

# Dependency Parsing

Guest lecture in Computational Linguistics course

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# Why Parsing?

# Why Parsing?

For Whom?

# For Whom?

- Researchers working on syntax or related topics within other traditions
- Researchers and application developers interested in using parsers as components in larger systems

# Two views of grammatical structure

- So far (a.o.): Constituency structure (a.k.a. phrase structure - CFGs)
- Today: Dependency structure

# Today

- 1 Introduction
- 2 Transition-based parsing
- 3 Evaluation

# Outline

- 1 Introduction
  - Introduction to UD
- 2 Transition-based parsing
- 3 Evaluation

# The notion of dependency

In a dependency grammar, syntactic structures consist of *words* that are linked pairwise by relations called *dependencies*.

The following slides are based on a tutorial by J.Nivre et al: <http://universaldependencies.org/eacl17tutorial/intro.pdf>



# Introduction to UD

## Introduction

- Increasing interest in multilingual NLP
  - Multilingual evaluation campaigns to test generality
  - Cross-lingual learning to support low-resource languages
- Increasing awareness of methodological problems
  - Current NLP relies heavily on annotation
  - Annotation schemes vary across languages



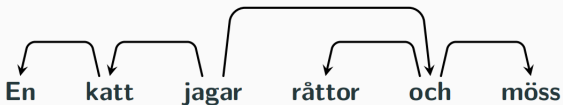
# Introduction to UD

## Introduction



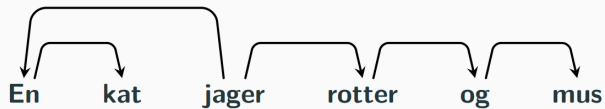
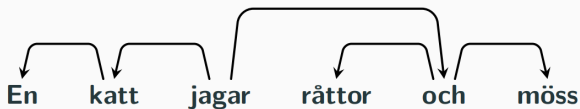
# Introduction to UD

## Introduction



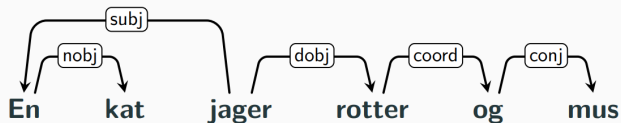
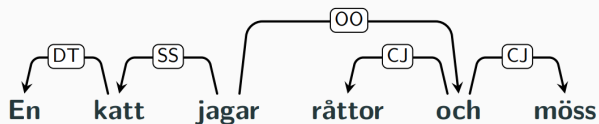
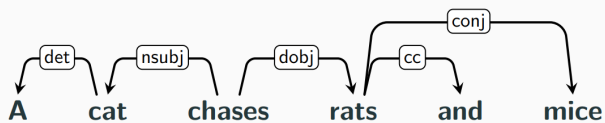
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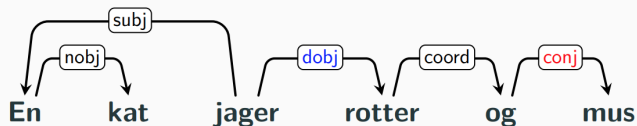
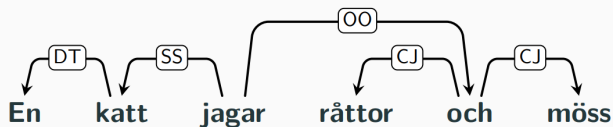
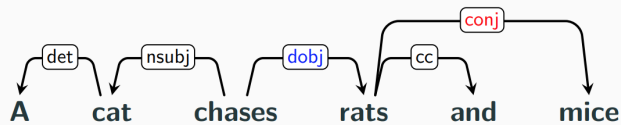
# Introduction to UD

## Introduction



# Introduction to UD

## Introduction



# Introduction to UD

## Introduction

### Why is this a problem?

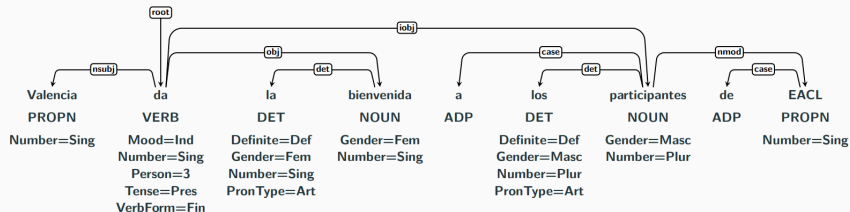
- Hard to compare empirical results across languages
- Hard to usefully do cross-lingual structure transfer
- Hard to evaluate cross-lingual learning
- Hard to build and maintain multilingual systems
- Hard to make comparative linguistic studies
- Hard to validate linguistic typology
- Hard to make progress towards a universal parser



# Introduction to UD

## Introduction

<http://universaldependencies.org>



- Part-of-speech tags
- Morphological features
- Syntactic dependencies





# Introduction to UD

## Introduction

### Goals and Requirements

- Cross-linguistically consistent grammatical annotation
- Support multilingual NLP and linguistic research
- Build on common usage and existing de facto standards
- Complement – not replace – language-specific schemes
- Open community effort – anyone can contribute!



# Introduction to UD

## Introduction

### The UD Philosophy

- Maximize parallelism – but don't overdo it
  - Don't annotate the same thing in different ways
  - Don't make different things look the same
  - Don't annotate things that are not there
- Universal taxonomy with language-specific elaboration
  - Languages select from a universal pool of categories
  - Allow language-specific extensions



# Introduction to UD

## Introduction

### Morphological Annotation

Le	chat	chasse	les	chiens	.
le	chat	chasser	le	chien	.
<b>DET</b>	<b>NOUN</b>	<b>VERB</b>	<b>DET</b>	<b>NOUN</b>	<b>PUNCT</b>
Definite=Def Gender=Masc Number=Sing	Gender=Masc Number=Sing	Mood=Ind Number=Sing Person=3 Tense=Pres VerbForm=Fin	Definite=Def Gender=Masc Number=Plur	Gender=Masc Number=Plur	

- Lemma representing the semantic content of a word
- Part-of-speech tag representing its grammatical class
- Features representing lexical and grammatical properties of the lemma or the particular word form



# Introduction to UD

## Introduction

### Syntactic Annotation

The cat could have chased all the dogs down the street .

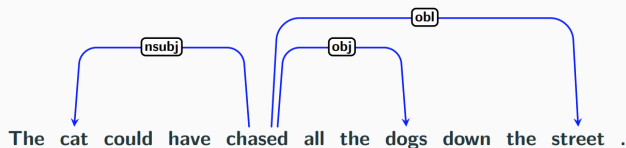
- Content words are related by dependency relations
- Function words attach to the content word they modify
- Punctuation attach to head of phrase or clause



# Introduction to UD

## Introduction

### Syntactic Annotation



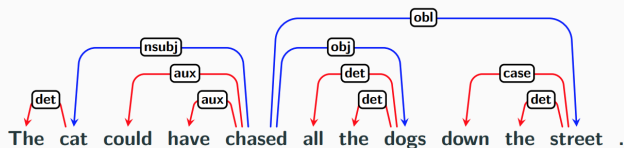
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# Introduction to UD

## Introduction

### Syntactic Annotation



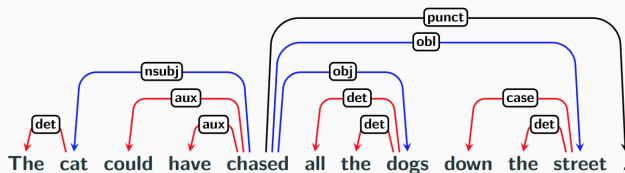
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# Introduction to UD

## Introduction

### Syntactic Annotation



- Content words are related by dependency relations
- Function words attach to the content word they modify
- Punctuation attach to head of phrase or clause



# Introduction to UD

## Introduction

### CoNLL-U Format

ID	FORM	LEMMA	UPOSTAG	XPOSTAG	FEATS	HEAD	DEPREL	DEPS	MISC
1	Le	le	DET	-	-	2	det	-	-
2	chat	chat	NOUN	-	-	3	nsubj	-	-
3	boit	boire	VERB	-	-	0	root	-	-
4-5	du	-	-	-	-	-	-	-	-
4	de	de	ADP	-	-	6	case	-	-
5	le	le	DET	-	-	6	det	-	-
6	lait	lait	NOUN	-	-	3	obj	-	SpaceAfter=No
7	.	.	PUNCT	-	-	3	punct	-	-

- Revised and extended version of CoNLL-X format
- Two-level segmentation and enhanced dependencies



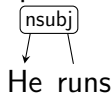


# Naming nodes in a dependency

`rel(head, dep)`

- head vs dependent
- governor vs modifier
- regent vs subordinate
- parent vs child

In the convention we use, dependency edges go from head to dependent: `nsubj(runs, He)`.

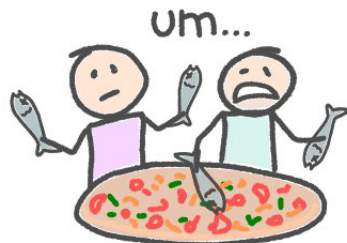


# Example

“They ate the pizza with anchovies”

# Example

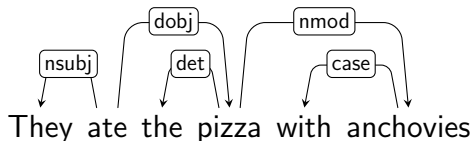
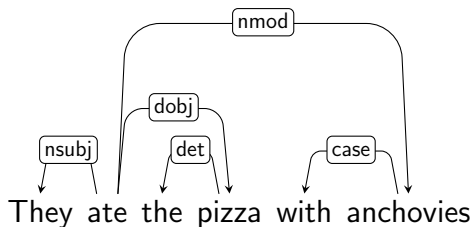
“They ate the pizza with anchovies”



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James Constable, 2010

# Dependency Trees: Universal dependencies

“They ate the pizza with anchovies”



# Outline

- 1 Introduction
- 2 Transition-based parsing
  - Choosing the Right Actions
  - Representing configurations using feature templates
- 3 Evaluation

# What is Transition-based Parsing?

- One of the two leading approaches for dependency parsing

# What is Transition-based Parsing?

- One of the two leading approaches for dependency parsing
  - Approach 1: **Transition-based parsing**: local decisions
  - Approach 2: **Graph-based parsing**: global decision (find globally best tree; computationally more expensive; we will not cover this)

# Why Transition-based Parsing?

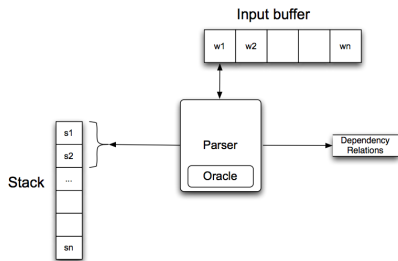
- left to right: similar to how the human brain does it
- in recent years: state-of-the-art accuracy
- very fast
- simple
- flexible: also suitable for producing phrase-structure trees, CCG derivations, semantic representations... (see next lectures)



# Transition-based Parsing: How? Intuition:

- Read sentence word by word, left to right
- Build up the dependency tree one word at a time:
  - after each word, look at the current **parser configuration**
  - select a **parser operation** from a set of operations consulting a machine-learned classifier

# What is a parser configuration?



Configuration:

- Buffer  $B$  (words left, at the start entire sentence)
- Stack  $S$  (last in, first out)
- Relations  $R$  (dependency edges predicted so far, a partial parse)

# Transition-based parsing

- Configuration:
  - Buffer  $B$  (words left, at the start entire sentence)
  - Stack  $S$  (last in, first out)
  - Relations  $R$  (dependency edges predicted so far, a partial parse)
- Configuration  $C = S, B, R$

# Transition-based parsing

- Configuration:
  - Buffer  $B$  (words left, at the start entire sentence)
  - Stack  $S$  (last in, first out)
  - Relations  $R$  (dependency edges predicted so far, a partial parse)
- Configuration  $C = S, B, R$
  
- Initial configuration: empty stack, all words on buffer, empty  $R$
- Final configuration: stack, empty buffer, all edges are in  $R$

# Transition-based parsing

- Configuration:
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- Configuration  $C = S, B, R$
  
- Initial configuration: empty stack, all words on buffer, empty  $R$
- Final configuration: stack, empty buffer, all edges are in  $R$
- Parser does a search through the space of possible configurations

# Basic actions (simplified)

- The parser has 3 basic operations (other variants possible):

# Basic actions (simplified)

- The parser has 3 basic operations (other variants possible):
  - **Shift:** Move a word from the buffer to the stack
  - **Left:** Create an edge to the left
  - **Right:** Create an edge to the right
- (This transition system with 3 operations is called *arc-standard*)

# Basic actions (details)

- The parser has 3 basic operations (other variants possible):
  - **Shift:** Move a word from the buffer to the stack  
 $(S, i|j|B, A) \rightarrow (S|i, j|B, A)$
  - **Left:** Create an edge to the left  
 $(S|i|j, B, A) \rightarrow (S|j, B, A \cup j \rightarrow i)$  [create an edge from  $j$  to  $i$ , where  $j$  is the first and  $i$  the second node from the top of the stack; in addition removes  $i$  from stack]
  - **Right:** Create an edge to the right  
 $(S|i|j, B, A) \rightarrow (S|i, B, A \cup i \rightarrow j)$  [create an edge from  $i$  to  $j$ , where  $i$  is the second and  $j$  the first node on top of the stack; pops  $j$  from the stack]
- Details in <http://stp.lingfil.uu.se/~nivre/master/transition.pdf>



# Transition-based Dependency Parsing

```
buffer = ['They', 'ate', 'the', 'pizza',  
         'with', 'anchovies']  
stack = []
```

# Transition-based Dependency Parsing

```
buffer = ['They', 'ate', 'the', 'pizza',  
         'with', 'anchovies']
```

```
stack = []
```

```
while len(buffer) > 0 or len(stack) > 1:  
    action = choose_action(buffer, stack)  
    if action == 'SHIFT':  
        stack.append(i)  
    elif action == 'LEFT':  
        parse.add(stack[-2], stack.pop())  
    elif action == 'RIGHT':  
        parse.add(i, stack.pop())
```

# Example

Stack: []      Buffer: [They, ate, the, pizza, with, anchovies]  
R: []

# Example

Stack: [They]      Buffer: [ate, the, pizza, with, anchovies]  
R: []

**SHIFT,**

# Example

Stack: [They, ate]

R: []

Buffer: [the, pizza, with, anchovies]

SHIFT,SHIFT

# Example

Stack: [ate]      Buffer: [the, pizza, with, anchovies]  
R: [ate → They]

SHIFT,SHIFT,**Left**,

# Example

Stack: [ate, the]      Buffer: [pizza, with, anchovies]  
R: [ate → They]

SHIFT,SHIFT,**Left**,SHIFT,

# Example

Stack: [ate, the, pizza]

Buffer: [with, anchovies]

R: [ate → They]

SHIFT,SHIFT,Left,SHIFT,SHIFT,



# Example

Stack: [ate, pizza]      Buffer: [with, anchovies]  
R: [ate → They, pizza → the]

SHIFT,SHIFT,**Left**,SHIFT,SHIFT,**Left**,

# Example

Stack: [ate, pizza, with]      Buffer: [anchovies]  
R: [ate → They, pizza → the]

SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, SHIFT,

# Example

Stack: [ate, pizza, with, anchovies]      Buffer: []  
R: [ate → They, pizza → the]

SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, SHIFT, SHIFT,

# Example

Stack: [ate, pizza, anchovies]    Buffer: []  
R: [ate → They, pizza → the, anchovies → with]

SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**

# Example

Stack: [ate, pizza]      Buffer: []

R: [ate → They, pizza → the, anchovies → with, pizza → anchovies]

SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**,  
**Right**

# Example

Stack: [ate]      Buffer: []

R: [ate → They, pizza → the, anchovies → with, pizza → anchovies,  
ate → pizza]

SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**,  
**Right**, **Right**

# Example

Stack: []    Buffer: []

R: [ate → They, pizza → the, anchovies → with, pizza → anchovies,  
ate → pizza, ROOT → ate ]

SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**,  
**Right**, **Right**, **Right**

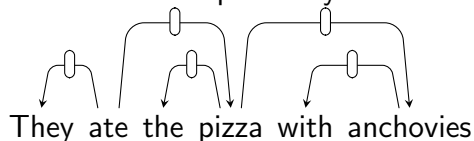
# Example

Stack: [] Buffer: []

R: [ate → They, pizza → the, anchovies → with, pizza → anchovies,  
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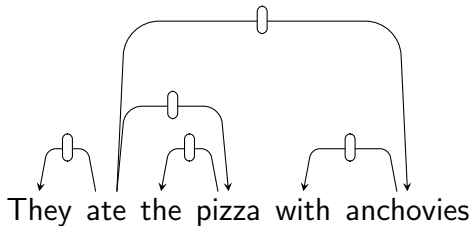
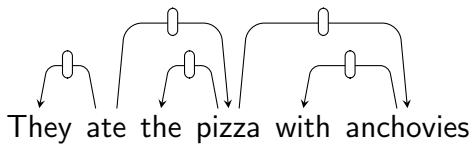
SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**,  
**Right**, **Right**, **Right**

R encodes our dependency tree:

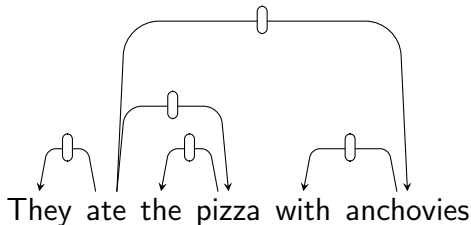
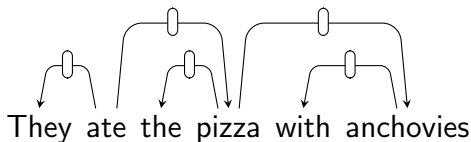




# How would we get the other parse tree?



# How would we get the other parse tree?



**Insight:** each sequence of operations derives a dependency tree

## Back to our example - alternative

Stack: [ate, pizza]      Buffer: [with, anchovies]  
R: [ate → They, pizza → the]

SHIFT,SHIFT,**Left**,SHIFT,SHIFT,**Left**,

## Back to our example - alternative

Stack: [ate]      Buffer: [with, anchovies]

R: [ate → They, pizza → the, ate → pizza]

SHIFT,SHIFT,**Left**,SHIFT,SHIFT,**Left**,**Right**,

## Back to our example - alternative

Stack: [ate,with]      Buffer: [anchovies]

R: [ate → They, pizza → the, ate → pizza]

SHIFT,SHIFT,Left,SHIFT,SHIFT,Left,Right,SHIFT,

## Back to our example - alternative

Stack: [ate,with,anchovies]      Buffer: []  
R: [ate → They, pizza → the, ate → pizza]

SHIFT,SHIFT,Left,SHIFT,SHIFT,Left,Right,SHIFT, SHIFT,

## Back to our example - alternative

Stack: [ate,anchovies]    Buffer: []

R: [ate → They, pizza → the, ate → pizza, anchovies → with]

SHIFT,SHIFT,**Left**,SHIFT,SHIFT,**Left**,**Right**,SHIFT, SHIFT,  
**Left**,

## Back to our example - alternative

Stack: [ate]      Buffer: []

R: [ate → They, pizza → the, ate → pizza, anchovies → with, ate → anchovies]

SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, **Right**, SHIFT, SHIFT, **Left**, **Right**



## Back to our example - alternative

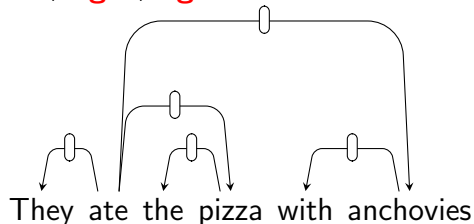
Stack: []    Buffer: []

R: [ate → They, pizza → the, ate → pizza, anchovies → with, ate → anchovies, ROOT → ate]

SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, **Right**, SHIFT, SHIFT, **Left**, **Right**, **Right**

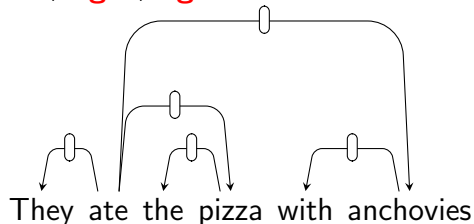
## Back to our example - alternative

SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, **Right**, SHIFT, SHIFT,  
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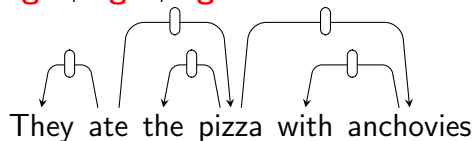


## Back to our example - alternative

SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, **Right**, SHIFT, SHIFT,  
**Left**, **Right**, **Right**



SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**, SHIFT, SHIFT, **Left**,  
**Right**, **Right**, **Right**



# Which Action to Choose?

```
def choose_action(stack, buffer):  
    # ???
```

Thanks to Kilian Evang for the basis of the following slides.

# Which Action to Choose?

**stack:**

ate the pizza

They  
↓

**buffer:**

with anchovies

# Which Action to Choose?

stack:

ate the pizza



They

buffer:

with anchovies

Next action should be **LEFT**. But how does the parser know that?

# Look at Contextual Clues

## E.g. word unigram features

stack:

ate the pizza

↙  
They

buffer:

with anchovies

# Look at Contextual Clues

## E.g. word unigram features

stack:

ate the pizza  
↓  
They

buffer:

with anchovies

## Describe configuration in terms of features

's\_w0=pizza' # word on top of stack



# Look at Contextual Clues

E.g. word unigram features

stack:

ate  
↓  
They

the pizza

buffer:

with anchovies

# Look at Contextual Clues

## E.g. word unigram features

stack:

ate the pizza  
↓  
They

buffer:

with anchovies

## Describe configuration in terms of features

's\_w0=pizza' # word on top of stack

's\_p0=NOUN' # pos tag on top of stack

'b\_w0=with' # first word on buffer

# Look at Contextual Clues - they get weights

E.g. part-of-speech bigram features

stack:

ate  
↓  
They

the pizza

buffer:

with anchovies

# Look at Contextual Clues - they get weights

E.g. part-of-speech bigram features

stack:

ate  
↓  
They

the pizza

buffer:

with anchovies

Look up “weights” for each possible action

`weight['s_p1=DET;s_p0=NOUN']['SHIFT'] = -3`

`weight['s_p1=DET;s_p0=NOUN']['LEFT'] = 10`

`weight['s_p1=DET;s_p0=NOUN']['RIGHT'] = -5`

# Look at Contextual Clues - they get weights

E.g. word unigram features

stack:

ate the pizza  
↓  
They

buffer:

with anchovies

# Look at Contextual Clues - they get weights

## E.g. word unigram features

stack:

ate the pizza  
↓  
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buffer:

with anchovies

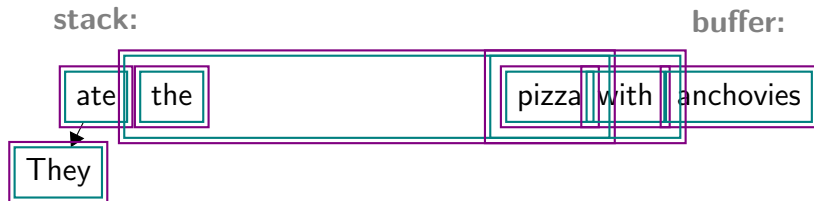
## Look up “weights” for each possible action

$\text{weight}['s\_w1=the']['SHIFT'] = 5$

$\text{weight}['s\_w1=the']['LEFT'] = 5$

$\text{weight}['s\_w1=the']['RIGHT'] = -5$

# In Practice: Many More Features



Sum up the weights for each possible action, choose the action with the highest total.

# Where Do the Weights Come from?

- need training data = sentences where correct actions are known
- training = automatically find weights that lead to good parses
- e.g. perceptron training



# Perceptron Training

- start with all weights = 0
- parse the training data
- whenever the parser chooses the wrong action,
  - subtract 1 from the context weights for this action
  - add 1 to the context weights for the correct action
- over time, parser makes fewer mistakes

# Perceptron Training: Example

stack:

ate the pizza  
↓  
They

buffer:

with anchovies

E.g. **LEFT** is correct, parser chooses **SHIFT**.

# Perceptron Training: Example

stack:

ate the pizza  
↓  
They

buffer:

with anchovies

E.g. **LEFT** is correct, parser chooses **SHIFT**.

Update:

```
weight['s_w1=the']['SHIFT'] -= 1
```

```
weight['s_w1=the']['LEFT'] += 1
```

```
weight['s_p1=DET;s_p0=NOUN']['SHIFT'] -= 1
```

```
weight['s_p1=DET;s_p0=NOUN']['LEFT'] += 1
```

# Choosing the Right Actions

## Transition-based parsing

- 1 Introduction
  - Introduction to UD
- 2 Transition-based parsing
  - Choosing the Right Actions
  - Representing configurations using feature templates
- 3 Evaluation

# Summary

- goal: automatically find syntactic structure
- process sentences one word at a time
- at each step, choose the right action
- train parser using training data, features, perceptron training
- simple and works well in practice

## Features - Example configuration:

United canceled the morning flights to Houston

Stack: [root, canceled, flights]      Buffer: [to, Houston]

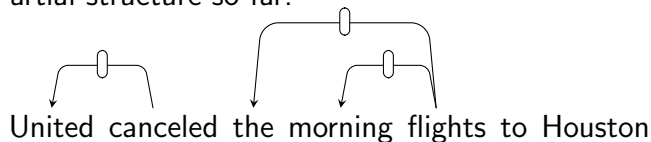
R: [canceled → United, flights → morning, flights → the]

## Features - Example configuration:

United canceled the morning flights to Houston

Stack: [root, canceled, flights]      Buffer: [to, Houston]  
R: [canceled → United, flights → morning, flights → the]

Partial structure so far:



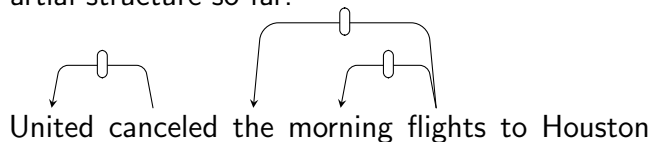
## Features - Example configuration:

United canceled the morning flights to Houston

Stack: [root, canceled, flights]      Buffer: [to, Houston]

R: [canceled → United, flights → morning, flights → the]

Partial structure so far:



What is the next action?

How can we represent this parser state/configuration as features?



## Features - Get the basic elements:

(information from stack, buffer or R)

Stack: [root, canceled, flights]      Buffer: [to, Houston]

R: [canceled → United, flights → morning, flights → the]

s\_w0: flights

s\_p0: NOUN

s\_w1: canceled

s\_p1: VERB

b\_w0: to    #buffer

context of top on stack: child1: the, child2: morning

valence of top of stack: 2

## Features - add features:

Stack: [root, canceled, flights]      Buffer: [to, Houston]  
R: [canceled → United, flights → morning, flights → the]

Add features (a unique string = unique feature):

```
# unigram
features.append(('s_w0=flights',1))
features.append(('s_w1=canceled',1))
# feature combinations
features.append(('s_w0=flights,s_p0=NOUN', 1))
features.append(('s_w1=canceled,s_p1=VERB', 1))
# add more!
```

**Note1:** always add features with value 1!

**Note2:** in the code you will do this with a format statement, because the feature depends on the current configuration

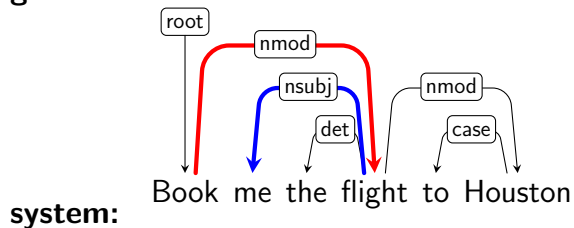
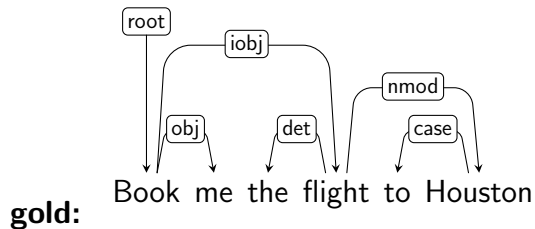
# Outline

- 1 Introduction
- 2 Transition-based parsing
- 3 Evaluation**

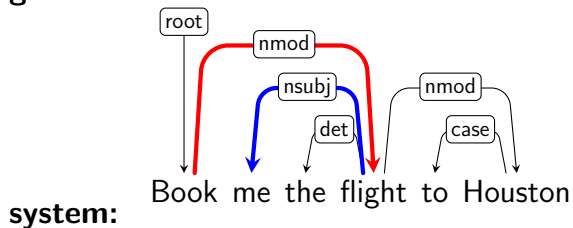
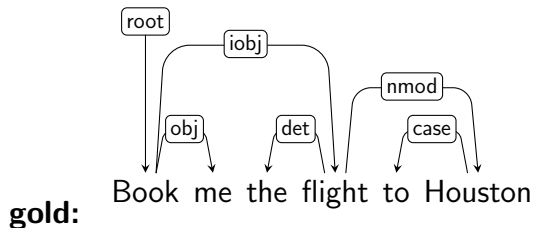
Which proportion of edges is predicted correctly?

- **Label accuracy (LA)**: nodes with correct incoming edge/total number of nodes
- **Unlabeled attachment score (UAS)**: nodes with correct parent/total nodes
- **Labeled attachment score (LAS)**: nodes with correct parent and edge label / total nodes

# Evaluation



# Evaluation



**LAS (labeled):** 4/6

**UAS (unlabeled):** 5/6

# Reference

<https://web.stanford.edu/~jurafsky/slp3/13.pdf>