# From Logic to Natural Language via Residuation

RAFFAELLA BERNARDI KRDB, FREE UNIVERSITY OF BOLZANO

CO-WORK WITH RAJEEV GORÉ, NATASHA KUROTNINA AND MICHAEL MOORTGAT

### Contents

1	Logic	& Language	4
	1.1	Natural Language: syntax	5
	1.2	Natural language: semantics	6
	1.3	Natural language: syntax-semantics	7
	1.4	Long distance dependencies	8
	1.5	Formal Grammar	9
	1.6	CFG for Natural Language	10
	1.7	Logical Grammar	11
	1.8	Function/Implication and NL	12
2	Pure l	ogic of Residuation	13
	2.1	Residuation	14
	2.2	Residuation: Tonicity and Composition	15
3	Non-a	ssociative Lambek Calculus (NL)	16
	3.1	Non-associative Lambek Calculus (Cont'd)	18
	3.2	(Binary) Residuated System: NL	19
	3.3	Logical Grammar: Lexicon	20
	3.4	Logical Grammar: Rules (Composition)	21

	3.5	Advantages and Limits	22
4	Going	on research: Bi-Lambek & Grishin	23
	4.1	Dual Residuation	24
	4.2	Bi-Lambek	25
	4.3	Grishin: Inequalities	26
	4.4	Grishin: Classes of inequalities	27
	4.5	Remarks: inequalities strength	28
	4.6	Remarks: displayable equalities	29
5	Where	we are and where we are going	30

### 1. Logic & Language

Aim to find the universal **core** of all natural languages and their variations

How Using logic to:

- ▶ formally define **grammaticality** of sentences and understand how syntactic structures are built
- ▶ formally define the **meaning** of sentences and understand how semantic structures are built
- ▶ model **syntax-semantic** interface

#### 1.1. Natural Language: syntax

- ▶ Syntax: "setting out things together", in our case things are words. The main question addressed here is "How do words compose together to form a grammatical sentence (s) (or fragments of it)?"
- ▶ Categories: words are said to belong to classes/categories. The main categories are nouns (n), verbs (v), adjectives (adj), determiners (det) and adverbs (adv).
- ▶ Constituents: Groups of categories may form a single unit or phrase called constituents. The main phrases are noun phrases (np), verb phrases (vp), prepositional phrases (pp). Noun phrases for instance are: "she"; "Michael"; "Rajeev Goré"; "the house"; "a young two-year child".

Structure:  $[[Michael]_{np} [[bought]_v [[the]_{det} [house]_n]_{np}]_{vp}]_s$ 

▶ **Dependency**: Categories are interdependent, for example

```
Ryanair services [Pescara]_{np} Ryanair flies [to Pescara]_{pp} *Ryanair services [to Pescara]_{pp} *Ryanair flies [Pescara]_{np}
```

the verbs services and flies determine which category can/must be juxtaposed. If their constraints are not satisfied the structure is ungrammatical.

#### 1.2. Natural language: semantics

The meaning of sentences is its truth value.

Model Given the domain (of entities)  $\{a, b, c, d\}$ , and the interpretation below

The meaning representation for a sentence can be built from the meaning representations of its parts and is based on its syntactic structure.

#### 1.3. Natural language: syntax-semantics

**Local Scope**: A single linguistic sentence can legitimately have different meaning representations assigned to it. For instance,

- ▶ "I saw the man with the telescope" (two syntactic structures!)
  - a. John [saw [a man [with the telescope]<sub>pp</sub>]<sub>np</sub>]<sub>vp</sub>  $\exists x. \mathtt{Man}(x) \land \mathtt{Saw}(j,x) \land \mathtt{Has}(x,t)$
  - b. John [[saw [a man]\_{np}]\_{vp} [with the telescope]\_{pp}]\_{vp}  $\exists x. \text{Man}(x) \land \text{Saw}(j, x) \land \text{Has}(j, t)$
- ▶ Mary showed each boy an apple.
  - a. Then she mixed the apples up and had each boy guess which was his.
  - b. The apple was a MacIntosh.

The sentence has two possible meaning representations:

- a.  $\forall y (\mathsf{Boy}(y) \to \exists x (\mathsf{Apple}(x) \land \mathsf{Show}(m, y, x)))$
- b.  $\exists x (\texttt{Apple}(x) \land \forall y ((\texttt{Boy}(y) \rightarrow \texttt{Show}(m, y, x))))$

but only one syntactic structure: [Mary [[showed [each boy]] [an apple]]] (non-local scope)

### 1.4. Long distance dependencies

Interdependent constituents need not be juxtaposed, but may form long-distance dependencies, manifested by gaps

▶ What cities does Ryanair service [...]?

The constituent what cities depends on the verb service, but is at the front of the sentence rather than at the object position.

Such distance can be large,

- ▶ Which flight do you want me to book [...]?
- ▶ Which flight do you want me to have the travel agent book [...]?

Both non local scope construal and long distance dependencies are challenging phenomena for formal analysis of natural language.

#### 1.5. Formal Grammar

A grammar is a formal device to recognize a language. This task is achieved via

- ▶ Categorization: a lexicon assigning words to categories. (re-writing rules from non-terminal to terminals)
- ► Composition: rules specifying ways of categorizing phrases. (re-writing rules from non-terminal to non-terminals)

Expressions that cannot be recognized by the grammar are ungrammatical.

Example Given the start symbol S, the terminal symbols a, b, and the rules below:

#### Rules

Rule 1 
$$S \to A B$$
 Rule 2  $S \to A S B$ 

Rule 3 
$$A \rightarrow a$$
 Rule 4  $B \rightarrow b$ 

the above grammar recognizes the string aabb. It can also be used to obtain its structure/parse tree

### 1.6. CFG for Natural Language

Categorization	Composition
NP> john	S> NP VP
IV> walks	VP> IV
TV> knows	VP> TV NP
DTV> gives	VP> DTV NP NP
Adj> poor	N> Adj N

#### 1.7. Logical Grammar

We want to find the Logic that properly models natural language syntax-semantics interface.

- ▶ We consider syntactic categories to be logical formulas
- ▶ As such, they can be atomic or complex (not just plain A, B, a, b etc.).
- ▶ They are related by means of the derivability relation  $(\Rightarrow)$
- ▶ To recognize that a string/structure is of a certain category reduces to prove the formulas corresponding to the structure and the category are in a derivability relation  $\Gamma \Rightarrow A$

The slogan is:

"Parsing as deduction"

### 1.8. Function/Implication and NL

We have seen that words (and phrases) can be interpreted as sets of entities or set of properties, etc.. Alternatively, one can assume a functional perspective and interpret, for example, "student" as a function from individual (entities) to truth values, student(monika) = 1, student(rajeev) = 0.

The shift from the set-theoretical to the functional perspective is made possible by the fact that the sets and their characteristic functions amount to the same thing:

if  $f_X$  is a function from Y to  $\{0,1\}$ , then  $X = \{y \mid f_X(y) = 1\}$ . In other words, the assertion ' $y \in X$ ' and ' $f_X(y) = 1$ ' are equivalent.

E.g. run: 
$$D_e \to D_t$$
; know:  $D_e \to (D_e \to D_t)$ ; every man:  $(D_e \to D_t) \to D_t$ 

Hence, we need to "represent" functions and be able to "reason" on (compose) them.

## 2. Pure logic of Residuation

The minimum we need to speak about functions is  $\rightarrow$  that is governed by the principle below.

(a) 
$$p, q \Rightarrow r$$
 iff  $p \Rightarrow q \rightarrow r$ 

But linguistic structures are:

- ▶ not commutative, hence we need to have a right  $(A \setminus B \text{if } A \text{ then } B)$  and a left implication (B/A B if A).
- ▶ not associativity –we cannot freely change their bracketing.
- ▶ sensitive to the occurrence of words (we cannot freely reduce or add them), hence no contraction and weakening is allowed.

Hence, the **minimum logic** we need is the **logic of residuation** expressed in (a).

#### 2.1. Residuation

Let  $\langle C, \leq_3 \rangle$  be a third partially ordered set, a triple of functions (f, g, h) such that  $f: A \times B \longrightarrow C, g: A \times C \longrightarrow B, h: C \times B \longrightarrow A$  forms a residuated triple if

$$[RES_2] \quad \forall x \in A, y \in B, z \in C \begin{pmatrix} x \leq_1 h(z, y) \\ \text{iff} \\ f(x, y) \leq_3 z \\ \text{iff} \\ y \leq_2 g(x, z) \end{pmatrix}$$

For instance

$$[RES_2] \quad \forall x \in A, y \in B, z \in C \begin{pmatrix} x \leq_1 \frac{z}{y} \\ \text{iff} \\ x \times y \leq_3 z \\ \text{iff} \\ y \leq_2 \frac{z}{x} \end{pmatrix}$$

Similarly, we can speak of n-ary residuated operators.

#### Residuation: Tonicity and Composition 2.2.

Saying that (f, q, h) is a residuated triple is equivalent to requiring

i) Tonicity: 
$$f(+,+), g(-,+)$$
 and  $h(+,-)$ 

where + means, it preserve the order of its argument (upward monotonic).

e.g. 
$$f(a,b) \le f(c,d)$$
 if  $a \le c$ and $c \le d$ 

where – means, it reverses the order of its argument (downward monotonic).

e.g. 
$$g(c,b) \le f(a,d)$$
 if  $a \le c$  and  $c \le d$ 

$$ii)$$
Composition:  $\forall x \in A, y \in B, z \in C$ 

$$ii) \textbf{Composition}: \ \forall x \in A, y \in B, z \in C \left( \begin{array}{c} f(x,g(x,z)) \leq_3 z \\ \text{and} \\ y \leq_2 g(x,f(x,y)) \\ \text{and} \\ f(h(z,y),y) \leq_3 z \\ \text{and} \\ x \leq_1 h(f(x,y),y) \end{array} \right)$$

### 3. Non-associative Lambek Calculus (NL)

NL logical and structural language

FORM ::= ATOM | FORM 
$$\otimes$$
 FORM | FORM/FORM | FORM\FORM X ::= FORM | X, X

Remark In sequent calculi we need both logical and structural language, the re-write rule below establish the connection between  $\otimes$  and its structural proxy ;:

$$\frac{A,B \Rightarrow C}{A \otimes B \Rightarrow C}$$

Proof Theory For each logical operator (\*), Gentzen Sequents Calculi consist of a logical rule introducing the \* on the left ([\*L)]) and on the right ([\*R)]) of the  $\Rightarrow$ .

Let  $\Delta, \Gamma, \ldots$  and  $A, B, \ldots$  stand for structures and formulas, respectively.

$$\frac{A,\Delta \Rightarrow B}{\Delta \Rightarrow A \backslash B} \ (\backslash R) \quad [RES_2] \quad \forall x \in A, y \in B, z \in C \left( \begin{array}{c} f(x,y) \leq_3 z \\ \text{if} \\ y \leq_2 g(x,z) \end{array} \right)$$

	Contents	First	Legt	Drow	Novet	
This rule encodes half of the residuation conditural proxy of $\otimes$ .	ition holdin	g betwe	een \ a	nd , i.e	. the st	ruc-

### 3.1. Non-associative Lambek Calculus (Cont'd)

The other half of the residuation condition is compiled in the [L] and [L].

$$\frac{\Delta \Rightarrow B \quad \Gamma[A] \Rightarrow C}{\Gamma[A/B, \Delta] \Rightarrow C} \qquad \qquad \frac{\Delta \Rightarrow B \quad \Gamma[A] \Rightarrow C}{\Gamma[\Delta, B \backslash A] \Rightarrow C}$$

The **composition** property is an instantiation of the rules above, e.g.

$$\begin{pmatrix} f(x, g(x, z)) \leq_3 z \\ \text{is} \\ (A/B) \otimes B \Rightarrow A \end{pmatrix}$$

where  $\Delta = B$ , C = A and  $\Gamma$  is empty.

### 3.2. (Binary) Residuated System: NL

$$\begin{array}{ccc} \overline{A\Rightarrow A} & \text{(axiom)} \\ \\ \frac{\Delta\Rightarrow B & \Gamma[A]\Rightarrow C}{\Gamma[(A/B,\Delta)]\Rightarrow C} & (/\text{L}) & \frac{\Gamma,B\Rightarrow A}{\Gamma\Rightarrow A/B} & (/\text{R}) \\ \\ \frac{\Delta\Rightarrow B & \Gamma[A]\Rightarrow C}{\Gamma[(\Delta,B\backslash A)]\Rightarrow C} & (\backslash\text{L}) & \frac{B,\Gamma\Rightarrow A}{\Gamma\Rightarrow B\backslash A} & (\backslash\text{R}) \\ \\ \frac{\Gamma[(A,B)]\Rightarrow C}{\Gamma[A\otimes B]\Rightarrow C} & (\otimes\text{L}) & \frac{\Gamma\Rightarrow A & \Delta\Rightarrow B}{(\Gamma,\Delta)\Rightarrow A\otimes B} & (\otimes\text{R}) \end{array}$$

Tonicity				
upward mon.	+/	+⊗+	\+	
downward mon.	/-		-\	

### 3.3. Logical Grammar: Lexicon

NL Lexicon (Categorization):

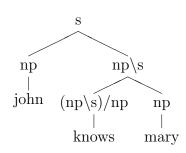
```
John, Mary: np walks: np \setminus s knows: (np \setminus s)/np
```

### 3.4. Logical Grammar: Rules (Composition)

NL Rules (Composition): (/L) and  $(\backslash L)$ 







$$\underbrace{np \Rightarrow np \quad \frac{np \Rightarrow np \quad s \Rightarrow s}{np, (np \backslash s) \Rightarrow s}}_{\text{john}} (\backslash L)$$

### 3.5. Advantages and Limits

#### Advantages

- ▶ it identifies in the residuation principle the core of natural language structure.
- ▶ it reduces cross-linguistic variations to variations w.r.t. structural rules and lexicon.
- ▶ it captures the **syntax-semantics** interface in a clear way: NL corresponds to  $\lambda$ -calculus (**Curry-Howard correspondence**). Hence, meaning representation is built as their by-product by simply by labeling the derivations with the corresponding  $\lambda$ -terms.

Limits It does not account for non local scope construal and long distance dependencies.

## 4. Going on research: Bi-Lambek & Grishin

Aim We want to extend the expressivity of NL to overcome the undergeneration problem (avoiding overgeneration) by shopping in the algebraic structure it lives in.

#### Ingredients

- $\blacktriangleright$  (n-ary) Residuated operators
- ▶ (n-ary) Dual Residuated operators
- $\blacktriangleright$  (n-ary) Galois Operators
- ▶ Connection between the different families of operators

#### Receipt

▶ increase the expressivity step by step to grasp the minimal logic needed.

#### 4.1. Dual Residuation

Recall Let  $\langle C, \leq_3 \rangle$  be a third partially ordered set, a triple of functions (f, g, h) such that  $f: A \times B \longrightarrow C$ ,  $g: A \times C \longrightarrow B$ ,  $h: C \times B \longrightarrow A$  forms a residuated triple if

$$[RES_2] \quad \forall x \in A, y \in B, z \in C \begin{pmatrix} x \leq_1 h(z, y) \\ \text{iff} \\ f(x, y) \leq_3 z \\ \text{iff} \\ y \leq_2 g(x, z) \end{pmatrix}$$

Similarly a triple of functions (f, g, h) forms a dual residuated triple if

$$[DRES_2] \quad \forall x \in A, y \in B, z \in C \begin{pmatrix} h(z, y) \leq_1 x \\ \text{iff} \\ z \leq_3 f(x, y) \\ \text{iff} \\ g(x, z) \leq_2 y \end{pmatrix}$$

#### 4.2. Bi-Lambek

#### Language

 $\mathsf{FORM} ::= \quad \mathsf{ATOM} \mid \mathsf{FORM} \otimes \mathsf{FORM} \mid \mathsf{FORM}/\mathsf{FORM} \mid \mathsf{FORM}\backslash\mathsf{FORM}$ 

FORM ⊕ FORM | FORM ⊘ FORM | FORM ⊗ FORM

 $X ::= FORM \mid X, X$ 

#### Composition

$$A \otimes (A \backslash B) \Rightarrow B$$
  $B \Rightarrow A \oplus (A \otimes B)$ 

#### Tonicity

Tonicity						
upward mon.	+/	$+\otimes +$	/+	+0	$+ \oplus +$	O+
downward mon.	/-		-\	$\oslash$ $-$		$- \bigcirc$

Problem No communication between the two families of operators. The expressivity of each logic does not increase.

#### 4.3. Grishin: Inequalities

Grishin identifies a class of system obtained from given algebraic systems by adding certain inequalities to the axioms. In particular, he looks at associative Lambek calculus (L) and its bi-counterpart (bi-L) enriched with neutral elements. The generalization proceeds as below.

- $\triangleright$  We have 6 binary operations (3 res, 3 dual-res, w), hence 12 cases (w?,?w).
- These 12 operators are divided into (i) left vs. right based on where they live w.r.t. to  $\leq$  ( $\Rightarrow$ ); and (ii) upward (|w|=0) vs. downward (|w|=1) monotonic based on the monotonicity of their argument (the?).
- ▶ Grishin gives 6 inequality schema,  $a^{\mu}x = awx$  if  $\mu = w$ ?, and  $a^{\mu}x = xwa$  if  $\mu = ?w$ .

1. 
$$\forall a, b, c(a^{\mu}, b^{\lambda}c \leq b^{\lambda}a^{\mu}c)$$

4. 
$$\forall a, b, c((a^{\lambda^{\perp}}b)^{\mu^{*\perp}}c \leq_{|\mu^{*}|} b^{\mu^{*\perp}}a^{\lambda}c)$$

2. 
$$\forall a, b, c(b^{\lambda}a^{\mu^{\perp}}c \leq_{|\mu|} a^{\mu^{\perp}}b^{\lambda}c)$$

1. 
$$\forall a, b, c(a^{\mu}, b^{\lambda}c \leq b^{\lambda}a^{\mu}c)$$
 4.  $\forall a, b, c((a^{\lambda^{\perp}}b)^{\mu^{*\perp}}c \leq_{|\mu^{*}|}b^{\mu^{*\perp}}a^{\lambda}c)$   
2.  $\forall a, b, c(b^{\lambda}a^{\mu^{\perp}}c \leq_{|\mu|}a^{\mu^{\perp}}b^{\lambda}c)$  5.  $\forall a, b, c(a^{\lambda^{*\perp}}b^{\mu}c \leq_{|\lambda^{*}|}b^{\mu^{\perp}}a^{\lambda^{*\perp}}c)$   
3.  $\forall a, b, c(a^{\lambda^{\perp}}b^{\mu}c \leq_{|\lambda|}b^{\mu}a^{\lambda^{\perp}}c)$  6.  $\forall a, b, c((c^{\mu^{*\perp}}b)^{\mu}a \leq (c^{\lambda^{*\perp}}a)^{\lambda}b)$ 

3. 
$$\forall a, b, c(a^{\lambda^{\perp}}b^{\mu}c \leq_{|\lambda|} b^{\mu}a^{\lambda^{\perp}}c)$$

6. 
$$\forall a, b, c((c^{\mu^{*\perp}}b)^{\mu}a \le (c^{\lambda^{*\perp}}a)^{\lambda}b)$$

$\mu$	?w	w?
$\mu^*$	w?	?w

$\mu$	⊗?	?\	?/	⊕?	?⊘	?⊘
$\mu^{\perp}$	\?	?/	?⊗	⊘?	⊘?	?⊕

	$\varepsilon = 0$	$\varepsilon = 1$
$x \leq_{\varepsilon} y$	$x \leq y$	$y \le x$

### 4.4. Grishin: Classes of inequalities

- ▶ Grishin proves that these 6 inequalities (of formulas) are mutually equivalent (interderivable) given residuation (and dual-residuation), when both  $|\lambda| = 0$  and  $|\mu| = 0$  (upward monotonic).
- ▶ The 6 mutually equivalent formulas identify classes of equivalent postulates.
- Out of the 12 cases of operators the combination of the upward monotonic ones (viz. 4 left  $\{\otimes?,?\otimes,\otimes?,?\oslash\}$  and 4 right  $\{\oplus?,?\oplus,\backslash?,?/\}$ ) gives 16 classes of 6 mutually equivalent postulates, namely:
  - 1. 4: associativity of res. operators (II) and of dual-res. (III);
  - 2. 4: 3-commutativity of res. operators (II') and of dual-res. (III');
  - 3. 4: mixed associativity of res. & dual-res operators (I and IV);
  - 4. 4: mixed commutativity of res. & dual-residuation (I' and IV').

Each group of 4 classes consists of 2 classes and their symmetric ( $\sim$ ) cases –e.g. ( $\backslash$ ) $^{\sim} = /$  and ( $\otimes$ ) $^{\sim} = \otimes$ .

The N' are obtained by keeping the  $\mu$  and switching to the  $(\lambda)^{\sim}$  of the N.

### 4.5. Remarks: inequalities strength

- Commutativity follows from II' and III' (3-commutativity), e.g. postulate 3.  $a \otimes (b \otimes c) \leq b \otimes (c \otimes a)$ , take c = 1,  $a \otimes (b \otimes 1) \leq b \otimes (1 \otimes a) = a \otimes b \leq b \otimes a$ .
- ▶ Class IV is weaker than the other classes (???).
  - 1. Class IV (mix. ass. of res. and dual res) is provable from the having  $a \setminus b =_{def} \neg a \oplus b$ , residuation, classes I and III.

If  $a \setminus b = \neg a \oplus b$ , postulate 2.  $a \setminus (c \oplus b) \leq (a \setminus c) \oplus b$  is a valid statement, viz.  $\neg a \oplus (c \oplus b) \leq (\neg a \oplus c) \oplus b$ , and so do the other equivalent postulates.

#### 4.6. Remarks: displayable equalities

**displayable** inequality: in each side of the  $\leq$ , the formula is built out of operators living on the same side of the  $\Rightarrow$  in Display Logic.

- Each of the classes formed by taking both |μ| and |λ| as 0 (upw. mon) contains one displayable inequality (two if they are mixed —one for each side of ⇒):
  [ass. and 3-com] In group II and (II)<sup>~</sup> (its symmetric), and in II' and (II')<sup>~</sup> (resp. III and (III)<sup>~</sup>, and III' and (III')<sup>~</sup>) they are the postulates 3. (resp. 2.).
  [mix-ass. and mix-com] In group I and IV (resp. I' and IV') they are the postulates 2. and 3. (Similarly, for the symmetric cases).
- Equalities of these postulates are obtained by combining two classes: by II plus (II) $^{\sim}$  the inequalities 3. become:  $a \otimes (c \otimes b) = (a \otimes c) \otimes b$ . by II' plus (II') $^{\sim}$  the inequalities 3. become:  $a \otimes (b \otimes c) = b \otimes (c \otimes a)$ . Similarly, for the  $\oplus$  by III plus (III) $^{\sim}$  and III' plus (III') $^{\sim}$  by I plus IV (resp. I' and IV') 2. become:  $a \oplus (c/b) = (a \oplus c)/b$ , (resp.  $b \oplus (c \setminus a) = a \setminus (c \oplus b)$ ) and 3. become:  $a \otimes (c \otimes b) = (a \otimes c) \otimes b$  (resp.  $a \otimes (b \otimes c) = b \otimes (a \otimes c)$ ). (Similarly, for the symmetric cases.)

### 5. Where we are and where we are going

- ▶ Hierarchy A Residuated Logics for linguistic analysis.
- ► Completness It has been proved for Bi-NL + Groups IV and IV' (Kurtonina, Moortgat and Goré)
- ▶ Proof System
  - ▶ Display Logic (of course).
  - ▶ Sequent Calculus: but we are still checking whether cut is admissible.
  - ▶ Sequent Calculus based on de Groote'99 approach (context with a hole)
- ► Complexity de Groote's approach could be used to show that Bi-NL (plus Group IV . . .) is decidable in polynomial time. (started)
- ► Curry-Howard Correspondence to be done!
- ▶ Galois to be done. (started.)
- ▶ Unary Unary Residuated operators (Kurtonina Moortgat 95); Unary Galois (Areces, Bernardi, Moortgat'00). Still to be done: communication. (started.)