types. As mentioned earlier, the coreference layer in OntoNotes constitutes just one part of a multi-layered, integrated annotation of shallow semantic structure in text with high interannotator agreement, which also provides a unique opportunity for performing joint inference over a substantial body of data.

## 3 The OntoNotes Corpus

The OntoNotes project has created a corpus of largescale, accurate, and integrated annotation of multiple levels of the shallow semantic structure in text. The English and Chinese language portion comprises roughly one million words per language from newswire, magazine articles, broadcast news, broadcast conversations, web data and conversational speech. The English corpus also contains a further 200k of the English translation of the New Testament. The Arabic portion is smaller, comprising 300 k of newswire articles. The idea is that this rich, integrated annotation covering many layers will allow for richer, cross-layer models enabling significantly better automatic semantic analysis. In addition to coreference, this data is also tagged with syntactic trees, high coverage verb and some noun propositions, partial verb and noun word senses, and 18 named entity types. Over the years of the development of this corpus, there were various priorities that came into play, and therefore not all the data in the corpus is annotated with all the different layers of annotation. However, such multi-layer annotations, with complex, cross-layer dependencies, demands a robust, efficient, scalable mechanism for storing them while providing efficient, convenient, integrated access to the the underlying structure. To this effect, it uses a relational database representation that captures both the inter- and intra-layer dependencies and also provides an object-oriented API for efficient, multi-tiered access to this data (Pradhan et al., 2007a). This should facilitate the creation of cross-layer features in integrated predictive models that will make use of these annotations.

OntoNotes comprises the following layers of annotation:

- Syntax - A syntactic layer representing a revised Penn Treebank (Marcus et al., 1993; Babko-Malaya et al., 2006).
- Propositions - The proposition structure of verbs in the form of a revised PropBank(Palmer
et al．，2005；Babko－Malaya et al．，2006）．men－ tion Chinese and Arabic PropBank
－Word Sense－Coarse grained word senses are tagged for the most frequent polysemous verbs and nouns，in order to maximize cov－ erage．The word sense granularity is tailored to achieve $90 \%$ inter－annotator agreement as demonstrated by Palmer et al．（2007）．These senses are defined in the sense inventory files and each individual sense has been connected to multiple WordNet senses．This provides a direct access to the WordNet semantic structure for users to make use of．For the English por－ tion of OntoNotes，there is also a mapping from the word senses to the PropBank frames and to VerbNet（Kipper et al．，2000）and FrameNet （Fillmore et al．，2003）．Unfortunately，owing to lack of comparable resources as comprehen－ sive as WordNet，in Chinese or Arabic，neither have any inter－resource mappings available．
－Named Entities－The corpus was tagged with a set of 18 proper named entity types that were well－defined and well－tested for inter－ annotator agreement by Weischedel and Burn－ stein（2005）．
－Coreference－This layer captures general anaphoric coreference that covers entities and events not limited to noun phrases or a limited set of entity types（Pradhan et al．，2007b）．It considers all prepositions（PRP，PRP\＄），noun phrases（NP）and heads of verb phrases（VP） as potential mentions．Unlike English，Chi－ nese and Arabic have dropped subjects and ob－ jects which were also considered during coref－ erence．We will take a look at this in detail in the next section．


## 4 Coreference in OntoNotes

General anaphoric coreference that spans a rich set of entities and events－not restricted to a few types， as has been characteristic of most coreference data available until now－has been tagged with a high de－ gree of consistency．Two different types of corefer－ ence are distinguished in the OntoNotes data：Iden－ tical（IDENT），and Appositive（APPOS）．Identify coreference（IDENT）is used for anaphoric corefer－ ence，meaning links between pronominal，nominal， and named mentions of specific referents．It does not include mentions of generic，underspecified，or ab－ stract entities．Appositives（APPOS）are treated sep－
arately because they function as attributions，as de－ scribed further below．Coreference is annotated for all specific entities and events．There is no limit on the semantic types of NP entities that can be consid－ ered for coreference，and in particular，coreference is not limited to ACE types．

## 4．1 Noun Phrases

The mentions over which IDENT coreference applies are typically pronominal，named，or definite nomi－ nal．The annotation process begins by automatically extracting all of the NP mentions from the Penn Treebank，though the annotators can also add addi－ tional mentions when appropriate．In the following two examples（and later ones），the phrases notated in bold form the links of an IDENT chain．
（1）She had a good suggestion and it was unani－ mously accepted by all．
（2）Elco Industries Inc．said it expects net income in the year ending June 30，1990，to fall below a recent analyst＇s estimate of $\$ 1.65$ a share．The Rockford，III．maker of fasteners also said it expects to post sales in the current fiscal year that are＂slightly above＂fiscal 1989 sales of \＄ 155 million．

Noun phrases（NPs）in Chinese can be complex noun phrases or bare nouns（nouns that lack a de－ terminer such as＂the＂or＂this＂）．Complex noun phrases contain structures modifying the head noun， as in the following examples：
（3）

> [他担任 总统 任内 最后 一 次 的 [亚(1) 太经济合作会议［高峰会］］］．
［［His last APEC［summit meeting］］as the Pres－ ident］
（4）［越南统一后［第一位 前往 当地 访问 的 ［美国 总统］］］
［［The first［U．S．president］］who went to visit Vietnam after its unification］

In these examples，the smallest phrase in square brackets is the bare noun．The longer phrase in square brackets includes modifying structures．All the expressions in square brackets，however，share the same head noun，i．e．，＂高峰会（summit meet－ ing）＂，and＂美国总统（U．S．president）＂respectively． Nested noun phrases，or nested NPs，are contained within longer noun phrases．In the above example， ＂summit meeting＂and＂U．S．president＂are nested NPs．Wherever NPs are nested，the largest logical span is used in coreference

## 4．2 Verbs

Verbs are added as single－word spans if they can be coreferenced with a noun phrase or with an－ other verb．The intent is to annotate the VP，but mark the single－word head for convenience．This in－ cludes morphologically related nominalizations（5） and noun phrases that refer to the same event，even if they are lexically distinct from the verb（6）．In the following two examples，only the chains related to the growth event are shown．The Arabic translation of the same example identifies mentions through a line on top of the tokens．
（5）Sales of passenger cars grew $22 \%$ ．The strong growth followed year－to－year increases．

（6）Japan＇s domestic sales of cars，trucks and buses in October rose $18 \%$ from a year earlier to 500，004 units，a record for the month，the Japan Automobile Dealers＇Association said．The strong growth followed year－to－year increases of $21 \%$ in August and $12 \%$ in September．

## 4．3 Pronouns

All pronouns and demonstratives are linked to any－ thing that they refer to，and pronouns in quoted speech are also marked．Expletive or pleonastic pro－ nouns（it，there）are not considered for tagging，and generic you is not marked．In the following exam－ ple，the pronoun you and it would not be marked．（In this and following examples，an asterisk（＊）before a boldface phrase identifies entity／event mentions that would not be tagged as coreferent．）
（7）Senate majority leader Bill Frist likes to tell a story from his days as a pioneering heart surgeon back in Tennessee．A lot of times， Frist recalls，＊you＇d have a critical patient ly－ ing there waiting for a new heart，and＊you＇d want to cut，but＊you couldn＇t start unless＊you knew that the replacement heart would make ＊it to the operating room．

In Chinese，if the subject or object can be recov－ ered from the context，or it is of little interest for the reader／listener to know，it can be omitted．In Chi－ nese Treebank，the position where subject or object is omitted is annotated with small＊pro＊．A＊pro＊ can be replaced by overt NPs if they refer to the same entity or event．And＊pro＊and overt NPs do not have to be in the same sentence．Exactly what＊pro＊
stands for is determined by the linguistic context in which it appears．

吉林省主管经贸工作的副省长全哲洙说：＂ ［ $\left.{ }^{*} \mathrm{pro}^{*}\right]$ 欢迎国际社会同［我们］一道，共同推进图门江开发事业，促进区域经济发展，造福东北亚人民。
Quan Zhezhu，Vice Governor of Jinlin Province who is in charge of economics and trade，said：＂［＊pro＊］Welcome international so－ cieties to join［us］in the development of Tu－ men Jiang，so as to promote regional economic development and benefit people in Northeast Asia．

Sometimes，＊pro＊s cannot be recovered in the text－i．e．，they cannot be replaced by an overt NP in the text．For example，＊pro＊in existential sen－ tences usually cannot be recovered or linked in the annotation，as in the following case
（9）［＊$\left.{ }^{*} \mathrm{pro}^{*}\right]$ 有二十三顶高新技术项目进区开发。
There are 23 high－tech projects under develop－ ment in the zone．

Also，if＊pro＊does not refer to a specific en－ tity or event，it is considered generic＊pro＊and not linked．Finally，${ }^{*}$ pro＊s in idiomatic expressions are not linked．
（10）肯德基 ，麦当劳等速食店全 大陆都推出了［＊pro＊］买 套餐赠送 布质 或 棉质 圣诞老人玩具的促销。
In Mainland China，fast food restaurants such as Kentucky Fried Chicken and McDonald＇s have launched their promotional packages by providing free cotton Santa toys for each combo［＊pro＊］purchased

Similar to Chinese，Arabic null subjects and ob－ jects are also eligible for coreference．In the Arabic Treebank，these are marked with just an＂＊＂．

## 4．4 Generic mentions

Generic nominal mentions can be linked with refer－ ring pronouns and other definite mentions，but are not linked to other generic nominal mentions．

This would allow linking of the bracketed men－ tions in（11）and（12），but not（13）．
（11）Officials said they are tired of making the same statements．
(12) Meetings are most productive when they are held in the morning. Those meetings, however, generally have the worst attendance.
(13) Allergan Inc. said it received approval to sell the PhacoFlex intraocular lens, the first foldable silicone lens available for *cataract surgery. The lens' foldability enables it to be inserted in smaller incisions than are now possible for *cataract surgery.

Bare plurals, as in (11) and (12), are always considered generic. In example (14) below, there are two generic instances of parents. These are marked as distinct IDENT chains (with separate chains distinguished by subscripts $\mathrm{X}, \mathrm{Y}$ and Z ), each containing a generic and the related referring pronouns.
(14) Parents $X_{X}$ should be involved with their $X$ children's education at home, not in school. $\mathbf{T h e y}_{\mathrm{X}}$ should see to it that their $\mathrm{X}_{\mathrm{X}}$ kids don't play truant; theyx should make certain that the children spend enough time doing homework; they ${ }_{X}$ should scrutinize the report card. Parents $Y$ are too likely to blame schools for the educational limitations of their ${ }_{Y}$ children. If parentsz are dissatisfied with a school, they $z$ should have the option of switching to another.

In (15) below, the verb "halve" cannot be linked to "a reduction of $50 \%$ ", since "a reduction" is indefinite.
(15) Argentina said it will ask creditor banks to *halve its foreign debt of $\$ 64$ billion - the third-highest in the developing world . Argentina aspires to reach *a reduction of $\mathbf{5 0 \%}$ in the value of its external debt.

### 4.5 Pre-modifiers

Proper pre-modifiers can be coreferenced, but proper nouns that are in a morphologically adjectival form are treated as adjectives, and not coreferenced. For example, adjectival forms of GPEs such as Chinese in "the Chinese leader", would not be linked. Thus we could coreference United States in "the United States policy" with another referent, but not American "the American policy." GPEs and Nationality acronyms (e.g. U.S.S.R. or U.S.). are also considered adjectival. Pre-modifier acronyms can be coreferenced unless they refer to a nationality. Thus in the examples below, FBI can be coreferenced to other mentions, but U.S. cannot.
(16) FBI spokesman
(17) *U.S. spokesman

Dates and monetary amounts can be considered part of a coreference chain even when they occur as pre-modifiers.
(18) The current account deficit on France's balance of payments narrowed to 1.48 billion French francs ( $\$ 236.8$ million) in August from a revised 2.1 billion francs in July, the Finance Ministry said. Previously, the July figure was estimated at a deficit of 613 million francs.
(19) The company's $\mathbf{\$ 1 5 0}$ offer was unexpected. The firm balked at the price.

### 4.6 Copular verbs

Attributes signaled by copular structures are not marked; these are attributes of the referent they modify, and their relationship to that referent will be captured through word sense and propositional argument tagging.
(20) John $X_{X}$ is a linguist. People ${ }_{Y}$ are nervous around $\mathbf{J o h n}_{X}$, because $\mathbf{h e}_{X}$ always corrects their ${ }_{Y}$ grammar.

Copular (or 'linking') verbs are those verbs that function as a copula and are followed by a subject complement. Some common copular verbs are: be, appear, feel, look, seem, remain, stay, become, end up, get. Subject complements following such verbs are considered attributes, and not linked. Since Called is copular, neither IDENT nor APPOS coreference is marked in the following case.
(21) Called Otto's Original Oat Bran Beer, the brew costs about \$12.75 a case.

### 4.7 Small clauses

Like copulas, small clause constructions are not marked. The following example is treated as if the copula were present ("John considers Fred to be an idiot"):
(22) John considers *Fred *an idiot.

### 4.8 Temporal expressions

Temporal expressions such as the following are linked:
(23) John spent three years in jail. In that time...

Deictic expressions such as now, then, today, tomorrow, yesterday, etc. can be linked, as well as other temporal expressions that are relative to the time of the writing of the article, and which may therefore require knowledge of the time of the writing to resolve the coreference. Annotators were allowed to use knowledge from outside the text in resolving these cases. In the following example, the end of this period and that time can be coreferenced, as can this period and from three years to seven years.
(24) The limit could range from three years to seven years ${ }_{\mathrm{x}}$, depending on the composition of the management team and the nature of its strategic plan. At (the end of $\left.(\text { this period })_{X}\right)_{Y}$, the poison pill would be eliminated automatically, unless a new poison pill were approved by the then-current shareholders, who would have an opportunity to evaluate the corporation's strategy and management team at that time $_{Y}$.

In multi-date temporal expressions, embedded dates are not separately connected to to other mentions of that date. For example in Nov. 2, 1999, Nov. would not be linked to another instance of November later in the text.

### 4.9 Appositives

Because they logically represent attributions, appositives are tagged separately from Identity coreference. They consist of a head, or referent (a noun phrase that points to a specific object/concept in the world), and one or more attributes of that referent. An appositive construction contains a noun phrase that modifies an immediately-adjacent noun phrase (separated only by a comma, colon, dash, or parenthesis). It often serves to rename or further define the first mention. Marking appositive constructions allows us to capture the attributed property even though there is no explicit copula.
(25) John ${ }_{\text {head }}$, a linguist ${ }_{\text {attribute }}$

The head of each appositive construction is distinguished from the attribute according to the following heuristic specificity scale, in a decreasing order from top to bottom:

| Type | Example |
| :--- | :--- |
| Proper noun | John |
| Pronoun | He |
| Definite NP | the man |
| Indefinite specific NP | a man I know |
| Non-specific NP | man |

This leads to the following cases:
(26) $\mathbf{J o h n}_{\text {head }}$, a linguist $_{\text {attribute }}$
(27) A famous linguist attribute , he head studied at ...
(28) a principal of the firm $_{\text {attribute }}$, J. Smith head

In cases where the two members of the appositive are equivalent in specificity, the left-most member of the appositive is marked as the head/referent. Definite NPs include NPs with a definite marker (the) as well as NPs with a possessive adjective (his). Thus the first element is the head in all of the following cases:
(29) The chairman, the man who never gives up
(30) The sheriff, his friend
(31) His friend, the sheriff

In the specificity scale, specific names of diseases and technologies are classified as proper names, whether they are capitalized or not.
(32) A dangerous bacteria, bacillium, is found

When the entity to which an appositive refers is also mentioned elsewhere, only the single span containing the entire appositive construction is included in the larger ident chain. None of the nested NP spans are linked. In the example below, the entire span can be linked to later mentions to Richard Godown.

The sub-spans are not included separately in the IDENT chain.

## (33) Richard Godown, president of the Industrial Biotechnology Association

Ages are tagged as attributes (as if they were ellipses of, for example, a 42-year-old):
(34) Mr.Smith ${ }_{\text {head }}, \mathbf{4 2}_{\text {attribute }}$,

Similar rules apply for Chinese and Arabic.

### 4.10 Special Issues

In addition to the ones above, there are some special cases such as:

- No coreference is marked between an organization and its members.
- GPEs are linked to references to their governments, even when the references are nested NPs , or the modifier and head of a single NP.

| Type | Description |
| :---: | :---: |
| Annotator Error | An annotator error. This is a catch-all category for cases of errors that do not fit in the other categories. |
| Genuine Ambiguity | This is just genuinely ambiguous. Often the case with pronouns that have no clear antecedent (especially this \& that) |
| Generics | One person thought this was a generic mention, and the other person didn't |
| Guidelines | The guidelines need to be clear about this example |
| Callisto Layout | Something to do with the usage/design of Callisto |
| Referents | Each annotator thought this was referring to two completely different things |
| Possessives | One person did not mark this possessive |
| Verb | One person did not mark this verb |
| Pre Modifiers | One person did not mark this Pre Modifier |
| Appositive | One person did not mark this appositive |
| Extent | Both people marked the same entity, but one person's mention was longer |
| Copula | Disagreement arose because this mention is part of a copular structure <br> a) Either each annotator marked a different half of the copula <br> b) Or one annotator unnecessarily marked both |

Figure 1: Description of various disagreement types


Figure 2: The distribution of disagreements across the various types in Table 1 for a sample of 15 K disagreements in the English portion of the corpus.

- In extremely rare cases, metonymic mentions can be co-referenced. This is done only when the two mentions clearly and without a doubt refer to the same entity. For example:
(35) In a statement released this afternoon, [10 Downing Street] called the bombings in Casablanca "a strike against all peaceloving people."
(36) In a statement, [Britain] called the Casablanca bombings "a strike against all peace-loving people."

In this case, it is obvious that " 10 Downing Street" and "Britain" are being used interchangeably in the text. Again, if there is any ambiguity, however, these terms are not coreferenced with each other.

- In Arabic, verbal inflections are not considered pronominal and are not coreferenced.


### 4.11 Annotator Agreement and Analysis

Table 1 shows the inter-annotator and annotatoradjudicator agreement on all the genres of OntoNotes. We also analyzed about 15 K disagreements in various parts of the English data, and grouped them into one of the categories shown in Figure 1. Figure 2 shows the distribution of these different types that were found in that sample. It can be seen that genuine ambiguity and annotator error are the biggest contributors - the latter of which is usually captured during adjudication, thus showing the increased agreement between the adjudicated version and the individual annotator version.

## 5 CoNLL-2012 Coreference Task

The CoNLL-2012 shared task was held across all three languages that are from quite different language families - English, Chinese and Arabic - of the OntoNotes 5.0 data. The task was to automatically identify mentions of entities and events in text and to link the coreferring mentions together to

| Language | Genre | A1-A2 | A1-ADJ | A2-ADJ |
| :--- | :--- | ---: | ---: | ---: |
| English | Newswire | 80.9 | 85.2 | 88.3 |
|  | Broadcast News | 78.6 | 83.5 | 89.4 |
|  | Broadcast Conversation | 86.7 | 91.6 | 93.7 |
|  | Magazine | 78.4 | 83.2 | 88.8 |
|  | Web | 85.9 | 92.2 | 91.2 |
|  | Call Home | 81.3 | 94.1 | 84.7 |
|  | New Testament | 89.4 | 96.0 | 92.0 |
| Chinese | Newswire | 73.6 | 84.8 | 75.1 |
|  | Broadcast News | 80.5 | 86.4 | 91.6 |
|  | Broadcast Conversation | 84.1 | 90.7 | 91.2 |
|  | Magazine | 74.9 | 81.2 | 80.0 |
|  | Web | 87.6 | 92.3 | 93.5 |
|  | Call Home | 65.6 | 86.6 | 77.1 |
| Arabic | Newswire | 73.8 | 88.1 | 75.6 |

Table 1: Inter Annotator and Adjudicator agreement for the Coreference Layer in OntoNotes measured in terms of the MUC score.
form entity/event chains. The coreference decisions had to be made using automatically predicted information on the other structural and semantic layers including the parses, semantic roles, word senses, and named entities. Given various factors, such as the lack of resources, state of the art tools, and time constraints, we could not provide some layers of information for the Chinese and Arabic portion of the data. The morphology of these languages is quite different. Arabic has a complex morphology, English has limited morphology, whereas Chinese has no morphology. English word segmentation amounts to rule-based tokenization, and is close to perfect. In case of Chinese and Arabic, although it is not as good as English, the accuracies are in the high 90s. Syntactically, there are many dropped subjects and objects in Arabic and Chinese, where as none is the case with English. Another difference is the amount of resources available for each language. English has probably the most resources at its disposal, whereas Chinese and Arabic lack significantly. Arabic more so than Chinese. Given this fact, plus the fact that the CoNLL format cannot handle multiple segmentations, and that it would complicate scoring since we are using exact token boundaries (as discussed later in Section xxx), we decided to allow the use of gold, treebank segmentation for all languages. In case of Chinese, the words themselves are lemmas, so no addition information needs to be provided. For Arabic, we decided to also provide correct, gold lemmas, along with the correct vocalized version of the tokens. Table 2 lists all the predicted layers of information provided for each language.

As is customary for CoNLL tasks, there were two

| Layer | English | Chinese | Arabic |
| :--- | :---: | :---: | :---: |
| Segmentation | $\bullet$ | $\bullet$ | $\bullet$ |
| Lemma | $\sqrt{ }$ | - | $\bullet$ |
| Parse | $\sqrt{ }$ | $\sqrt{ }$ | $\sqrt{ }{ }^{5}$ |
| Proposition | $\sqrt{ }$ | $\sqrt{ }$ | $\times$ |
| Predicate Frame | $\sqrt{ }$ | $\times$ | $\times$ |
| Word Sense | $\sqrt{ }$ | $\sqrt{ }$ | $\sqrt{ }$ |
| Name Entities | $\sqrt{ }$ | $\times$ | $\times$ |
| Speaker | $\bullet$ | $\bullet$ | - |

Table 2: Summary of predicted layers provided for each language. A " $\bullet$ " indicates gold annotation.
primary tracks, closed and open. For the closed track, systems were limited to using the distributed resources, in order to allow a fair comparison of algorithm performance, while the open track allowed for almost unrestricted use of external resources in addition to the provided data. Within each closed and open track, we had an optional supplementary track which allowed us to run some ablation studies over a few different input conditions. This allowed us to evaluate the systems given: i) Gold parses; ii) Gold mention boundaries, and iii) Gold mentions.

### 5.1 Primary Evaluation

The primary evaluation comprises the closed and open tracks where predicted information is provided on all layers of the test set other than coreference. As mentioned earlier, we provide gold lemma and vocalization information for Arabic, and we use gold, treebank, segmentation for all three languages.

### 5.1.1 Closed Track

In the closed track, systems were limited to the provided data. For the training and test data, in addition to the underlying text, predicted versions of all the supplementary layers of annotation were provided using off-the-shelf tools (parsers, semantic role labelers, named entity taggers, etc.) retrained on the training portion of the OntoNotes v5.0 data - as described in Section 5.4.2. For the training data, however, in addition to predicted values for the other layers, we also provided manual gold-standard annotations for all the layers. Participants were allowed to use either the gold-standard or predicted annotation for training their systems. They were also free to use the gold-standard data to train their own models for the various layers of annotation, if they judged that those would either provide more accurate predictions or alternative predictions for use as multiple views, or wished to use a lattice of predictions.

More so than previous CoNLL tasks, coreference
predictions depend on world knowledge, and many state-of-the-art systems use information from external resources such as WordNet, which can add a layer of information that could help a system recognize semantic connections between the various lexicalized mentions in the text. Therefore, in the case of English, similar to the previous year's task, we allowed the use of WordNet for the closed track. Since word senses in OntoNotes are predominantly ${ }^{6}$ coarse-grained groupings of WordNet senses, systems could also map from the predicted or goldstandard word senses to the sets of underlying WordNet senses. Another significant piece of knowledge that is particularly useful for coreference but that is not available in the layers of OntoNotes is that of number and gender. There are many different ways of predicting these values, with differing accuracies, so in order to ensure that participants in the closed track were working from the same data, thus allowing clearer algorithmic comparisons, we specified a particular table of number and gender predictions generated by Bergsma and Lin (2006), for use during both training and testing. Unfortunately neither Arabic, nor Chinese has comparable resources available that we could allow participants to use.

### 5.1.2 Open Track

In addition to resources available in the closed track, the open track, systems were allowed to use external resources such as Wikipedia, gazetteers etc. This track is mainly to get an idea of a performance ceiling on the task at the cost of not getting a comparison across all systems. Another advantage of the open track is that it might reduce the barriers to participation by allowing participants to field existing research systems that already depend on external resources - especially if there were hard dependencies on these resources - so they can participate in the task with minimal or no modification to their existing system.

### 5.2 Supplementary Evaluation

In addition to the option to select between the official closed or the open tracks, the participants also had an option to run their systems on some ablation settings.

Gold Parses In this case, for each language, we replaced the predicted parses in the closed track data with manual, gold parses.

[^2]Gold Mention Boundaries In this case, we provided all possible correct mention boundaries in the test data. This essentially entails all NPs, and PRPs in the data extracted from the gold parse trees, as well as the mentions that do not align with any parse constituent, for example, verb mentions and some named entities.

Gold Mentions In this dataset, we provided only and all the correct mentions for the test sets, thereby reducing the task to one of pure coreference linking, and eliminating the task of mention detection and anaphoricity determination ${ }^{7}$.

### 5.3 Train, Development and Test Splits

We used the same algorithm as in CoNLL-2011 to create the train/development/test partitions for English, Chinese and Arabic. We tried to reuse previously used training/development/test partitions for Chinese and Arabic, but either they were not in the selection used for OntoNotes, or were partially overlapping. Unfortunately, unlike English WSJ partitions, there was no clean way of reusing those partitions. Algorithm 1 details this procedure. modify the lists. The list of training, development and test document IDs can be found on the task webpage ${ }^{8}$. Following the recent CoNLL tradition, participants were allowed to use both the training and the development data for training the final model.

### 5.4 Data Preparation

This section gives details of the different annotation layers including the automatic models that were used to predict them, and describes the formats in which the data were provided to the participants.

### 5.4.1 Manual Annotation Gold Layers

We will take a look at the manually annotated, or gold layers of information that were made available for the training data.

Coreference The manual coreference annotation is stored as chains of linked mentions connecting multiple mentions of the same entity. Coreference is

[^3]```
\(\overline{\text { Algorithm } 1 \text { Procedure used to create OntoNotes training, development }}\)
and test partitions.
Procedure: Generate_Partitions(OntoNotes) returns Train, Dev, Test
Train \(\leftarrow \emptyset\)
Dev \(\leftarrow \emptyset\)
Test \(\leftarrow \emptyset\)
for all Source \(\in\) OntoNotes do
        if Source \(=\) Wall Street Journal then
            Train \(\leftarrow\) Train \(\cup\) Sections \(02-21\)
            Dev \(\leftarrow \operatorname{Dev} \cup\) Sections 00, 01, 22, 24
            Test \(\leftarrow\) Test \(\cup\) Section 23
        else
            if Number of files in Source \(\geq 10\) then
                Train \(\leftarrow\) Train \(\cup\) File IDs ending in \(1-8\)
                    Dev \(\leftarrow \mathrm{Dev} \cup\) File IDs ending in 0
                    Test \(\leftarrow\) Test \(\cup\) File IDs ending in 9
            else
                    Dev \(\leftarrow\) Dev \(\cup\) File IDs ending in 0
                    Test \(\leftarrow\) Test \(\cup\) File ID ending in the highest number
                    Train \(\leftarrow\) Train \(\cup\) Remaining File IDs for the Source
            end if
        end if
end for
return Train, Dev, Test
```

the only document-level phenomenon in OntoNotes, and the complexity of annotation increases nonlinearly with the length of a document. Unfortunately, some of the documents - especially ones in the broadcast conversation, weblogs, and telephone conversation genre - are very long which prohibited us from efficiently annotating them in entirety. These had to be split into smaller parts. We conducted a few passes to join some adjacent parts, but since some documents had as many as 17 parts, there are still multi-part documents in the corpus. Since the coreference chains are coherent only within each of these document parts, for this task, each such part is treated as a separate document. Another thing to note is that there were some cases of sub-token annotation in the corpus owing to the fact that tokens were not split at hyphens. Cases such as proWalMart had the sub-span WalMart linked with another instance of Walmart. The recent Treebank revision which split tokens at most hyphens, made a majority of these sub-token annotations go away. There were still some residual sub-token annotations. Since subtoken annotations cannot be represented in the CoNLL format, and they were a very small quantity - much less than even half a percent - we decided to ignore them. Unlike English, Chinese and Arabic has coreference annotation on elided sub-
jects/objects. Recovering these entities in text is a hard problem, and the most recently reported numbers in literature for Chinese are around a F-score of 50 cite, and for Arabic are around mention typical scores. Considering the level of prediction accuracy of these tokens, and the relative frequency of the same, plus the fact that the CoNLL tabular format is not amenable to a variable number of tokens, we decided to not consider them as part of the task. In other words, we removed the manually identified traces (*pro* and *) respectively in Chinese and Arabic Treebanks. We also do not consider the links that are formed by these tokens (how many?) in the gold evaluation key.

For various reasons, not all the documents in OntoNotes have been annotated with all the different layers of annotation, with full coverage. ${ }^{11}$ There is a core portion, however, which is roughly 1.6 M English words, 950 K Chinese words, and 300 K Arabic words which has been annotated with all the layers. This is the portion that we used for the shared task.

The number of documents in the corpus for this

[^4]| Corpora | Language | Words |  |  |  | Documents |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Total | Train | Dev | Test | Total | Train | Dev | Test |
| MUC-6 | English | 25K | 12 K |  | K | 60 | 30 |  |  |
| MUC-7 | English | 40K | 19K |  | K | 67 | 30 |  |  |
| $\mathrm{ACE}^{9}$ (2000-2004) | English | 960K | 745K |  | K | - | - |  |  |
|  | Chinese | 615K | 455K |  | K | - | - |  |  |
|  | Arabic | 500K | 350K |  | K | - | - |  |  |
| OntoNotes ${ }^{10}$ | English | 1.6 M | 1.3 M | 160K | 170K | $\begin{gathered} 2,384 \\ (3493) \end{gathered}$ | $\begin{gathered} 1,940 \\ (2,802) \end{gathered}$ | $\begin{gathered} 222 \\ (343) \end{gathered}$ | 222 348() |
|  | Chinese | 950K | 750K | 110K | 90K | 1,729 $(2,280)$ | $\begin{aligned} & 1,391 \\ & (1,810) \end{aligned}$ | $\begin{array}{r} 172 \\ (252) \end{array}$ | $\begin{aligned} & 166 \\ & (218) \end{aligned}$ |
|  | Arabic | 300K | 240K | 30K | 30K | $\begin{array}{r} (2,280) \\ 447 \\ (447) \end{array}$ | $\begin{array}{r} 359 \\ (359) \end{array}$ | $254$ (44) | $\begin{gathered} 218 \\ 44 \\ (44) \end{gathered}$ |

Table 3: Number of documents in the OntoNotes data, and some comparison with the MUC and ACE data sets. The numbers in parenthesis for the OntoNotes corpus indicate the total number of parts that correspond to the documents. Each part was considered a separate document for evaluation purposes.

| Language | Syntactic category | Train |  | Development |  | Test |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Count | \% | Count | \% | Count | \% |
| English | NP | 81,866 | 52.89 | 10,274 | 53.71 | 10,357 | 52.51 |
|  | PRP | 65,529 | 42.33 | 7,705 | 40.28 | 8,157 | 0.41 |
|  | NNP | 2,902 | 1.87 | 478 | 2.50 | 503 | 0.03 |
|  | V | 2,493 | 1.61 | 295 | 1.54 | 342 | 0.02 |
|  | Other N | 1,024 | 0.66 | 226 | 1.18 | 191 | 0.01 |
|  | Other | 978 | 0.63 | 150 | 0.78 | 175 | 0.01 |
| Chinese | NP | 101,049 | 98.94 | 13,876 | 98.63 | 12,593 | 99.01 |
|  | PRP | 467 | 0.46 | 107 | 0.76 | 70 | 0.55 |
|  | NR | 71 | 0.07 | 8 | 0.06 | 8 | 0.06 |
|  | other N | 75 | 0.07 | 11 | 0.08 | 3 | 0.02 |
|  | V | 187 | 0.18 | 37 | 0.26 | 13 | 0.10 |
|  | Other | 282 | 0.28 | 30 | 0.21 | 32 | 0.25 |
| Arabic | NP | 23,157 | 85.79 | 2,828 | 86.94 | 2,673 | 84.86 |
|  | PRP | 2,977 | 11.03 | 344 | 10.57 | 410 | 13.02 |
|  | NNP | 608 | 2.25 | 49 | 1.51 | 36 | 1.14 |
|  | NN | 71 | 0.26 | 10 | 0.31 | 8 | 0.25 |
|  | V | 25 | 0.09 | 4 | 0.12 | 0 | 0.00 |
|  | Other | 154 | 0.57 | 18 | 0.55 | 23 | 0.73 |

Table 4: Distribution of mentions in the data by their syntactic category.
task, for each of the different languages, are shown in Table 3. Tables 4 and 5 shows the distribution of mentions by the syntactic categories, and the counts of entities, links and mentions in the corpus respectively. All of this data has been Treebanked and PropBanked either as part of the OntoNotes effort or some preceding effort.

For comparison purposes, Table 3 also lists the number of documents in the MUC-6, MUC-7, and ACE (2000-2004) corpora. The MUC-6 data was taken from the Wall Street Journal, whereas the MUC-7 data was from the New York Times. The ACE data spanned many different languages and genres similar to the ones in OntoNotes.

Parse Trees This represents the syntactic layer that is a revised version of the treebanks in English, Chinese and Arabic. Arabic treebank has probably

| Language | Type | Train | Development | Test | All |
| :--- | :--- | ---: | ---: | ---: | ---: |
| English | Entities/Chains | 35,143 | 4,546 | 4,532 | 44,221 |
|  | Links | 120,417 | 14,610 | 15,232 | 150,259 |
|  | Mentions | 155,560 | 19,156 | 19,764 | 194,480 |
| Chinese | Entities/Chains | 28,257 | 3,875 | 3,559 | 35,691 |
|  | Links | 74,597 | 10,308 | 9,242 | 94,147 |
|  | Mentions | 10,2854 | 14,183 | 12,801 | 129,838 |
| Arabic | Entities/Chains | 8,330 | 936 | 980 | 10,246 |
|  | Links | 19,260 | 2,381 | 2,255 | 23,896 |
|  | Mentions | 27,590 | 3,313 | 3,235 | 34,138 |

Table 5: Number of entities, links and mentions in the OntoNotes 5.0 data.
seen the most revision over the past few years, to increase consistency. For purposes of this task, traces were removed from the syntactic trees, since the CoNLL-style data format, being indexed by tokens, does not provide any good means of conveying that information. As mentioned in the previous section, these include the cases of traces in Chinese and Arabic which are legitimate dropped subjects/objects. Function tags were also removed, since the parsers that we used for the predicted syntax layer did not provide them. One thing that needs to be dealt with in conversational data is the presence of disfluencies (restarts, etc.). Tokens that were part of disfluencies were removed from the English portion of the data, but kept in the Chinese portion. Since Arabic portion of the corpus is all newswire, this had no impact on it. In the English OntoNotes parses the disfluencies are marked using a special EDITED ${ }^{12}$ phrase tag - as was the case for the Switchboard Treebank. Given the frequency of disfluencies and the perfor-

[^5]mance with which one can identify them automatically, ${ }^{13}$ a probable processing pipeline would filter them out before parsing. Since we did not have a readily available tagger for tagging disfluencies, we decided to remove them using oracle information available in the Treebank, and the coreference chains were remapped to trees without disfluencies. Owing to various constraints, we decided to retain the disfluencies to be kept in the Chinese data.

Propositions The propositions in OntoNotes constitute PropBank semantic roles. Most of the verb predicates in the corpus have been annotated with their arguments. Recent enhancements to the PropBank to make it synchronize better with the Treebank (Babko-Malaya et al., 2006) have enhanced the information in the proposition by the addition of two types of LINKs that represent pragmatic coreference (LINK-PCR) and selectional preferences (LINKSLC). More details can be found in the addendum to the PropBank guidelines ${ }^{14}$ in the OntoNotes 5.0 release. Since the community is not used to this representation which relies heavily on the trace structure in the Treebank which we are excluding, we decided to unfold the LINKs back to their original representation as in the Proposition Bank release 1.0. This functionality is part of the OntoNotes DB Tool. ${ }^{15}$

Word Sense Gold word sense annotation was supplied using sense numbers as specified in the OntoNotes list of senses for each lemma. ${ }^{16}$

Named Entities Named Entities in OntoNotes data are specified using a catalog of 18 Name types.

Other Layers Discourse plays a vital role in coreference resolution. In the case of broadcast conversation, or telephone conversation data, it partially manifests in the form of speakers of a given utterance, whereas in weblogs or newsgroups it does so as the writer, or commenter of a particular article or thread. This information provides an important clue for correctly linking anaphoric pronouns with the right antecedents. This information could be automatically deduced, but since it would add additional complexity to the already complex task, we

[^6]decided to provide oracle information of this metadata both during training and testing. In other words, speaker and author identification was not treated as an annotation layer that needed to be predicted. This information was provided in the form of another column in the .conll table. There were some cases of interruptions and interjections that ideally would associate parts of a sentence to two different speakers, but since the frequency of this was quite small, we decided to make an assumption of one speaker/writer per sentence.

### 5.4.2 Predicted Annotation Layers

The predicted annotation layers were derived using automatic models trained using cross-validation on other portions of OntoNotes data. As mentioned earlier, there are some portions of the OntoNotes corpus that have not been annotated for coreference but that have been annotated for other layers. For training models for each of the layers, where feasible, we used all the data that we could for that layer from the training portion of the entire OntoNotes release.

Parse Trees Predicted parse trees for English were produced using the Charniak parser (Charniak and Johnson, 2005). ${ }^{17}$ Some additional tag types used in the OntoNotes trees were added to the parser's tagset, including the nML tag that has recently been added to capture internal NP structure, and the rules used to determine head words were appropriately extended. Chinese and Arabic parses were generated using the Berkeley parser. In case of Arabic, the parsing community uses a mapping from rich Arabic part of speech tags, to Penn-style part of speech tags. We used that mapping which is included with the Arabic treebank. The parser was then re-trained on the training portion of the release 5.0 data using 10 -fold cross-validation. Table 6 shows the performance of the re-trained parsers on the CoNLL-2012 test set. We did not get a chance to re-train the reranker available for English, and since the stock reranker crashes when run on $n$-best parses containing nMLs, because it has not seen that tag in training, we could not make use of it.

Word Sense This year we used the IMS (It Makes Sense) (Zhong and Ng, 2010) word sense tagger ${ }^{18}$. It was trained on all the word sense data that is

[^7]|  |  | All Sentences |  |  |  |  |  |  |  |  | Sentence len $<40$ |  |  |  |  |
| :--- | :--- | ---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | N | POS | R | P | F | N | R | P | F |  |  |  |  |
| English | Broadcast Conversation (BC) | 2,194 | 95.93 | 84.30 | 84.46 | 84.38 | 2,124 | 85.83 | 85.97 | 85.90 |  |  |  |  |  |
|  | Broadcast News (BN) | 1,344 | 96.50 | 84.19 | 84.28 | 84.24 | 1,278 | 85.93 | 86.04 | 85.98 |  |  |  |  |  |
|  | Magazine (MZ) | 780 | 95.14 | 87.11 | 87.46 | 87.28 | 736 | 87.71 | 88.04 | 87.87 |  |  |  |  |  |
|  | Newswire (NW) | 2,273 | 96.95 | 87.05 | 87.45 | 87.25 | 2,082 | 88.95 | 89.27 | 89.11 |  |  |  |  |  |
|  | Telephone Conversation (TC) | 1,366 | 93.52 | 79.73 | 80.83 | 80.28 | 1,359 | 79.88 | 80.98 | 80.43 |  |  |  |  |  |
|  | Weblogs and Newsgroups (WB) | 1,658 | 94.67 | 83.32 | 83.20 | 83.26 | 1,566 | 85.14 | 85.07 | 85.11 |  |  |  |  |  |
|  | New Testament | 1,217 | 96.87 | 92.48 | 93.66 | 93.07 | 1,217 | 92.48 | 93.66 | 93.07 |  |  |  |  |  |
|  | Overall | 9,615 | 96.03 | 85.25 | 85.43 | 85.34 | 9145 | 86.86 | 87.02 | 86.94 |  |  |  |  |  |
| Chinese | Broadcast Conversation (BC) | 885 | 94.79 | 79.35 | 80.17 | 79.76 | 824 | 80.92 | 81.86 | 81.38 |  |  |  |  |  |
|  | Broadcast News (BN) | 929 | 93.85 | 80.13 | 83.49 | 81.78 | 756 | 81.82 | 84.65 | 83.21 |  |  |  |  |  |
|  | Magazine (MZ) | 451 | 97.06 | 83.85 | 88.48 | 86.10 | 326 | 85.64 | 89.80 | 87.67 |  |  |  |  |  |
|  | Newswire (NW) | 481 | 94.07 | 77.28 | 82.26 | 79.69 | 406 | 79.06 | 83.84 | 81.38 |  |  |  |  |  |
|  | Telephone Conversation (TC) | 968 | 92.22 | 69.19 | 71.90 | 70.52 | 942 | 69.59 | 72.24 | 70.89 |  |  |  |  |  |
|  | Weblogs and Newsgroups (WB) | 758 | 92.37 | 78.92 | 82.57 | 80.70 | 725 | 79.30 | 83.10 | 81.16 |  |  |  |  |  |
|  | Overall | 4,472 | 94.12 | 78.93 | 82.23 | 80.55 | 3,979 | 79.80 | 82.79 | 81.27 |  |  |  |  |  |
| Arabic | Newswire (NW) | 1,003 | 94.12 | 75.67 | 74.71 | 75.19 | 766 | 77.44 | 74.99 | 76.19 |  |  |  |  |  |
|  | Overall | 1,003 | 94.12 | 75.67 | 74.71 | 75.19 | 766 | 77.44 | 74.99 | 76.19 |  |  |  |  |  |

Table 6: Parser performance on the CoNLL-2011 test set
present in the training portion of the OntoNotes corpus using cross-validated predictions on the input layers as with the proposition tagging. During testing, for English, IMS must first uses the automatic POS tagger to identify the nouns and verbs in the test data, and then assign senses to the automatically identified nouns and verbs. Since automatic POS tagging is not perfect, IMS does not always output a sense to all word tokens that need to be sense tagged due to wrongly predicted POS tags. As such, recall is not the same as precision on the English test data. For Chinese, sense tags are defined with respect to a Chinese lemma. Since we provide gold word segmentation, IMS attempts to sense tag all correctly segmented Chinese words, so recall and precision are same and so is $\mathrm{F}_{1}$. Note that in Chinese, the word senses are defined against lemmas and are independent of the part of speech. For Arabic, sense tags are defined with respect to a lemma. Since we used gold standard lemmas, IMS attempts to sense tag all correctly determined lemmas, therefore, in this case also the recall and precision are identical and so is $\mathrm{F}_{1}$. Table 7 gives the number of lemmas covered by the word sense inventory in the English, Chinese and Arabic portion of OntoNotes.

Table 8 shows the performance of this classifier over both the verbs and nouns in the CoNLL-2012 test set.

For English, genres $p t$ and $t c$, and for Chinese genres $t c$ and $w b$, no gold standard senses were available, and so their accuracies could be computed.

| Layer | English |  | Chinese |  | Arabic |  |
| :--- | ---: | ---: | ---: | ---: | ---: | :---: |
|  | Verb | Noun | All | Verb | Noun |  |
| Sense Inventories | 2702 | 2194 | 763 | 150 | 111 |  |
| Frames | 5672 | 1335 | 20134 | 2743 | 532 |  |

Table 7: Number of senses defined for English, Chinese and Arabic in the OntoNotes v5.0 corpus

Propositions To predict propositional structure, ASSERT ${ }^{19}$ (Pradhan et al., 2005) was used, retrained also on all the training portion of the OntoNotes 5.0 data using cross-validated predicted parses. Given time constraints, we had to perform two modifications: i) Instead of a single model that predicts all arguments including NULL arguments, we had to use the two-stage mode where the NULL arguments are first filtered out and the remaining NON-NULL arguments are classified into one of the argument types, and ii) The argument identification module used an ensemble of ten classifiers - each trained on a tenth of the training data and performed an unweighted voting among them. This should still give a close to state of the art performance given that the argument identification performance tends to start to be asymptotic around 10 k training instances. The CoNLL-2005 scorer was used to compute the scores. At first glance, the performance on the newswire genre is much lower than what has been reported for WSJ Section 23. This could be attributed to two factors: i) the fact that we had to compromise on the training method, but more im-

[^8]|  |  | Accuracy |  |  |
| :--- | :--- | :---: | :---: | :---: |
|  |  | R | P | F |
| English | Broadcast Conversation (BC) | 81.2 | 81.3 | 81.2 |
|  | Broadcast News | 82.0 | 81.5 | 81.7 |
|  | Magazine | 79.1 | 78.8 | 79.0 |
|  | Newswire | 85.7 | 85.7 | 85.7 |
|  | Weblogs | 77.5 | 77.6 | 77.5 |
|  | All | 82.5 | 82.5 | 82.5 |
| Chinese | Broadcast Conversation | - | - | 80.5 |
|  | Broadcast News | - | - | 85.4 |
|  | Magazine | - | - | 82.4 |
|  | Newswire | - | - | 89.1 |
|  | All | - | - | 84.3 |
| Arabic | Newswire | - | - | 77.6 |
|  | All | - | - | 77.6 |

Table 8: Word sense performance over both verbs and nouns in the CoNLL-2012 test set

|  |  | Frameset Accuracy | Total Sentences | Total <br> Propositions | \% Perfect Propositions | Argument ID + Class |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | P |  |  |  | R | F |
| English | Broadcast Conversation (BC) |  | 0.92 | 2,037 | 5,021 | 52.18 | 82.55 | 64.84 | 72.63 |
|  | Broadcast News (BN) | 0.91 | 1,252 | 3,310 | 53.66 | 81.64 | 64.46 | 72.04 |
|  | Magazine (MZ) | 0.89 | 780 | 2,373 | 47.16 | 79.98 | 61.66 | 69.64 |
|  | Newswire (NW) | 0.93 | 1,898 | 4,758 | 39.72 | 80.53 | 62.68 | 70.49 |
|  | Telephone Conversation (TC) | 0.90 | 1,366 | 1,725 | 45.28 | 79.60 | 63.41 | 70.59 |
|  | Weblogs and Newsgroups (WB) | 0.92 | 929 | 2,174 | 39.19 | 81.01 | 60.65 | 69.37 |
|  | Pivot Corpus (PT) | 0.92 | 1,217 | 2,853 | 50.54 | 86.40 | 72.61 | 78.91 |
|  | Overall | 0.91 | 9,479 | 24,668 | 44.69 | 81.47 | 61.56 | 70.13 |
| Chinese | Broadcast Conversation (BC) | - | 885 | 2,323 | 31.34 | 53.92 | 68.60 | 60.38 |
|  | Broadcast News (BN) | - | 929 | 4,419 | 35.44 | 64.34 | 66.05 | 65.18 |
|  | Magazine (MZ) | - | 451 | 2,620 | 31.68 | 65.04 | 65.40 | 65.22 |
|  | Newswire (NW) | - | 481 | 2,210 | 27.33 | 69.28 | 55.74 | 61.78 |
|  | Telephone Conversation (TC) | - | 968 | 1,622 | 32.74 | 48.70 | 59.12 | 53.41 |
|  | Weblogs and Newsgroups (WB) | - | 758 | 1,761 | 35.21 | 62.35 | 68.87 | 65.45 |
|  | Overall | - | 4,472 | 14,955 | 32.62 | 61.26 | 64.48 | 62.83 |

Table 9: Performance on the propositions and framesets in the CoNLL-2012 test set.

| Framesets | Lemmas |
| ---: | ---: |
| 1 | 2,722 |
| 2 | 321 |
| $>2$ | 181 |

Table 10: Frameset polysemy across lemmas
portantly because ii) the newswire in OntoNotes not only contains WSJ data, but also Xinhua news. One could try to verify using just the WSJ portion of the data, but it would be hard as it is not only a subset of the documents that the performance has been reported on previously, but also the annotation has been significantly revised; it includes propositions for be verbs missing from the original PropBank, and the training data is a subset of the original data as well. It looks like the newly added New Testament data shows very good performance. This is not surprising since the same is the trend for the automatic parses. Table 9 shows the detailed performance numbers. In addition to automatically predicting the arguments, we also trained a classifier to tag PropBank frameset IDs for the English data. Table ?? lists the number of framesets available across the three languages ${ }^{20}$ An overwhelming number of them are monosemous, but the more frequent verbs tend to be polysemous. Table 10 gives the distribution of number of framesets per lemma in the PropBank layer of the English OntoNotes 5.0 data. During automatic processing of the data, we tagged all the tokens that were tagged with a part of speech VBx. This means that there would be cases where the wrong token would be tagged with propositions.

Named Entities BBN's IdentiFinder ${ }^{\mathrm{TM}}$ system was used to predict the named entities. Given the time constraints, we could not re-train it on the Chinese and Arabic data, so we only retrained it on the English portion of the OntoNotes training data. Table 11 shows the overall performance of the tagger on the CoNLL-2012 English test set, as well as the performance broken down by individual name types.

Other Layers As noted earlier, systems were allowed to make use of gender and number predictions for NPs using the table from Bergsma and Lin (Bergsma and Lin, 2006), and the speaker metadata for broadcast conversations, telephone conver-

[^9]sations and author or poster metadata for weblogs and newsgroups.

### 5.4.3 Data Format

In order to organize the multiple, rich layers of annotation, the OntoNotes project has created a database representation for the raw annotation layers along with a Python API to manipulate them (Pradhan et al., 2007a). In the OntoNotes distribution the data is organized as one file per layer, per document. The API requires a certain hierarchical structure with documents at the leaves inside a hierarchy of language, genre, source and section. It comes with various ways of cleanly querying and manipulating the data and allows convenient access to the sense inventory and propbank frame files instead of having to interpret the raw .xml versions. However, maintaining format consistency with earlier CoNLL tasks was deemed convenient for sites that already had tools configured to deal with that format. Therefore, in order to distribute the data so that one could make the best of both worlds, we created a new file type called .conll which logically served as another layer in addition to the .parse, .prop, . name and .coref layers. Each . conll file contained a merged representation of all the OntoNotes layers in the CoNLL-style tabular format with one line per token, and with multiple columns for each token specifying the input annotation layers relevant to that token, with the final column specifying the target coreference layer. Because OntoNotes is not authorized to distribute the underlying text, and many of the layers contain inline annotation, we had to provide a skeletal form (.skel of the .conll file which was essentially the .conll file, but with the word column replaced with a dummy string. We provided an assembly script that participants could use to create a .conll file taking as input the .skel file and the top-level directory of the OntoNotes distribution that they had separately downloaded from the LDC ${ }^{21}$ Once the . conll file is created, it can be used to create the individual layers such as .parse, .name, .coref etc. In the CoNLL-2011 task, there were a few issues, where some teams used the test data accidentally during training. To prevent this, this year, we distributed the data in two installments. First one was for training and development and the other for testing. The test data release from LDC did not contain the coreference layer. Unlike previous CoNLL tasks, this test data contained some truly

[^10]|  |  | All Genre | BC | BN | MZ | NW | TC | WB |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | F | F | F | F | F | F | F |
| English | Cardinal | 68.76 | 58.52 | 75.34 | 72.57 | 83.62 | 32.26 | 57.14 |
|  | Date | 78.60 | 73.46 | 80.61 | 71.60 | 84.12 | 63.89 | 65.48 |
|  | Event | 44.63 | 30.77 | 50.00 | 36.36 | 50.00 | 0.00 | 66.67 |
|  | Facility | 47.29 | 64.20 | 43.14 | 40.00 | 54.17 | 0.00 | 28.57 |
|  | GPE | 89.77 | 89.40 | 93.83 | 92.87 | 92.56 | 81.19 | 91.36 |
|  | Language | 47.06 | - | 75.00 | 50.00 | 33.33 | 22.22 | 66.67 |
|  | Law | 48.00 | 0.00 | 100.00 | 0.00 | 50.98 | 0.00 | 100.00 |
|  | Location | 59.00 | 54.55 | 61.36 | 54.84 | 67.10 | - | 44.44 |
|  | Money | 75.45 | 33.33 | 63.64 | 77.78 | 79.12 | 92.31 | 58.18 |
|  | NORP | 88.58 | 94.55 | 93.92 | 94.87 | 90.70 | 78.05 | 85.15 |
|  | Ordinal | 71.39 | 74.16 | 80.49 | 79.07 | 74.34 | 84.21 | 55.17 |
|  | Organization | 76.00 | 60.90 | 78.57 | 69.97 | 84.76 | 48.98 | 51.08 |
|  | Percent | 89.11 | 100.00 | 83.33 | 75.00 | 91.41 | 83.33 | 72.73 |
|  | Person | 78.75 | 93.35 | 94.36 | 87.47 | 85.80 | 73.39 | 76.49 |
|  | Product | 52.76 | 0.00 | 77.65 | 0.00 | 42.55 | 0.00 | 0.00 |
|  | Quantity | 50.00 | 17.14 | 66.67 | 62.86 | 81.82 | 0.00 | 30.77 |
|  | Time | 60.65 | 66.13 | 67.33 | 66.67 | 64.29 | 27.03 | 55.56 |
|  | Work of Art | 34.03 | 42.42 | 35.62 | 28.57 | 54.24 | 0.00 | 8.70 |
|  | All NE | 77.95 | 77.02 | 84.95 | 80.33 | 84.73 | 62.17 | 69.47 |

Table 11: Named Entity performance on the CoNLL-2012 test set

| Column | Type | Description |
| :---: | :---: | :---: |
| 1 | Document ID | This is a variation on the document filename |
| 2 | Part number | Some files are divided into multiple parts numbered as $000,001,002, \ldots$ etc. |
| 3 | Word number | This is the word index in the sentence |
| 4 | Word | The word itself |
| 5 | Part of Speech | Part of Speech of the word |
| 6 | Parse bit | This is the bracketed structure broken before the first open parenthesis in the parse, and the word/part-of-speech leaf replaced with a $*$. The full parse can be created by substituting the asterisk with the ([pos] [word]) string (or leaf) and concatenating the items in the rows of that column. |
| 7 | Predicate lemma | The predicate lemma is mentioned for the rows for which we have semantic role information. All other rows are marked with a - |
| 8 | Predicate Frameset ID | This is the PropBank frameset ID of the predicate in Column 7. |
| 9 | Word sense | This is the word sense of the word in Column 3. |
| 10 | Speaker/Author | This is the speaker or author name where available. Mostly in Broadcast Conversation and Web Log data. |
| 11 | Named Entities | These columns identifies the spans representing various named entities. |
| 12:N | Predicate Arguments | There is one column each of predicate argument structure information for the predicate mentioned in Column 7. |
| N | Coreference | Coreference chain information encoded in a parenthesis structure. |

Table 12: Format of the .conll file used on the shared task


Figure 3: Sample portion of the .conll file.
unseen documents which made it easier to spot potential training errors such as ones identified in the CoNLL-2011 task. Since the propositions and word sense layers are inherently standoff annotation, they were provided as is, and did not require that extra merging step. One thing thing that made the English data creation process a bit tricky was the fact that we had dissected some of the trees for the conversation data to remove the EDITED phrases. Table 12 describes the data provided in each of the column of the .conll format. Figure 3 shows a sample from a .conıı file.

### 5.5 Evaluation

This section describes the evaluation criteria used. Unlike for propositions, word sense and named entities, where it is simply a matter of counting the correct answers, or for parsing, where there are several established metrics, evaluating the accuracy of coreference continues to be contentious. Various alternative metrics have been proposed, as mentioned below, which weight different features of a proposed coreference pattern differently. The choice is not clear in part because the value of a particular set of coreference predictions is integrally tied to the consuming application. A further issue in defining
a coreference metric concerns the granularity of the mentions, and how closely the predicted mentions are required to match those in the gold standard for a coreference prediction to be counted as correct. Our evaluation criterion was in part driven by the OntoNotes data structures. OntoNotes coreference distinguishes between identity coreference and appositive coreference, treating the latter separately because it is already captured explicitly by other layers of the OntoNotes annotation. Thus we evaluated systems only on the identity coreference task, which links all categories of entities and events together into equivalent classes. The situation with mentions for OntoNotes is also different than it was for MUC or ACE. OntoNotes data does not explicitly identify the minimum extents of an entity mention, but it does include hand-tagged syntactic parses. Thus for the official evaluation, we decided to use the exact spans of mentions for determining correctness. The NP boundaries for the test data were pre-extracted from the hand-tagged Treebank for annotation, and events triggered by verb phrases were tagged using the verbs themselves. This choice means that scores for the CoNLL-2011 coreference task are likely to be lower than for coref evaluations based on MUC,
where the mention spans are specified in the input, ${ }^{22}$ or those based on ACE data, where an approximate match is often allowed based on the specified head of the NP mention.

### 5.5.1 Metrics

As noted above, the choice of an evaluation metric for coreference has been a tricky issue and there does not appear to be any silver bullet approach that addresses all the concerns. Three metrics have been proposed for evaluating coreference performance over an unrestricted set of entity types: i) The link based muc metric (Vilain et al., 1995), ii) The mention based B-CUBED metric (Bagga and Baldwin, 1998) and iii) The entity based CEAF (Constrained Entity Aligned F-measure) metric (Luo, 2005). Very recently blanc (BiLateral Assessment of NounPhrase Coreference) measure (Recasens and Hovy, 2011) has been proposed as well. Each of the metric tries to address the shortcomings or biases of the earlier metrics. Given a set of key entities $\mathcal{K}$, and a set of response entities $\mathcal{R}$, with each entity comprising one or more mentions, each metric generates its variation of a precision and recall measure. The MUC measure if the oldest and most widely used. It focuses on the links (or, pairs of mentions) in the data. ${ }^{23}$ The number of common links between entities in $\mathcal{K}$ and $\mathcal{R}$ divided by the number of links in $\mathcal{K}$ represents the recall, whereas, precision is the number of common links between entities in $\mathcal{K}$ and $\mathcal{R}$ divided by the number of links in $\mathcal{R}$. This metric prefers systems that have more mentions per entity - a system that creates a single entity of all the mentions will get a $100 \%$ recall without significant degradation in its precision. And, it ignores recall for singleton entities, or entities with only one mention. The b-CUBED metric tries to addresses MUCS's shortcomings, by focusing on the mentions and computes recall and precision scores for each mention. If K is the key entity containing mention M , and R is the response entity containing mention M , then recall for the mention $M$ is computed as $\frac{|\mathrm{K} \cap \mathrm{R}|}{|\mathrm{K}|}$ and precision for the same is is computed as $\frac{|\mathrm{K} \cap \mathrm{R}|}{|\mathrm{R}|}$. Overall recall and precision are the average of the individual mention scores. CEAF aligns every response entity with at most one key entity by finding the best one-to-one mapping between the entities using an entity similarity metric. This is a maximum bipartite matching problem and can be solved by the Kuhn-Munkres algorithm. This is thus a entity

[^11]based measure. Depending on the similarity, there are two variations - entity based CEAF - $\mathrm{CEAF}_{e}$ and a mention based CEAF - $\mathrm{CEAF}_{e}$. Recall is the total similarity divided by the number of mentions in $\mathcal{K}$, and precision is the total similarity divided by the number of mentions in $\mathcal{R}$. Finally, blanc uses a variation on the Rand index (Rand, 1971) suitable for evaluating coreference. There are a few other measures - one being the ACE value, but since this is specific to a restricted set of entities (ACE types), we did not consider it.

### 5.5.2 Official Evaluation Metric

In order to determine the best performing system in the shared task, we needed to associate a single number with each system. This could have been one of the metrics above, or some combination of more than one of them. The choice was not simple, and while we consulted various researchers in the field, hoping for a strong consensus, their conclusion seemed to be that each metric had its pros and cons. We settled on the mela metric by Denis and Baldridge (2009), which takes a weighted average of three metrics: MUC, B-CUBED, and CEAF. The rationale for the combination is that each of the three metrics represents a different important dimension, the MUC measure being based on links, the B-CUBED based on mentions, and the CEAF based on entities. We decided to use $\mathrm{CEAF}_{e}$ instead of $\mathrm{CEAF}_{m}$. For a given task, a weighted average of the three might be optimal, but since we don't have an end task in mind, we decided to use the unweighted mean of the three metrics as the score on which the winning system was judged. This still gives us a score for one language. We wanted to encourage researchers to run their systems on all three languages. Therefore, we decided to compute the final score that would determine the winning submission as the average of the MELA metric across all the three languages. We decided to give a MELA score of zero to every language that a particular group did not run its system on.

### 5.5.3 Scoring Metrics Implementation

We used the same core scorer implementation ${ }^{24}$ that was used for the SemEval-2010 task, and which implemented all the different metrics. There were a couple of modifications done to this scorer after it was used for the SemEval-2010 task.

1. Only exact matches were considered correct. Previously, for SemEval-2010 non-

[^12]exact matches were judged partially correct with a 0.5 score if the heads were the same and the mention extent did not exceed the gold mention.
2. The modifications suggested by Cai and Strube (2010) were incorporated in the scorer.

Since there are differences in the version used for CoNLL and the one available on the download site, and it is possible that the latter would be revised in the future, we have archived the version of the scorer on the CoNLL-2012 task webpage. ${ }^{25}$

## 6 Participants

A total of 41 different groups demonstrated interest in the shared task by registering on the task webpage. Of these, 16 groups from 6 countries submitted system outputs on the test set during the evaluation week. 15 groups participated in at least one language in the closed task, and only one group participated solely in the open track. One participant did not submit a final task paper Tables 13 and 14 list the distribution of the participants by country and the participation by language and task type.

| Country | Participants |
| :--- | ---: |
| Brazil | 1 |
| China | 8 |
| Germany | 3 |
| Italy | 1 |
| Switzerland | 1 |
| USA | 2 |

Table 13: Participation by Country

|  | Closed | Open | Combined |
| :--- | ---: | ---: | ---: |
| English | 1 | 15 | 16 |
| Chinese | 3 | 13 | 14 |
| Arabic | 1 | 7 | 8 |

Table 14: Participation across languages and tracks

## 7 Approaches

Tables 15 and 16 summarize the approaches taken by the participating systems along some important dimensions. Most of the systems divided the problem into the typical two phases - first identifying the potential mentions in the text, and then linking the mentions to form coreference chains, or entities. Many systems used rule-based approaches for

[^13]mention detection, though one, yang did use trained models, and $l i$ used a hybrid approach by adding mentions from a trained model to the ones identified using rules. All systems ran a post processing stage, after linking potential mentions together, to delete the remaining unlinked mentions. It was common for the systems to represent the markables (mentions) internally in terms of the parse tree NP constituent span, but some systems used a shared attribute model, where the attributes of the merged entity are determined collectively by heuristically merging the attribute types and values of the different constituent mentions. Various types of trained models were used for predicting coreference. For a learning-based system generation of positive and negative examples is very important. The participating systems used a range of sentence windows surrounding the anaphor in generating these examples. In the systems that used trained models, many systems used the approach described in Soon et al. (2001) for selecting the positive and negative training examples, while others used some of the alternative approaches that have been introduced in the literature more recently. Following on the success of rule-based linking model in the CoNLL-2011 shared task, many systems used a completely rule-based linking model, or used it as a initializing, or intermediate step in a learning based system. A hybrid approach seems to be a central theme of many high scoring systems. Taking cue from last year's systems, almost all systems trained pleonastic it classifiers, and used speaker-based constraints/features for the conversation genre. Many systems used the Arabic POS that were mapped-down to Penn-style POS, but stamborg used heuristic to convert them back to the complex POS type using more frequent mapping to get better performance for Arabic. fernandes system uses feature templates defined on mention pairs. björkelund mentions that disallowing transitive closures gave performance improvement of 0.6 and 0.4 respectively for English and Chinese/Arabic. björkelund also mention seeing a considerable increase in performance after added features that correspond to the Shortest Edit Script (Myers, 1986) between surface forms and unvocalised Buckwalter forms, respectively. These could be better at capturing the differences in gender and number signaled by certain morphemes than hand-crafted rules. chen build upon the sieve architecture proposed in cite ?raghunathan added one sieve - head match - for Chinese and modified two sieves. Some participants tried to incorporate some peculiarities of the corpus in their systems. For example, martschat excluded

| Participant | Track | Languages | Syntax | Learning Framework | Markable Identification | Verb | Feature Selection | \# Features | Train |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| fernandes | C | A, C, E | P | Latent Structure Perceptron | All noun phrases, pronouns and name entities | $\times$ | Latent feature induction and feature templates | 196 templates (E); 197 (C) and 223 (A) | T+D |
| björkelund | C | A, C, E | P | LibLinear for linking, and Maximum Entropy (Mallet) for anaphoricity | NP, PRP and PRP\$ in all languages; PN and NR in Chinese; all NE in English. Classifier to exclude non-referential pronouns in English (with a probability of 0.95 ). | $\times$ | $\times$ | - | T+D |
| chen | C, O | A, C, E | P | Hybrid - Sieve approach followed by language-specific heuristic pruning and language-independent learning based pruning; Genre specific models | NP, PRP and PRP\$ and selected NE in English. NP and QP in Chinese. Exclude Chinese interrogative pronouns what and where. NP and selected NE in Arabic. Learning to prune non-referential mentions | $\times$ | Backward elimination | - | T |
| stamborg | C | A, C, E | D | Logistic Regression (LIBLINEAR) | NP, PRP and PRP\$ in all languages; PN in Chinese; all NE in English. Exclude pleonastic it in Engish. Prune smaller mentions with same head. | $\times$ | Forward + Backward starting from CoNLL-2011 feature set for English and Soon feature set for Chinese and Arabic | 18-37 | T+D |
| martschat | C | A, C | D | Directed multigraph representation where the weights are learned over the training data (on top of BART (Versley et al., 2008)) | Eight different mention types for English, and adjectival use for nations and a few NEs are filtered as well as embedded mentions and pleonastic pronouns. Four mention types in Chinese. Copulas are also handled apporopriately. | $\times$ | $\times$ | In the form of negative and positive relations | $\begin{aligned} & 20 \% \text { of } \mathrm{T}(\mathrm{E}) ; \\ & 15 \% \text { of } \mathrm{T}(\mathrm{C}) \end{aligned}$ |
| chang | C | E, C | P | Latent Structure Learning | All noun phrases, pronouns and name entities | $\times$ | $\times$ | Chang, et al., 2011 | T+D |
| uryupina | C | A, C, E | P | modification of BART using multi-objective optimization. Domain specific classifiers for $n w$ and $b c$ genre. | Standard rules for English and Classifier to identify markable NPs in Chinese and Arabic. | $\times$ | $\times$ | $\sim 45$ | T+D |
| zhekova | C | A, C, E | P | Memory based learning (TIMBL) | NP, PRP and PRP\$ in English, and all NP in Chinese and Arabic. Singleton classifier. | $\times$ | $\times$ | 33 | T+D |
| li | C | A, C, E | P | MaxEnt | All phrase types that are mentions in training are considered as mentions and a classifier is trained to identify potential mentions. | $\checkmark$ | $\times$ | 11 feature groups | T+D |
| yuan | C, O | E, C | P | C4.5 and deterministic rules | All noun phrases, pronouns and name entities | $\times$ | $\times$ | - | T+D |
| xu | C | E, C | P | Decision tree classifier and deterministic rules | All noun phrases, pronouns and selected named entities selected and overlapping mentions are considered when they are second-level NPs inside an NP, for example coordinating NPS | $\times$ | $\times$ | 51 (E) and 61 (C) | T |
| chunyang | C | E, C | P | Rule-based (adaptation of Lee et al. 2011's sieve structure) | Chinese NP and pronouns using part of speech PN and names using part of speech NR excluding measure words and certain names | $\times$ | $\times$ | - | - |
| yang | C | E | P | MaxEnt (OpenNLP) | Mention detection classifier | $\times$ | Same feature set, but per classifier | 40 | T |
| xinxin | C | E, C | P | MaxEnt | NP, PRP and PRP\$ in English and Chinese | $\times$ | Greedy forward backward | 71 | T+D |
| shou | C | E | P |  | Modified version of Lee | et al., | 011 sieve system |  |  |
| xiong | O | A, C, E | P |  | Lee et al., | 2011 sy | tem |  |  |

Table 15: Participating system profiles - Part I. In the Task column, C/O represents whether the system participated in the closed, open or both tracks. In the Syntax column, a P represents that the systems used a phrase structure grammar representation of syntax, whereas a D represents that they used a dependency representation. In the Train column $T$ represents training data and $D$ represents development data.
Participant Positive Training Examples

| Participant | Positive Training Examples | Negative Training Examples | Decoding |
| :---: | :---: | :---: | :---: |
| fernandes | Identify likely mentions with an aim to generate high recall using the sieve method proposed in (Lee et al., 2011). Create directed arcs between mention pairs using a set of rules |  | A constrained latent predictor finds the maximum scoring document tree among possible candidates |
| björkelund | Closest Antecedent (Soon, 2001) | Negative examples in between anaphor and closest antecedent (Soon, 2001) | Stacked resolvers - i) Best first, ii) Pronoun closest first - closest first for pronouns and best first for other mentions and iii) cluster-mention; disallow transitive nesting; proper noun mentions processed first, followed by other nouns and pronouns |
| chen | Rule-based sieve approach followed by heuristic and learning based pruning |  |  |
| stamborg | Closest Antecedent (Soon, 2001) | Negative examples in between anaphor and closest antecedent (Soon, 2001) | Chinese and Arabic - Closest-first clustering for pronouns and Best-first clustering otherwise. English - closest-first for pronouns and averaged best-first clustering otherwise. |
| martschat | Weights are trained on part of the training data |  | Greedy clustering for English; Spectral clustering followed by greedy clustering for Chinese to reduce number of candidate antecedents. |
| chang | Closest Antecedent (Soon, 2001) | All preceding mentions in a union of of gold and predicted mentions. Mentions where the first is pronoun and other not are not considered | Best link strategy; separate classifiers for pronominal and non-pronominal mentions for English. Single classifier for Chinese. |
| uryupina | Closest Antecedent (Soon, 2001) | Negative examples in between anaphor and closest antecedent (Soon, 2001) | mention pair model without ranking as in Soon 2001 |
| zhekova | Closest Antecedent (Soon, 2001) | Negative examples in between anaphor and closest antecedent (Soon, 2001) | All definite phrases used to create a pair for each anaphor with each mention preceding it within a window of 10 (English, Chinese) or 7 (Arabic) sentences. |
| li |  | - | Best-first clustering |
| yuan |  | - | Deterministic NP-NP followed by PP-NP |
| xu | Window of sentences is used to determine positive and negative examples. For English a window of 5 sentences is used whereas for Chinese a window of 10 sentences is used |  | All-pair linking followed by pruning or correction using a set of rules for NE-NE and NP-NP mentions for sentences outside of a $5 / 10$ sentence window in English and Chinese respectively |
| chunyang | Lee et al., 2011 system |  |  |
| yang | Pre-cluster pair models separate for each pair NP-NP, NP-PRP and PRP-PRP |  | Pre-clusters, with singleton pronoun pre-clusters, and use closest-first clustering. Different link models based on the type of linking mentions -NP-PRP, PRP-PRP and NP-NP |
| xinxin | Closest Antecedent (Soon, 2001) | Negative examples in between anaphor and closest antecedent (Soon, 2001) | Best-first clustering method |
| shou | Modified version of Lee et al., 2011 coreference system |  |  |
| xiong | Lee et al., 2011 system |  |  |

Table 16: Participating system profiles - Part II. This focuses on the way positive and negative examples were generated and the decoding strategy used.
adjectival nation names．Unlike English，and espe－ cially in absence of a external resource，it is hard to make a gender distinction in Arabic and Chinese． martschat used the information that 先生（sir）and 女士（lady）and often suggest gender information．bo and martschat used plurality markers 们 to identify plurals．eg．同学（student）is singular and 同学们 （students）is plural．bo also a heuristic that if the word 和（and）appears in the middle of a mention A， and the two parts separated by 和 are sub－mentions of A ，then mention A is considered to be plural． Other words which have the similar meaning of 和， such as 同，与 and 跟，are also considered．uryupina used the rich POS tags to classify pronouns into sub－ type，person number and gender．Chinese and Ara－ bic do not have definite noun phrase markers like the in English．In contrast to English there is no strict enforcement of using definite noun phrases when re－ ferring to an antecedent in Chinese．Both 这次演说（the talk）and 演说（talk）can corefer with the an－ tecedent 克林顿在 河内大选的演说（Clinton＇s talk during Hanoi election）．This makes it very difficult to distinguish generic expressions from referential ones．martschat checks whether the phrase start with a definite／demonstrative indicator（e．g．这（this）or那（that））in order to identify demonstrative and defi－ nite noun phrases．For Arabic，uryupina consider as definite all mentions with definite head nouns（pre－ fixed with＂Al＂）and all the idafa constructs with a definite modifier．chang use training data to identify inappropriate mention boundaries．They perform a relaxed matching between predicted mentions and gold mentions ignoring punctuation marks and men－ tions that start with one of the following：adverb， verb，determiner，and cardinal number．In another extreme，xiong translated Chinese and Arabic to En－ glish，and ran an English system and projected men－ tions back to the source languages．It did not work quite well by itself．One issue that they faced was that many instances of pronouns did not have a cor－ responding mention in the source language（since we do not consider mentions formed by dropped subjects／objects）．Nevertheless，using this in addi－ tion to performing coreference resolution in these languages could be useful．Similar to last year，most participants appear not to have focused much on eventive coreference，those coreference chains that build off verbs in the data．This usually meant that mentions that should have linked to the eventive verb were instead linked in with some other entity，or re－ mained unlinked．Participants may have chosen not to focus on events because they pose unique chal－ lenges while making up only a small portion of the
data．Roughly $91 \%$ of mentions in the data are NPs and pronouns．Many of the trained systems were also able to improve their performance by using fea－ ture selection，though things varied some depending on the example selection strategy and the classifier used．

## 8 Results

In this section we will take a look at the perfor－ mance overview of various systems and then look at the performance for each language in various set－ ting separately．For the official test，beyond the raw source text，coreference systems were provided only with the predictions（from automatic engines）of the other annotation layers（parses，semantic roles，word senses，and named entities）．While referring to the participating systems，as a convention，we will use the last name of the contact person from the par－ ticipating team．It is almost always the last name of the first author of the system papers，or the first name in case of conflicting last names（xinxin ${ }^{26}$ ）． The only exception is－chunyang which is the first name of the second author for that system．A high－ level summary of the results for the systems on the primary evaluation for both open and closed tracks is shown in Table 17．The scores under the columns for each language are the average of MUC，BCUBED and $\mathrm{CEAF}_{e}$ for that language．The column Official Score is the average of those per－language averages， but only for the closed track．If a participant did not participate in all three languages，then they got a score of zero for the languages that were not at－ tempted．The systems are sorted in descending order of this final Official Score The last two columns in－ dicate whether the systems used only the training or both training and development for the final submis－ sions．Note that all the results reported here still used the same，predicted information for all input layers． Most top performing systems used both training and development data for training the final system

It can be seen that the fernandes system got the highest combined score（58．69）across all three lan－ guages and metrics．While this is lower than the fig－ ures cited for other corpora，it is as expected，given that the task here includes predicting the underly－ ing mentions and mention boundaries，the insistence on exact match，and given that the relatively easier appositive coreference cases are not included in this measure．The combined score across all languages is purely for ranking purposes，and does not really tell much about each individual language．Looking at

[^14]| Participant | Open |  |  | Closed |  |  | Official Score | Final model |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | English | Chinese | Arabic | English | Chinese | Arabic |  | Train | Dev |
| fernandes |  |  |  | 63.37 | 58.49 | 54.22 | 58.69 | $\sqrt{ }$ | $\sqrt{ }$ |
| björkelund |  |  |  | $\underline{61.24}$ | 59.97 | 53.55 | 58.25 | $\sqrt{ }$ | $\sqrt{ }$ |
| chen |  | 63.53 |  | 59.69 | $\underline{62.24}$ | 47.13 | 56.35 | $\sqrt{ }$ | $\times$ |
| stamborg |  |  |  | 59.36 | 56.85 | 49.43 | 55.21 | $\sqrt{ }$ | $\sqrt{ }$ |
| uryupina |  |  |  | 56.12 | 53.87 | $\underline{50.41}$ | 53.47 | $\checkmark$ | $\sqrt{ }$ |
| zhekova |  |  |  | 48.70 | 44.53 | 40.57 | 44.60 | $\sqrt{ }$ | $\sqrt{ }$ |
| li |  |  |  | 45.85 | 46.27 | 33.53 | 41.88 | $\sqrt{ }$ | $\sqrt{ }$ |
| yuan |  | 61.02 |  | 58.68 | 60.69 |  | 39.79 | $\sqrt{ }$ | $\sqrt{ }$ |
| xu |  |  |  | 57.49 | 59.22 |  | 38.90 | $\sqrt{ }$ | $\times$ |
| martschat |  |  |  | 61.31 | 53.15 |  | 38.15 | $\sqrt{ }$ | $\times$ |
| chunyang |  |  |  | 59.24 | 51.83 |  | 37.02 | , | - |
| yang |  |  |  | 55.29 |  |  | 18.43 | $\sqrt{ }$ | $\times$ |
| chang |  |  |  | $\underline{60.18}$ | 45.71 |  | 35.30 | $\sqrt{ }$ | $\times$ |
| xinxin |  |  |  | 48.77 | 51.76 |  | 33.51 | $\sqrt{ }$ | $\sqrt{ }$ |
| shou |  |  |  | 58.25 |  |  | 19.42 | $\sqrt{ }$ | $\times$ |
| xiong | 59.23 | 44.35 | 44.37 |  |  |  | 0.00 | $\sqrt{ }$ | $\sqrt{ }$ |

Table 17: Performance on primary open and closed tracks using all predicted information

| Participant | Open |  |  | Closed |  |  | Suppl. <br> Score | Final model |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | English | Chinese | Arabic | English | Chinese | Arabic |  | Train | Dev |
| fernandes |  |  |  | 63.16 | 61.48 | 53.90 | 59.51 | $\checkmark$ | $\checkmark$ |
| björkelund |  |  |  | $\underline{60.75}$ | 62.76 | $\underline{53.50}$ | 59.00 | $\sqrt{ }$ | $\sqrt{ }$ |
| chen |  | 70.00 |  | 60.33 | 68.55 | 47.27 | 58.72 | $\sqrt{ }$ | $\times$ |
| stamborg |  |  |  | 57.35 | 54.30 | 49.59 | 53.75 | $\sqrt{ }$ | $\sqrt{ }$ |
| zhekova |  |  |  | 49.30 | 44.93 | 40.24 | 44.82 | $\sqrt{ }$ | $\sqrt{ }$ |
| li |  |  |  | 43.04 | 43.28 | 31.46 | 39.26 | $\sqrt{ }$ | $\sqrt{ }$ |
| yuan |  |  |  | 59.50 | 64.42 |  | 41.31 | $\sqrt{ }$ | $\sqrt{ }$ |
| xu |  |  |  | 56.47 | $\underline{64.08}$ |  | 40.18 | $\sqrt{ }$ | $\times$ |
| chang |  |  |  | 60.89 |  |  | 20.30 | $\sqrt{ }$ | $\sqrt{ }$ |

Table 18: Performance on supplementary open and closed tracks using all predicted information, given gold mention boundaries

| Participant | Open |  |  | Closed |  |  | $\frac{\text { Suppl. }}{\text { Score }}$ | Final model |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | English | Chinese | Arabic | English | Chinese | Arabic |  | Train | Dev |
| fernandes |  |  |  | 69.35 | 66.36 | 63.49 | 66.40 | $\sqrt{ }$ | $\sqrt{ }$ |
| björkelund |  |  |  | 68.20 | 69.92 | 59.14 | 65.75 | $\sqrt{ }$ | $\sqrt{ }$ |
| chen |  | 78.98 |  | 70.46 | 77.77 | 52.26 | 66.83 | $\sqrt{ }$ | $\times$ |
| stamborg |  |  |  | 68.66 | 66.97 | 53.35 | 62.99 | $\sqrt{ }$ | $\sqrt{ }$ |
| zhekova |  |  |  | $\overline{59.06}$ | 51.44 | 55.72 | 55.41 | $\sqrt{ }$ | $\sqrt{ }$ |
| li |  |  |  | 51.40 | 59.93 | 40.62 | 50.65 | $\sqrt{ }$ | $\sqrt{ }$ |
| yuan |  |  |  | 69.88 | 76.05 |  | 48.64 | $\sqrt{ }$ | $\sqrt{ }$ |
| xu |  |  |  | 63.46 | $\underline{69.79}$ |  | 44.42 | $\sqrt{ }$ | $\times$ |
| chang |  |  |  | 77.22 |  |  | 25.74 | $\sqrt{ }$ | $\sqrt{ }$ |

Table 19: Performance on supplementary open and closed tracks using all predicted information, given gold mentions
the the Engilsh performance, we can see that the fernandes system gets the best average across the three selected metrics (mUC, BCUBED and $\mathrm{CEAF}_{e}$ ) The next best system for English is martschat (61.31) followed very closely by björkelund (61.24) and then chang (60.18). Owing to the ordering based on official score, not all the best performing systems for a particular language are in sequential order. Therefore, for easier reading, the scores of the top ranking system are in red, and the top four systems are underlined in the table. The performance differences between the better-scoring systems were not large, with only about three points separating the top four systems, and only 5 out of a total of 16 systems got a score lower than 58 points ${ }^{27}$

In case of Chinese, it is seen that the chen system performs the best with a score of 62.24 . This is then followed by yuan (60.69), and then björkelund (59.97) and $x u$ (59.22). It is interesting to note that the scores for the top performing systems for both English and Chinese are very close. For all we know, this is just a coincidence. Also, for both English and Chinese, the top performing system is almost 2 points higher than the second best system.

On the Arabic language front, once again, fernandes has the highest score of 54.22 , followed closely by björkelund (53.55) and then uryupina (53.47)

Tables 18 and 19 show similar information for the two supplementary tasks - one given gold mention boundaries and one given correct, gold mentions. We have however, kept the same relative ordering of the system participants as in Table 17 for ease of reading. Looking at Table 18 carefully, we can see that for English and Arabic the relative ranking of the systems remain almost the same, except for a few outliers. The chang system performs the best performance given gold mentions - by almost 7 points over the next best performing system. In the case of Chinese, chen system performs almost 6 points better than the official performance given gold boundaries, and another 9 points given gold mentions and almost 8 points better than the next best system in case of the latter. We will look at more details in the following sections.

Figure 4 shows a performance plot for eight participating systems that attempted both the supplementary tasks - GB and GM in addition to the main nb for at least one of the three languages. These are all in the closed setting. At the bottom of the plot you can see dots that indicate what test condition to

[^15]which a particular point refers. In most cases, for the hardest task - NB - the English and Chinese performances track are quite close to each other. When provided with gold mention boundaries (GB), systems, chen, $x u$ and yuan do significantly better for the Chinese language. There is almost no positive effect on the English performance across the board. In fact, performance of the stamborg and $l i$ systems drops noticeably. There is also a drop in performance for the björkelund system, but the difference is probably not significant. Finally, when provided with gold mentions, the performance of all systems increases across all languages, with the chang system showing the highest gain for English, and the chen system showing the highest gain for Chinese.

Figure 5 is a box and whiskers plot of the performance for all the systems for each language and variations - NB, GB, and GM. The circle in the center indicates the mean of the performances. The horizontal line in between the box indicates the median, and the bottom and top of the boxes indicate the first and third quartiles respectively, with the whiskers indicating the highest and lowest performance on that task. It can be easily seen that the English systems have the least divergence, with the divergence large for the GM case probably owing to the chang system. This is somewhat expected as this is the second year for the English task, and so it does show a more mature, more stable performance. On the other hand, both Chinese and Arabic plots show much more divergence, with the Chinese and Arabic GB case showing the highest divergence. Also, except for Chinese GM condition, there is some skewness in the score distribution one way or the other.

Some participants ran their systems on six of the twelve possible combinations for all three languages. Figure 6 shows a plot for these tree participants - fernandes, björkelund, and chen. As in Figure 4, the dots at the bottom help identify which particular combination of parameters the point on the plot represents. In addition to the three test condition related to mention quality, we now have also two more test conditions relating to the syntax. We can see that the fernandes and björkelund, system performance tracks very close to each other. In other words, using gold standard parses during testing does not show much benefit in those cases. In case of the chen system, however, using gold parses shows a significant jump in scores for the NB condition. It seems that somehow, the chen system makes much better use of the gold parses. In fact, the performance is very close to the one with the GB condition. It is not clear what this system is doing differ-


Figure 4: Performance for eight participating systems for the three languages, across the three mention qualities.
ently that makes this possible. Adding more information, i.e., the GM condition improves the performance by almost the same delta as going from NB to GB.

Finally, Figure 7 show the plot for one system björkelund - that was ran on ten of the twelve different settings. As usual the dots at the bottom help identify the conditions for a point on the plot. Now, there is a condition related to the quality of syntax during training as well. For some reason, using gold syntax hurts performance - though slightly - in the NB and GB settings. Chinese does show some improvement when gold parse is used for training, only when gold mentions are available during testing.

One point to note is that we cannot compare these results to the ones obtained in the SEmEval2010 coreference task which used a small portion of OntoNotes data because it was only using nominal entities, and had heuristically added singleton men-
tions ${ }^{28}$.
In the following sections we will look at the results for the three languages, in various settings in more detail. It might help to describe the format of the tables first. Given that our choice of the official metric was somewhat arbitrary, it is also useful to look at the individual metrics The tables are similar in structure to Table 20. Each table provides re-

[^16]sults across multiple dimensions. For completeness, the tables include the raw precision and recall scores from which the F-scores were derived. Each table shows the scores for a particular system for the task of mention detection and coreference resolution separately. The tables also include two additional scores (BLANC and $\mathrm{CEAF}_{m}$ ) that did not factor into the official score. Useful further analysis may be possible based on these results beyond the preliminary results presented here. As you recall, OntoNotes does not contain any singleton mentions. Owing to this peculiar nature of the data, the mention detection scores cannot be interpreted independently of the coreference resolution scores. In this scenario, a mention is effectively an anaphoric mention that has at least one other mention coreferent with it in the document. Most systems removed singletons from the response as a post-processing step, so not only will they not get credit for the singleton entities that they incorrectly removed from the data, but they will be
penalized for the ones that they accidentally linked with another mention. What this number does indicate is the ceiling on recall that a system would have got in absence of being penalized for making mistakes in coreference resolution. The tables are sub-divided into several logical horizontal sections separated by two horizontal lines. Each horizontal section can be categorized by a combination of three parameters. Two of these apply to the test set, and one to the training set. We have divided the parameters into two types: i) Syntax and ii) Mention Quality. Syntax can take two values - automatic or gold, and the mention quality can be of three types: i) No boundaries (NB), ii) Gold mention boundaries (GB) and iii) Gold mentions (GM). There are a total of 12 combinations that we can form of using these parameters. Out of these, we thought six were particularly interesting. This is the product of the three cases of mention quality - NB, GB and GM, and whether or not gold syntax (GS) or predicted


Figure 5: A box plot of the performance for the three languages across the three mention qualities.


Figure 6: Performance of the fernandes, björkelund and chen systems in six different settings.
syntax (PS) was used for the test set. Just like we used the dots below the graphs earlier to indicate the parameters that were chosen for a particular point on the plot, we use small black squares in the tables after the participant name, to indicate the conditions chosen for the results on that particular row. Since there are many rows to each table, in order to facilitate finding which number we are referring to, we have added a ID column which uses letters $\mathbf{e}, \mathbf{c}$, and a to refer to the three languages - English, Chinese and Arabic. This is followed by a decimal number, in which the number before the decimal identifies the logical block within the table that share the same experiment parameters, and the one after the decimal indicates the index of a particular system in that block. Systems are sorted by the official score within each block. All the systems with NB are listed first, followed by GB, followed by GM. One participant (björkelund) ran more variations than we had originally planned, but since it falls under the general permutation and combination of the settings that we were considering, it makes sense to list those results here as well.

### 8.1 English Closed

Table 20 shows the performance for the English language in greater detail.

Official Setting Recall is quite important in the mention detection stage because the full coreference system has no way to recover if the mention detection stage misses a potentially anaphoric mention. The linking stage indirectly impacts the final mention detection accuracy. After a complete pass through the system some correct mentions could remain unlinked with any other mentions and would be deleted thereby lowering recall. Most systems tend to get a close balance between recall and precision for the mention detection task. A few systems had a considerable gap between the final mention detection recall and precision (fernandes, xu, yang, $l i$ and xinxin). It is not clear why this might be the case. One commonality between the ones that had a much higher precision than recall was that they used machine learned classifiers for mention detection. This could be possible because any classifier that is trained will not normally contain singleton mentions (as none have been annotated in the data) unless one explicitly adds them to the set of training examples (which is not mentioned in any of the respective system papers). A hybrid rule-based and machine learned model (fernandes) performed the best. Apart from some local differences, the ranking for all the systems is roughly the same irrespective of which metric is chosen. The $\mathrm{CEAF}_{e}$ mea-


Figure 7: Performance of the björkelund system in twelve different settings.
sure seems to penalize systems more harshly than the other measures. If the $\mathrm{CEAF}_{e}$ measure does indicate the accuracy of entities in the response, this suggests that the fernandes system is doing better on getting coherent entities than any other system.

Gold Mention Boundaries One difficulty with this supplementary evaluation using gold mention boundaries is that those boundaries alone provide only very partial information. For the roughly $10 \%$ of mentions that the automatic parser did not correctly identify, while the systems knew the correct boundaries, they had no structural syntactic or semantic information, and they also had to further approximate the already heuristic head word identification. This incomplete data complicated the systems' task and also complicates interpretation of the results. While most systems did slightly better here in terms of raw scores, the performance was not much different from the official task, indicating that mention boundary errors resulting from problems in parsing do not contribute significantly to the final output. ${ }^{29}$

[^17]Gold Mentions Another supplementary condition that we explored was if the systems were supplied with the manually-annotated spans for all and only those mentions that did participate in the gold standard coreference chains. This supplies significantly more information than the previous case, where exact spans were supplied for all NPs, since the gold mentions will also include verb headwords that are linked to event NPs, but will not include singleton mentions, which do not end up as part of any chain. The latter constraint makes this test seem artificial, since it directly reveals part of what the systems are designed to determine, but it still has some value in quantifying the impact that mention detection and anaphoricity determination has on the overall task and what the results are if they are perfectly known. The results show that performance does go up significantly, indicating that it is markedly easier for the systems to generate better entities given gold mentions. Although, ideally, one would expect a perfect mention detection score, it is the case that many of the systems did not get a $100 \%$ recall. This could possibly be owing to unlinked singletons that were removed in post-processing. The chang system along with the fernandes system are the only systems that got a perfect $100 \%$ recall. The reason is most likely because they had a hard constraint to link all mentions with at least one other mention.

The chang system ( 77.22 [e7.00]) stands out in that it has a 7 point lead on the next best system in this category ([e7.00]) This indicates that the linking algorithm for this system is significantly superior than the other systems - especially since the performance of the only other system that gets $100 \%$ mention score is much lower (69.35 [e7.03])

Gold Test Parses Looking at Table 20 it can be seen that there is a slight increase ( $\sim 1$ point) in performance across all the systems when gold parses across all settings - NB, GB, and GM. In the case of the björkelund system, for the NB setting, the overall performance improves by a percent when using gold test parse during testing ( 61.24 [e0.02] vs 62.23 [e1.02]), but strangely if gold parses are used during training as well, the performance is slightly lower (61.71 [e3.00]), although this difference is probably not statistically significant.

### 8.2 Chinese Closed

Table 21 shows the performance for the Chinese language in greater detail.

### 8.2.1 Official Setting

In this case, it turns out that the chen system does about 2 points better than the next best system across all the metrics. We know that this system had some more Chinese-specific improvements. It is strange that the fernandes system has a much lower mention recall with a much higher precision as compared to chen. As far as the system descriptions go, both systems seem to have used the same set of mentions except for the chen system including QP phrases and not considering interrogative pronouns. One thing we found about the chen system was that they dealt with nested NPS differently in case of the NW genre. This unfortunately seems to be addressing a quirk in the Chinese newswire data owing to a possible data inconsistency.

### 8.2.2 Gold Mention Boundaries

Unlike English, just the addition of gold mention boundaries improves the performance of almost all systems significantly. The delta improvement for the fernandes system turns out to be small, but it does gain on the mention recall as compared to the NB case. It is not clear why this might be the case. One explanation could be that the parser performance for constituents that represent mentions - primarily NP might be significantly worse than that for English. The mention recall of all the systems is boosted by roughly $10 \%$.

### 8.2.3 Gold Mentions

Providing gold mention information further significantly boosts all systems. More so is the case with chen system [e8.00] which gains another 8 points over the gold mention boundary condition in spite of the fact that they don't have a perfect recall. On the other hand, the fernandes system gets a perfect mention recall and precision, but ends up getting a 10 point lower performance [c8.05] than the chen system. Another thing to note is that for the $\mathrm{CEAF}_{e}$ metric, the incremental drop in performance from the best to the next best and so on, is substantial, with a difference of 17 points between the chen system and the fernandes system. It does seem that the chen and yuan system algorithm for linking is much better than the others.

### 8.2.4 Gold Test Parses

When provided with gold parses for the test set, there is a substantial increase in performance for the NB condition - numerically more so than in case of English. The degree of improvement decreases for the GB and GM conditions.

### 8.3 Arabic Closed

Table 22 shows the performance for the Arabic language in greater detail.

### 8.3.1 Official Setting

Unlike English, of Chinese, none of the system was particularly tuned for Arabic. This gives us an unique opportunity to test the performance variation of a mostly statistical, roughly language independent mechanism. Although, there could possibly be a significant bias that Arabic languages brings to the mix. The overall performance for Arabic seems to be about ten points below both English and Chinese. On the mention detection front, most of the systems have a balanced precision and recall, and the drop in performance seems quite steady. The björkelund system has a slight edge on the fernandes system on the muc, bCUbed and blanc metrics, but fernandes has a much larger lead on both the CEAF metrics, putting it on the top in the official score. We haven't reported the development set numbers here, but another thing to note especially for Arabic is that performance on Arabic test set is significantly better than on the development set cite relevant paper. This is probably because of the smaller size of the training set and therefore a higher relative increment over training set. The size of the training set (which is roughly about a third of either Engish or Chinese)



also could be a factor that explains the lower performance, and that Arabic performance might gain from more data. The chen system did not use development data for the final models. It could have increased their score.

### 8.3.2 Gold Mention Boundaries

The system performance given gold boundaries followed more of the trend in English than Chinese. There was not much improvement over the primary NB evaluation. Interestingly, the chen system that uses gold boundaries for Chinese so well, does not get any performance improvement. This might indicate that either the technique that helped that system in Chinese does not generalize well across languages.

### 8.3.3 Gold Mentions

Performance given gold mentions seems to be about ten points higher than in the nB case. The björkelund system does well on blanc metric than fernandes even after getting a big hit in recall for mention detection. In absence of the chang system, it seems like the fernandes system is the only one that explicitly adds a constraint for the GM case and gets a perfect mention detection score. All other systems look significantly on recall.

### 8.3.4 Gold Test Parses

Finally, providing gold parses during testing does not have much of an impact on the scores.

### 8.3.5 All Languages Open

Tables 23, 24 and 25, give the performance for the systems that participated in the open track. Not many systems participated in this track, so there is not a lot to observe. One thing to note is that the chen system modified precise constructs sieve to add named entity information in the open track sieve which gave them a point improvement in performance. With gold mentions and gold syntax during testing the chen system performance almost approaches an F-score of 80 (79.79)

### 8.3.6 Headword-based and Genre specific scores

Since last year's task showed that there was only some very local difference in ranking between systems scored using the strict boundaries versus the ones using head-word based scoring, we did not compute the head-word based evaluation. Also, since there was no particular pattern in scores across genre for the CoNLL test set, we did not compute genre-specific scores as well. Actually we have
computed them, but there is no place in the paper. These could be made available on the task webpage.

## 9 Comparison with CoNLL-2011

Table 26 shows the performance of the systems on CoNLL-2011 test set. The models use about 200k more training data for English, but it is a small fraction of the total data, and given that the total size of training data in CoNLL-2011 was more than $80 \%$ of CoNLL-2012 training data ( 1 M vs 1.2 M words respectively); and, the fact that coreference scores have shown to asymptote after a small fraction of the total training data, it can be inferred that the $5 \%$ absolute gap between the best performing systems of last year and this year, that the improvement was most likely owing to algorithmic improvement and possibly using better rules. also since 2011 system was purely rule-based, it is unlikely that the 200K more data would have added to the rules.

It is interesting to note that although the winning system in the CoNLL-2011 task was a completely rule-based one, modified version of the same system used by shou and xiong ranked close to 10 . This does indicate that a hybrid approach has some advantage over a purely rule-based system. Improvement seems to be mostly owing to higher precision in mention detection, MUC, BCUBED, and higher recall in $\mathrm{CEAF}_{e}$

## 10 Conclusions

In this paper we described the anaphoric coreference information and other layers of annotation in the OntoNotes corpus, over three languages - English, Chinese and Arabic, and presented the results from an evaluation on learning such unrestricted entities and events in text. The following represent our conclusions on reviewing the results:

- Most top performing systems used a hybridapproach combining rule-based strategies with machine learning. Rule-based approach does seem to bring a system to a close to best performance region. The most significant advantage of the rule-based approach seems to be the capturing of most confident links before considering less confident ones. Discourse information when present is quite helpful to disambiguate pronominal mentions. Using information from appositives and copular constructions seems beneficial to bridge across various lexicalized mentions. It is not clear how much more can be gained using further strategies.

Table 25: Performance of systems in the primary and supplementary evaluations for the open track for Arabic

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The features for coreference prediction are certainly more complex than for many other language processing tasks, which makes it more challenging to generate effective feature combinations.

- Gold parse during testing does seem to help quite a bit. Gold boundaries are not of much significance for English (?and Arabic), but seem to be very useful for Chinese. The reason probably has some roots in the parser performance gap for Chinese.
- It does seem that collecting information about an entity by merging information across the various attributes of the mentions that comprise it can be useful, though not all systems that attempted this achieved a benefit, and has to be done carefully.
- It is noteworthy that systems did not seem to attempt the kind of joint inference that could make use of the full potential of various layers available in OntoNotes, but this could well have been owing to the limited time available for the shared task.
- We had expected to see more attention paid to event coreference, which is a novel feature in this data, but again, given the time constraints and given that events represent only a small portion of the total, it is not surprising that most systems chose not to focus on it.
- Scoring coreference seems to remain a significant challenge. There does not seem to be an objective way to establish one metric in preference to another in the absence of a specific application. On the other hand, the system rankings do not seem terribly sensitive to the particular metric chosen. It is interesting that both versions of the CEAF metric - which tries to capture the goodness of the entities in the output - seem much lower than the other metric, though it is not clear whether that means that our systems are doing a poor job of creating coherent entities or whether that metric is just especially harsh.


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[^0]:    ${ }^{1}$ http://www.itl.nist.gov/iad/mig/publications/ASRhistory/index.htm(Hirschman and Chinchor, 1997; Chinchor, 2001;
    ${ }^{2}$ http://www.itl.nist.gov/iad/mig/tests/mt/

[^1]:    ${ }^{3}$ http://projects.Idc.upenn.edu/ace/data/
    ${ }^{4}$ http://www.bbn.com/nlp/ontonotes

[^2]:    ${ }^{6}$ There are a few instances of novel senses introduced in OntoNotes which were not present in WordNet, and so lack a mapping back to the WordNet senses

[^3]:    ${ }^{7}$ Since mention detection interacts with anaphoricity determination since the corpus does not contain any singleton mentions.
    ${ }^{8}$ http://conll.bbn.com/download/conll-train.id
    http://conll.bbn.com/download/conll-dev.id
    http://conll.bbn.com/download/conll-test.id
    These are more general list which include documents that had at least one of the layers of annotation. In other words they also include documents that do not have any coreference annotation

[^4]:    ${ }^{11}$ Given the nature of word sense annotation, and changes in project priorities, we could not annotate all the low frequency verbs and nouns in the corpus. Furthermore, PropBank annotation currently only covers mostly verb predicates and a few noun predicates.

[^5]:    ${ }^{12}$ There is another phrase type - EMBED in the telephone conversation genre which is similar to the EDITED phrase type, and sometimes identifies insertions, but sometimes contains logical continuation of phrases, so we decided not to remove that from the data.

[^6]:    ${ }^{13}$ A study by Charniak and Johnson (2001) shows that one can identify and remove edits from transcribed conversational speech with an F-score of about 78, with roughly 95 Precision and 67 recall.
    ${ }^{14}$ doc/propbank/english-propbank.pdf
    ${ }^{15} \mathrm{http}: / / c e m a n t i x . o r g / o n t o n o t e s . h t m l$
    ${ }^{16}$ It should be noted that word sense annotation in OntoNotes is note complete, so only some of the verbs and nouns have word sense tags specified.

[^7]:    ${ }^{17} \mathrm{http}: / / / \mathrm{bllip} . c s$. brown.edu/download/rerankingparserAug06.tar.gz
    ${ }^{18}$ We offer special thanks to Hwee Tou Ng and his student Zhong Zi for training IMS models and providing output for the development and test sets.

[^8]:    ${ }^{19}$ http://cemantix.org/assert.html

[^9]:    ${ }^{20}$ The number of lemmas for English in Table 10 do not add up to this number because not all of them have examples in the training data, where the total number of instantiated senses amounts to 4229 .

[^10]:    ${ }^{21}$ OntoNotes is deeply grateful to the Linguistic Data Consortium for making the source data freely available to the task participants.

[^11]:    ${ }^{22}$ as is the case in this evaluation with Gold Mentions
    ${ }^{23}$ The MUC corpora did not tag single mention entities.

[^12]:    ${ }^{24}$ http://www.Isi.upc.edu/ esapena/downloads/index.php?id=3

[^13]:    ${ }^{25}$ http://conll.bbn.com/download/scorer.v4.tar.gz

[^14]:    ${ }^{26}$ They did not submit a final system description paper．

[^15]:    ${ }^{27}$ Which also happens to be the highest performing score last year. More precise comparison later in Section 9.

[^16]:    ${ }^{28}$ The documentation that comes with the SEMEVAL data package from LDC (LDC2011T01) states: "Only nominal mentions and identical (IDENT) types were taken from the OntoNotes coreference annotation, thus excluding coreference relations with verbs and appositives. Since OntoNotes is only annotated with multi-mention entities, singleton referential elements were identified heuristically: all NPs and possessive determiners were annotated as singletons excluding those functioning as appositives or as pre-modifiers but for NPs in the possessive case. In coordinated NPs, single constituents as well as the entire NPs were considered to be mentions. There is no reliable heuristic to automatically detect English expletive pronouns, thus they were (although inaccurately) also annotated as singletons."

[^17]:    ${ }^{29}$ It would be interesting to measure the overlap between the entity clusters for these two cases, to see whether there was any substantial difference in the mention chains, besides the expected differences in boundaries for individual mentions.

